

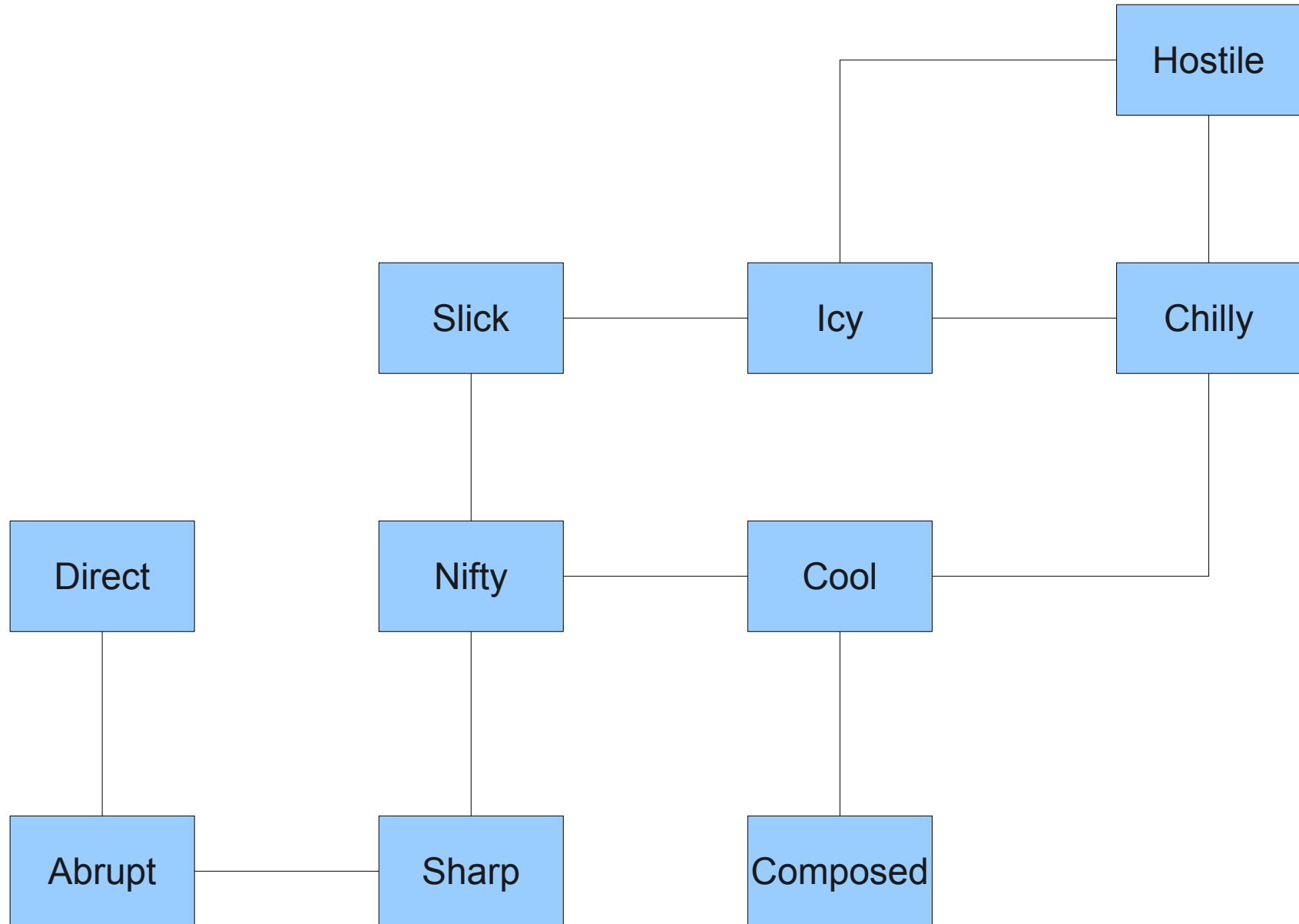
# Introduction to Graphs

CS2110, Spring 2011  
Cornell University

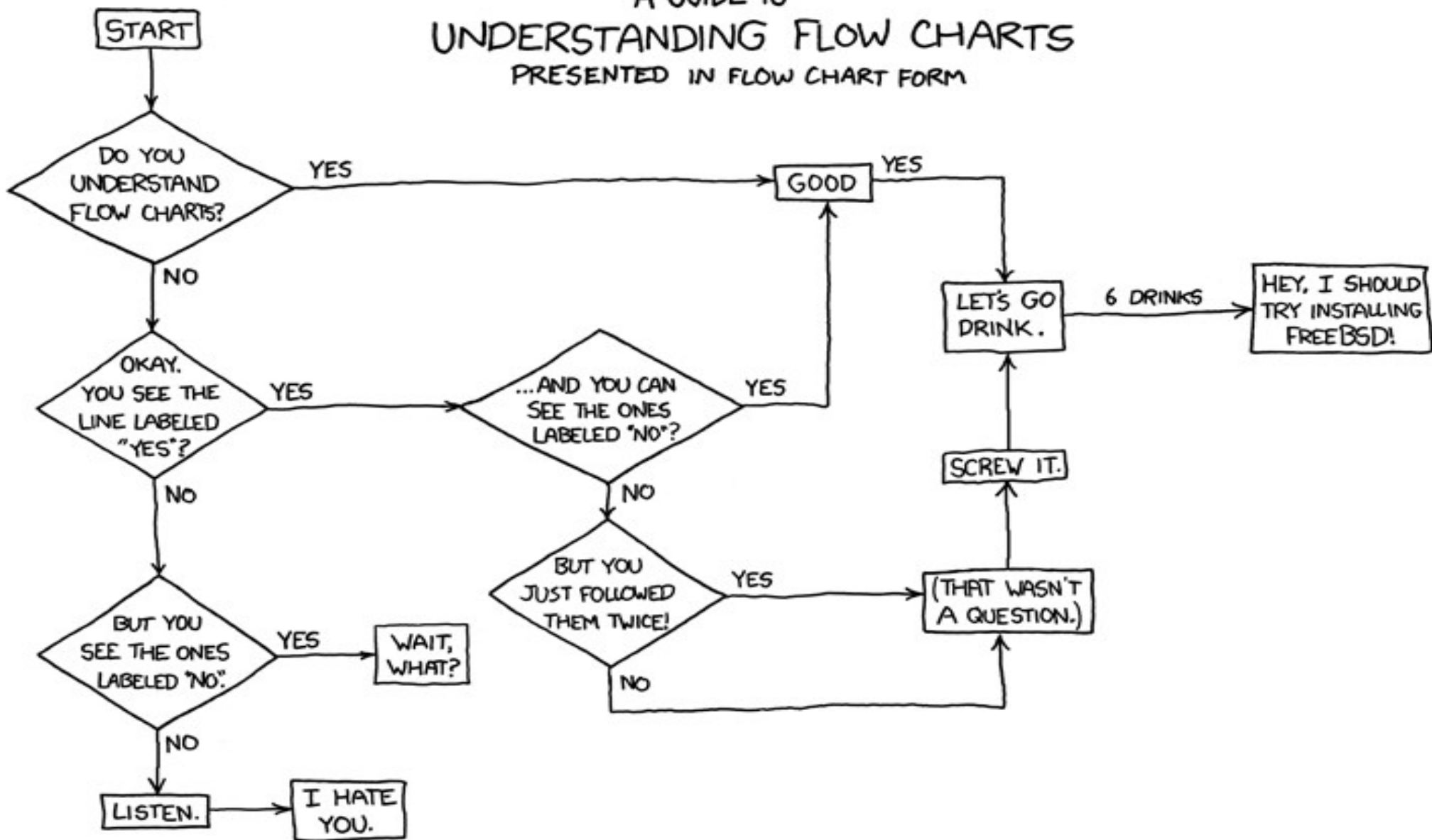
A **graph** is a data structure for representing **relationships**.

Each graph is a set of **nodes**  
connected by **edges**.

# Synonym Graph

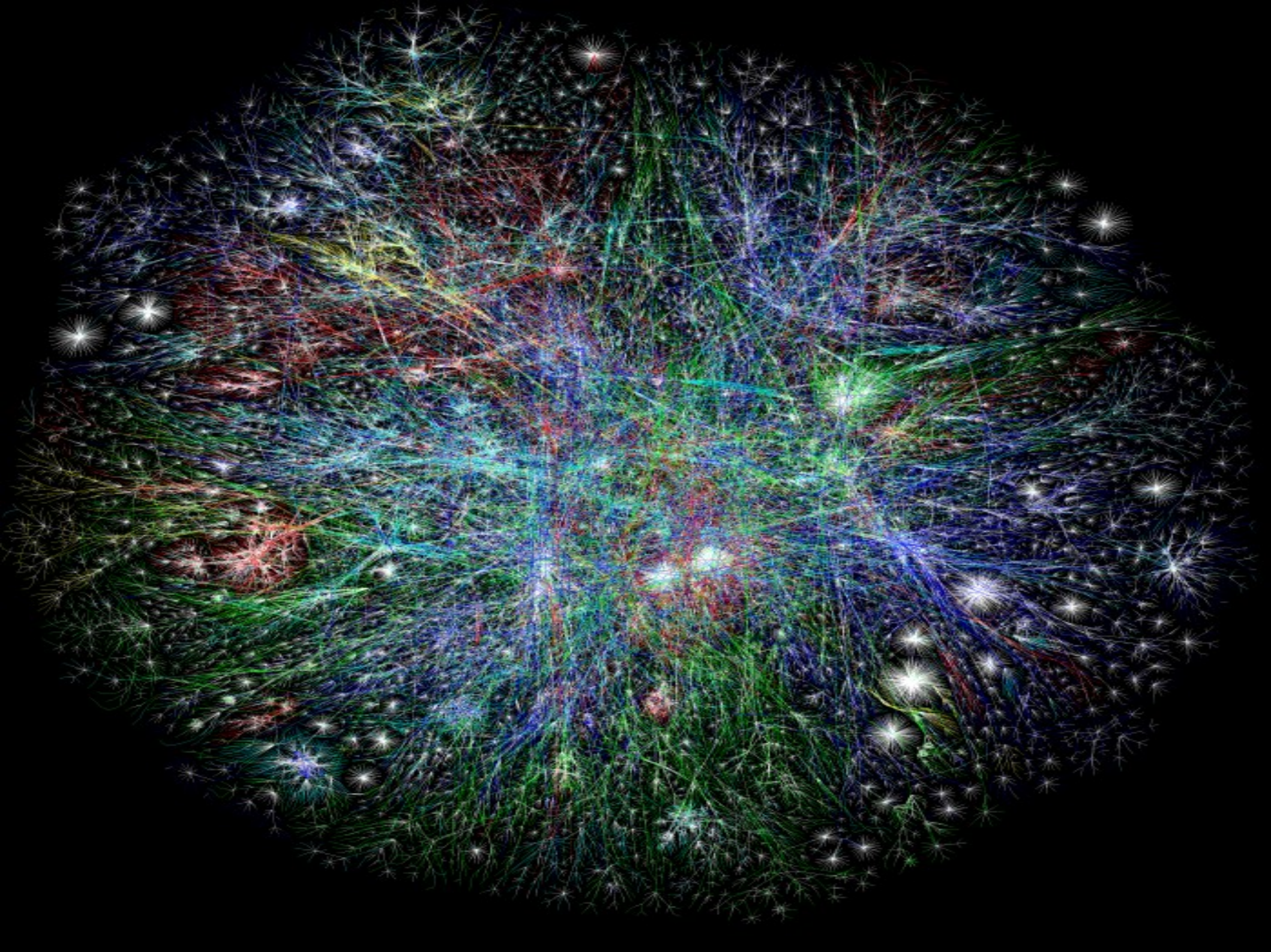


# A GUIDE TO UNDERSTANDING FLOW CHARTS PRESENTED IN FLOW CHART FORM

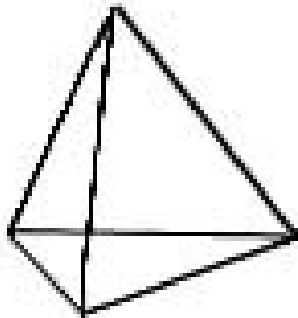




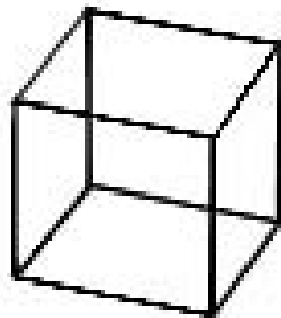
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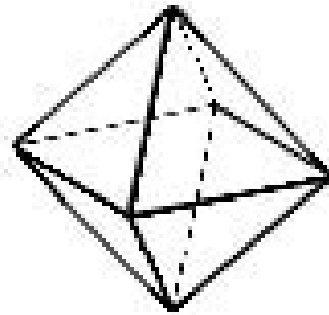




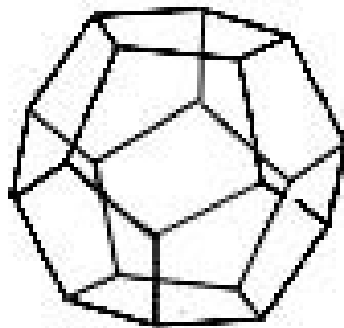
*tetrahedron*



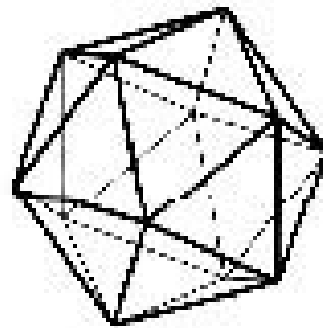
*cube*



*octahedron*



*dodecahedron*



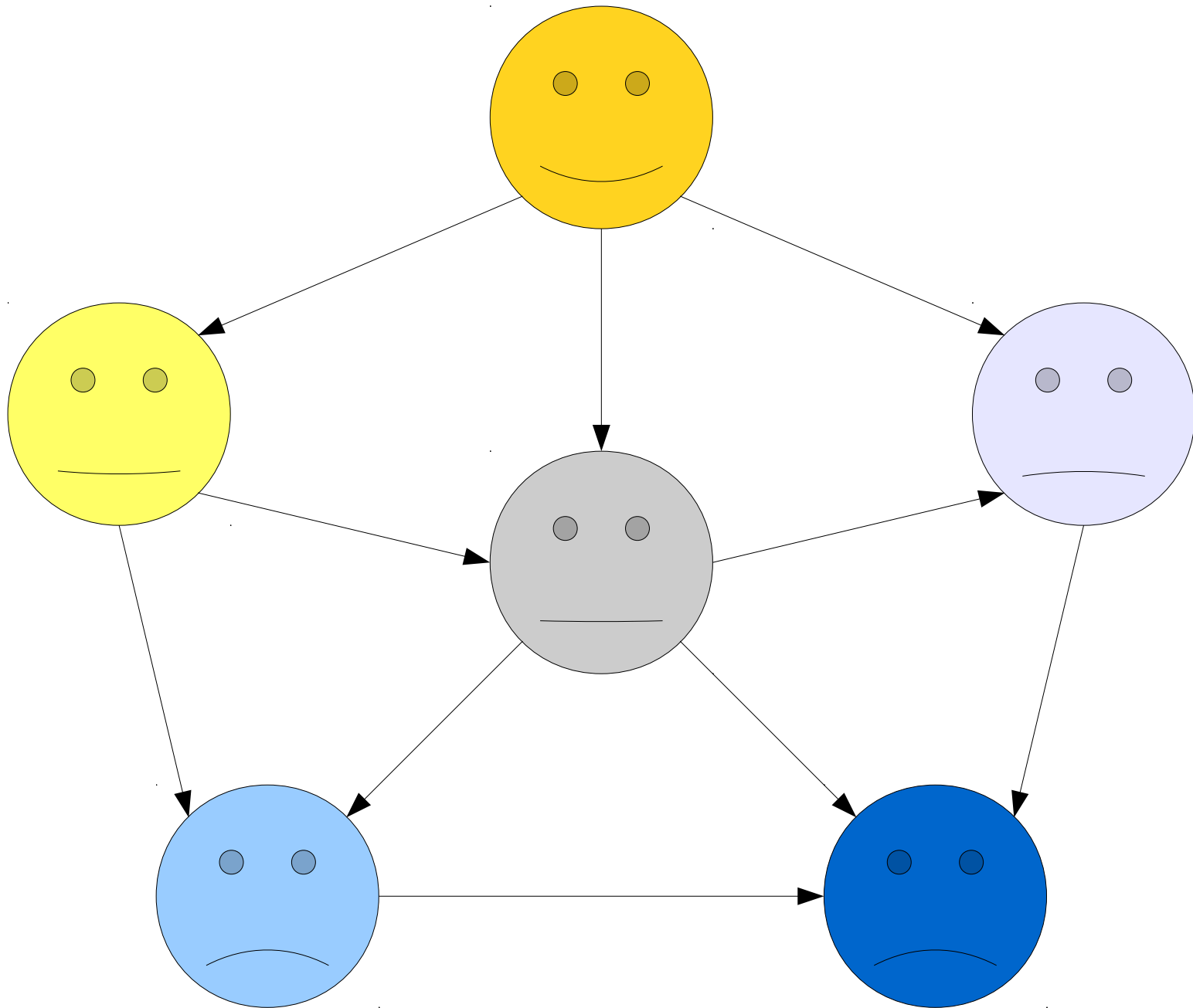
*icosahedron*

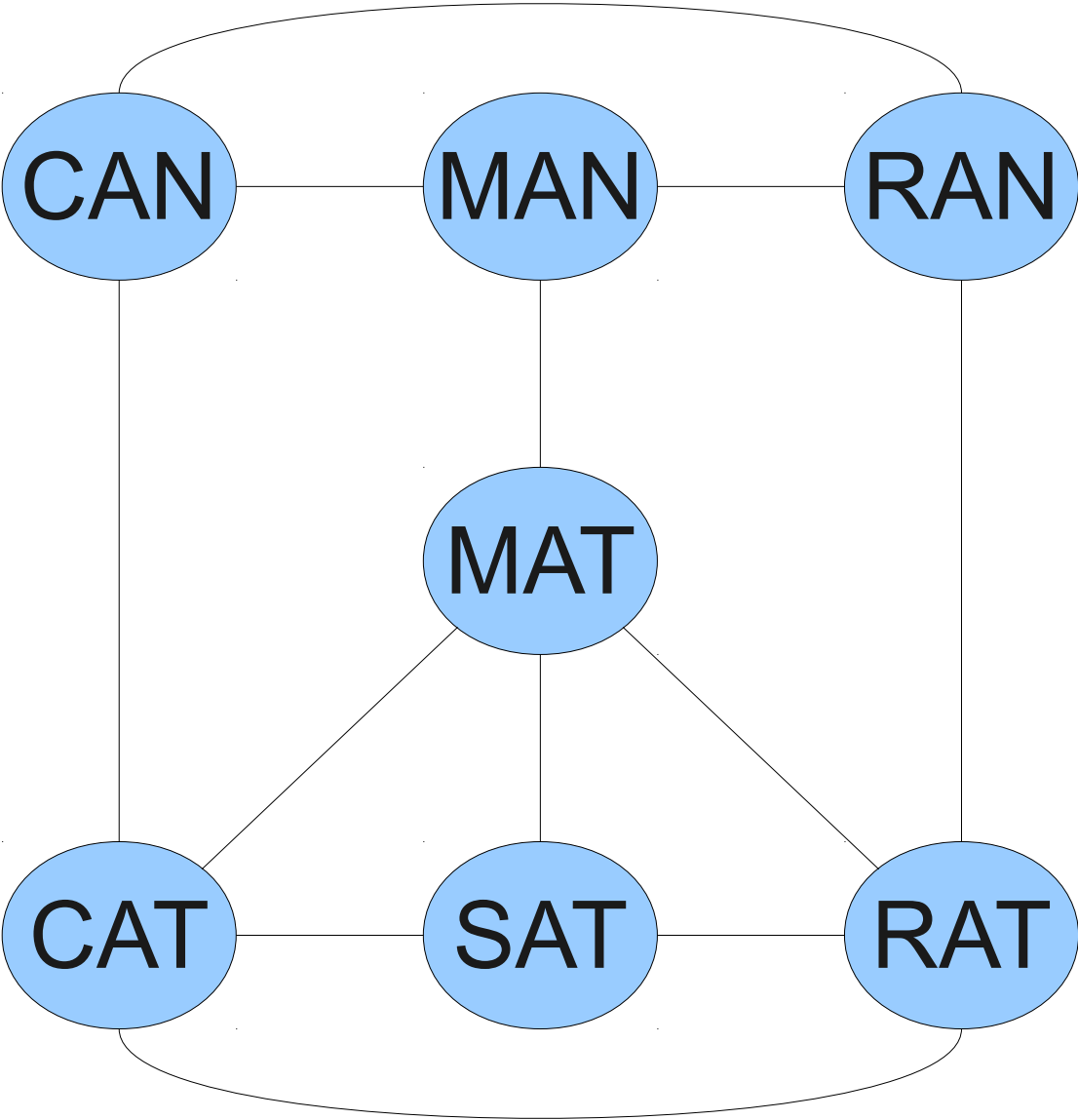
# Goals for Today

- Learn the **formalisms** behind graphs.
- Learn different **representations** for graphs.
- Learn about **paths** and **cycles** in graphs.
- See three ways of **exploring** a graph.
- Explore **applications** of graphs to real-world problems.
- Explore algorithms for **drawing** graphs.

# Formalisms

- A (directed) **graph** is a pair  $G = (V, E)$  where
  - $V$  are the **vertices** (nodes) of the graph.
  - $E$  are the **edges** (arcs) of the graph.
- Each edge is a pair  $(u, v)$  of the **start** and **end** (or **source** and **sink**) of the edge.





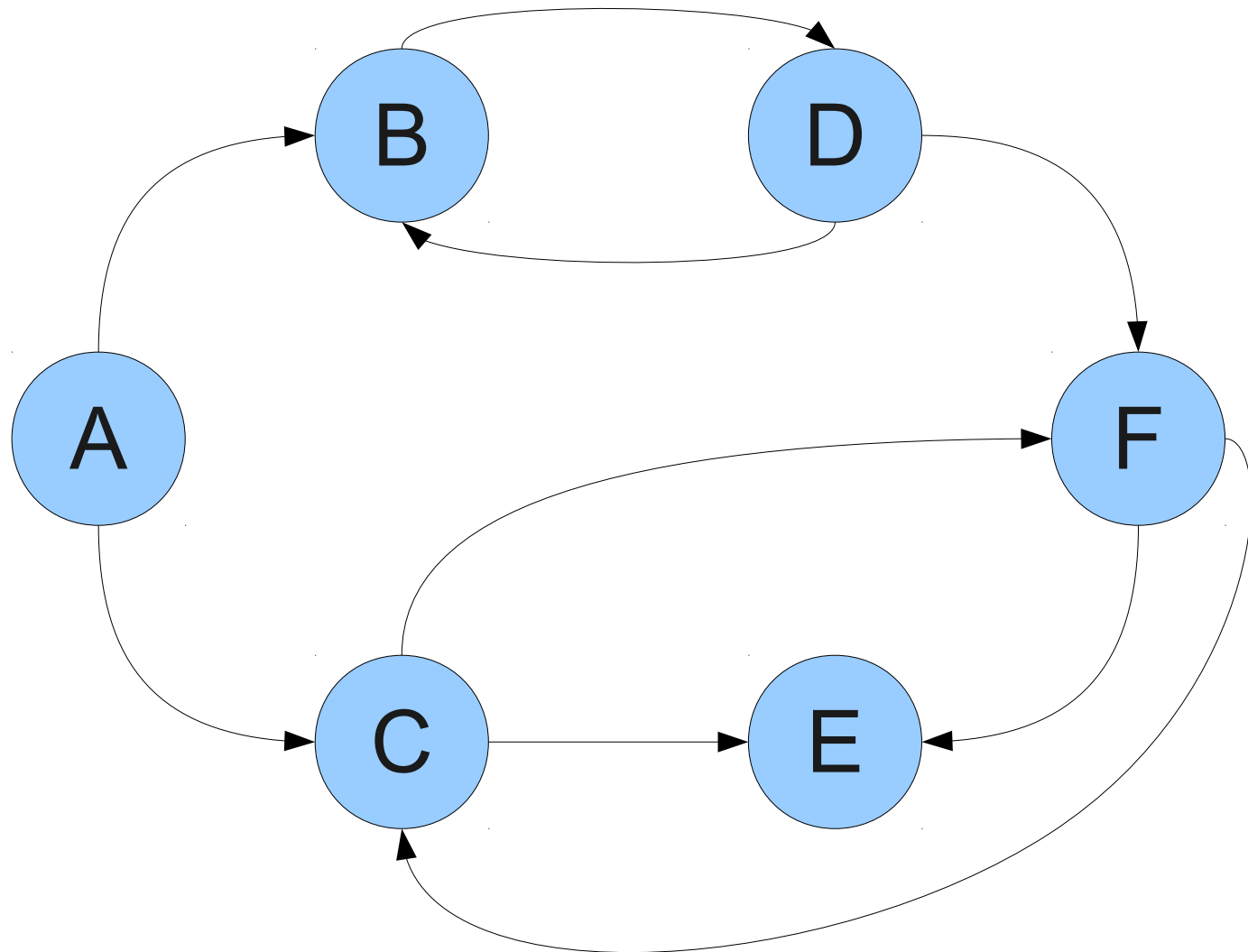
# Directed and Undirected Graphs

- A graph is **directed** if its edges specify which is the start and end node.
  - Encodes asymmetric relationship.
- A graph is **undirected** if the edges don't distinguish between the start and end nodes.
  - Encodes symmetric relationship.
- An undirected graph is a **special case** of a directed graph (just add edges both ways).

# How Big is a Graph $G = (V, E)$ ?

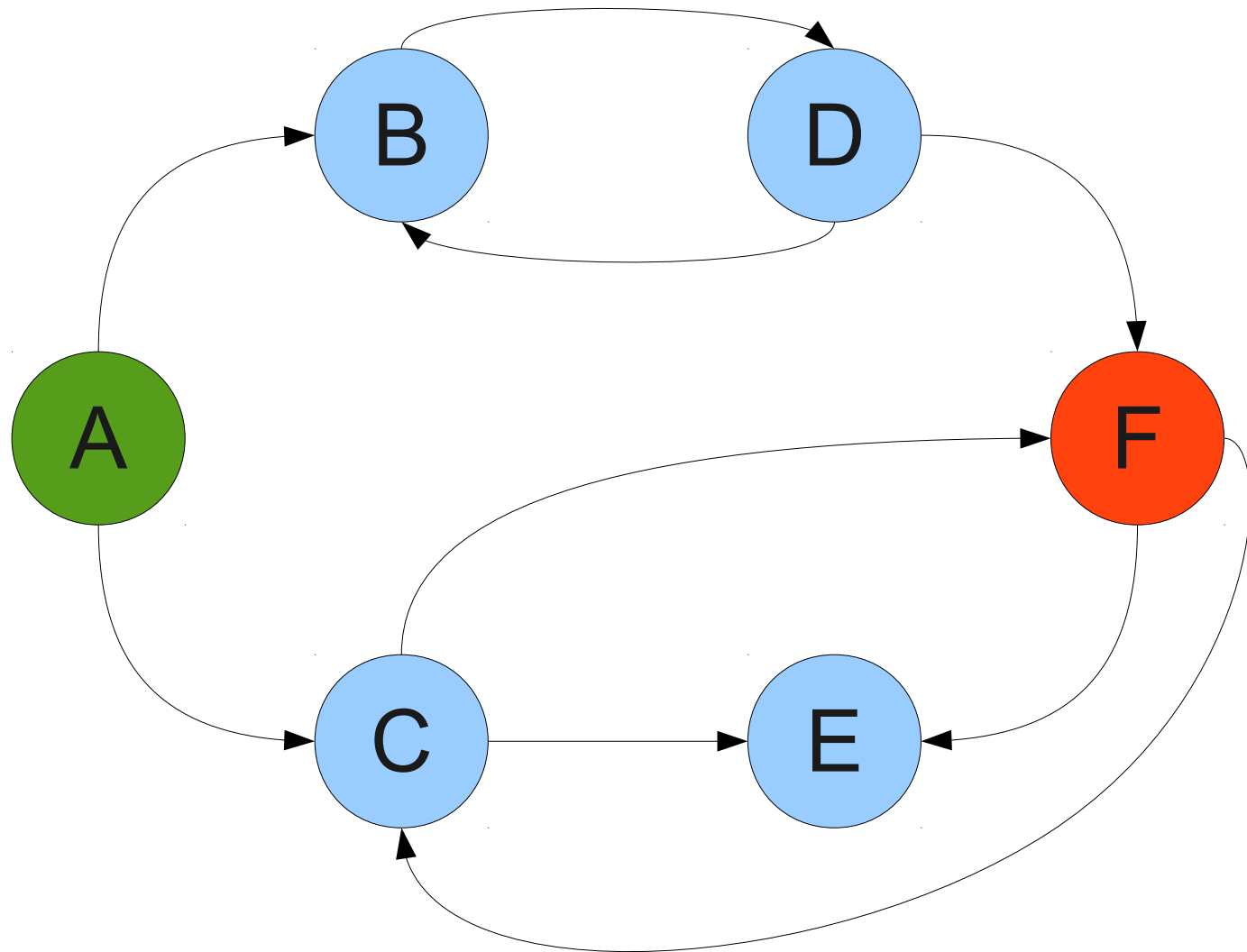
- Two measures:
  - Number of vertices:  $|V|$  (often denoted  $n$ )
  - Number of edges:  $|E|$  (often denoted  $m$ )
- $|E|$  can be at most  $O(|V|^2)$
- A graph is called **sparse** if it has few edges. A graph with many edges is called **dense**.

# Navigating a Graph

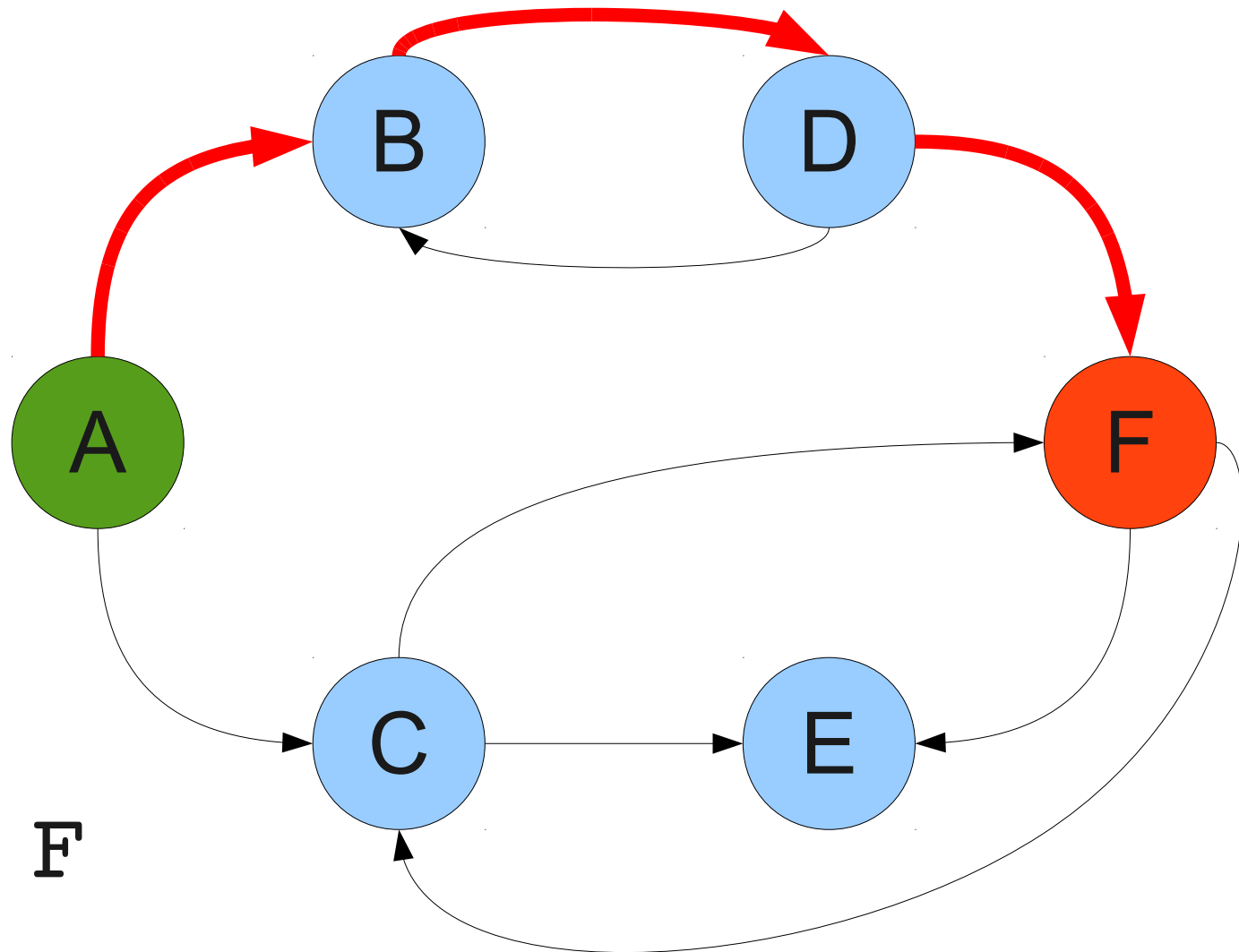




# Navigating a Graph

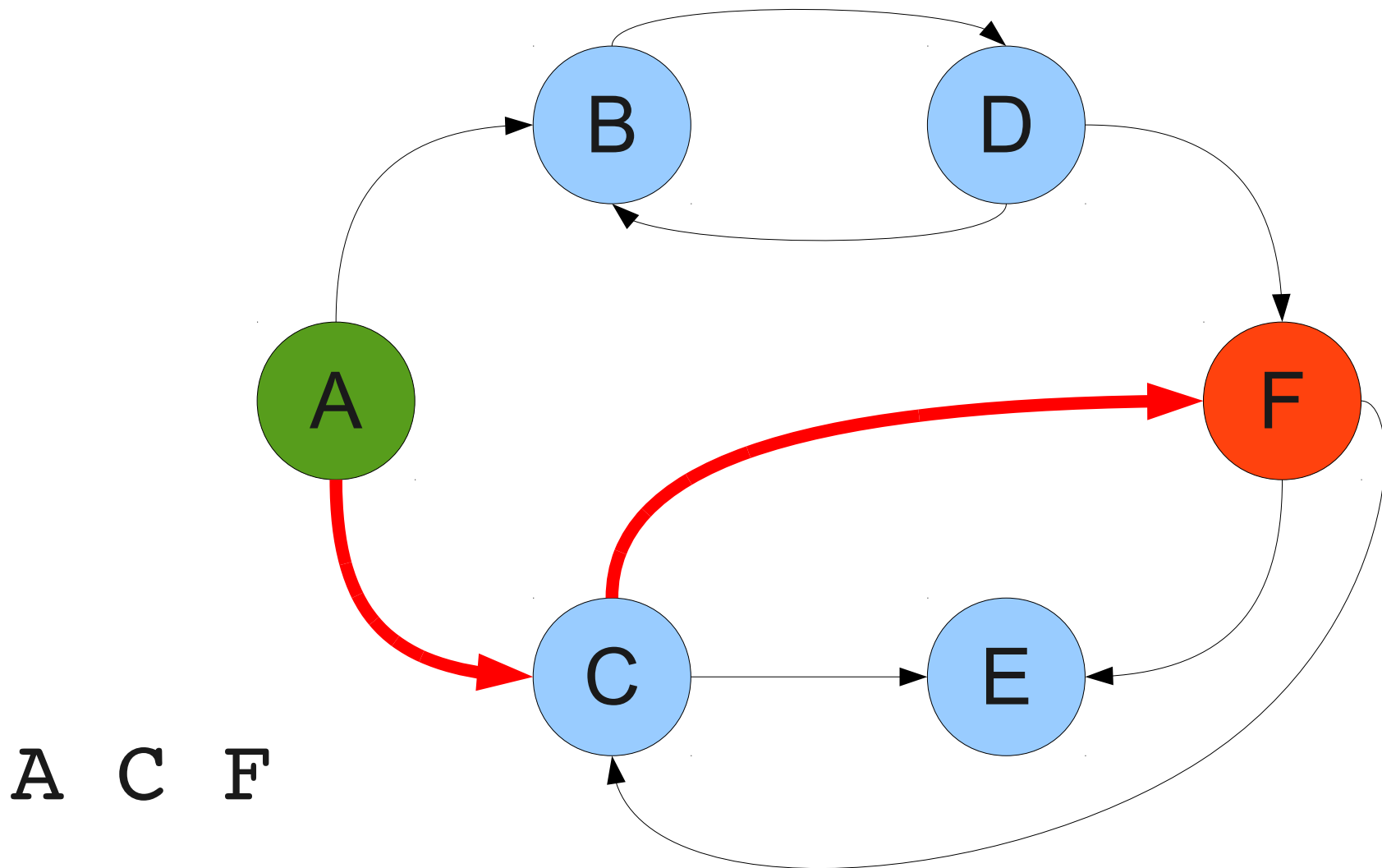


# Navigating a Graph



A B D F

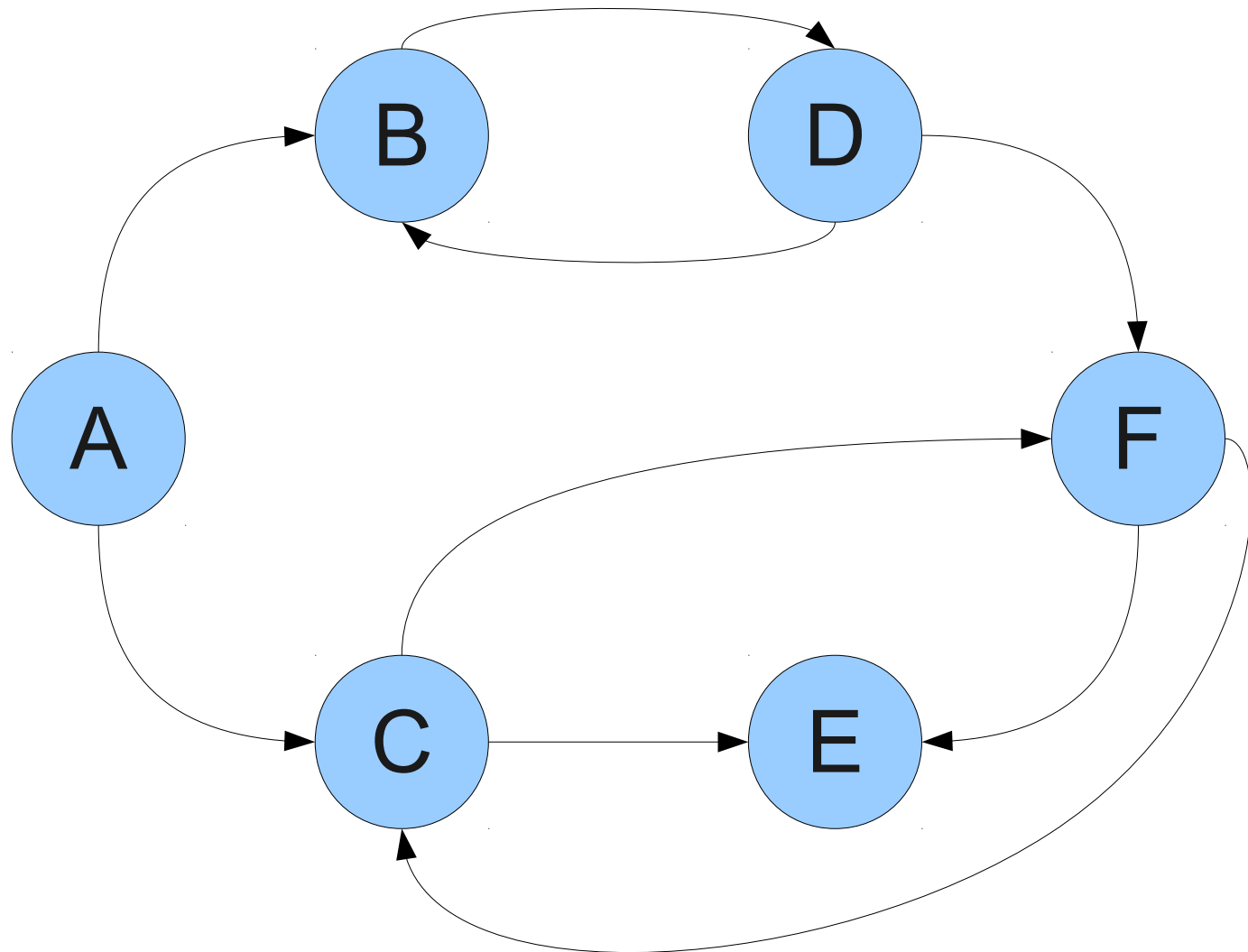
# Navigating a Graph



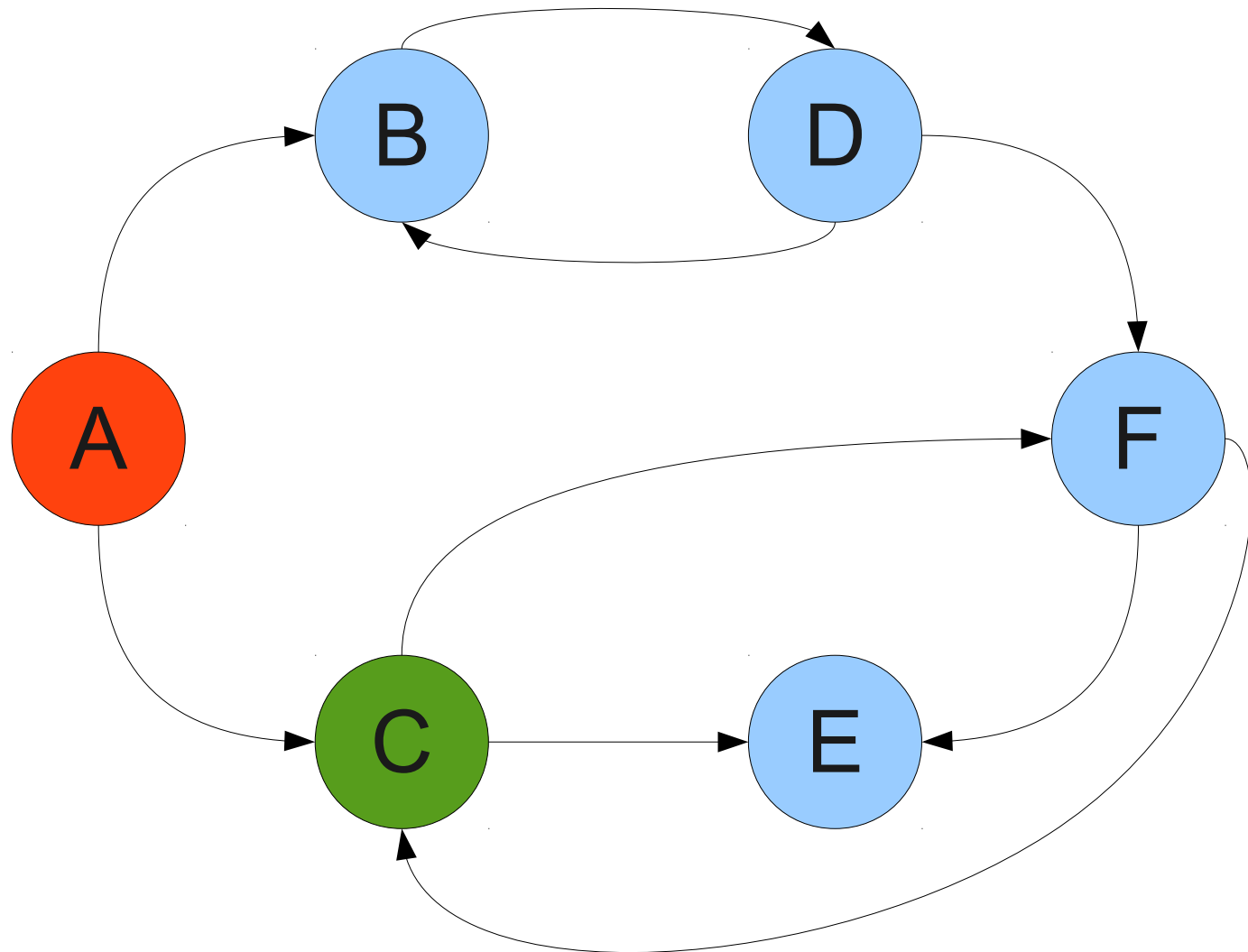
A **path** from  $v_0$  to  $v_n$  is a list of edges  
 $(v_0, v_1), (v_1, v_2), \dots, (v_{n-1}, v_n)$ .

The **length** of a path is the number of edges it contains.

# Navigating a Graph



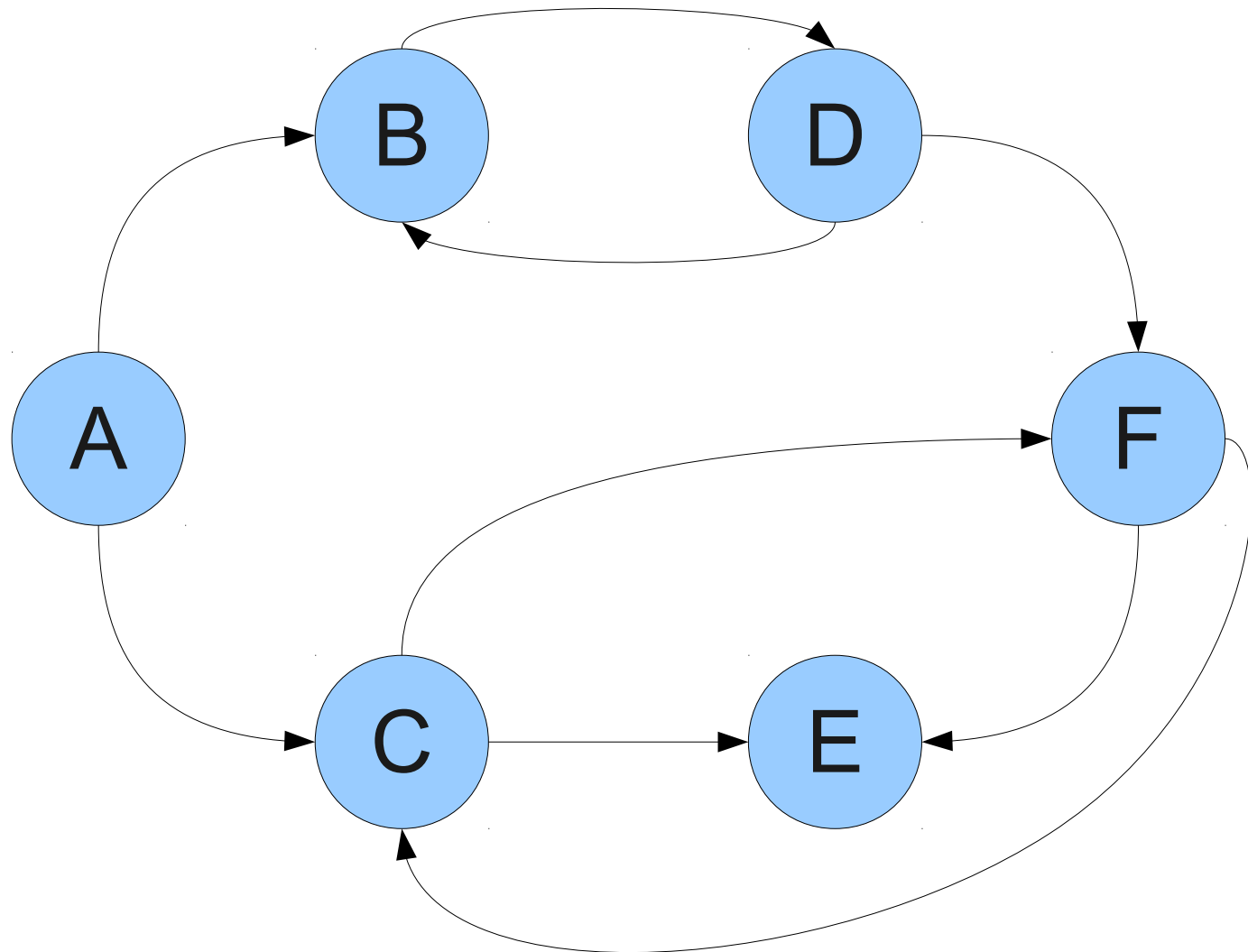
# Navigating a Graph



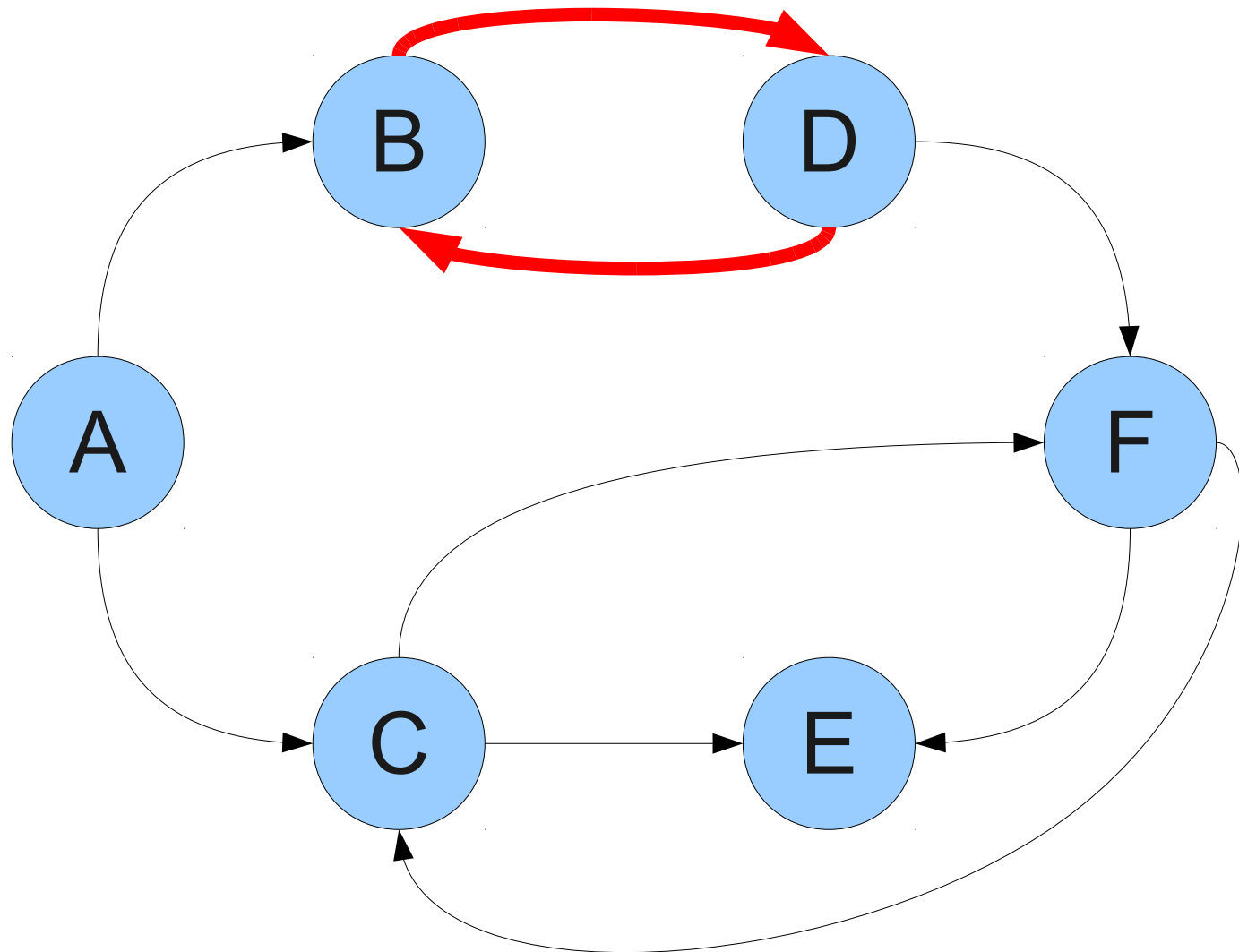
A node  $v$  is **reachable** from node  $u$   
if there is a path from  $u$  to  $v$ .



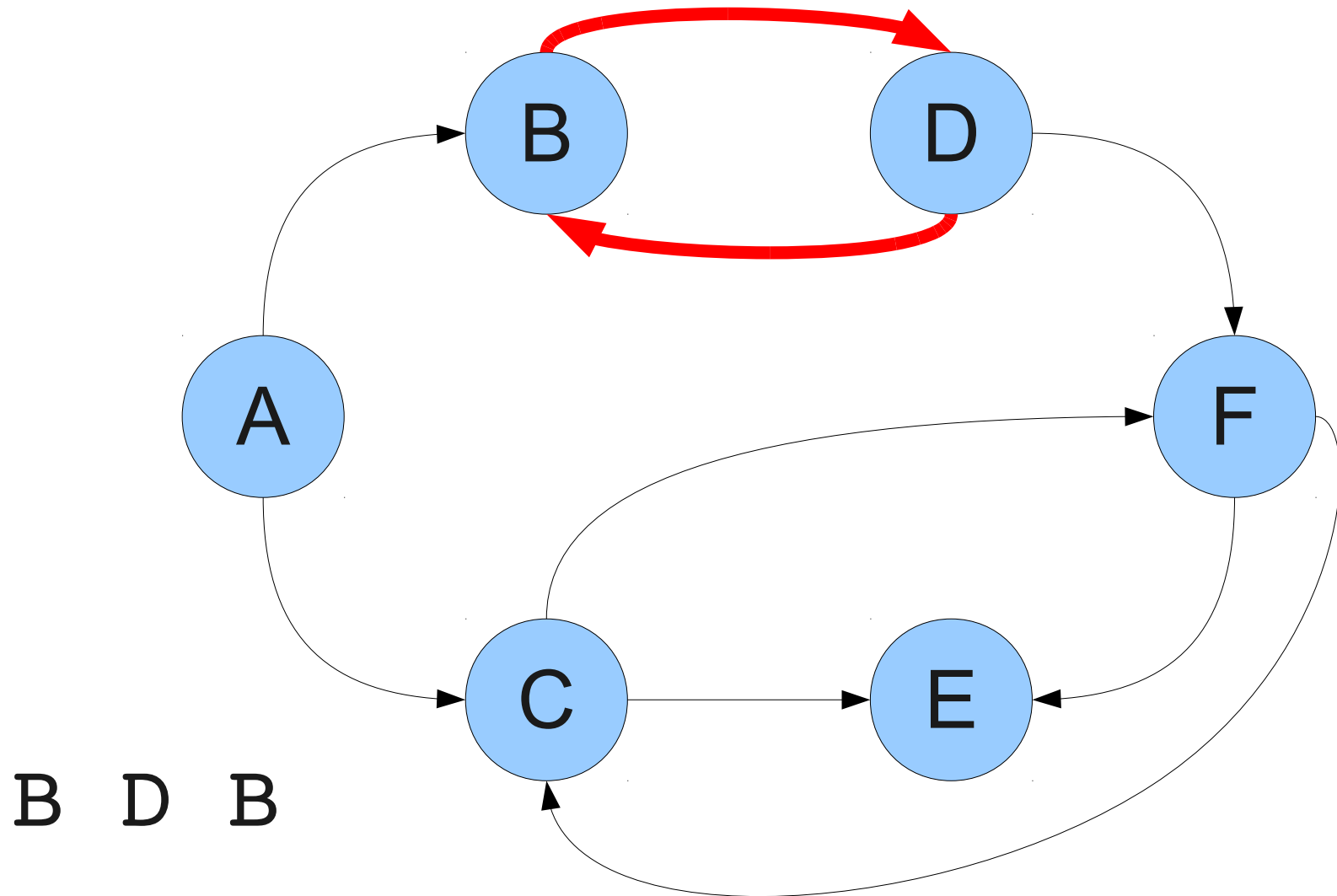
# Navigating a Graph



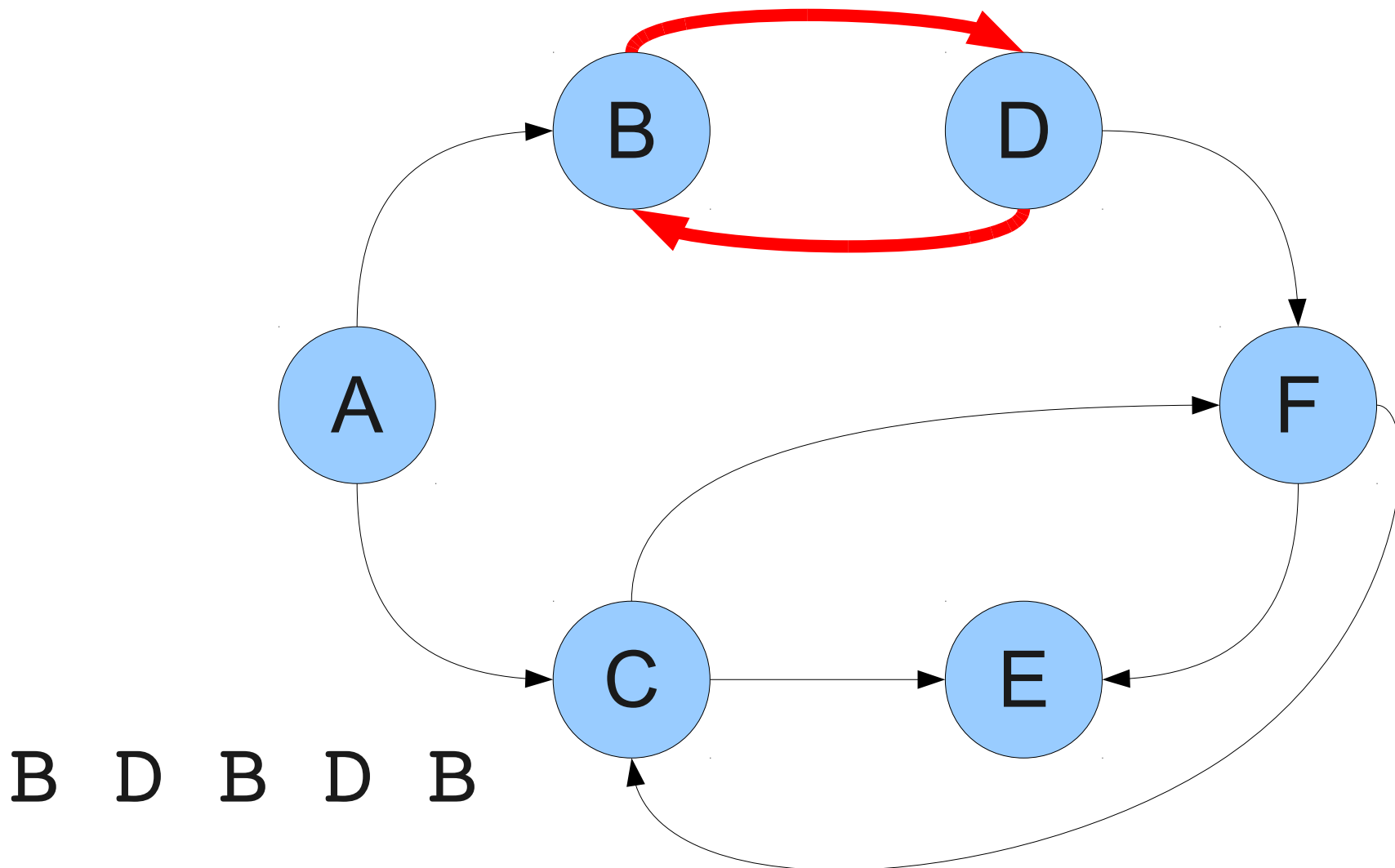
# Navigating a Graph



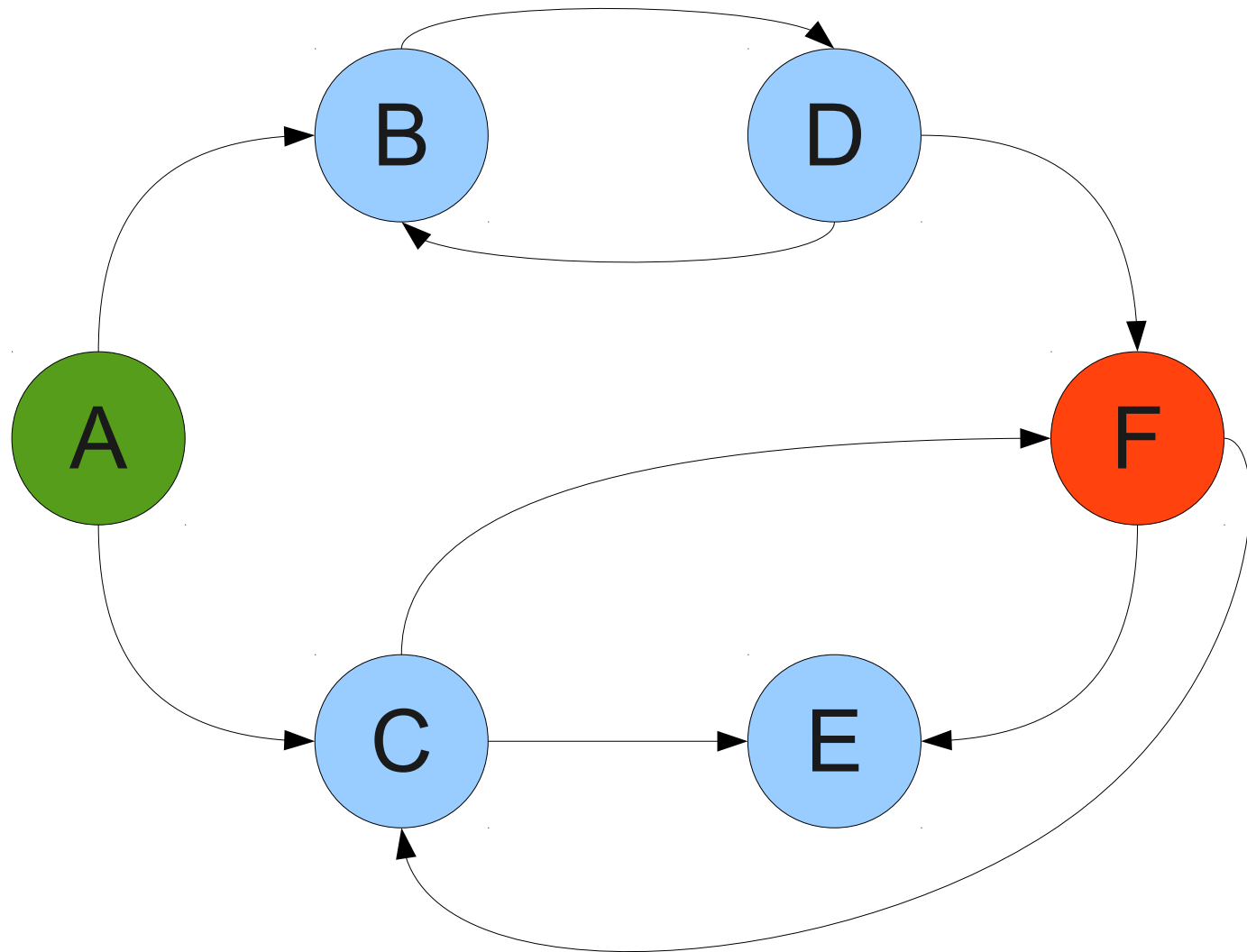
# Navigating a Graph



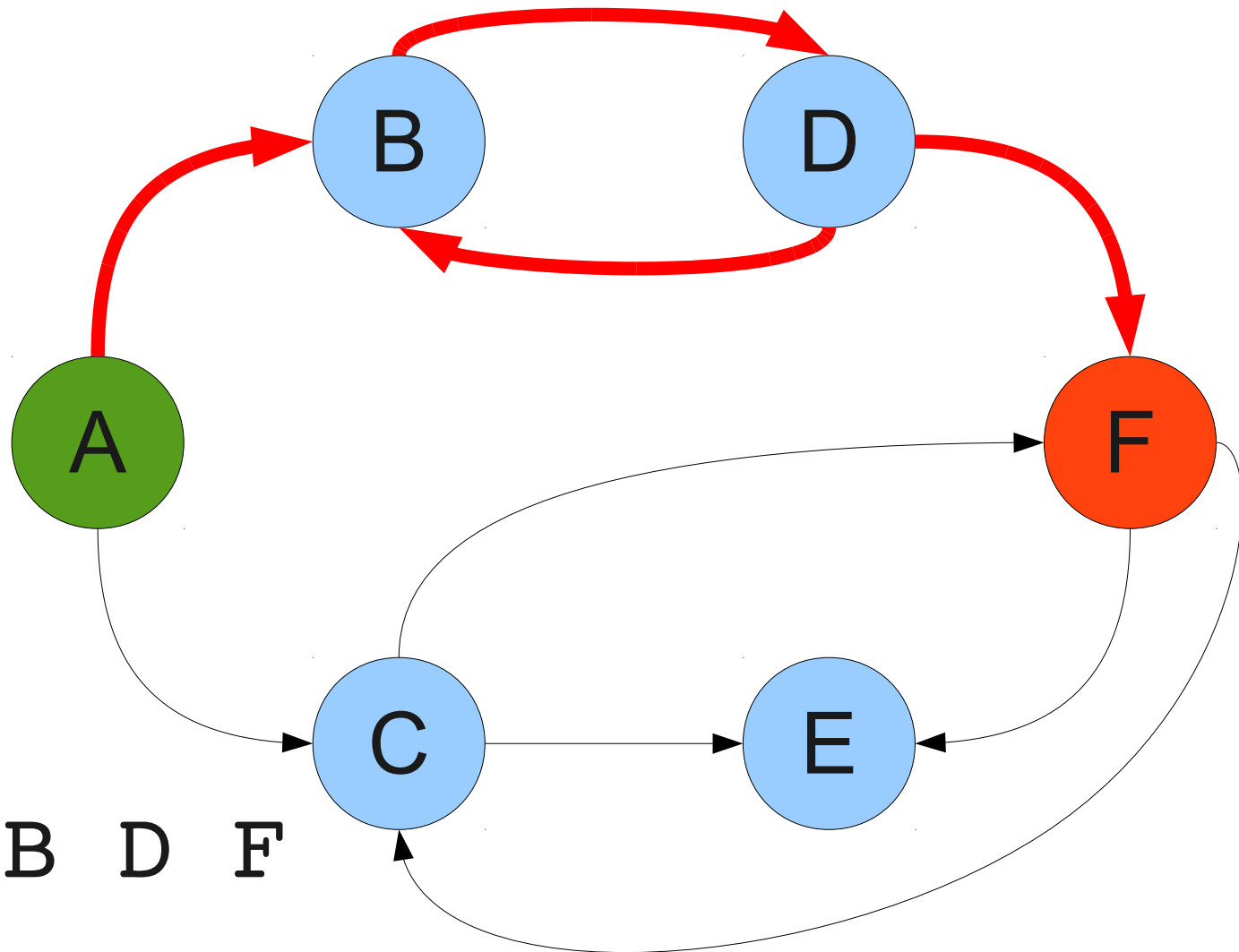
# Navigating a Graph



# Navigating a Graph



# Navigating a Graph



A B D B D F

A **cycle** in a graph is a set of edges

$$(v_0, v_1), (v_1, v_2), \dots, (v_n, v_0)$$

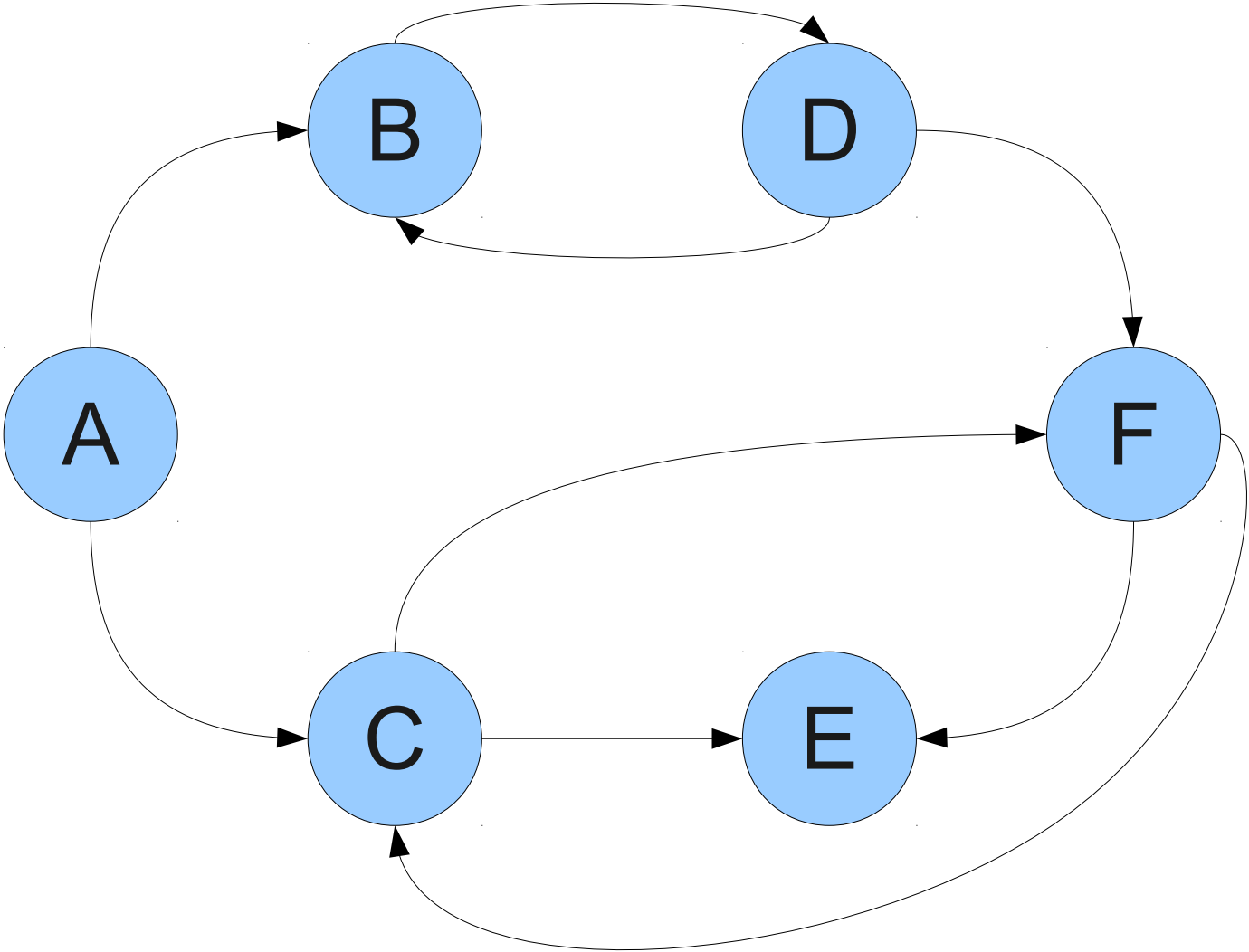
that starts and ends at the same node.

A **simple path** is a path that does not contain a cycle.

A **simple cycle** is a cycle that does not contain a smaller cycle



# Properties of Nodes



The **indegree** of a node is the number of edges entering that node.

The **outdegree** of a node is the number of edges leaving that node.

In an undirected graph, these are the same and are called the **degree** of the node.

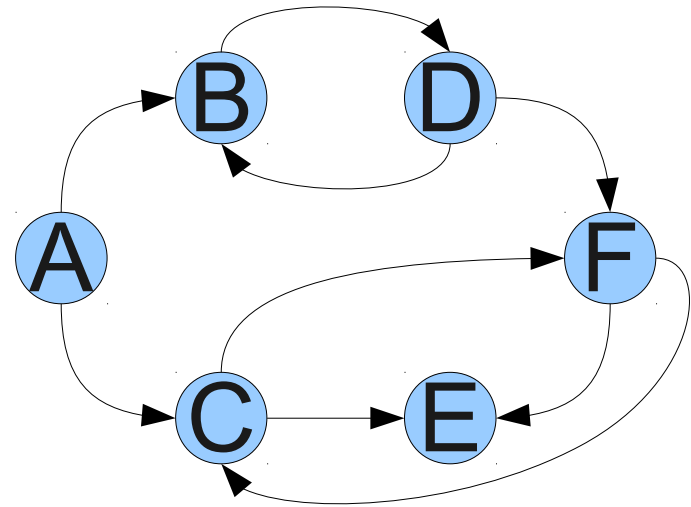
# Summary of Terminology

- A **path** is a series of edges connecting two nodes.
  - The **length** of a path is the number of edges in the path.
  - A node  $v$  is **reachable** from  $u$  if there is a path from  $u$  to  $v$ .
- A **cycle** is a path from a node to itself.
- A **simple path** is a path without a cycle.
- A **simple cycle** is a cycle that does not contain a nested cycle.
- The **indegree** and **outdegree** of a node are the number of edges entering/leaving it.

# Representing Graphs

# Adjacency Matrices

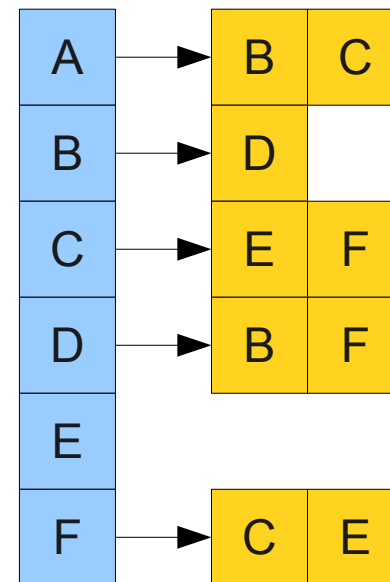
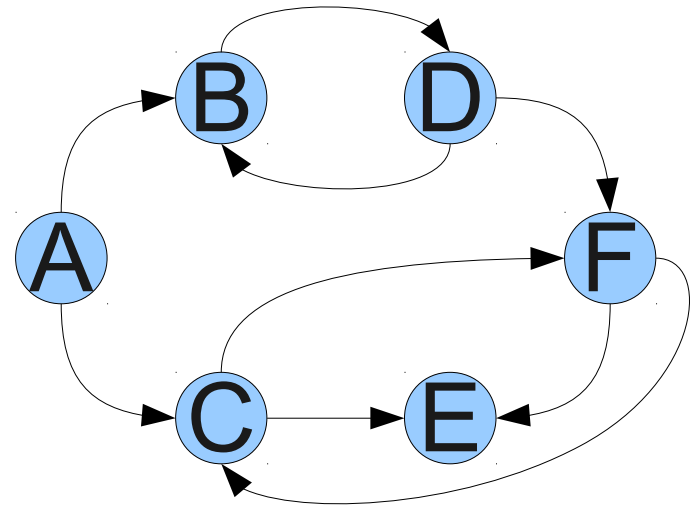
- $n \times n$  grid of boolean values.
- Element  $A_{ij}$  is 1 if edge from  $i$  to  $j$ , 0 else.
- Memory usage is  $O(n^2)$
- Can check if an edge exists in  $O(1)$ .
- Can find all edges entering or leaving a node in  $O(n)$ .



	A	B	C	D	E	F
A	0	1	1	0	0	0
B	0	0	0	1	0	0
C	0	0	0	0	1	1
D	0	1	0	0	0	1
E	0	0	0	0	0	0
F	0	0	1	0	1	0

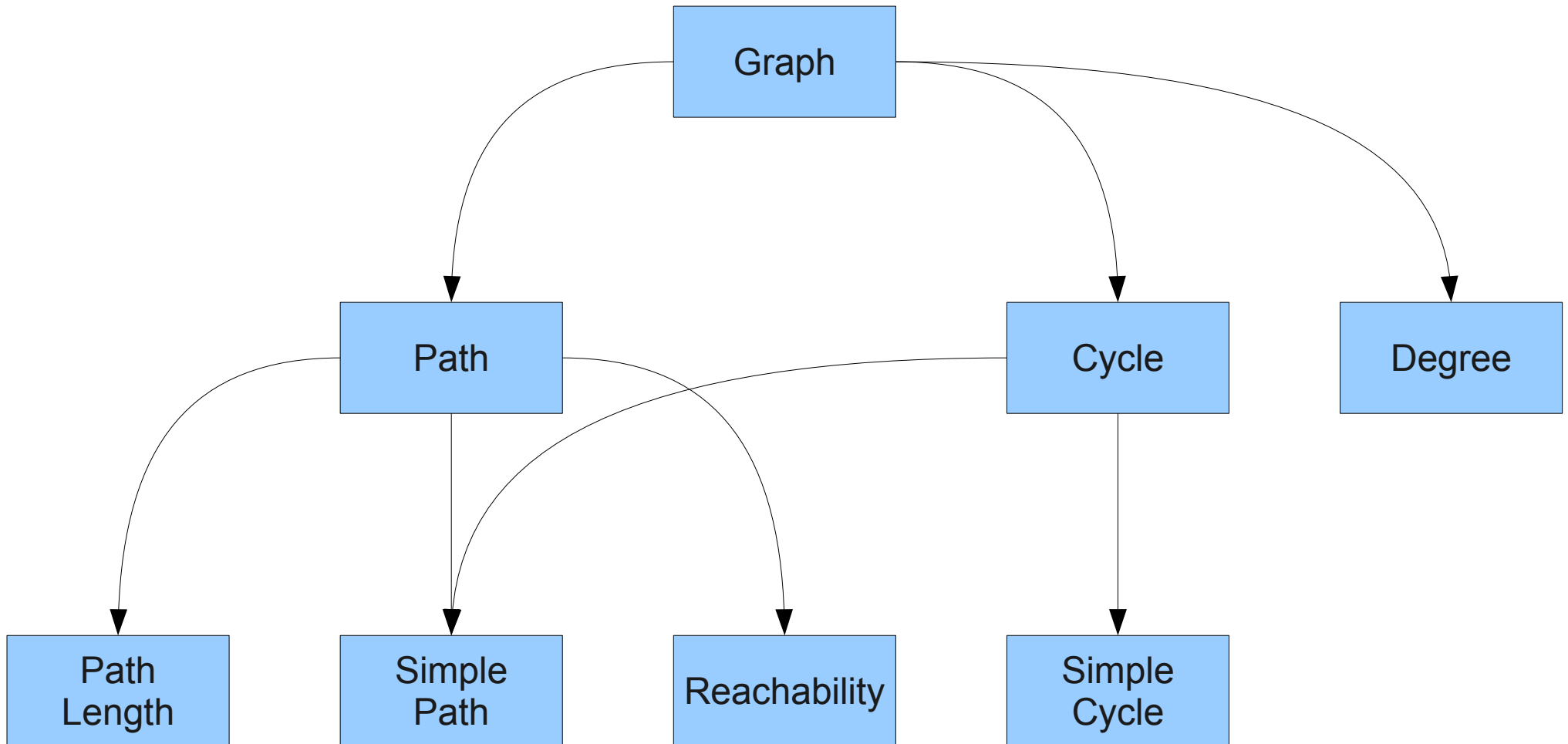
# Adjacency Lists

- List of edges leaving each node.
- Memory usage is  $O(m+n)$
- Find edges leaving a node in  $O(d^+(u))$
- Check if edge exists in  $O(d^+(u))$



# Graph Algorithms

# Representing Prerequisites

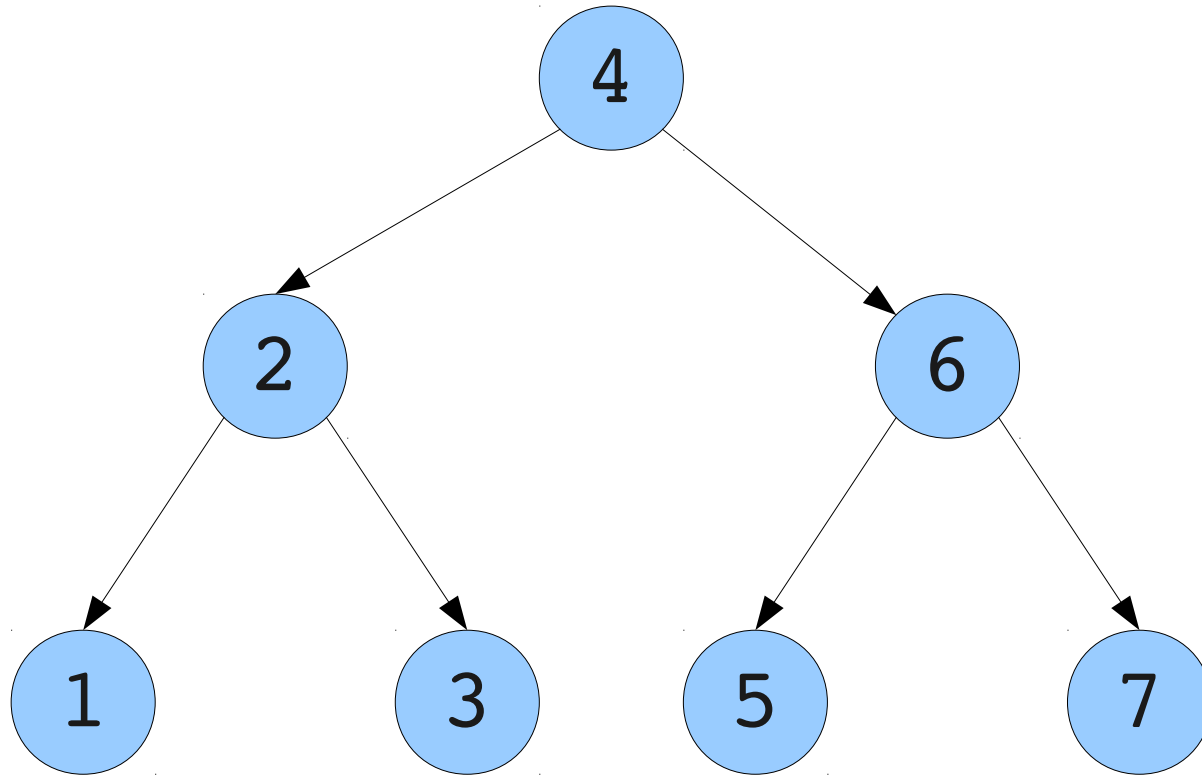




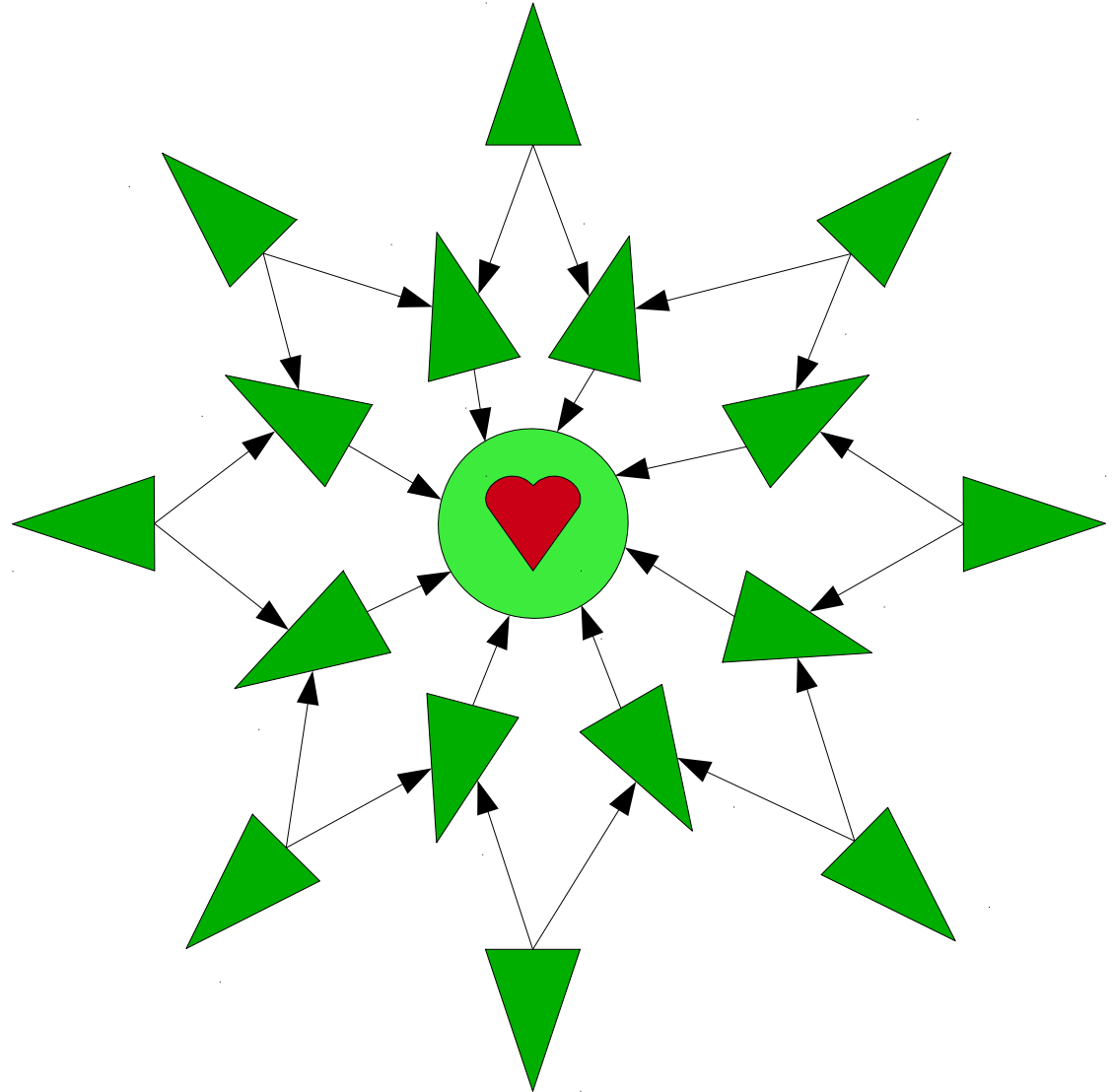
**A directed acyclic graph (DAG) is a directed graph with no cycles.**

# Examples of DAGs

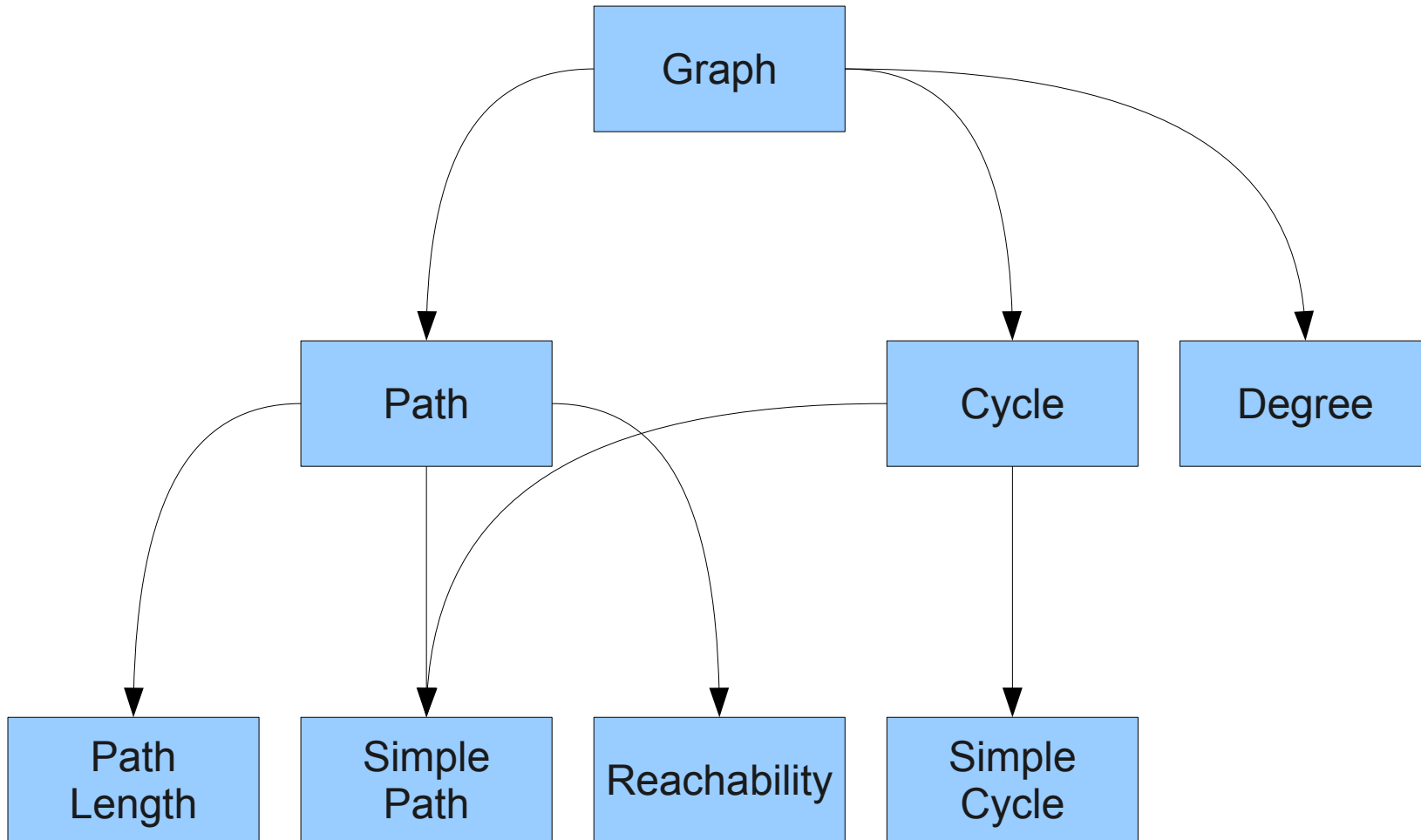
# Examples of DAGs



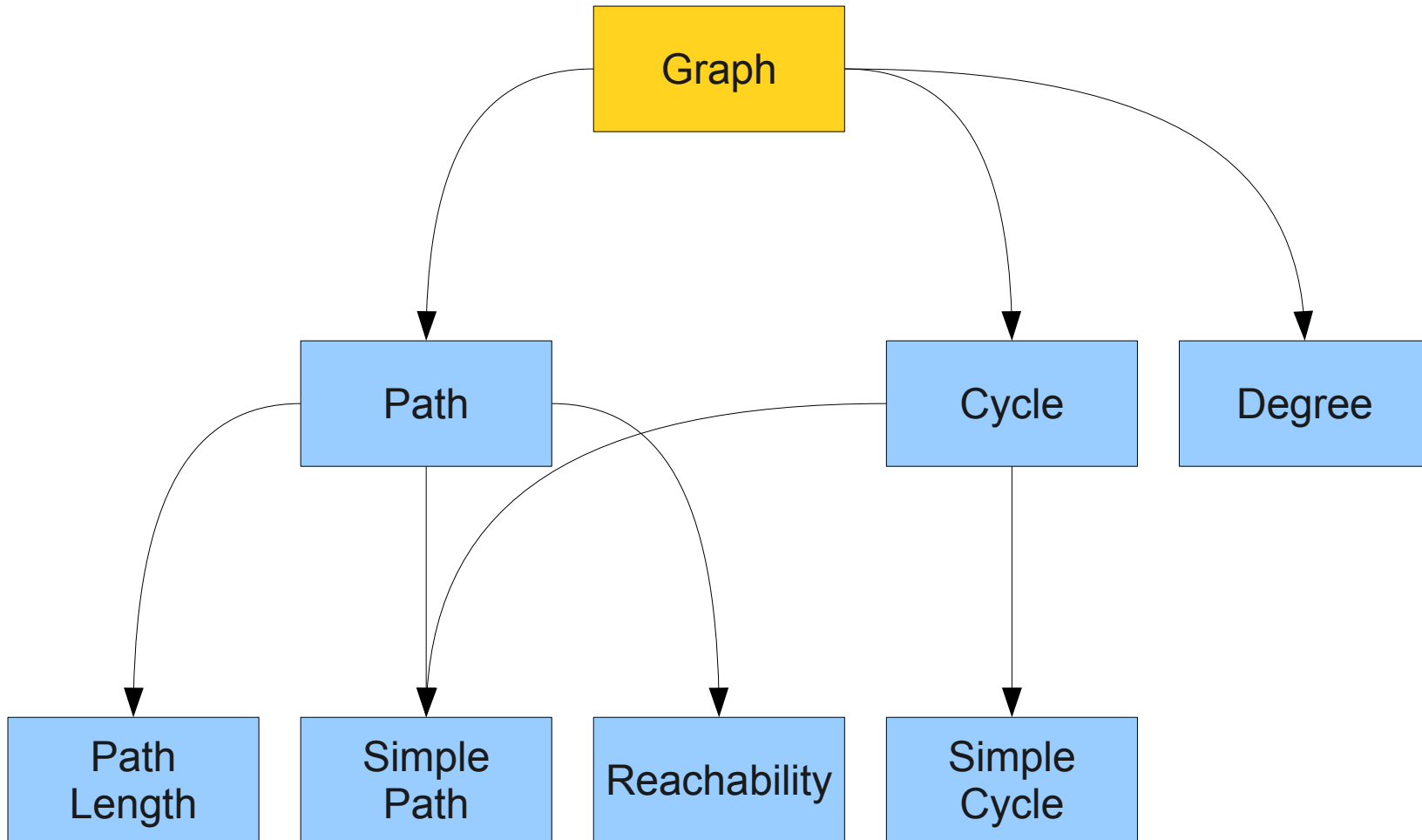
# Examples of DAGs



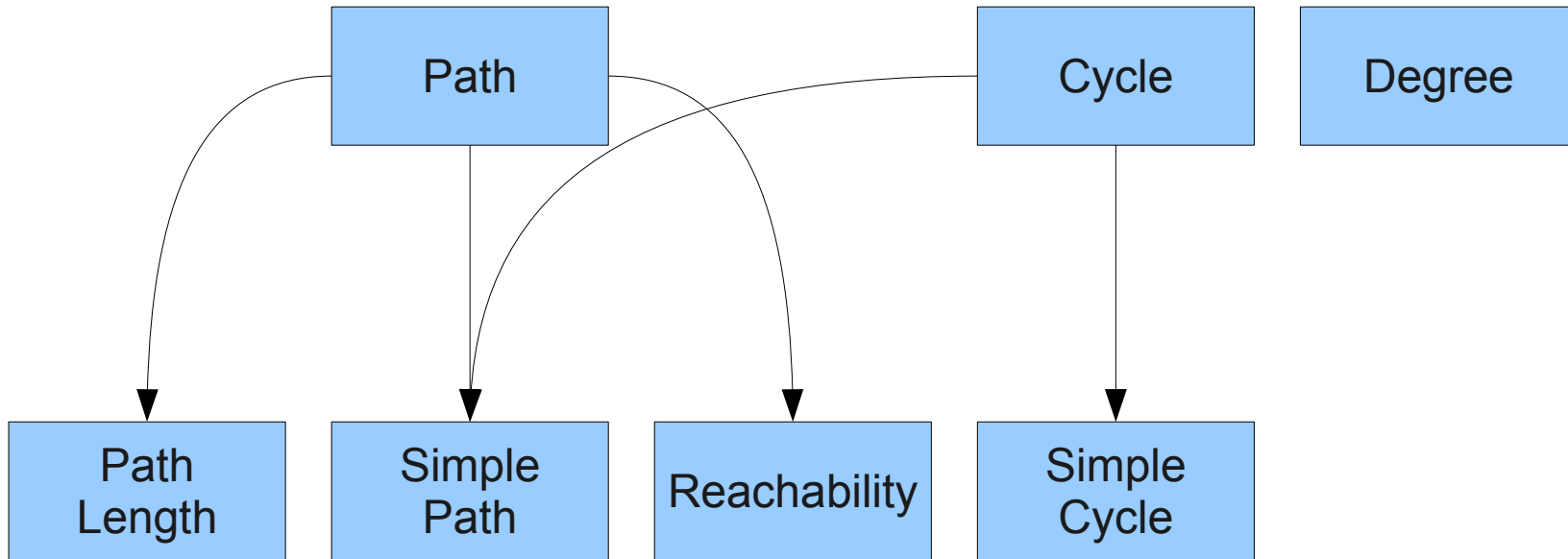
# Traversing a DAG



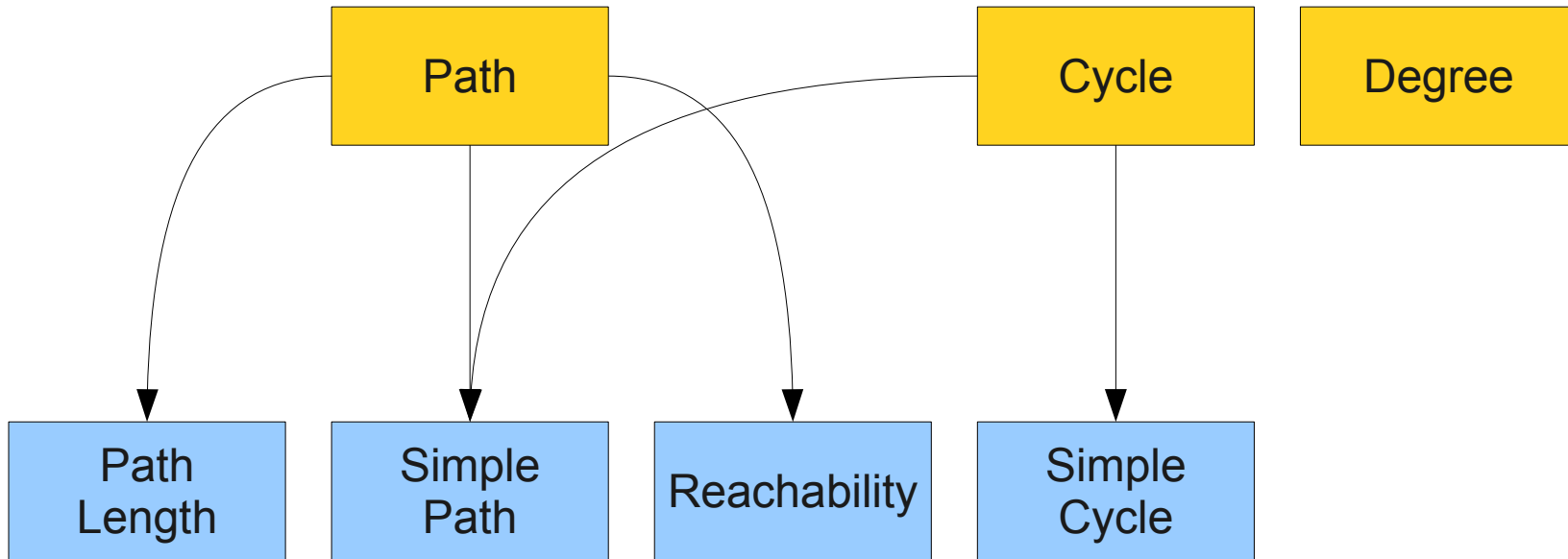
# Traversing a DAG



# Traversing a DAG



# Traversing a DAG

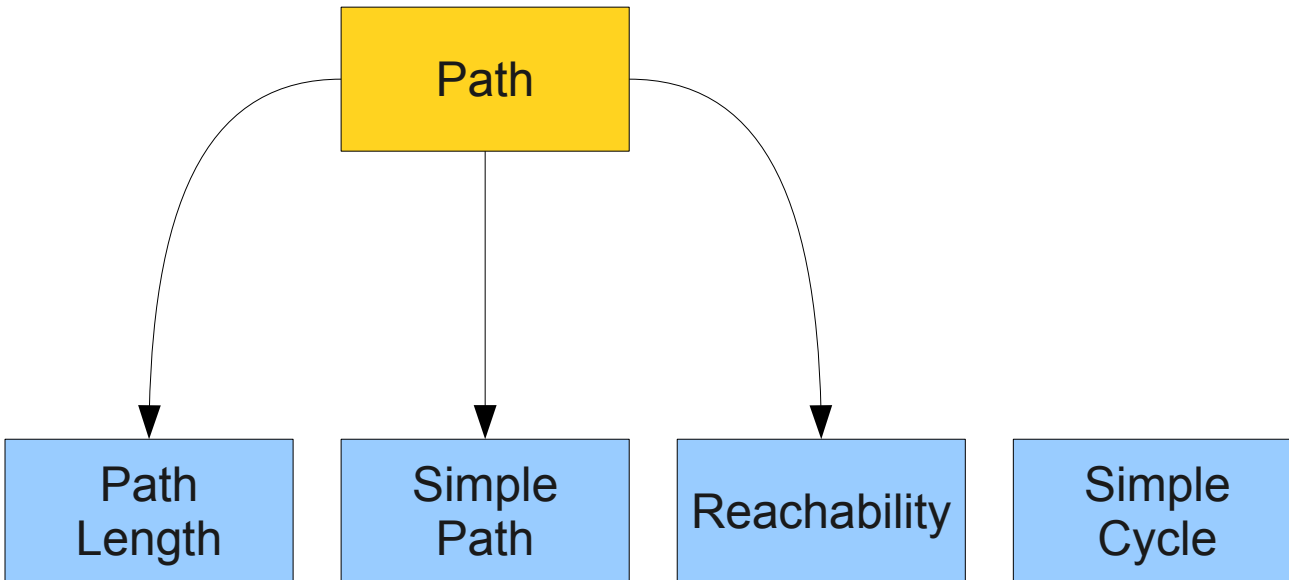




# Traversing a DAG

Graph

Cycle

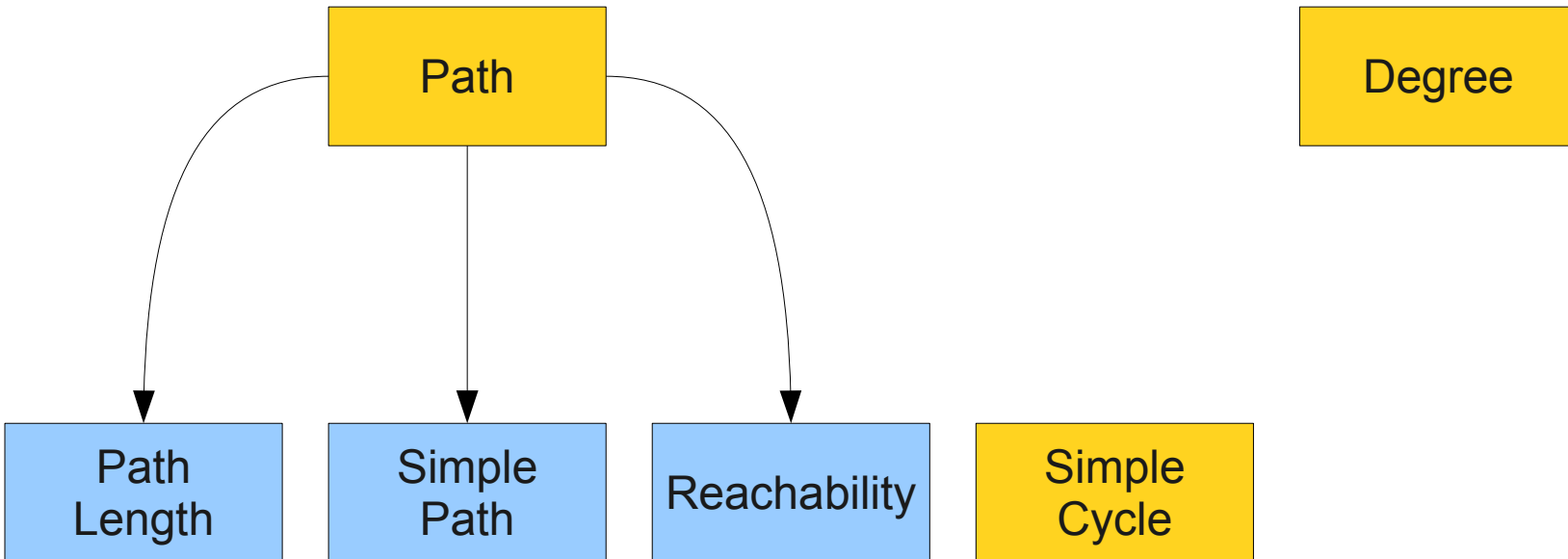


Degree

# Traversing a DAG

Graph

Cycle



# Traversing a DAG

Graph

Cycle

Simple  
Cycle

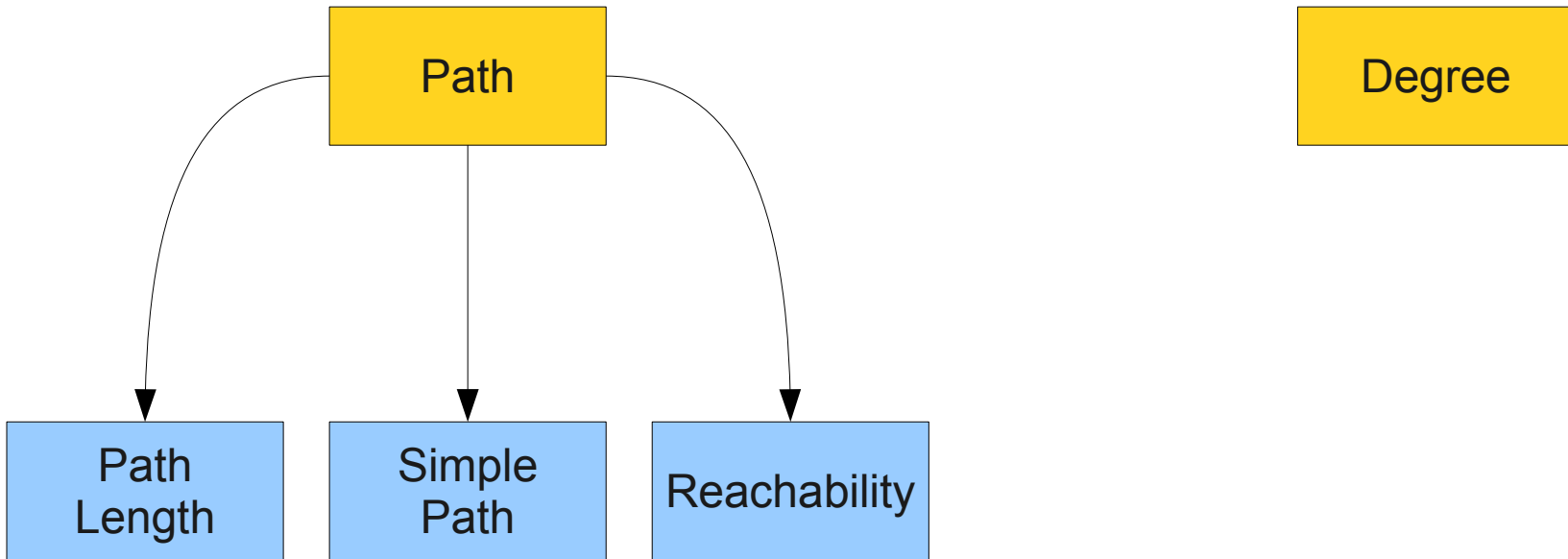
Degree

Path

Path  
Length

Simple  
Path

Reachability



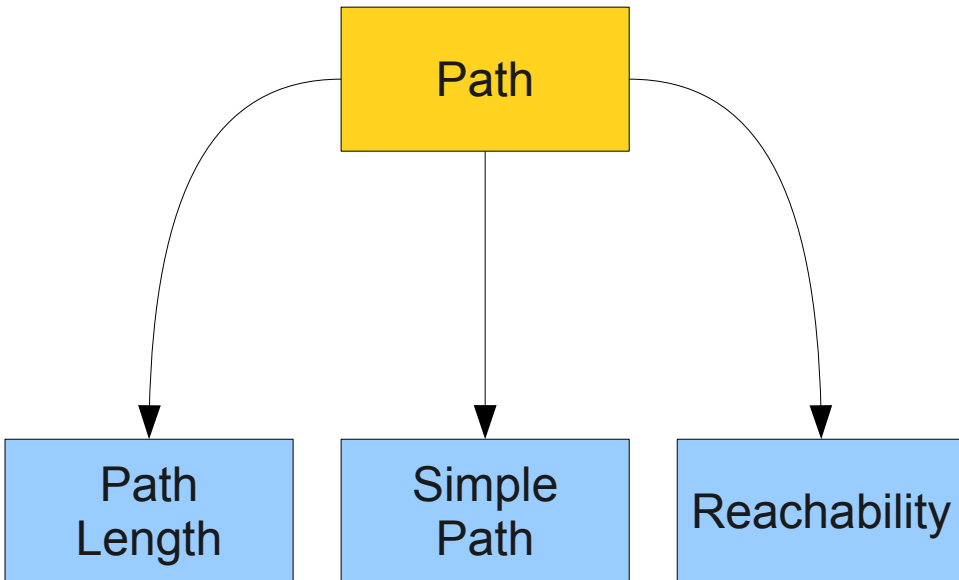
# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree



# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree

Path

Path  
Length

Simple  
Path

Reachability

# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree

Path

Path  
Length

Simple  
Path

Reachability

# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree

Path

Path  
Length

Simple  
Path

Reachability

# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree

Path

Path  
Length

Simple  
Path

Reachability



# Traversing a DAG

Graph

Cycle

Simple  
Cycle

Degree

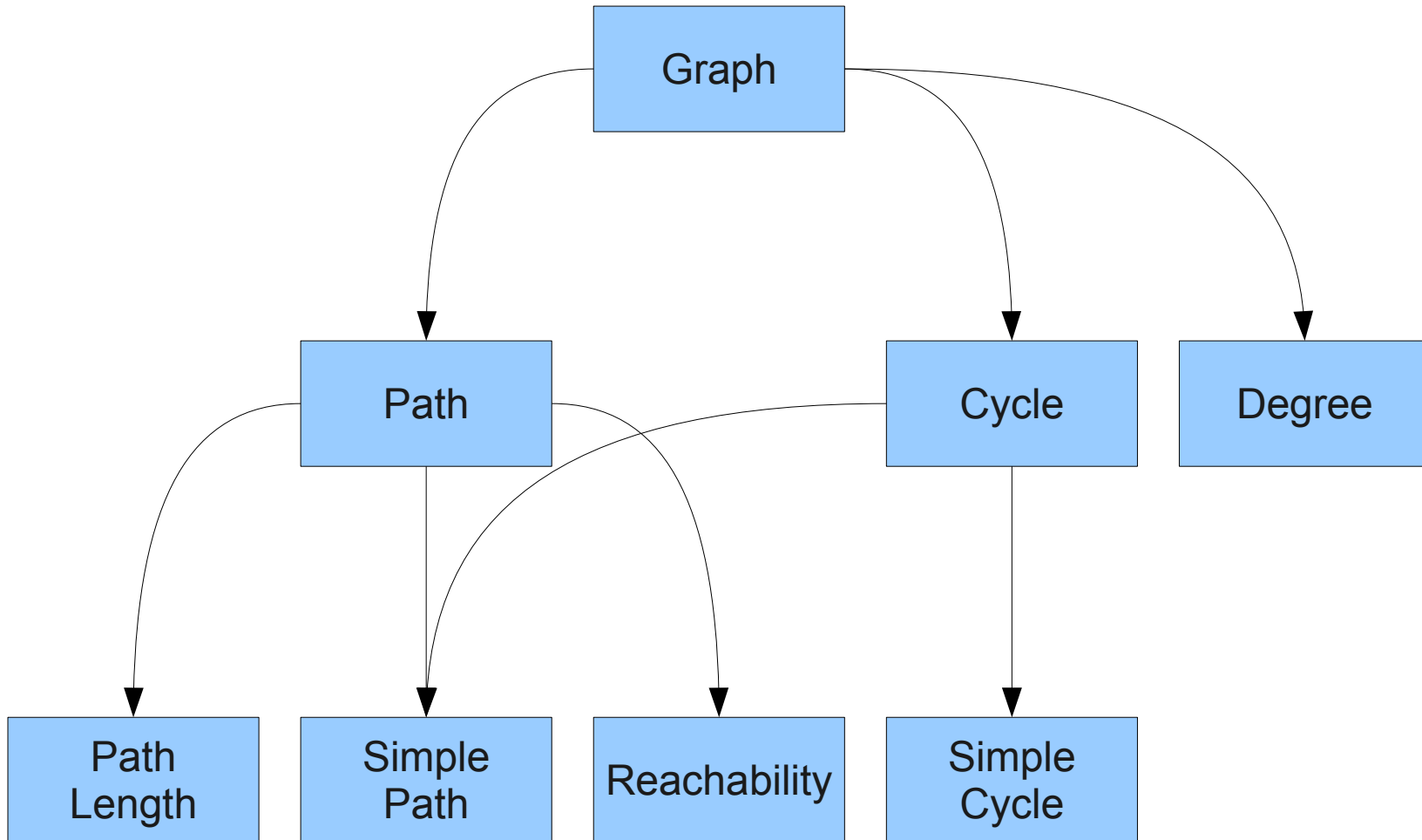
Path

Path  
Length

Simple  
Path

Reachability

# Traversing a DAG



Graph

Cycle

Simple  
Cycle

Degree

Path

Path  
Length

Simple  
Path

Reachability

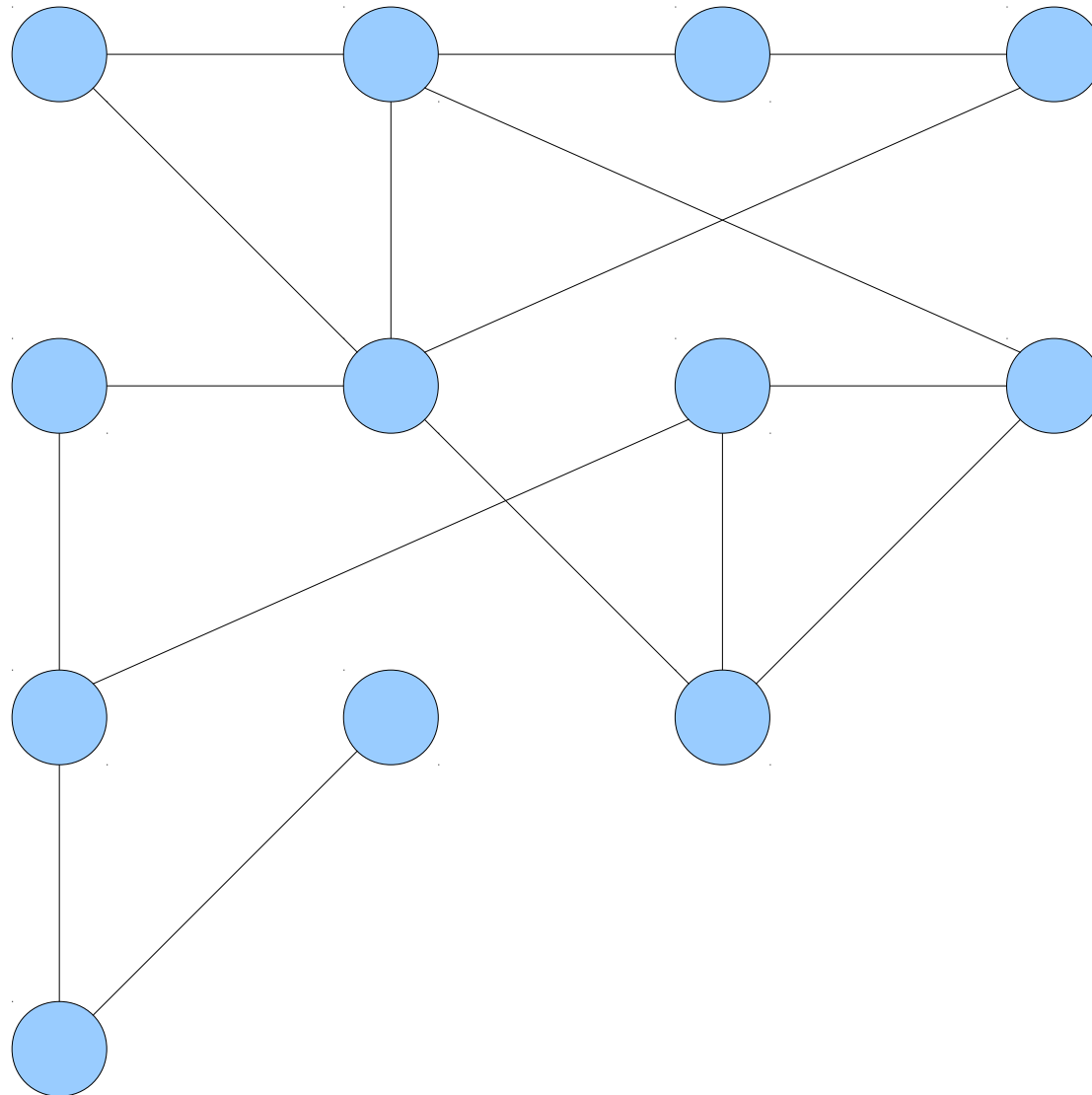
# Topological Sort

- Order the nodes of a DAG so no node is picked before its parents.
- Algorithm:
  - Find a node with no incoming edges (indegree 0)
  - Remove it from the graph.
  - Add it to the resulting ordering.
- Not necessarily unique.
  - Question: When is it unique?

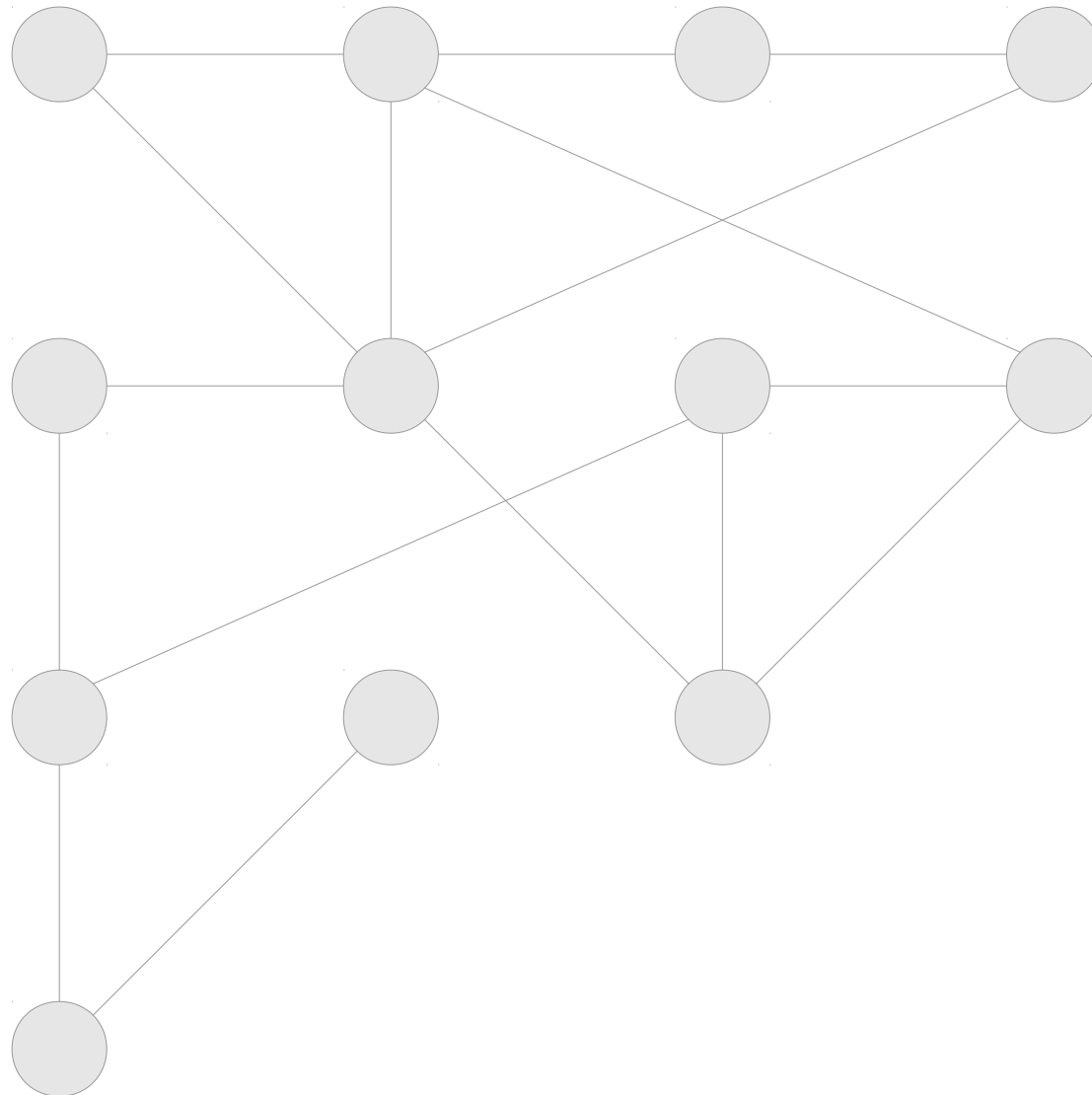
# Analyzing Topological Sort

- Assumes at each step that the DAG has a node with indegree zero. Is this always true?
- Claim one: **Every DAG has such a node.**
  - Proof sketch: If this isn't true, then each node has at least one incoming edge. Start at any node and keep following backwards across that edge. Eventually you will find the same node twice and have found a cycle.
- Claim two: **Removing such a node leaves the DAG a DAG.**
  - Proof sketch: If the resulting graph has a cycle, the old graph had a cycle as well.

# Traversing an Arbitrary Graph



# Traversing an Arbitrary Graph





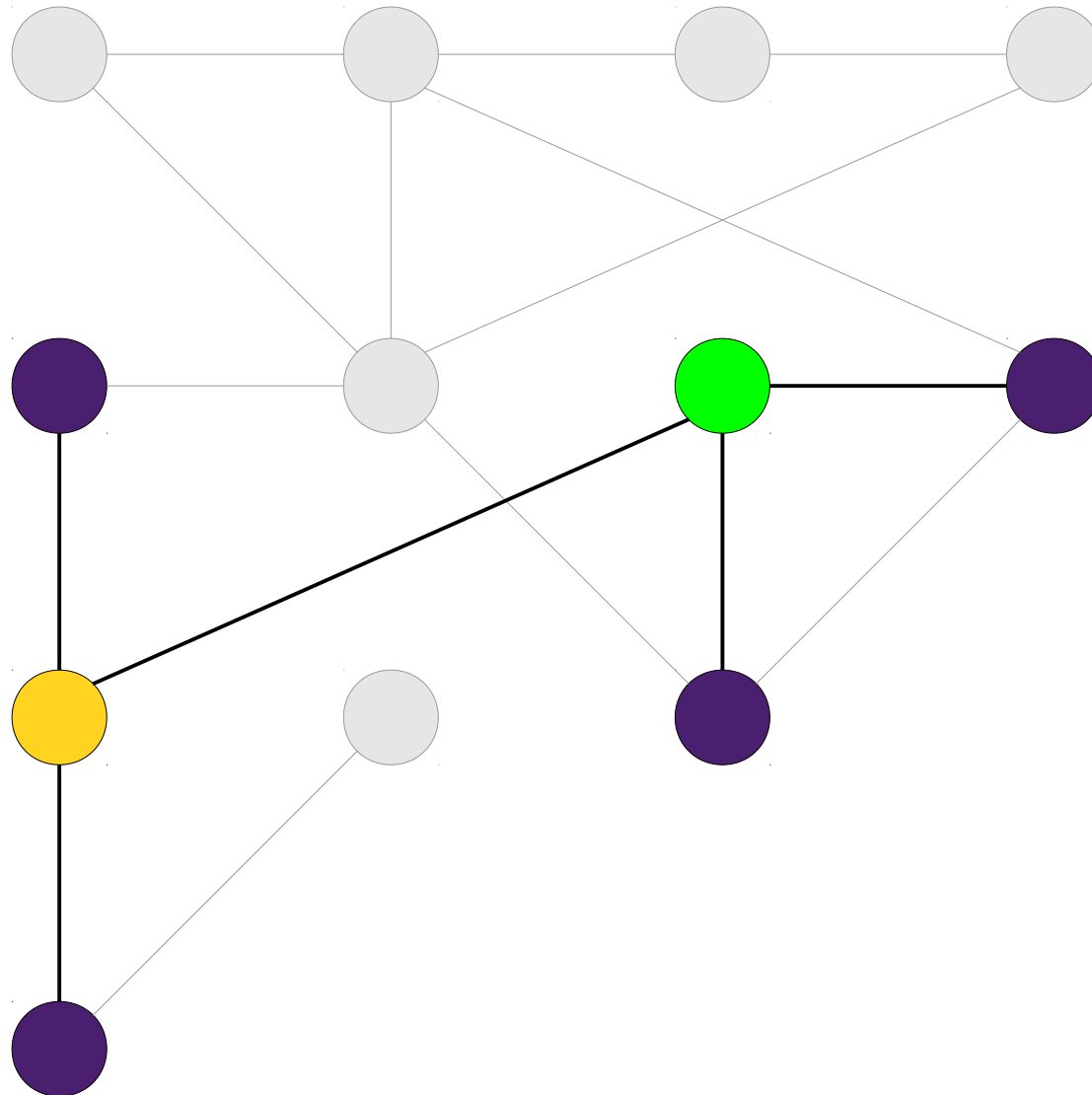




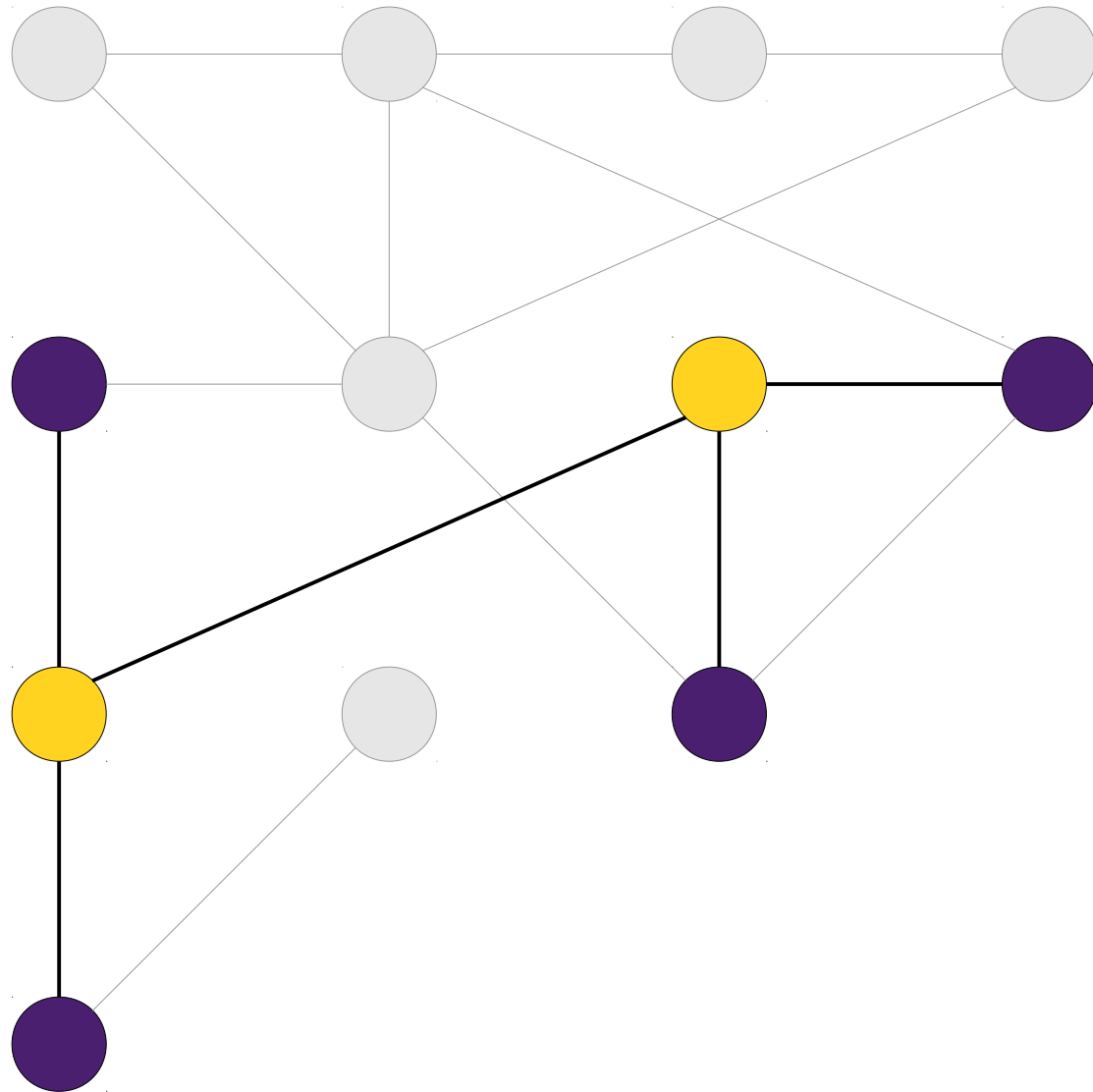




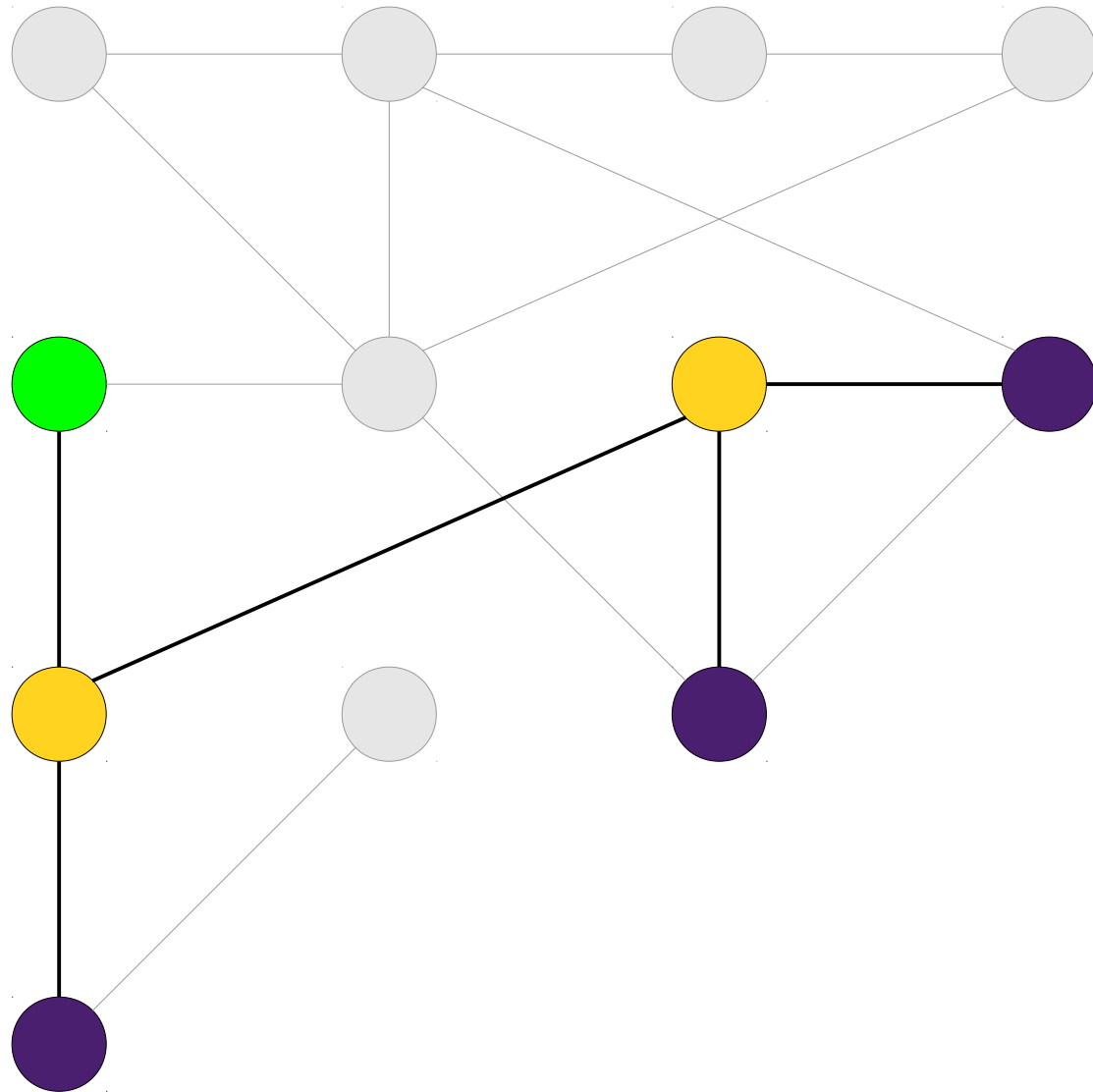
# Traversing an Arbitrary Graph



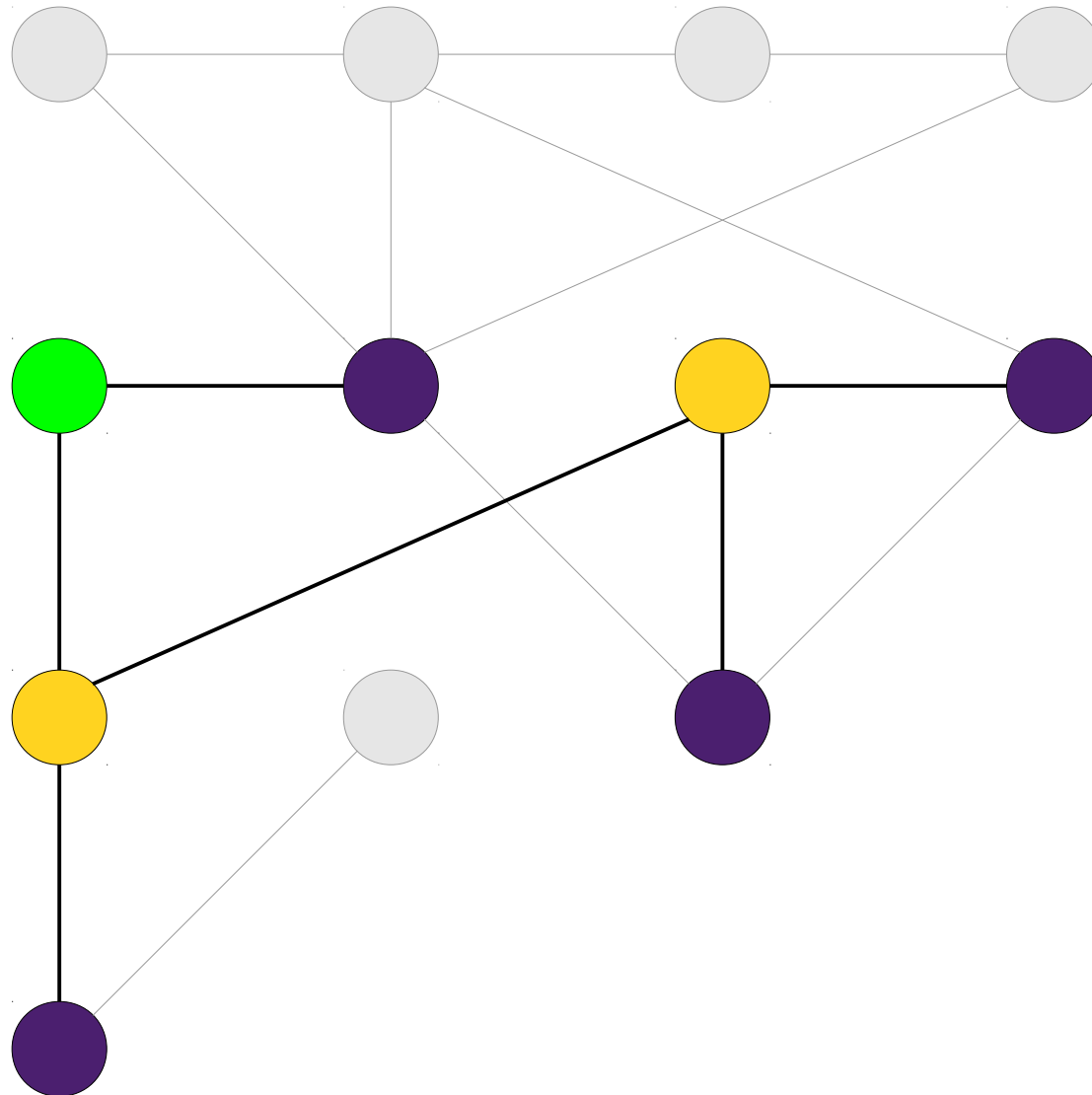
# Traversing an Arbitrary Graph



# Traversing an Arbitrary Graph

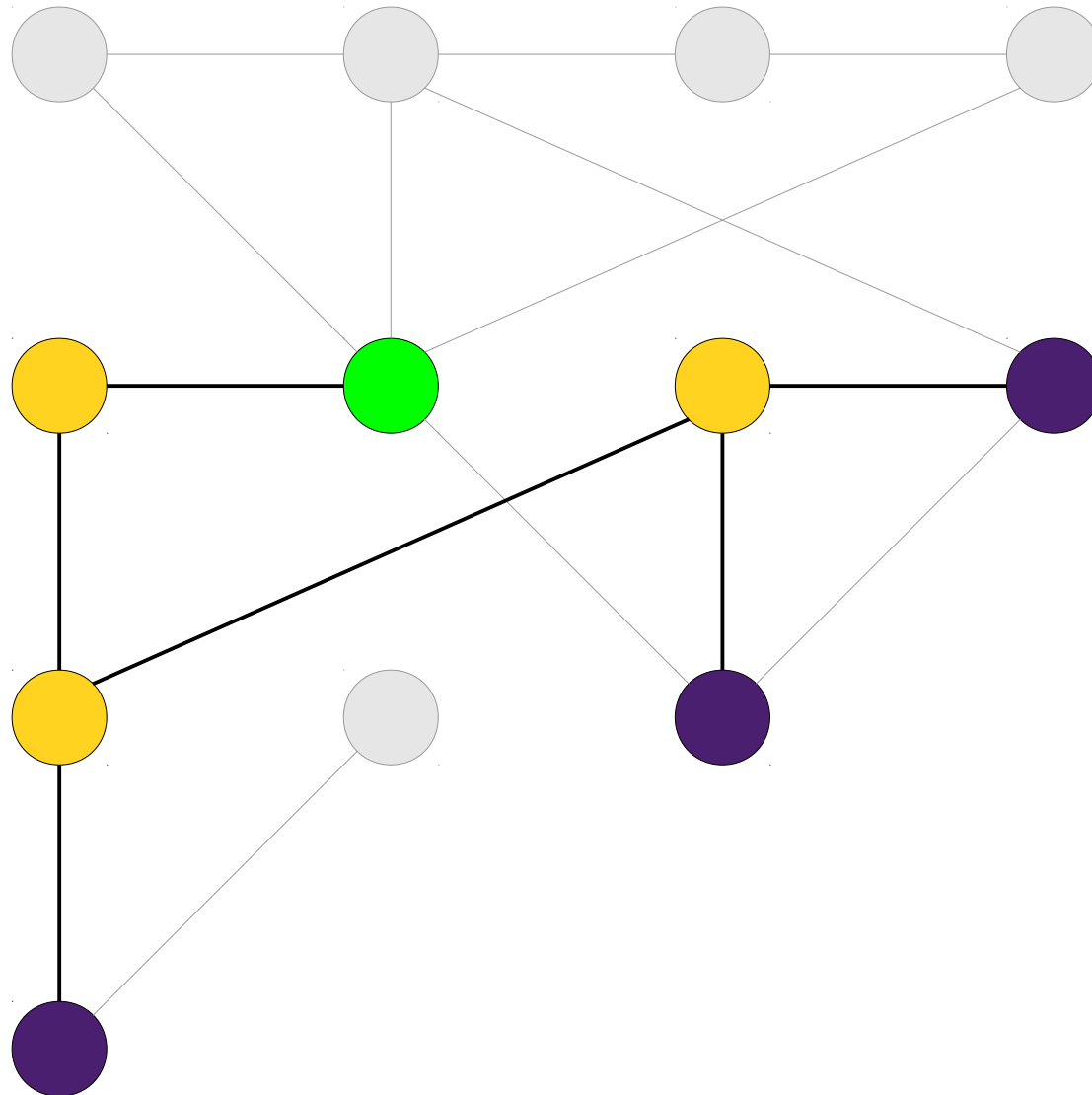


# Traversing an Arbitrary Graph



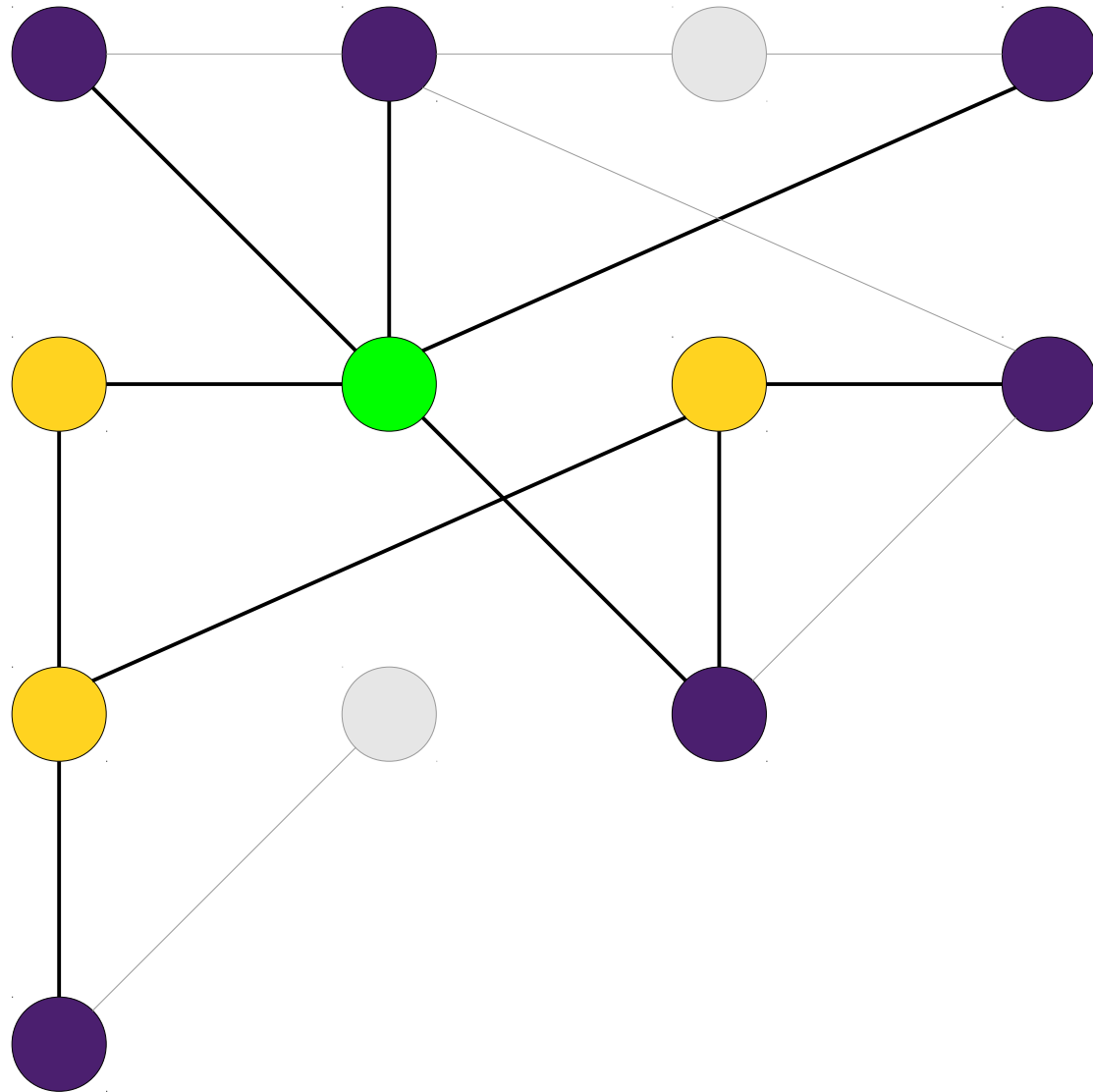


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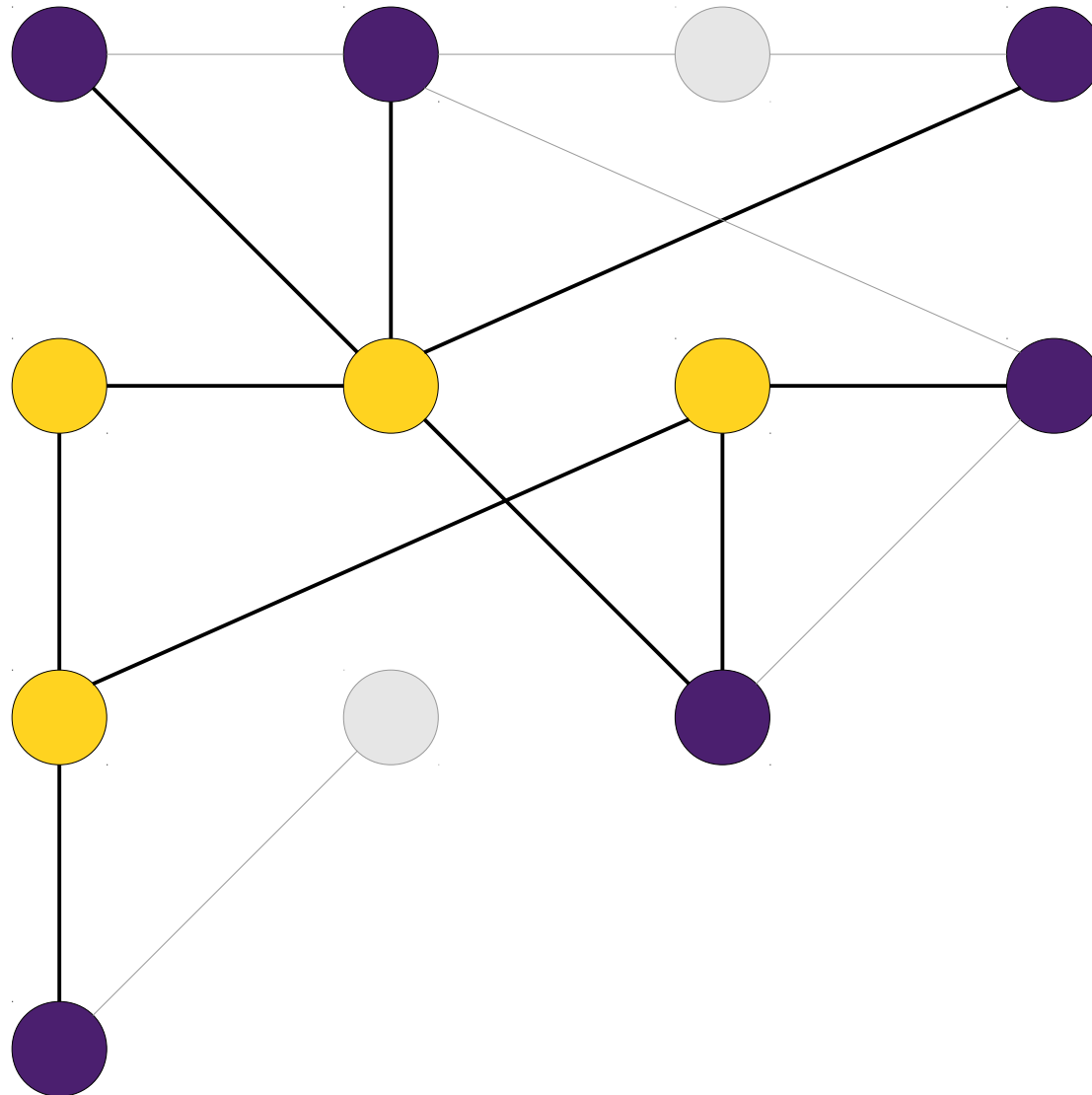




# Traversing an Arbitrary Graph

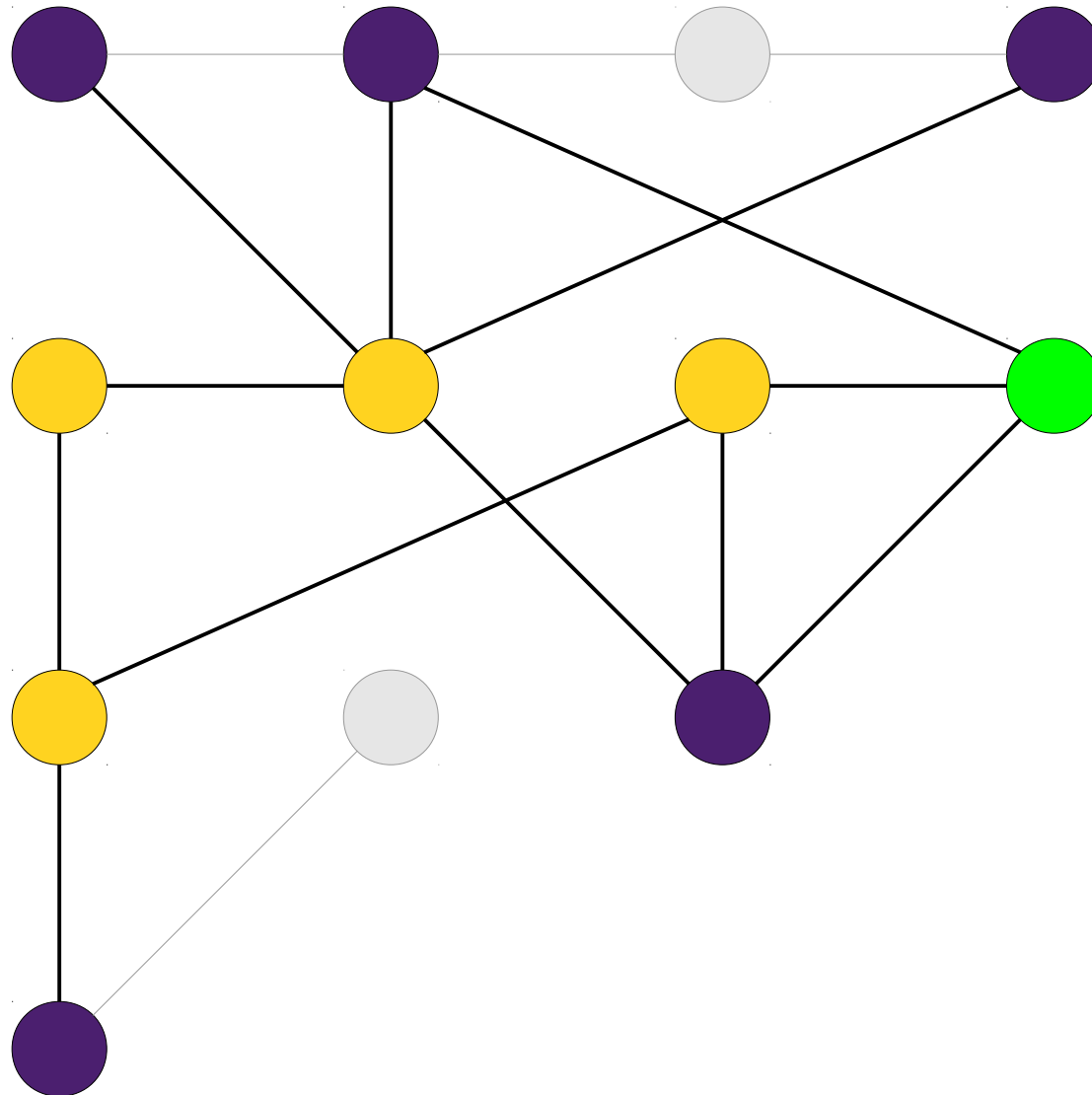


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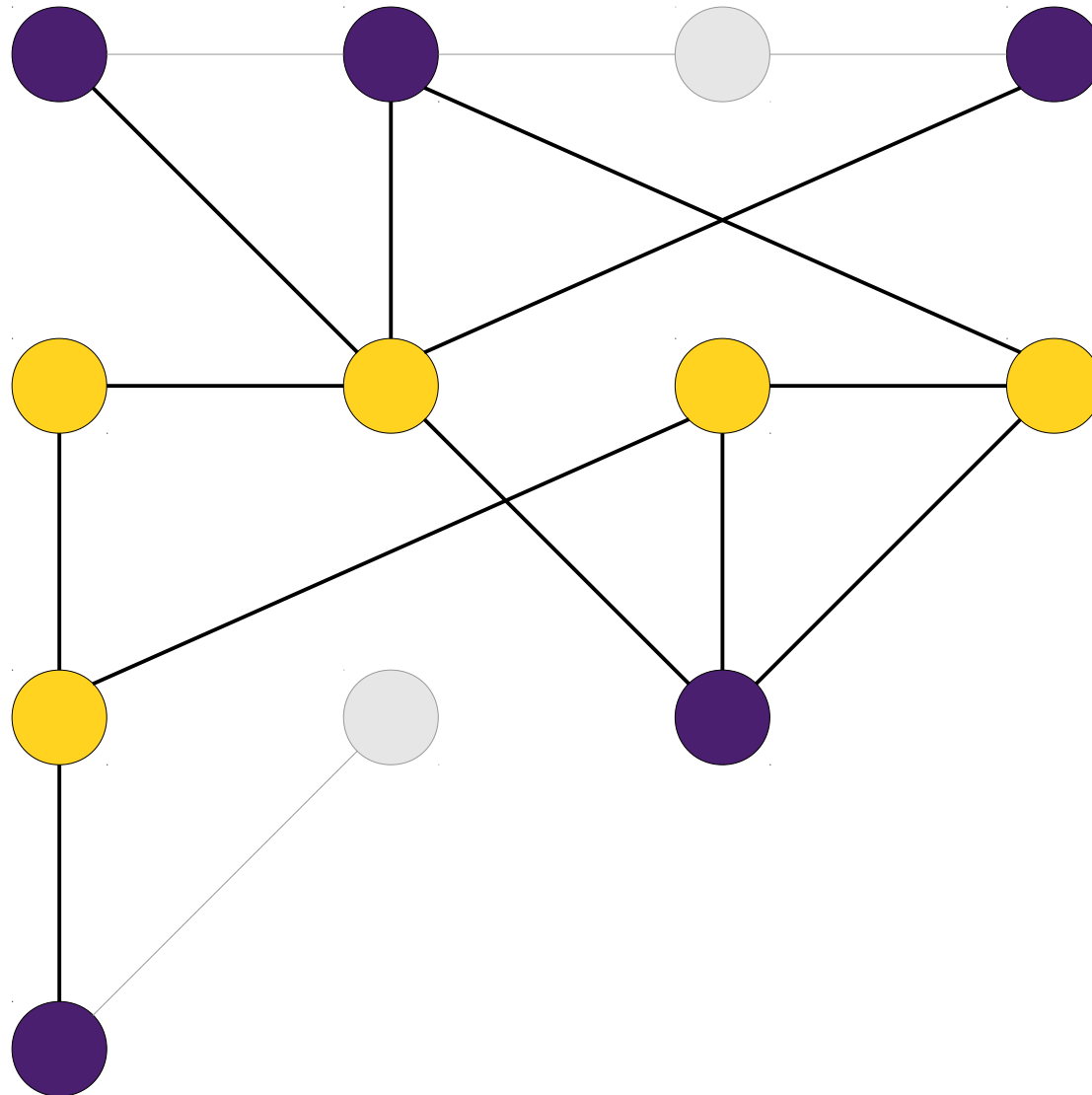




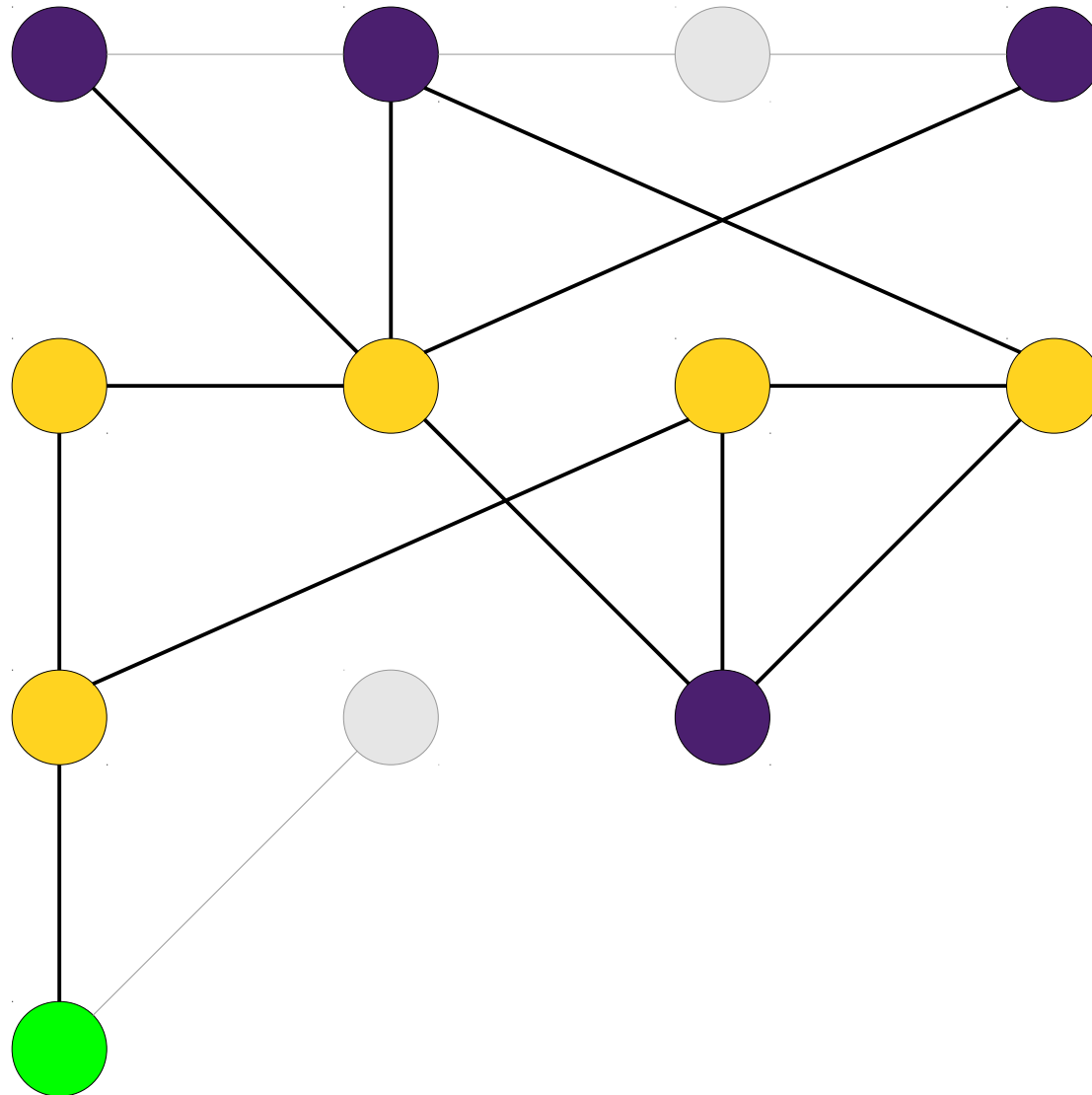
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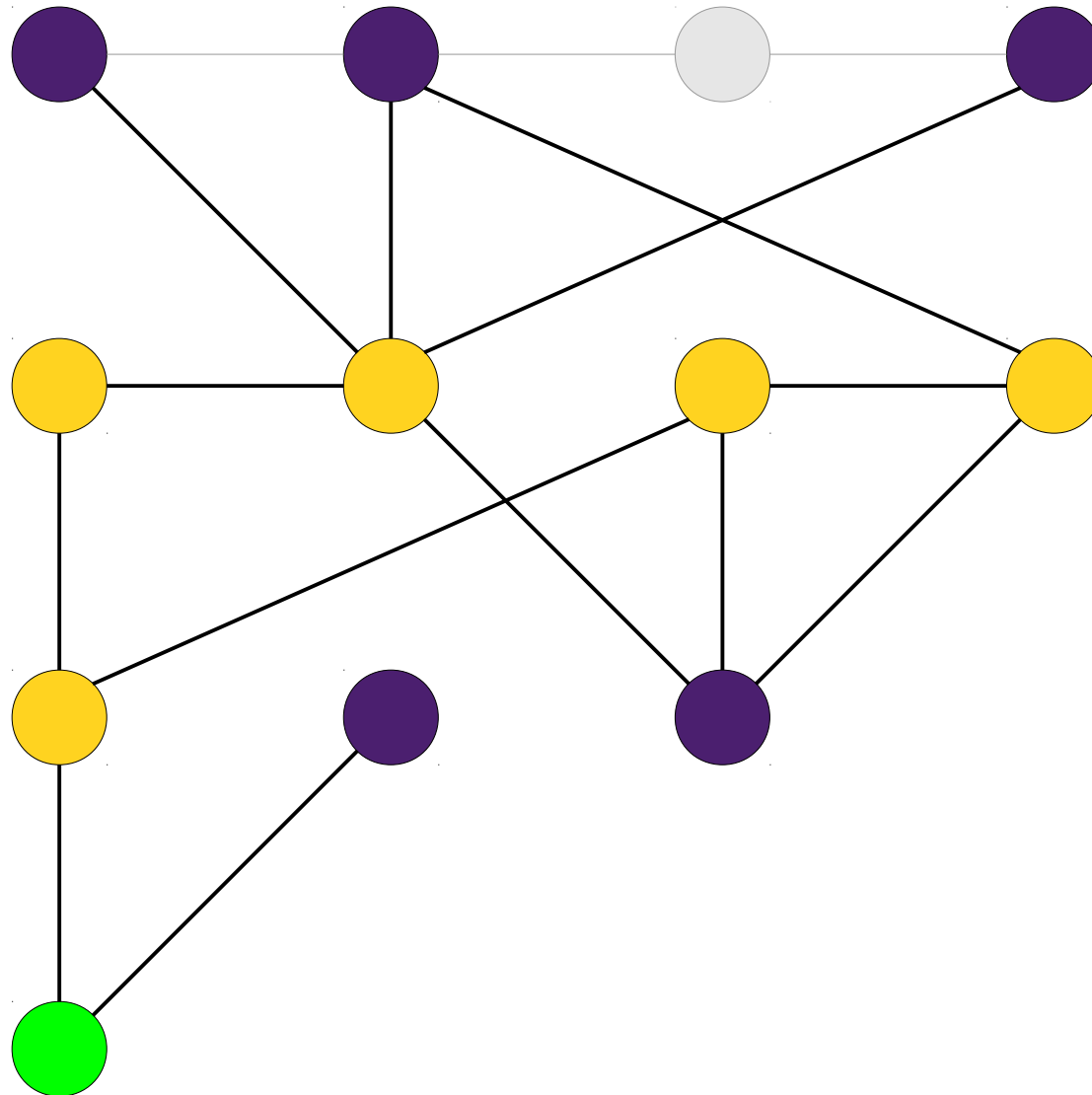
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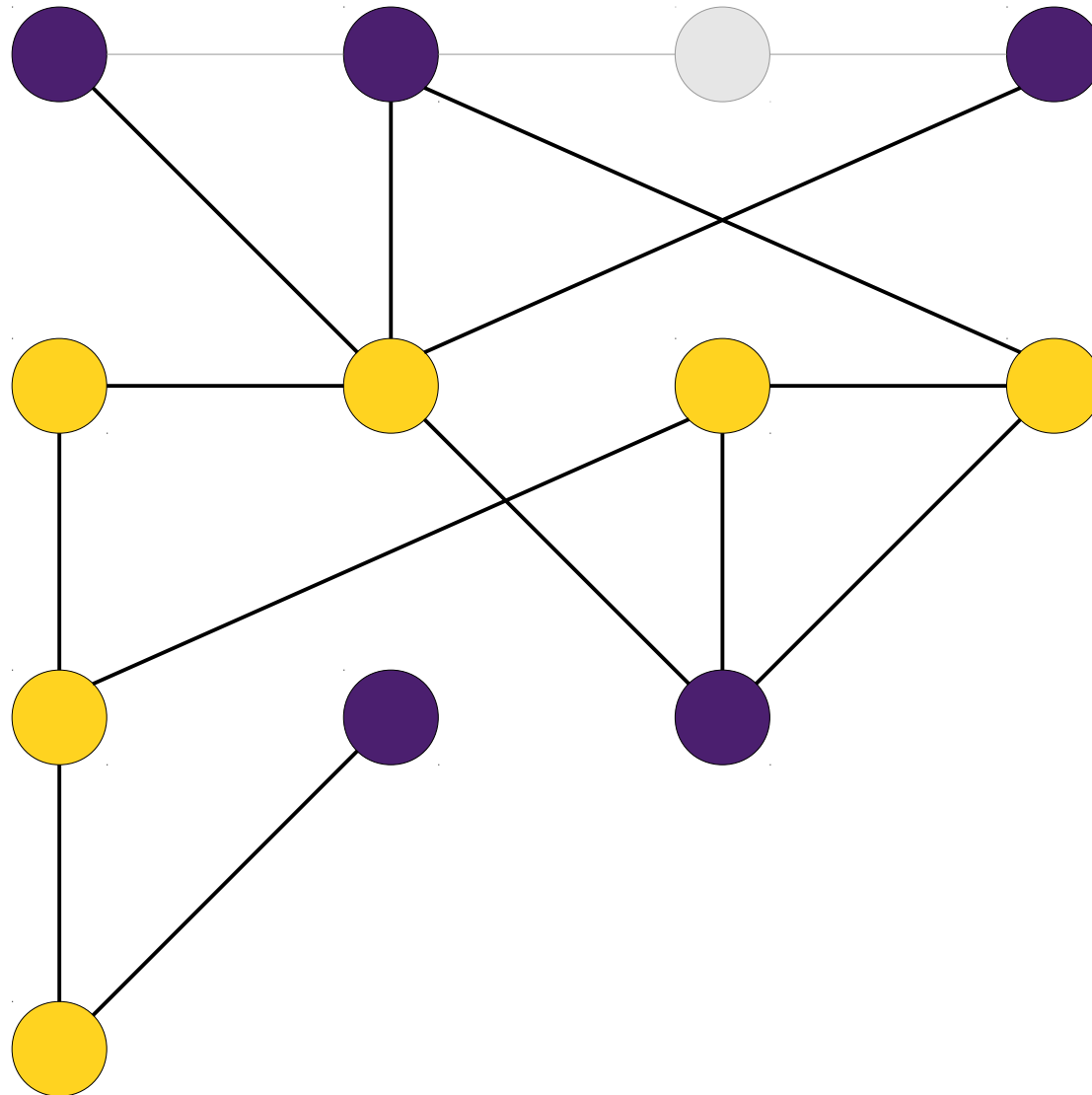
# Traversing an Arbitrary Graph



# Traversing an Arbitrary Graph

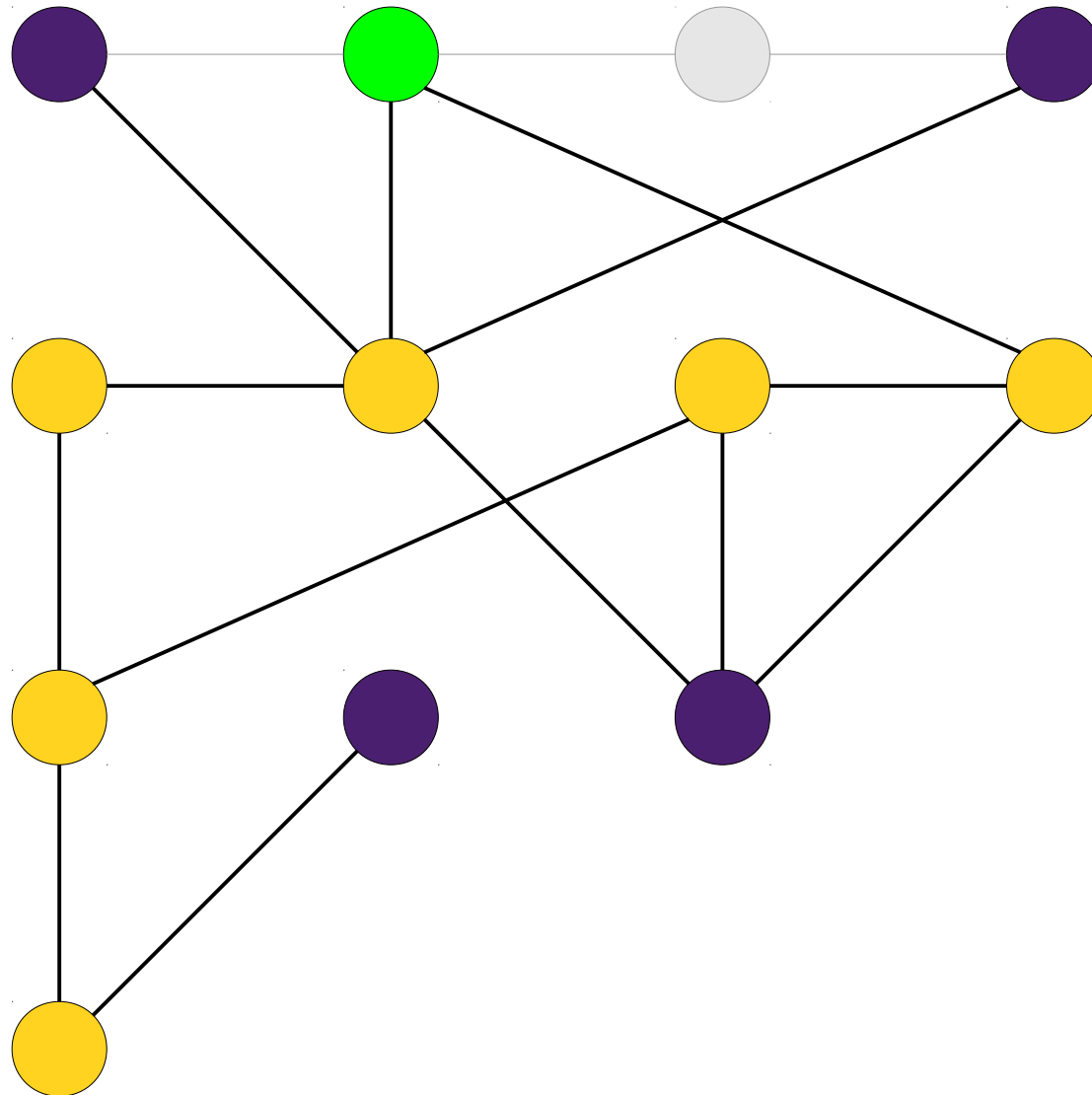


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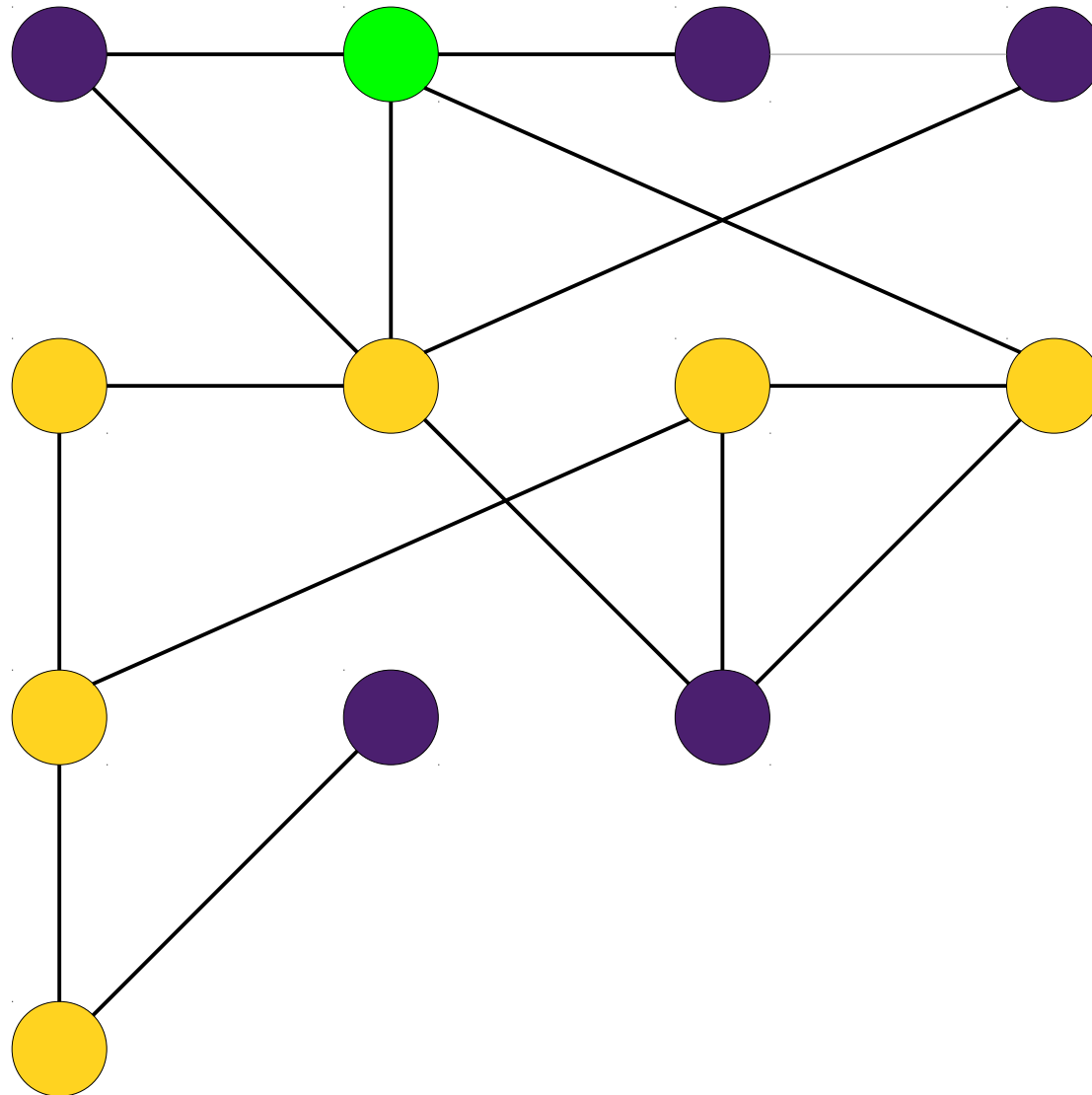




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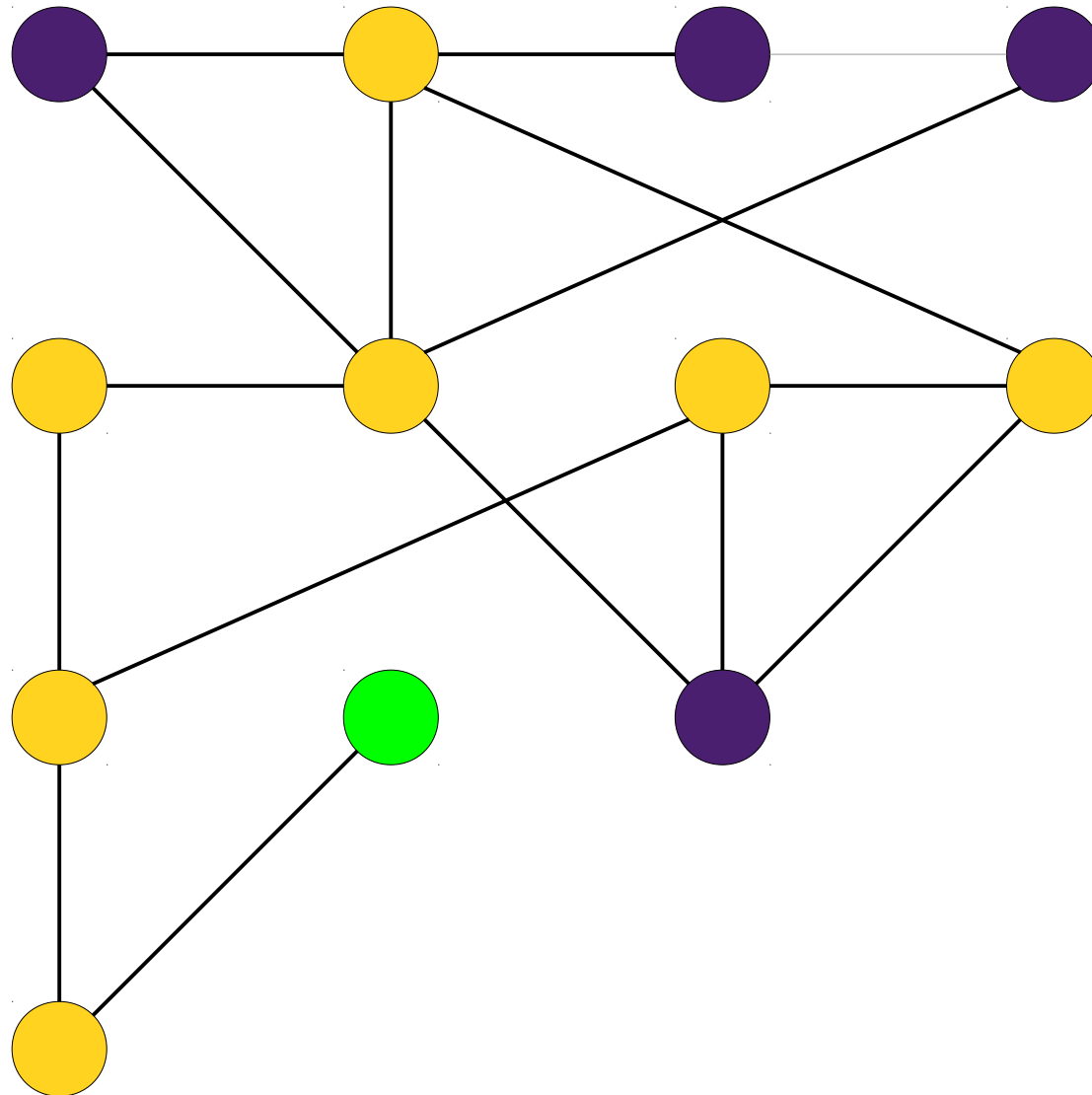


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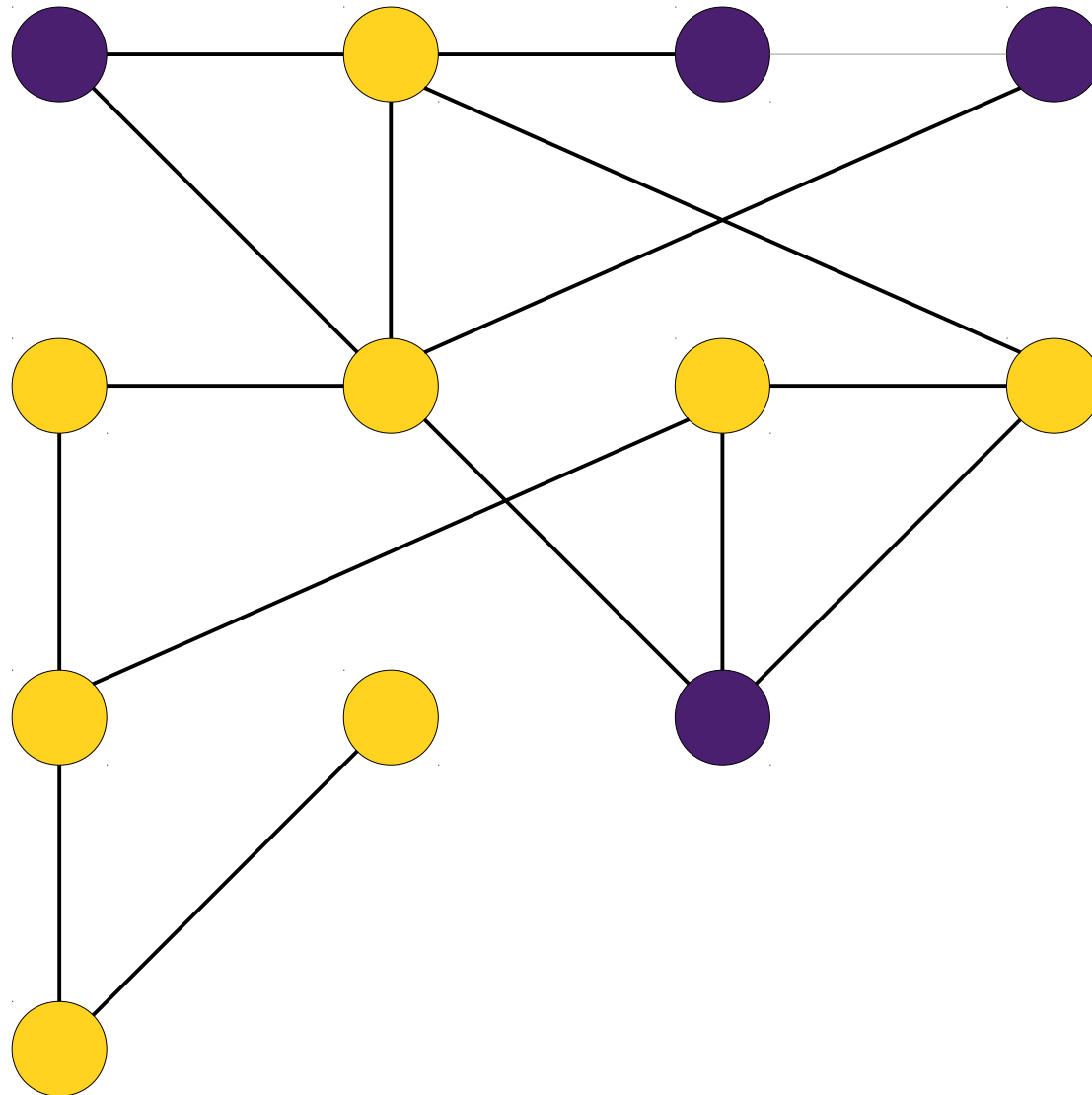




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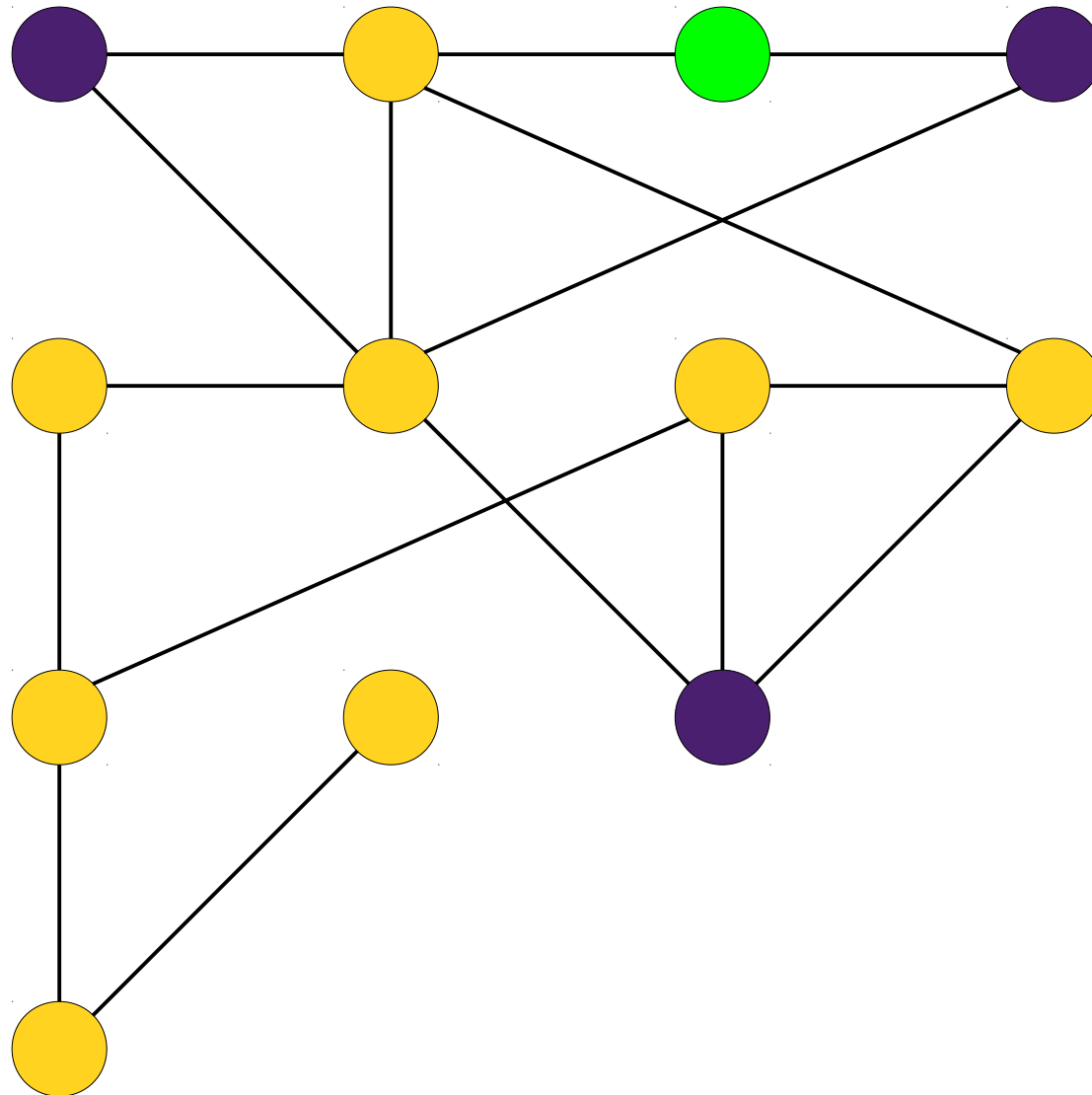


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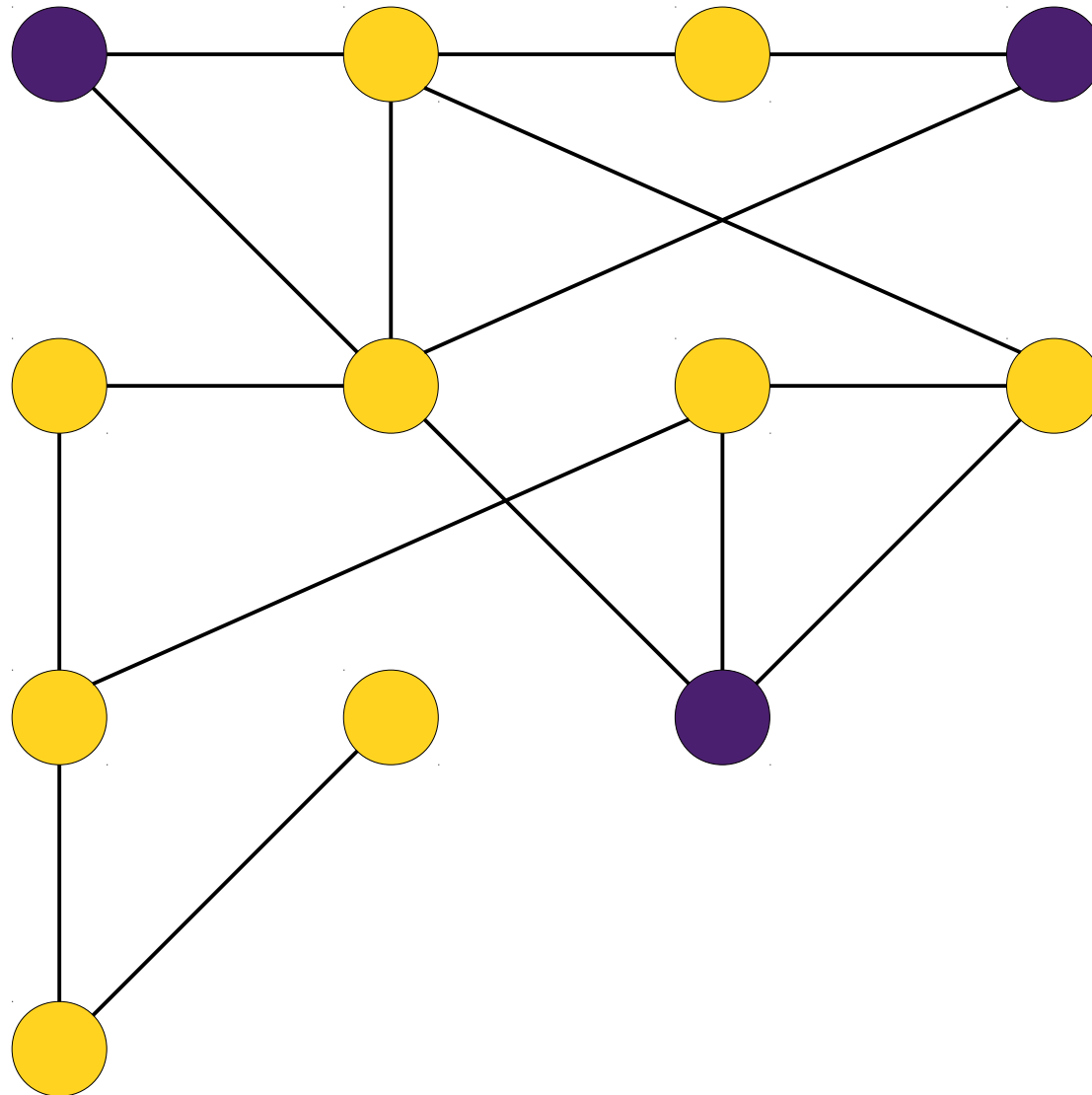




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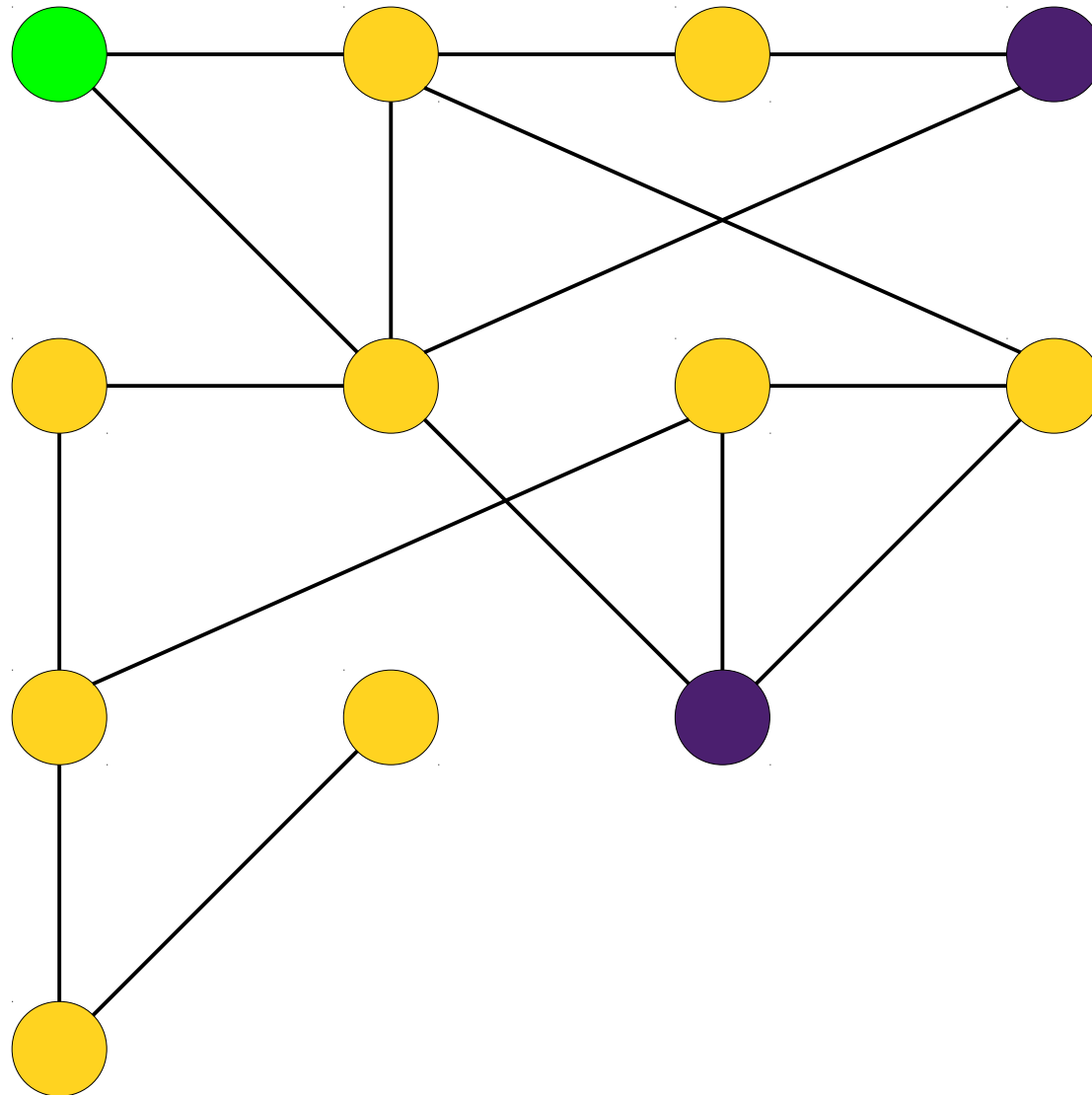


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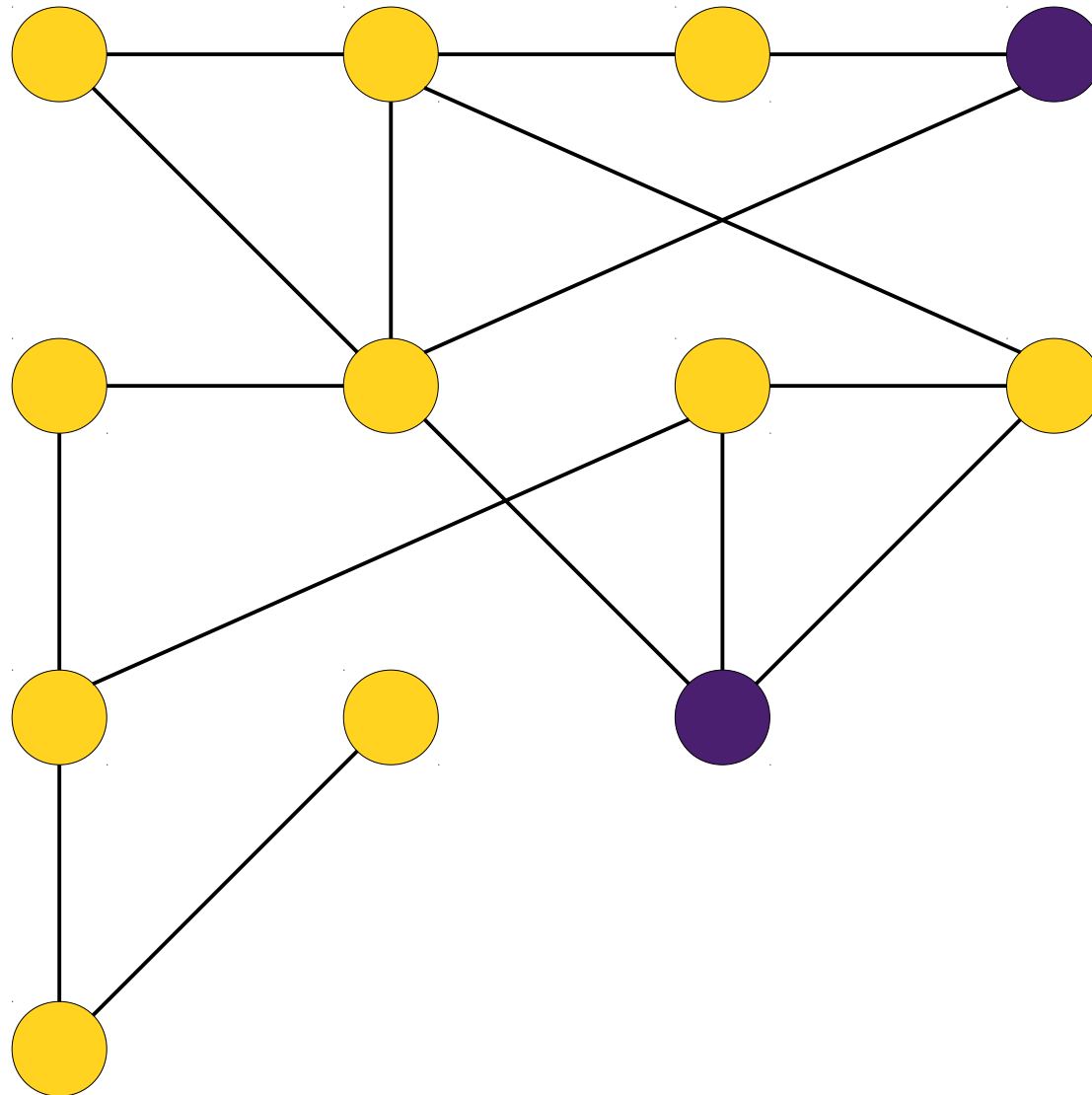




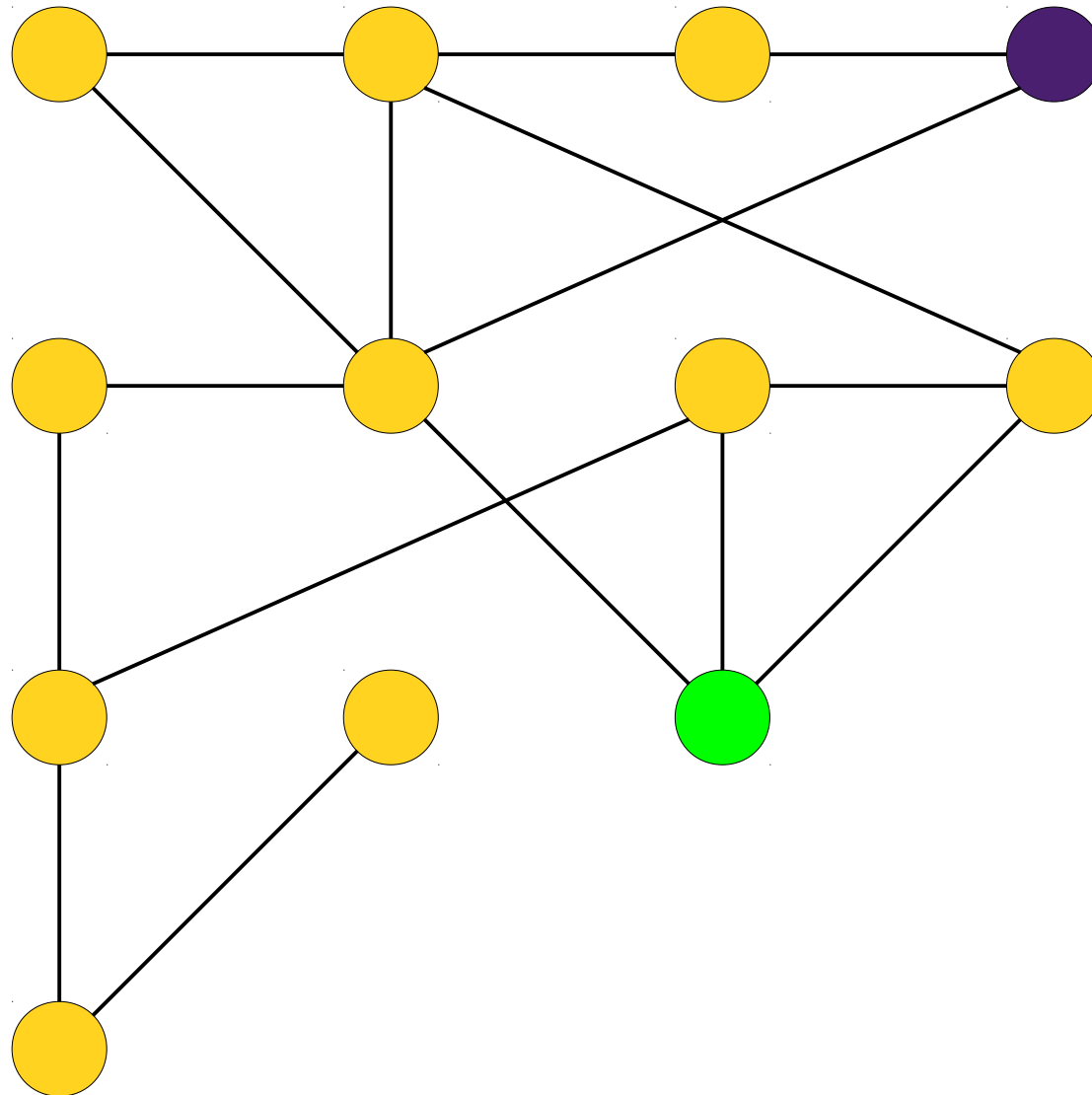
# Traversing an Arbitrary Graph



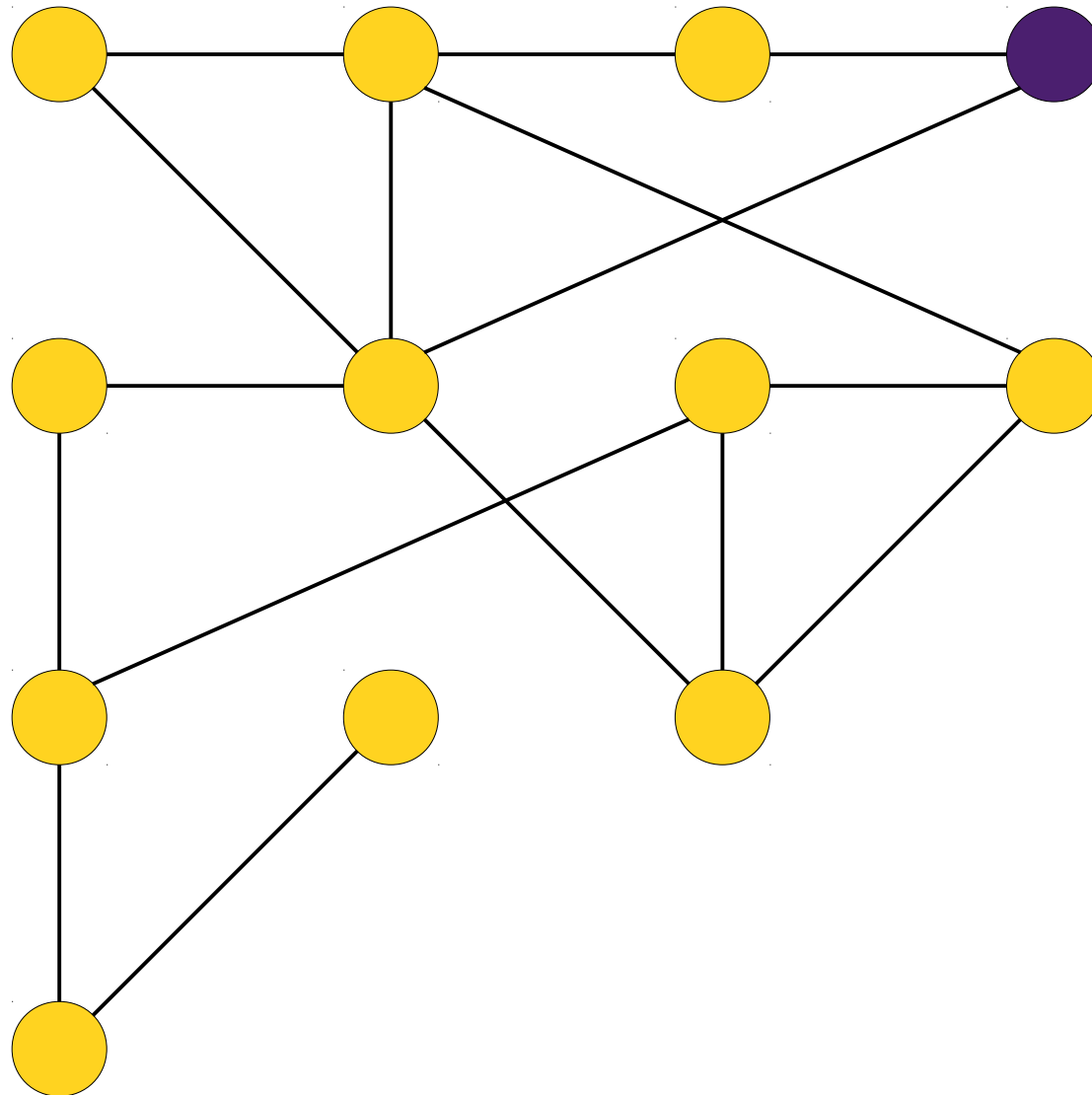
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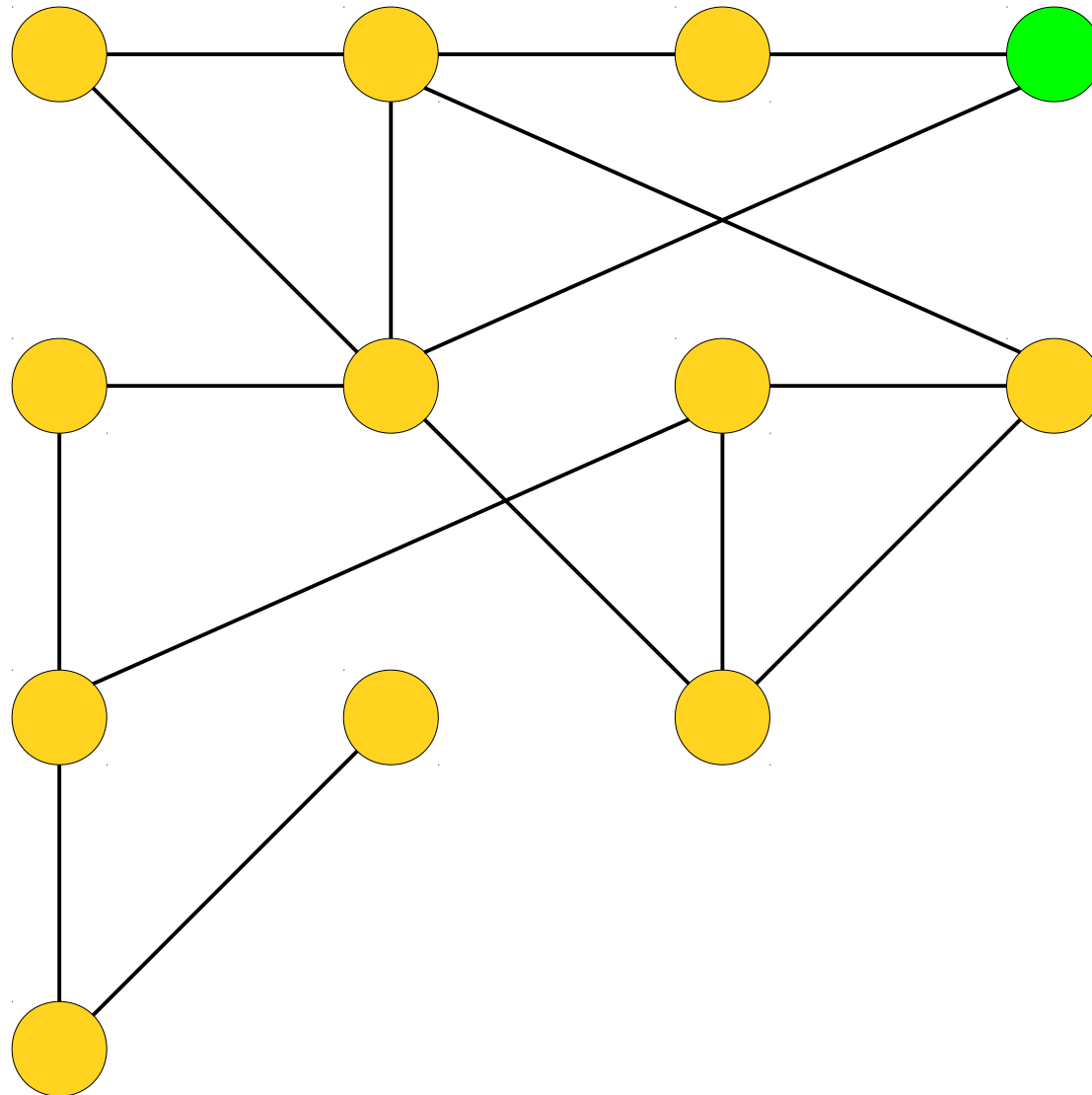
# Traversing an Arbitrary Graph



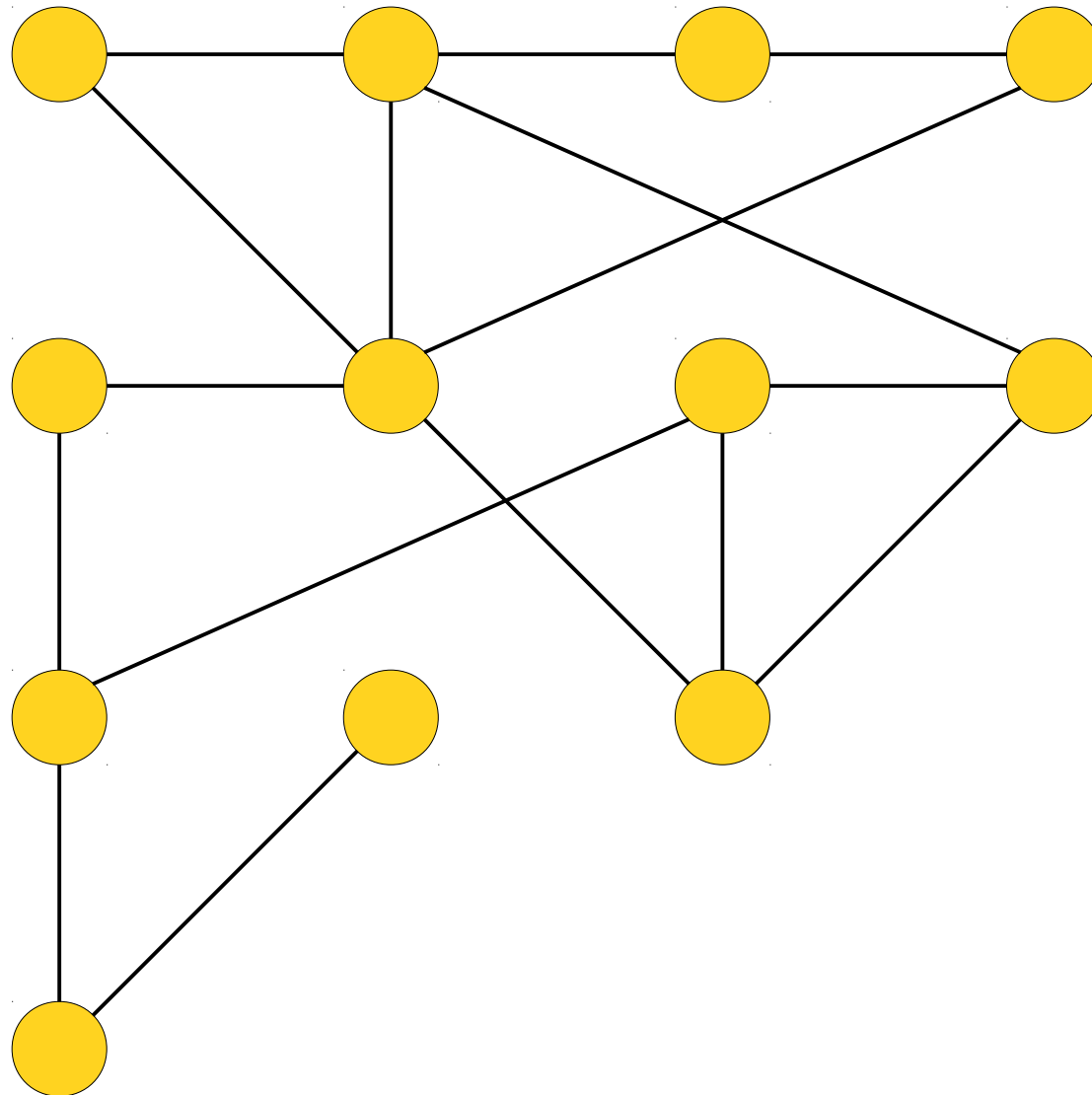
# Traversing an Arbitrary Graph



# Traversing an Arbitrary Graph



# Traversing an Arbitrary Graph



# General Graph Search Algorithm

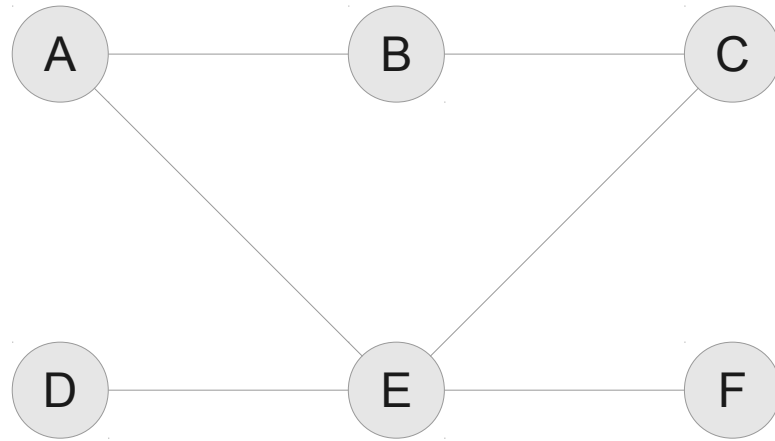
- Maintain a collection  $C$  of nodes to visit.
- Initialize  $C$  with some set of nodes.
- While  $C$  is not empty:
  - Pick a node  $v$  out of  $C$ .
  - Follow all outgoing edges from  $v$ , adding each unvisited node found this way to  $C$ .
- Eventually explores all nodes reachable from the starting set of nodes. (Why?)

# Depth-First Search

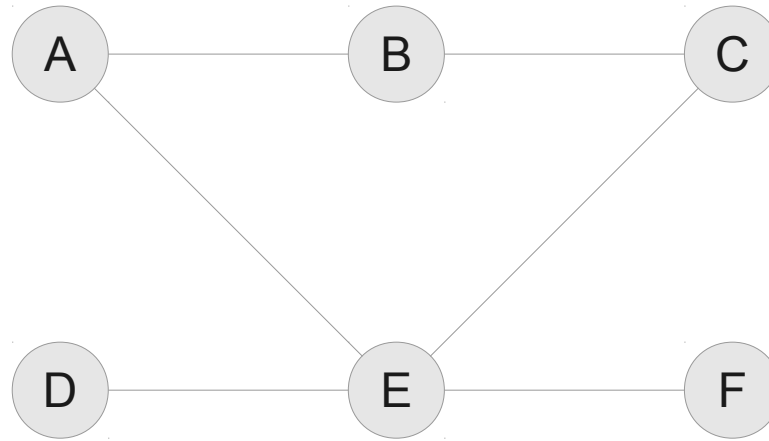
- Specialization of the general search algorithm where nodes to visit are put on a **stack**.
- Explores down a path as far as possible, then backs up.
- Simple graph search algorithm useful for exploring a complete graph.
- Useful as a subroutine in many important graph algorithms.
- Runs in  $O(m + n)$  with adjacency lists,  $O(n^2)$  with adjacency matrix.



# Depth-first search

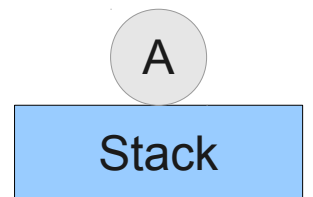
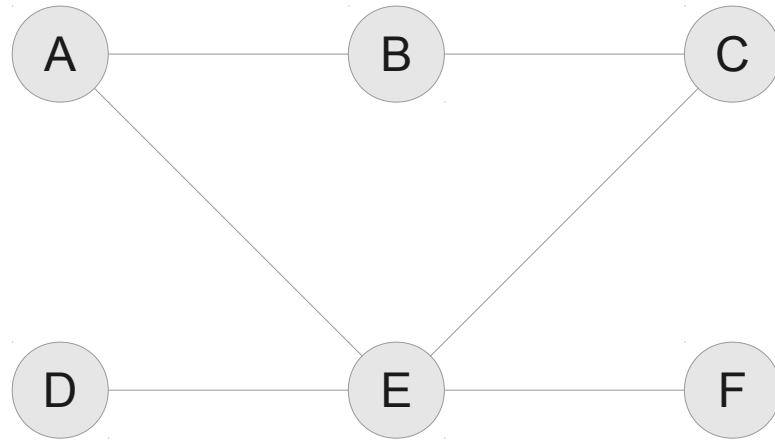


# Depth-first search

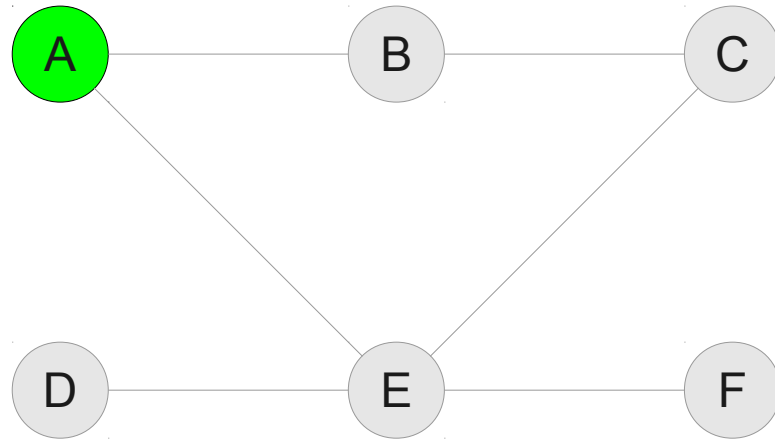


Stack

# Depth-first search

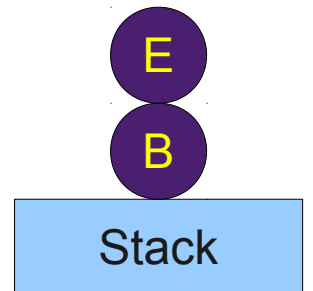
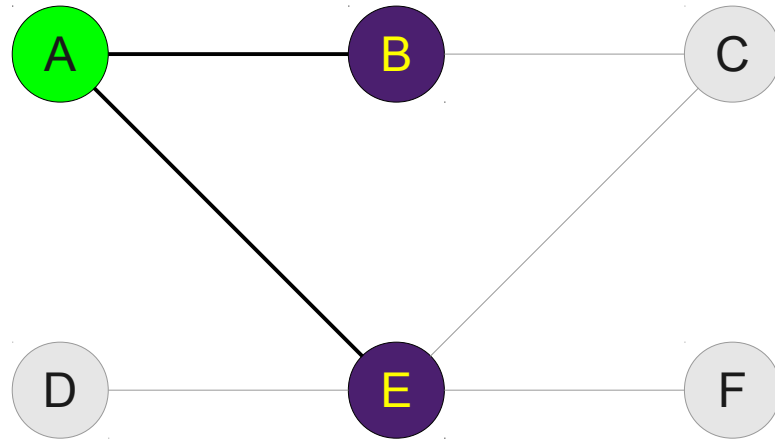


# Depth-first search

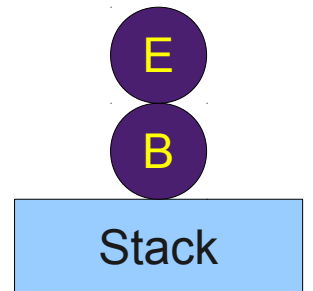
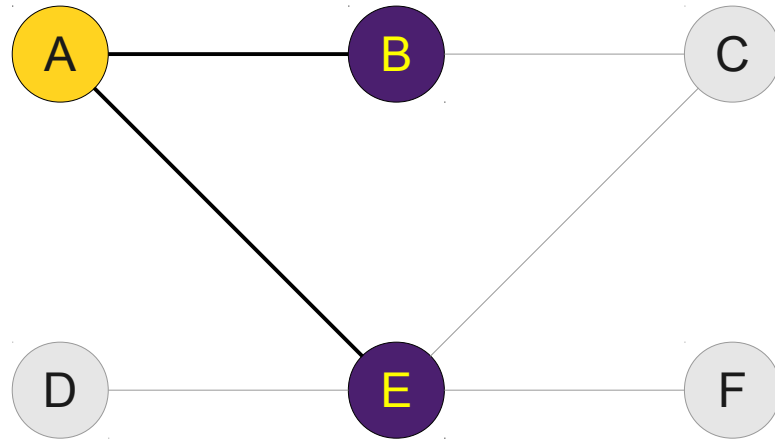


Stack

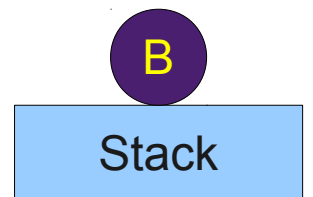
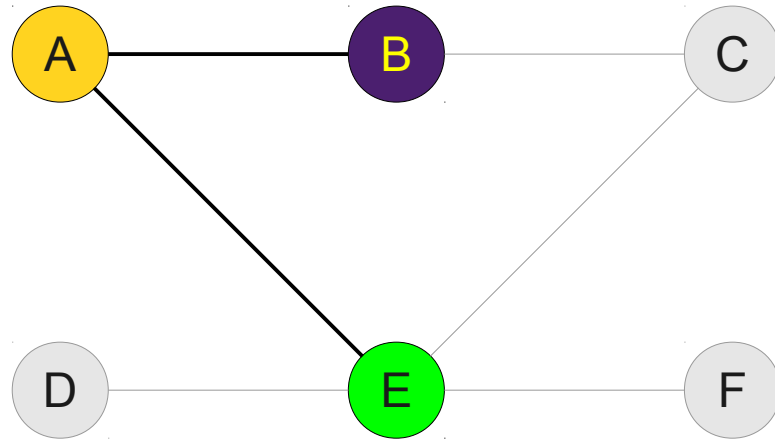
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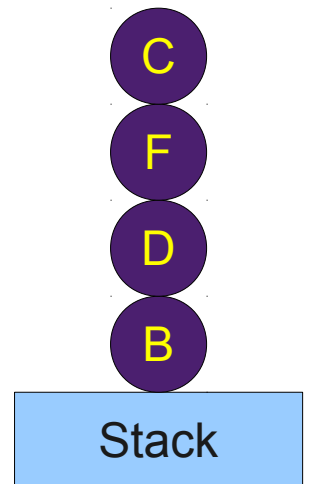
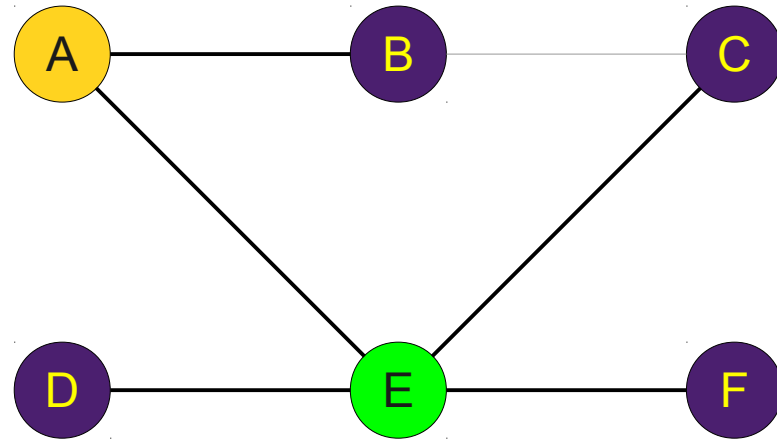
# Depth-first search



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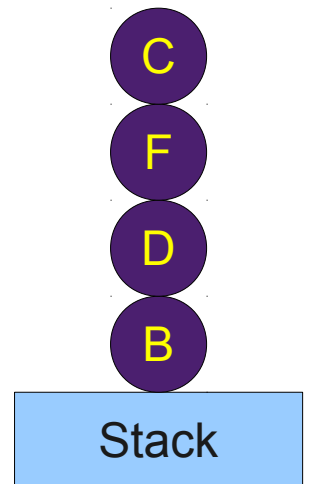
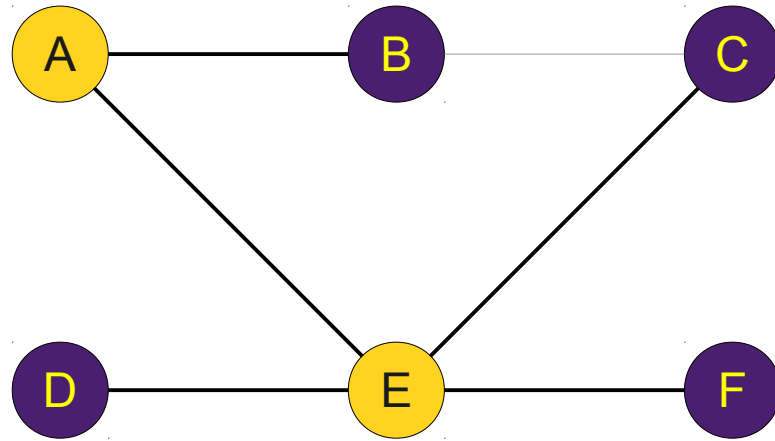


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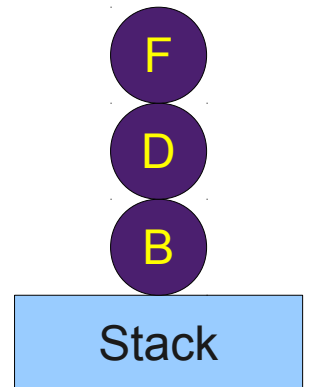
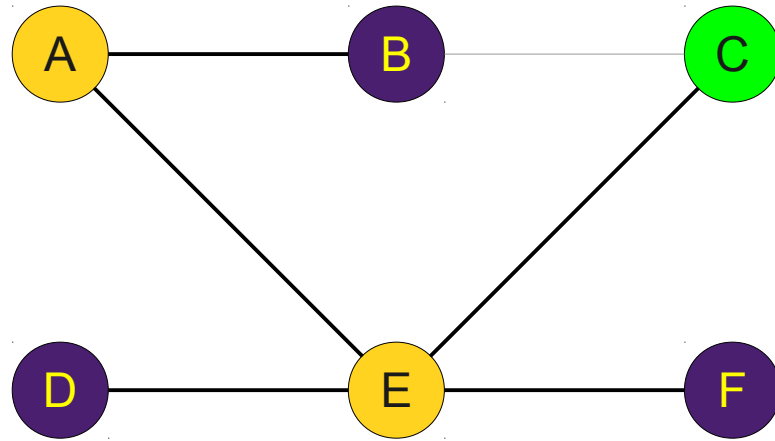




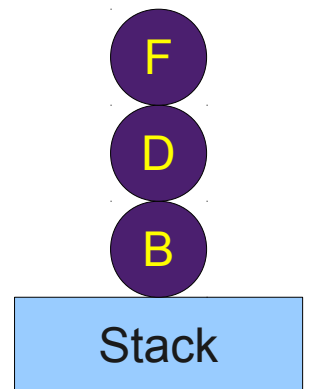
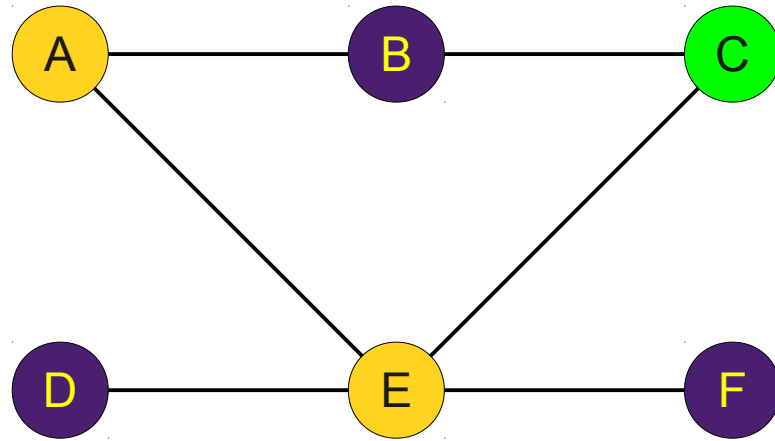
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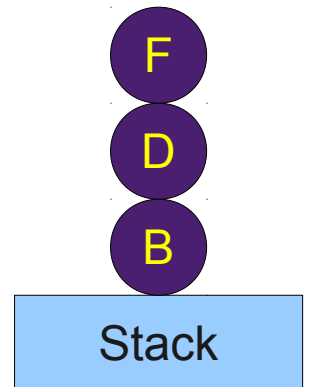
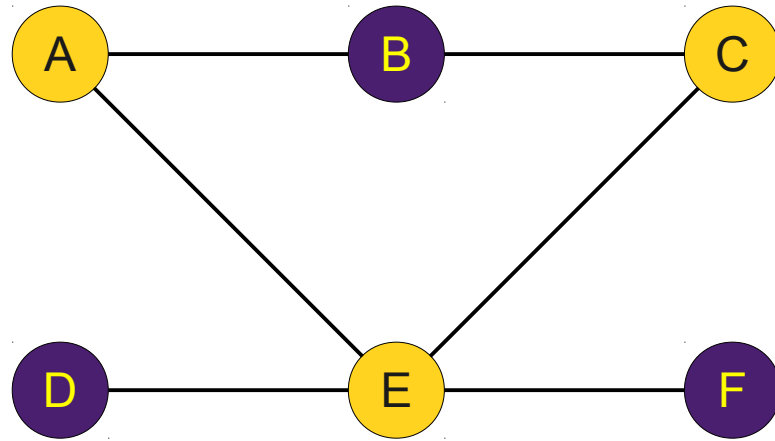
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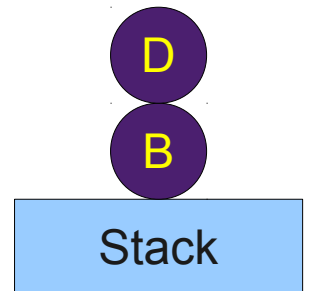
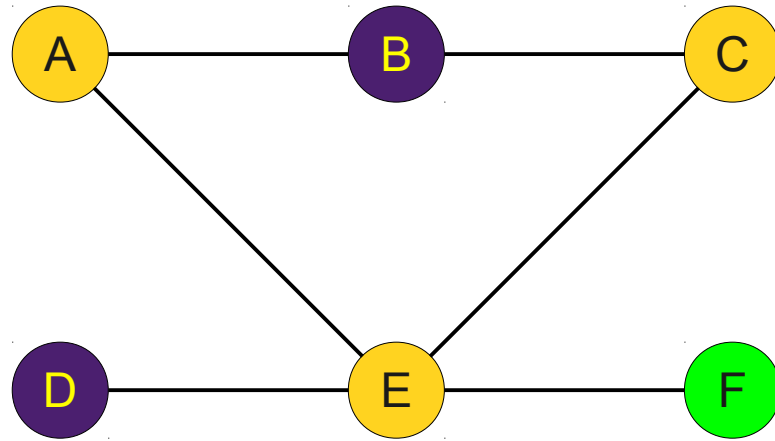
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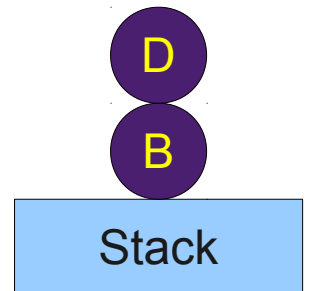
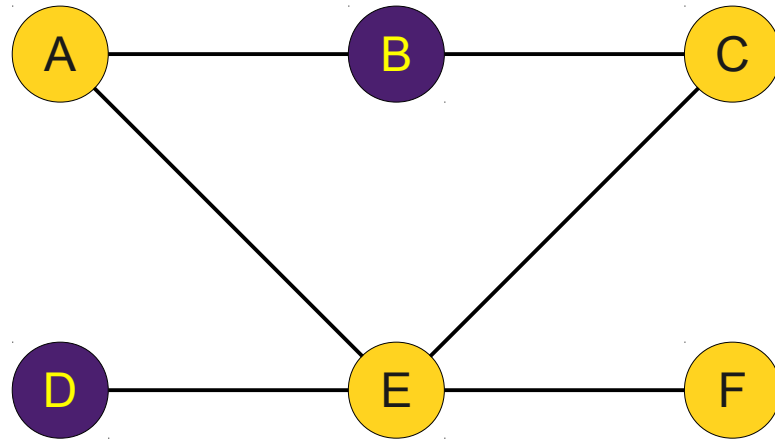
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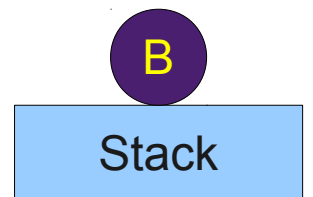
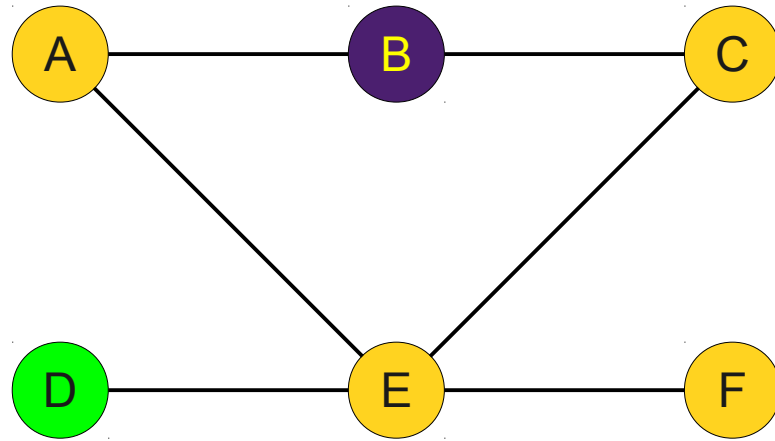
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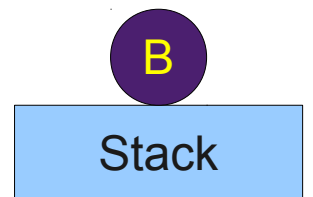
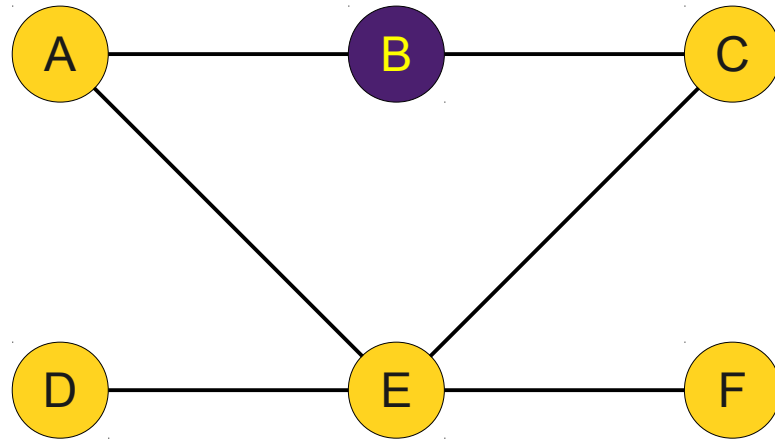
# Depth-first search



# Depth-first search

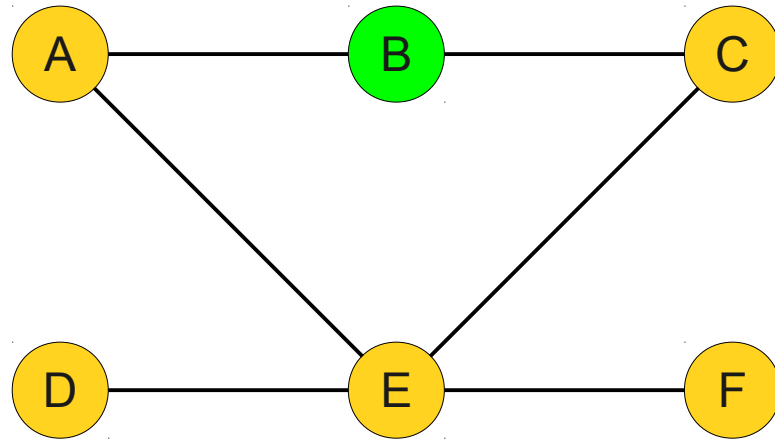


# Depth-first search



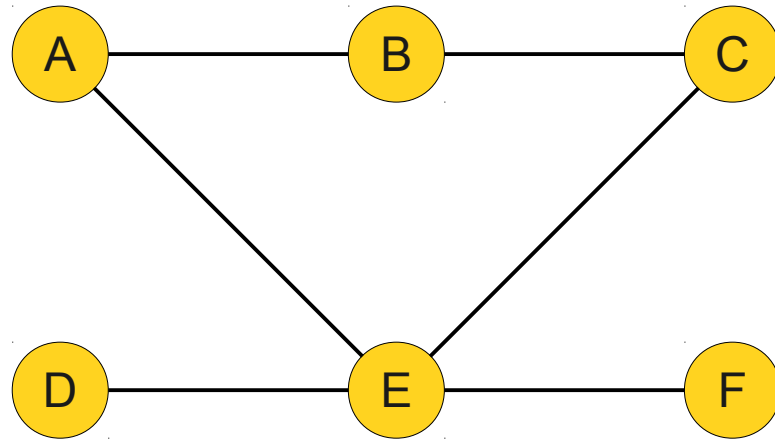


# Depth-first search



Stack

# Depth-first search

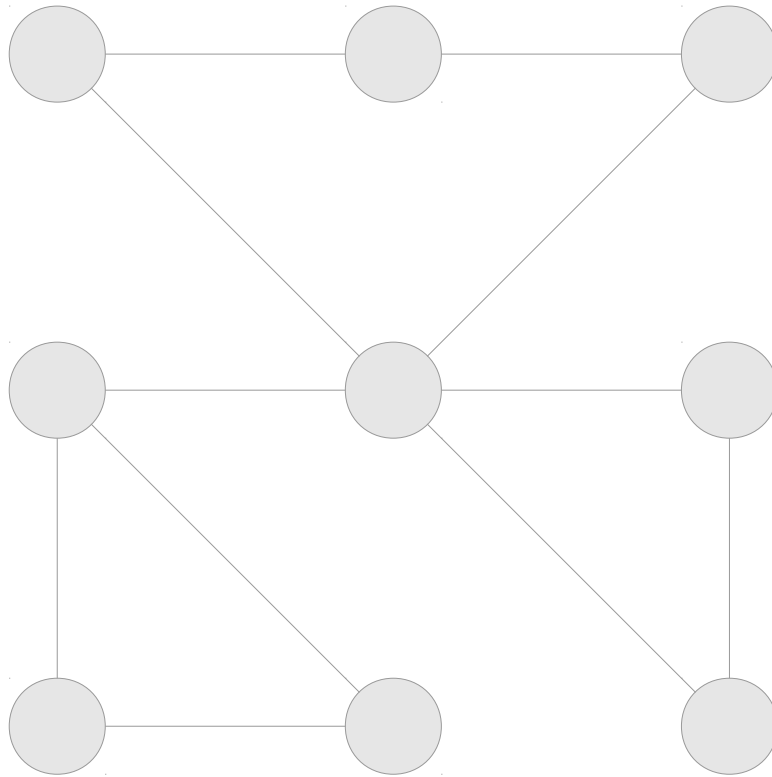


Stack

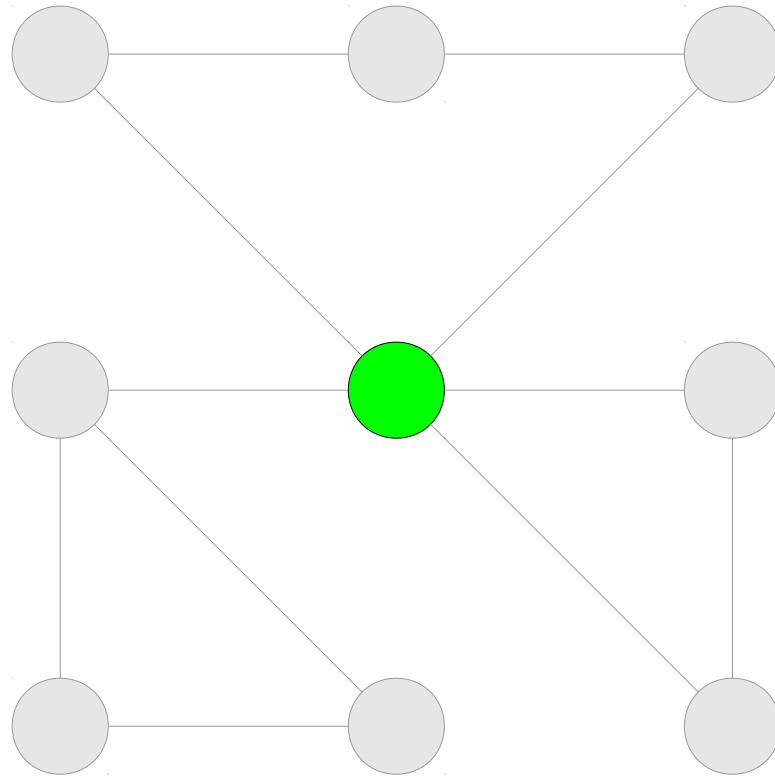
# Implementing DFS

```
DFS(Node v, Set<Node> visited) {  
    if (v is in visited) return;  
    Add v to visited;  
  
    for (Node u connected to v)  
        DFS(u, visited);  
}
```

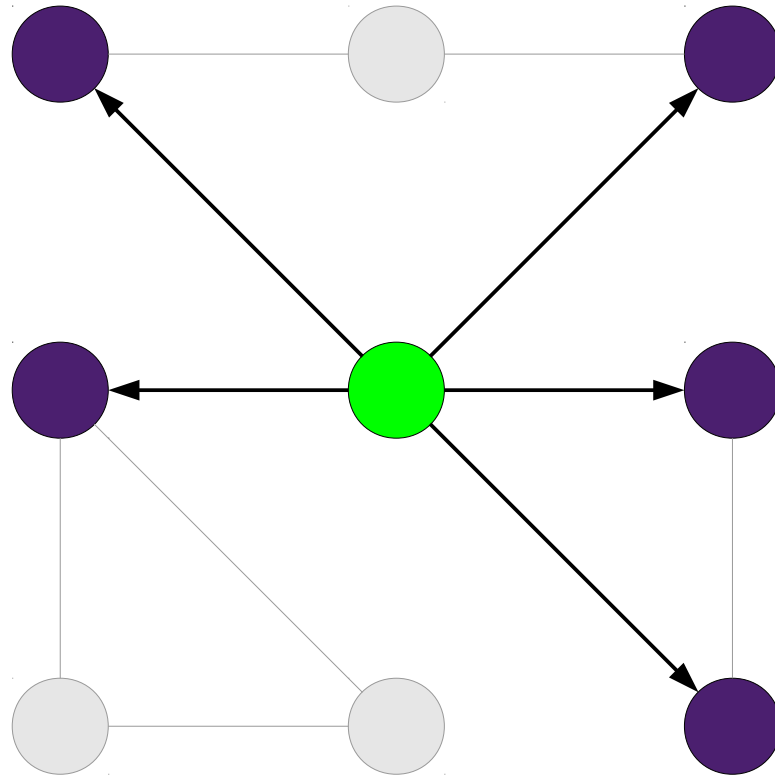
# Graph Search Trees



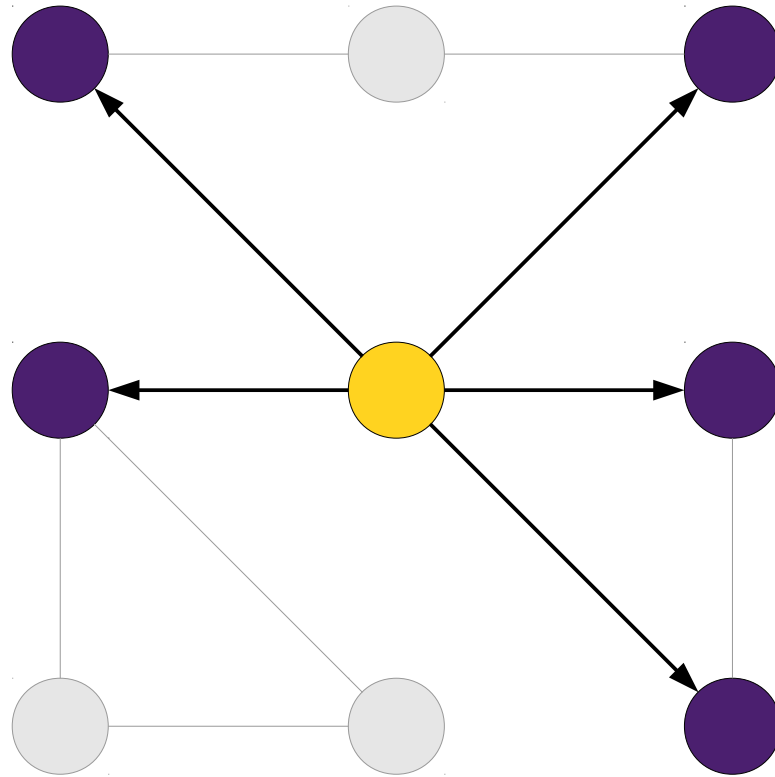
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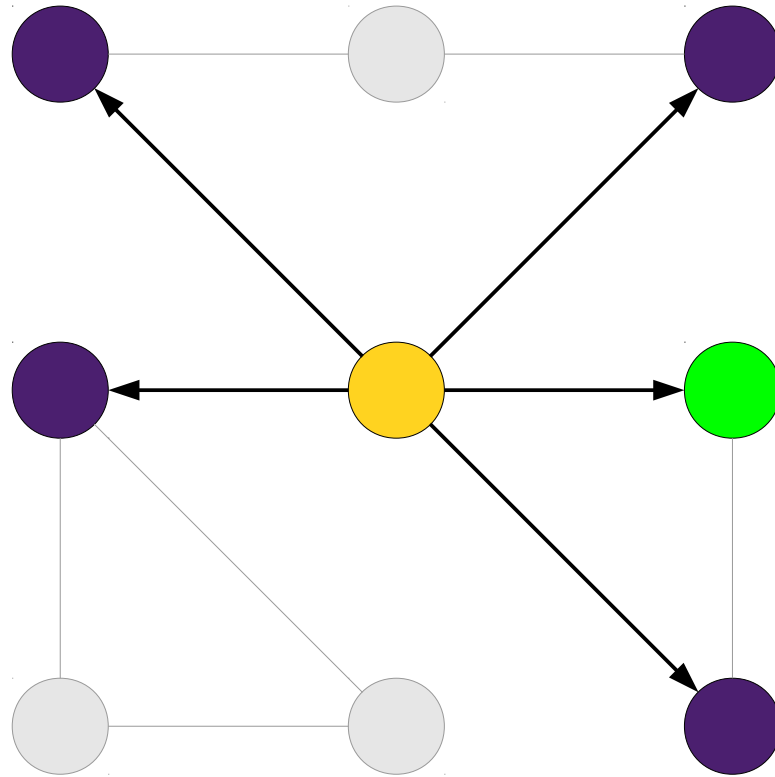
# Graph Search Trees



# Graph Search Trees

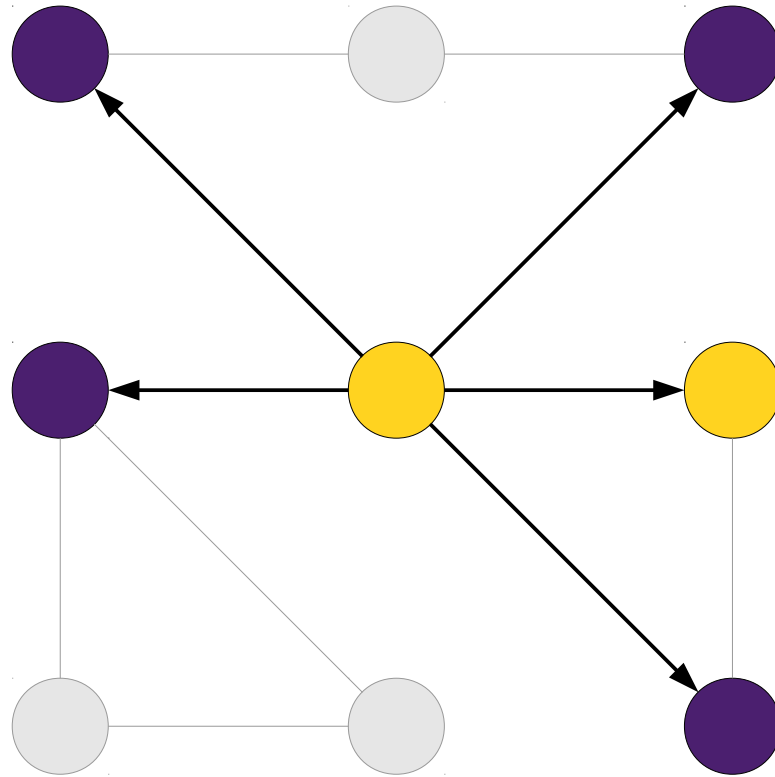


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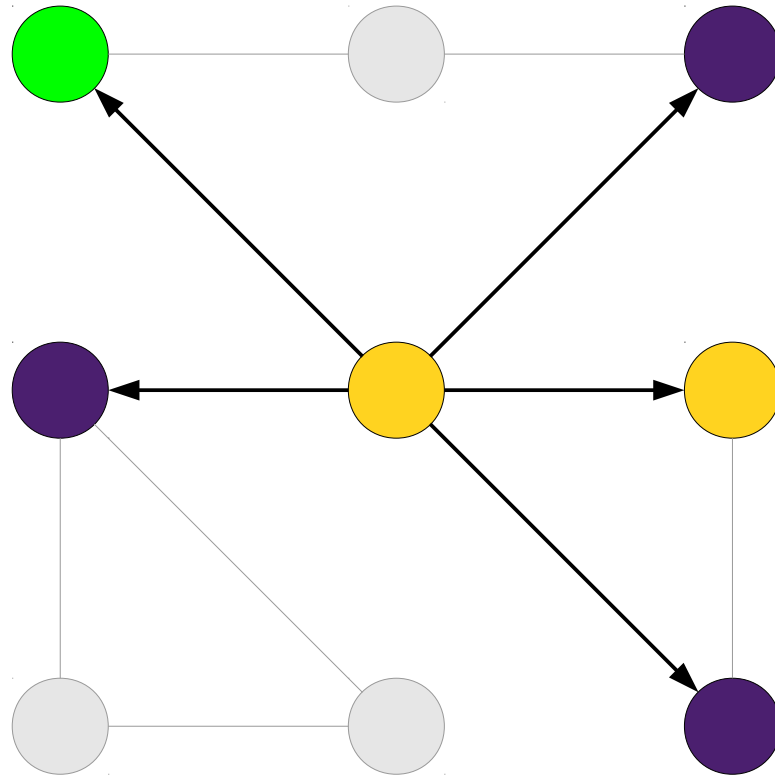




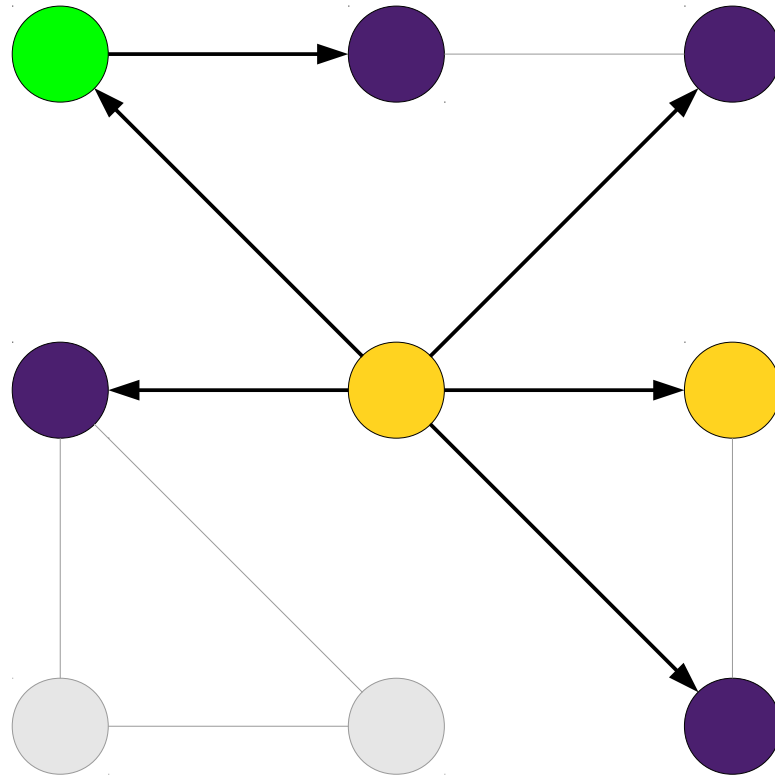
# Graph Search Trees



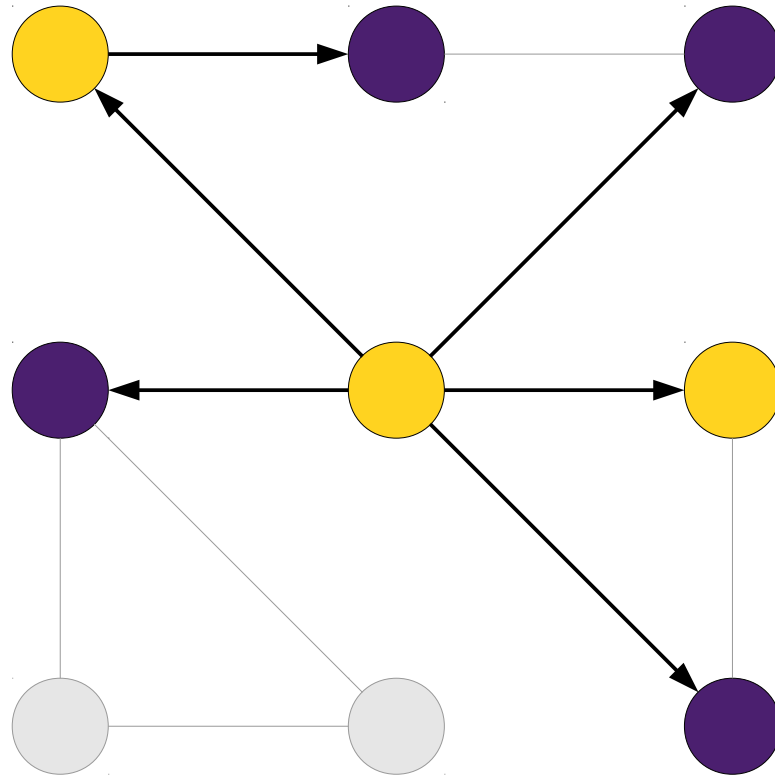
# Graph Search Trees



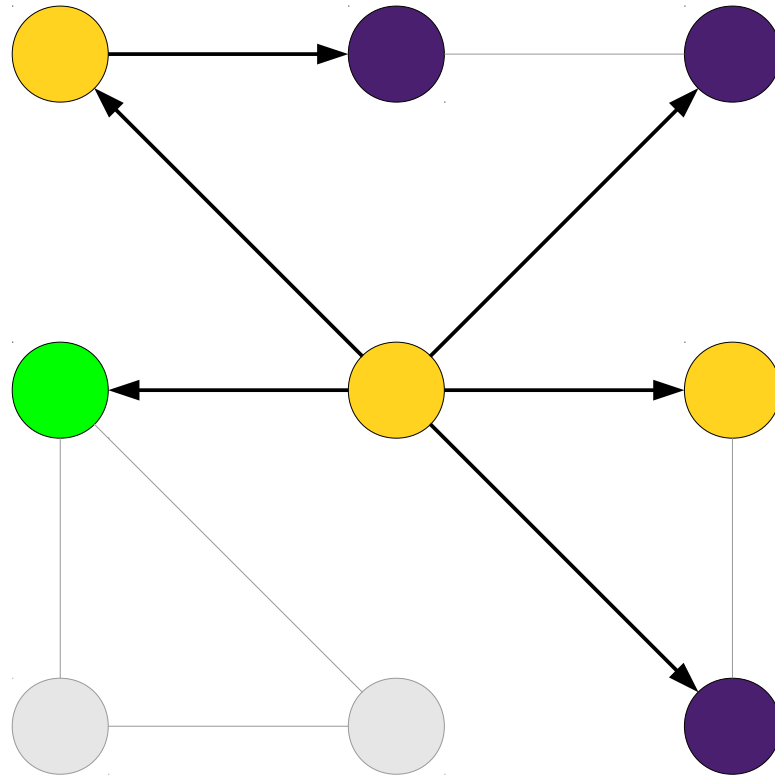
# Graph Search Trees



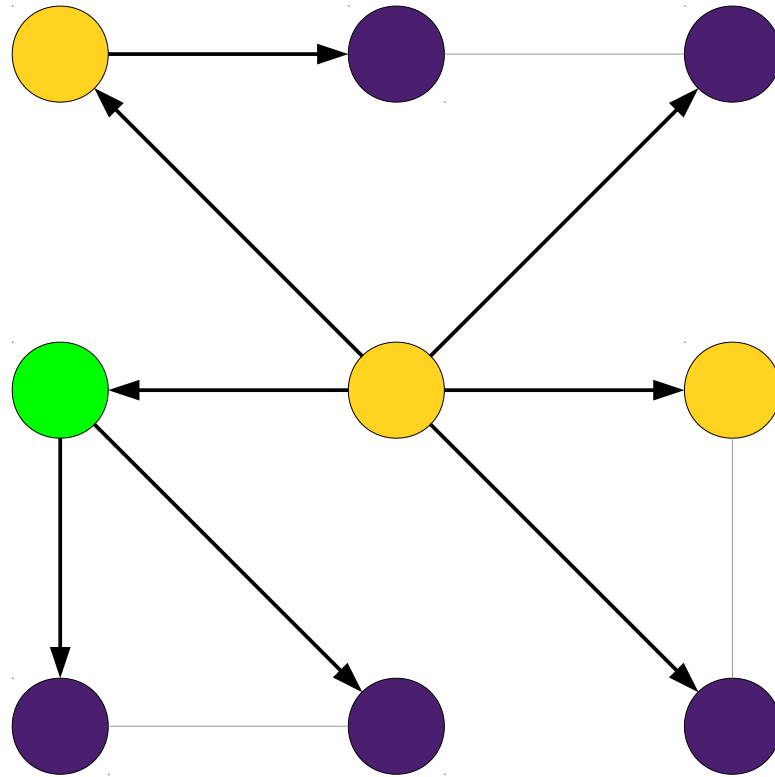
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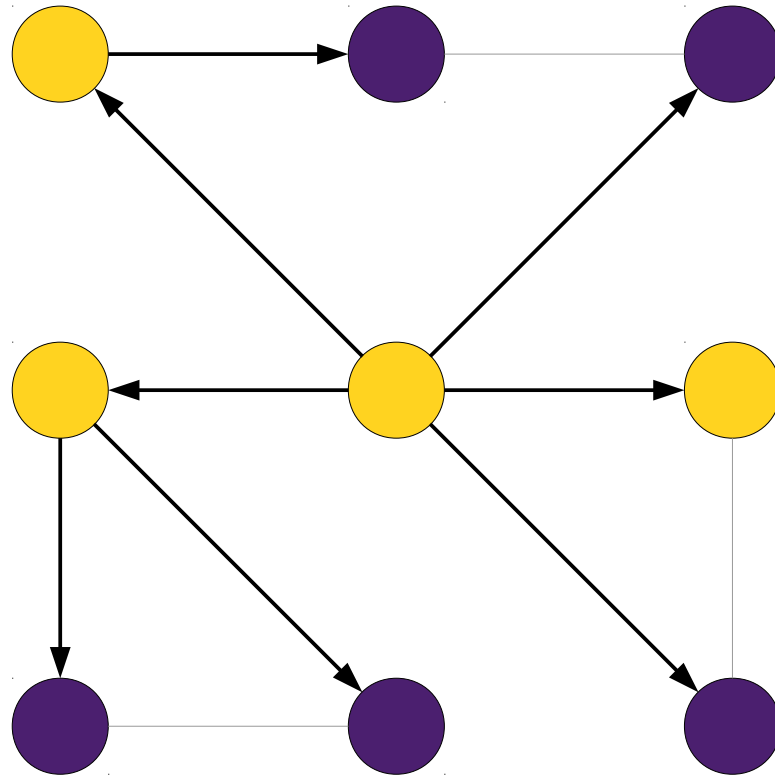
# Graph Search Trees



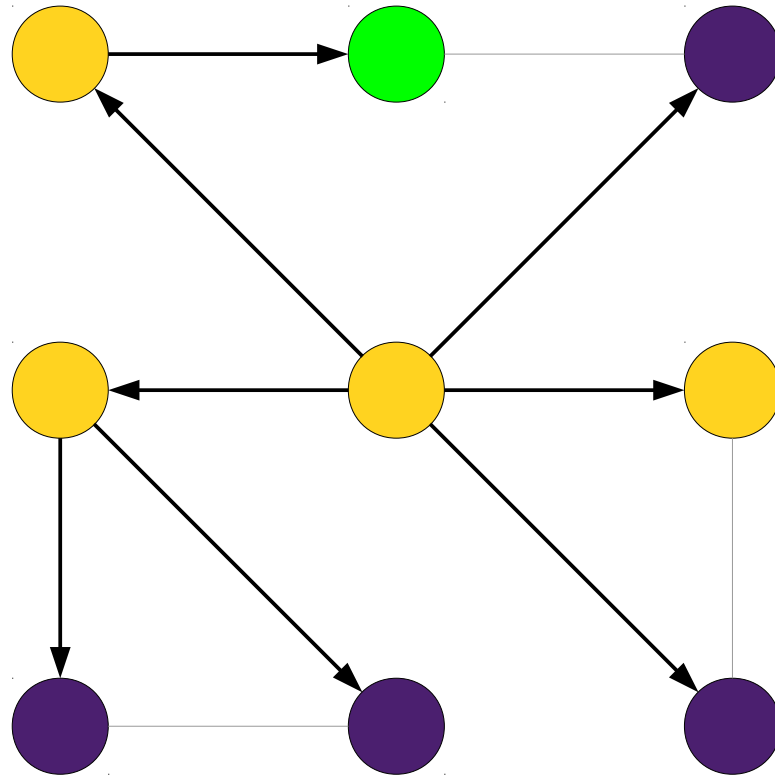
# Graph Search Trees



# Graph Search Trees

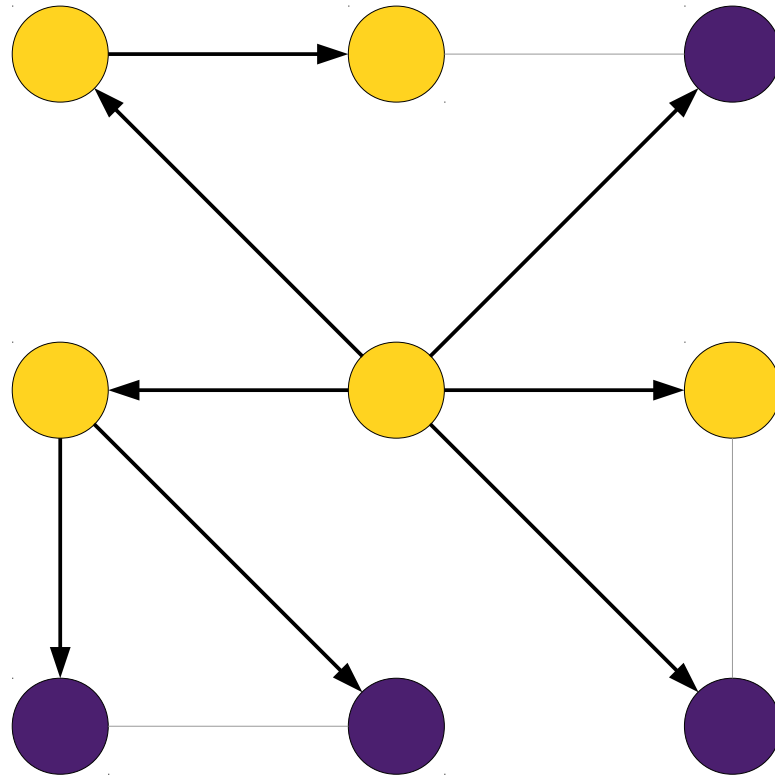


# Graph Search Trees

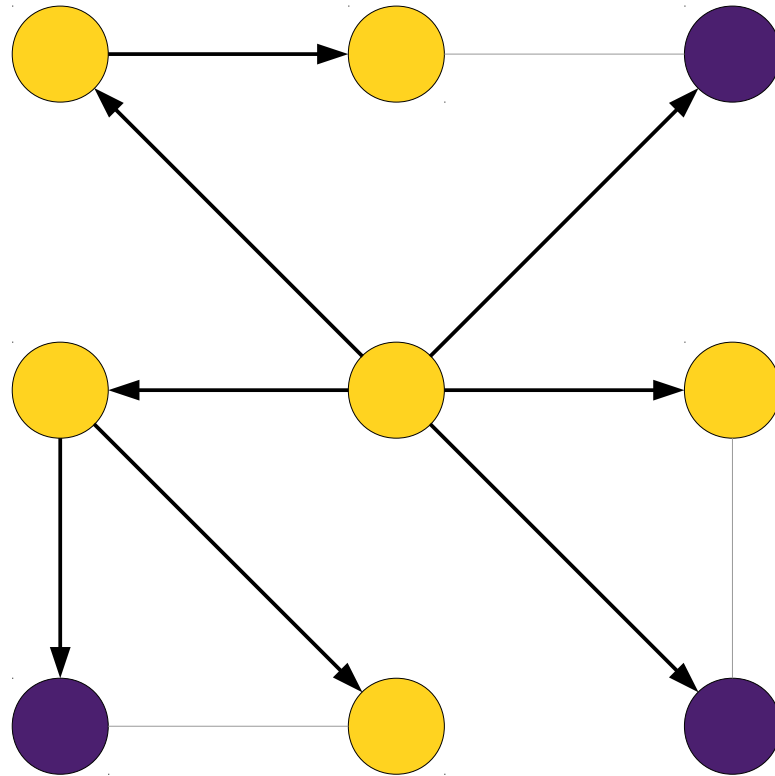




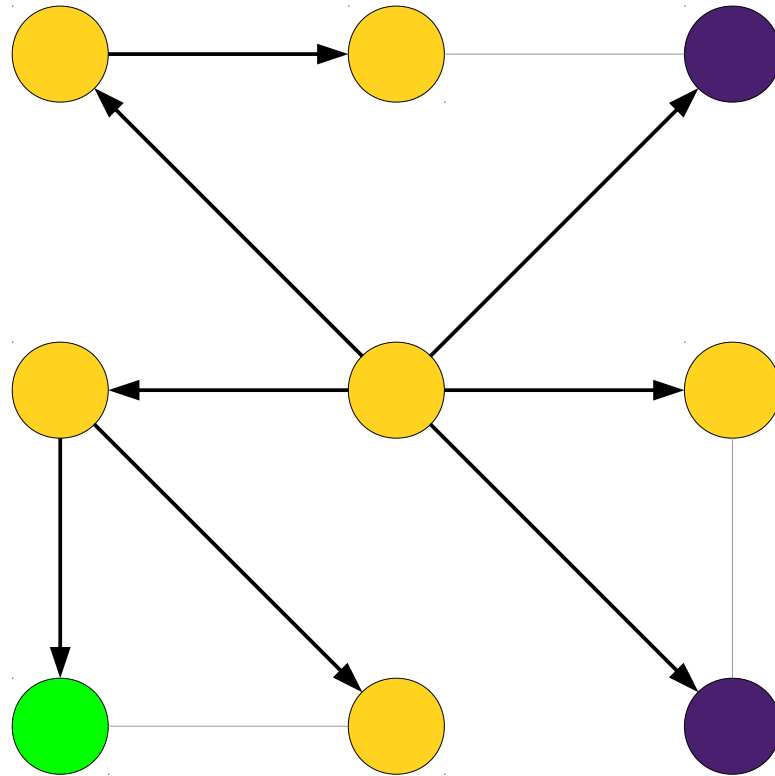
# Graph Search Trees



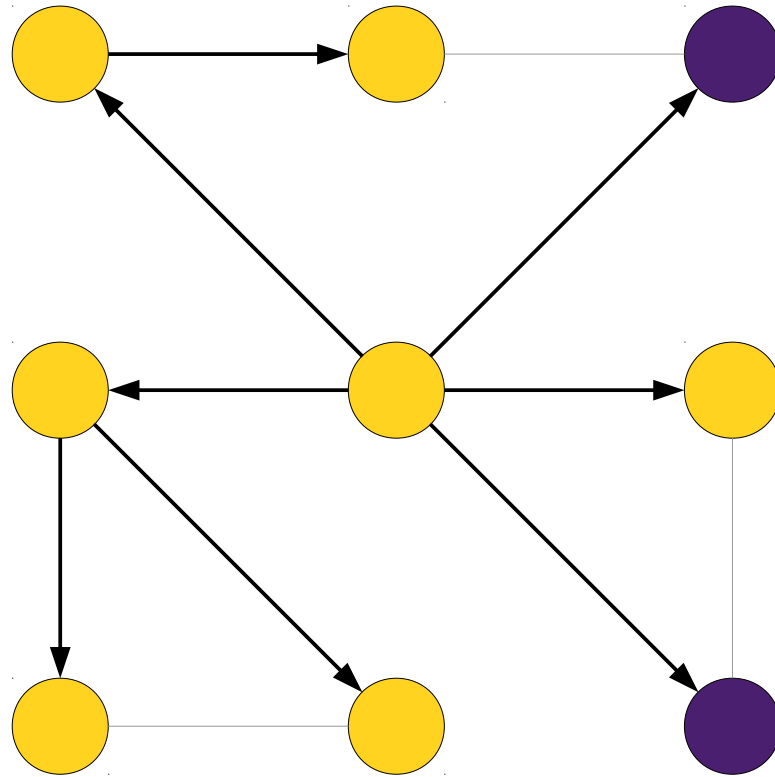
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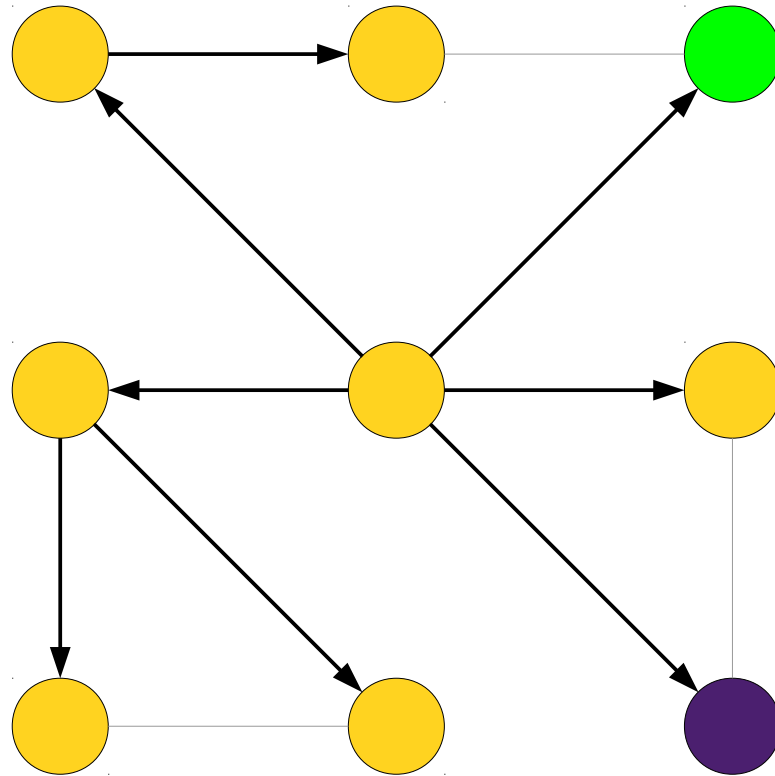
# Graph Search Trees



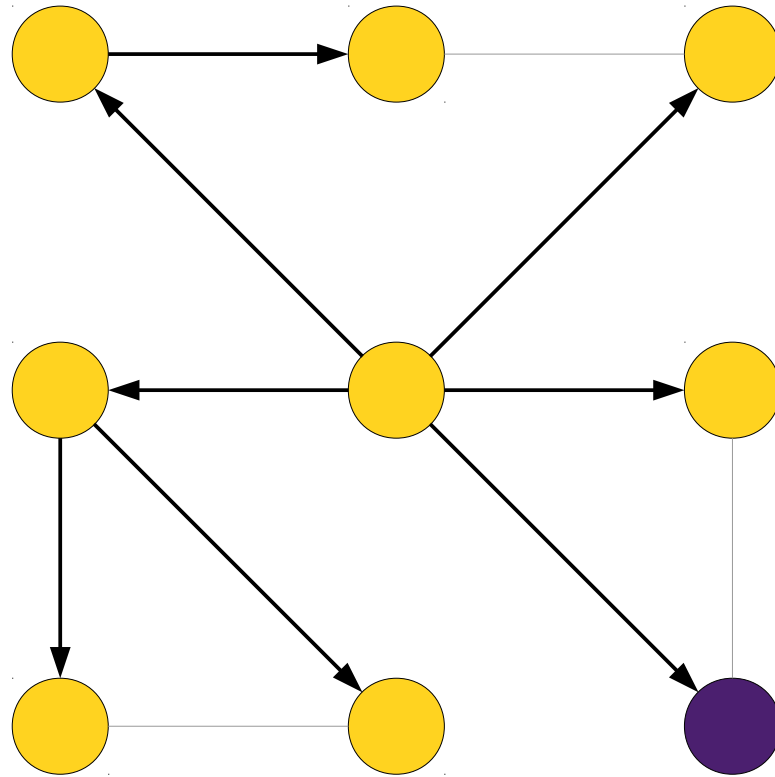
# Graph Search Trees



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# Graph Search Trees

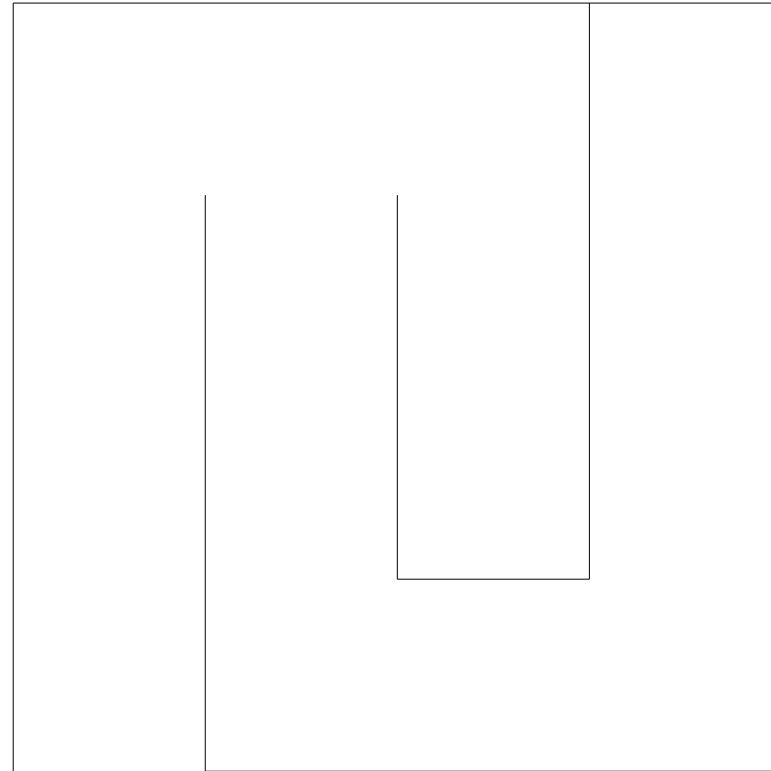




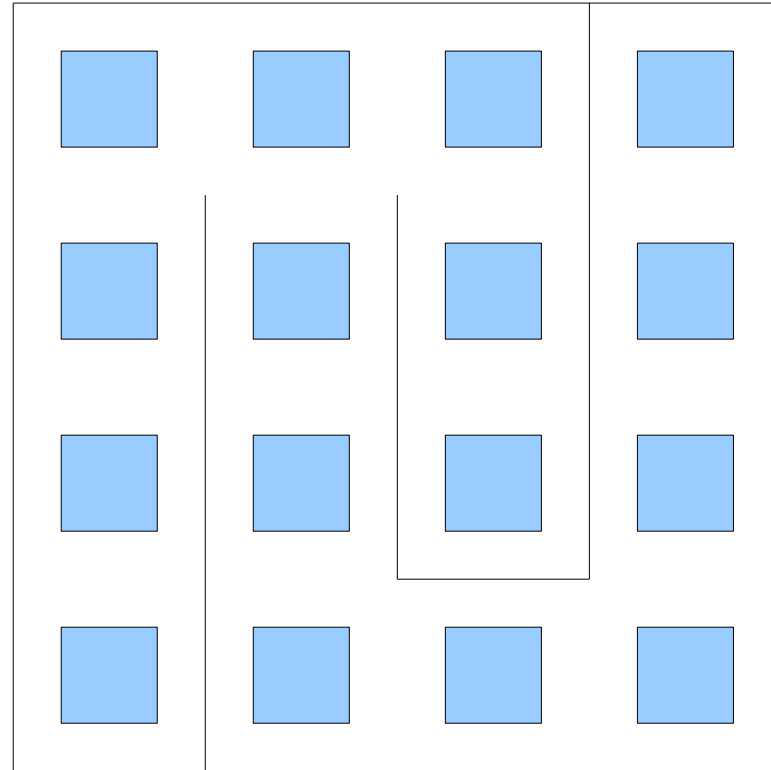




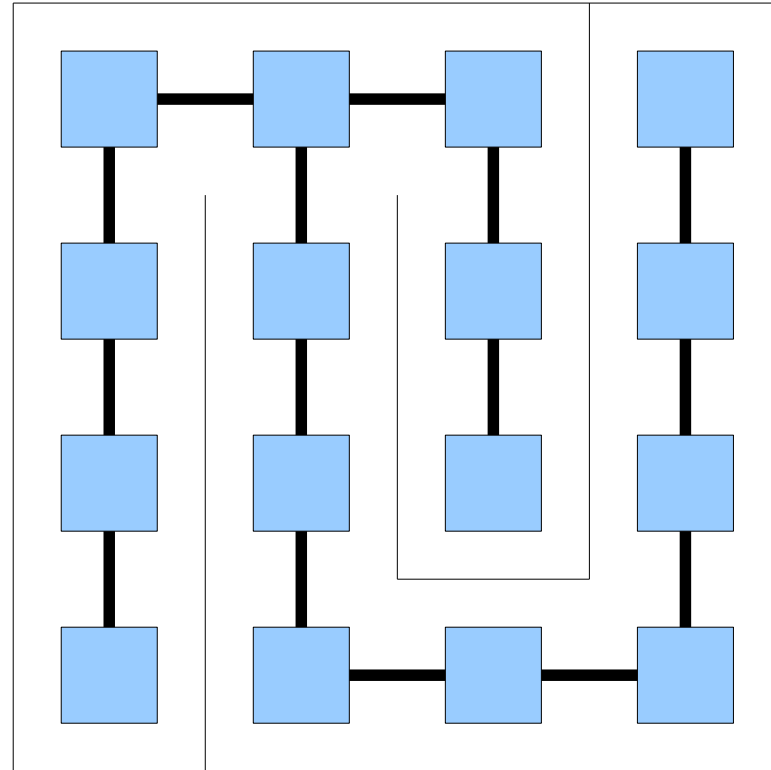
# Mazes as Graphs



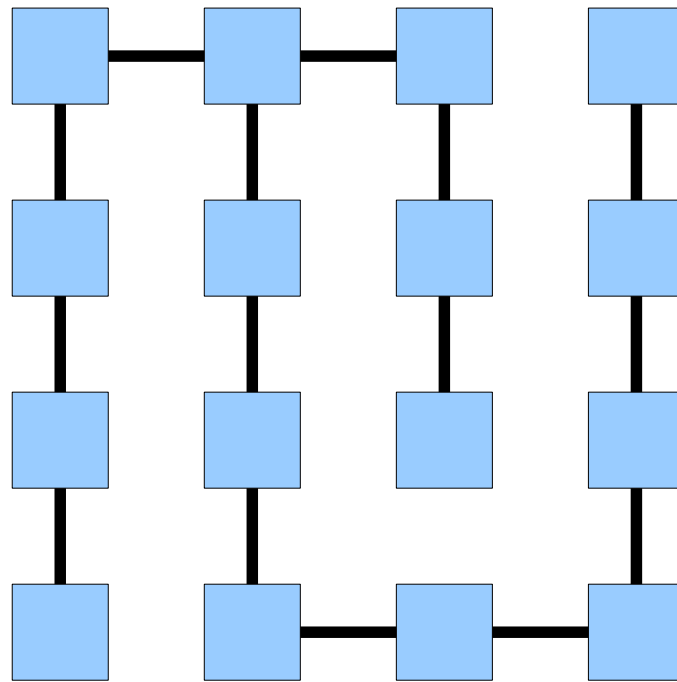
# Mazes as Graphs



# Mazes as Graphs



# Mazes as Graphs



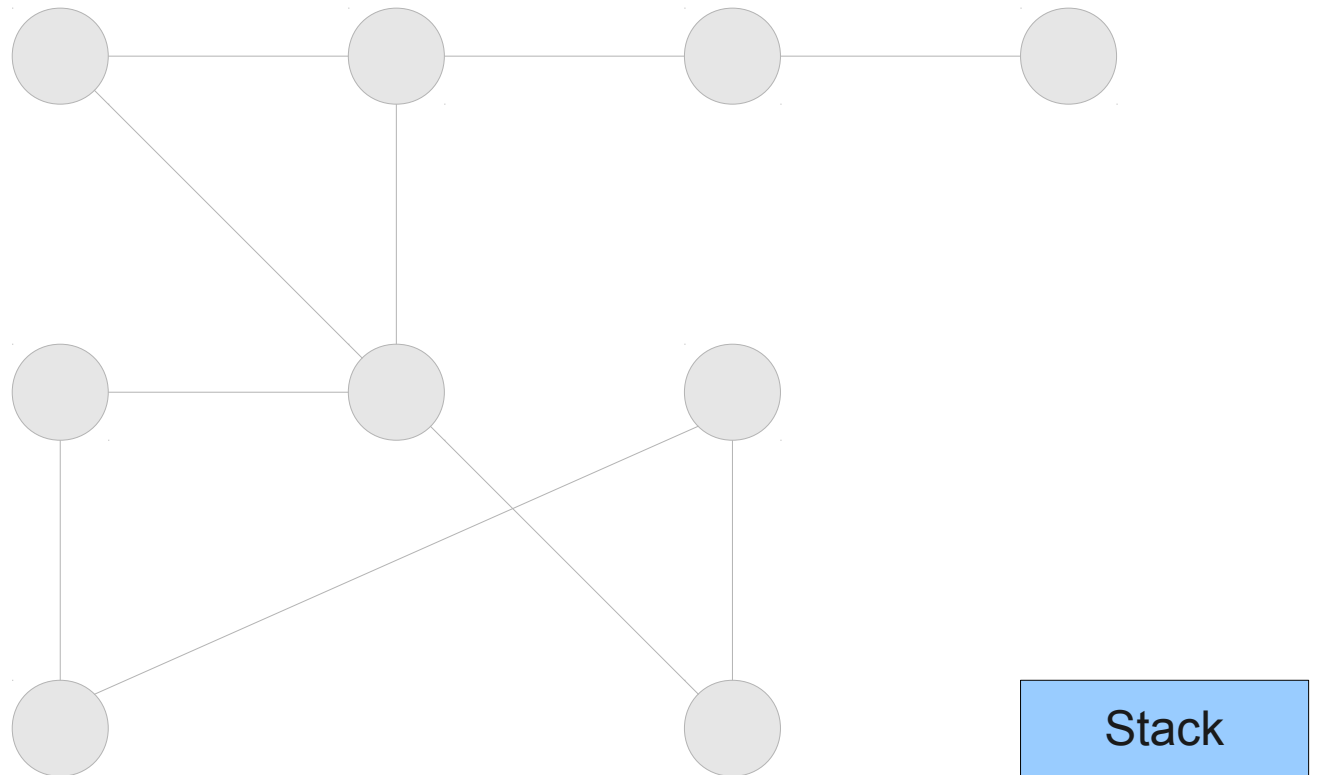
# Creating a Maze with DFS

- Create a **grid graph** of the appropriate size.
- Starting at any node, run a depth-first search, adding the arcs to the stack in **random order**.
- The resulting DFS tree is a maze with one solution.



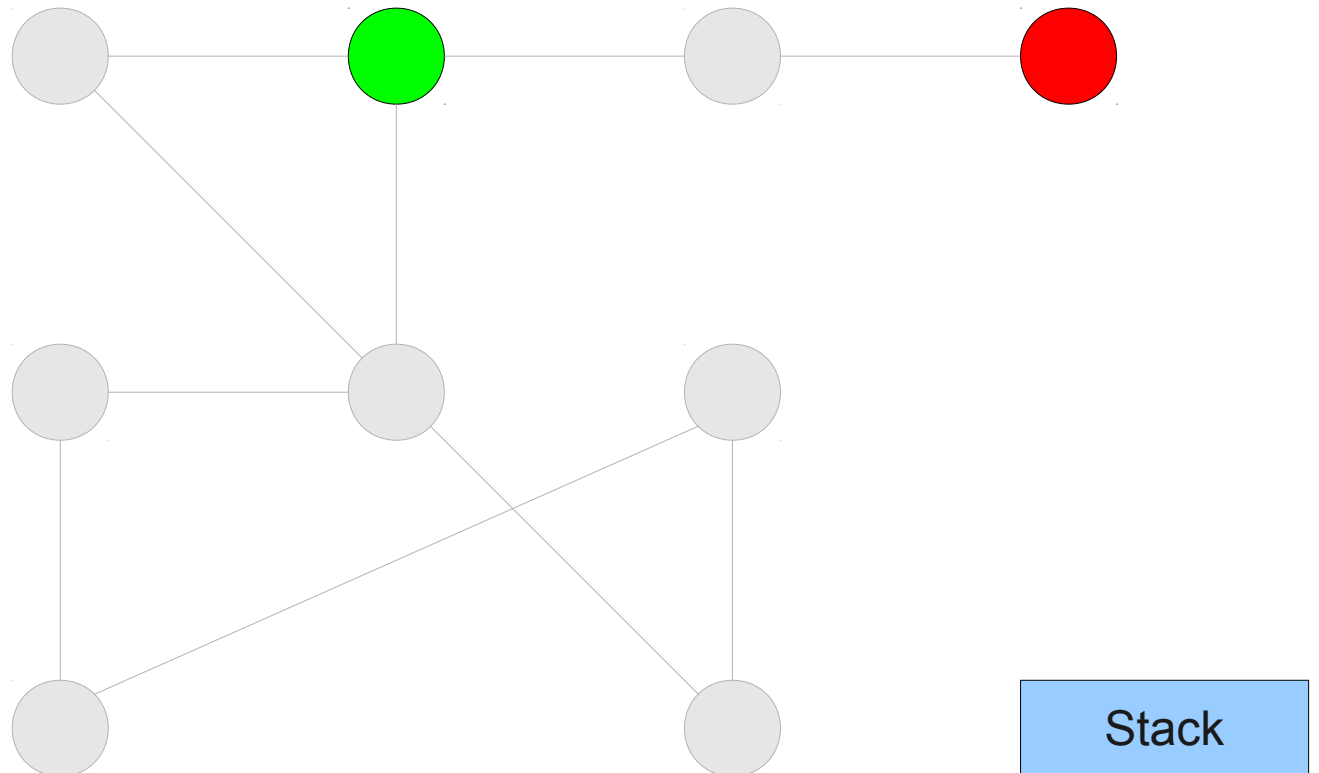
# Problems with DFS

- Useful when trying to explore everything.
- Not good at finding specific nodes.



# Problems with DFS

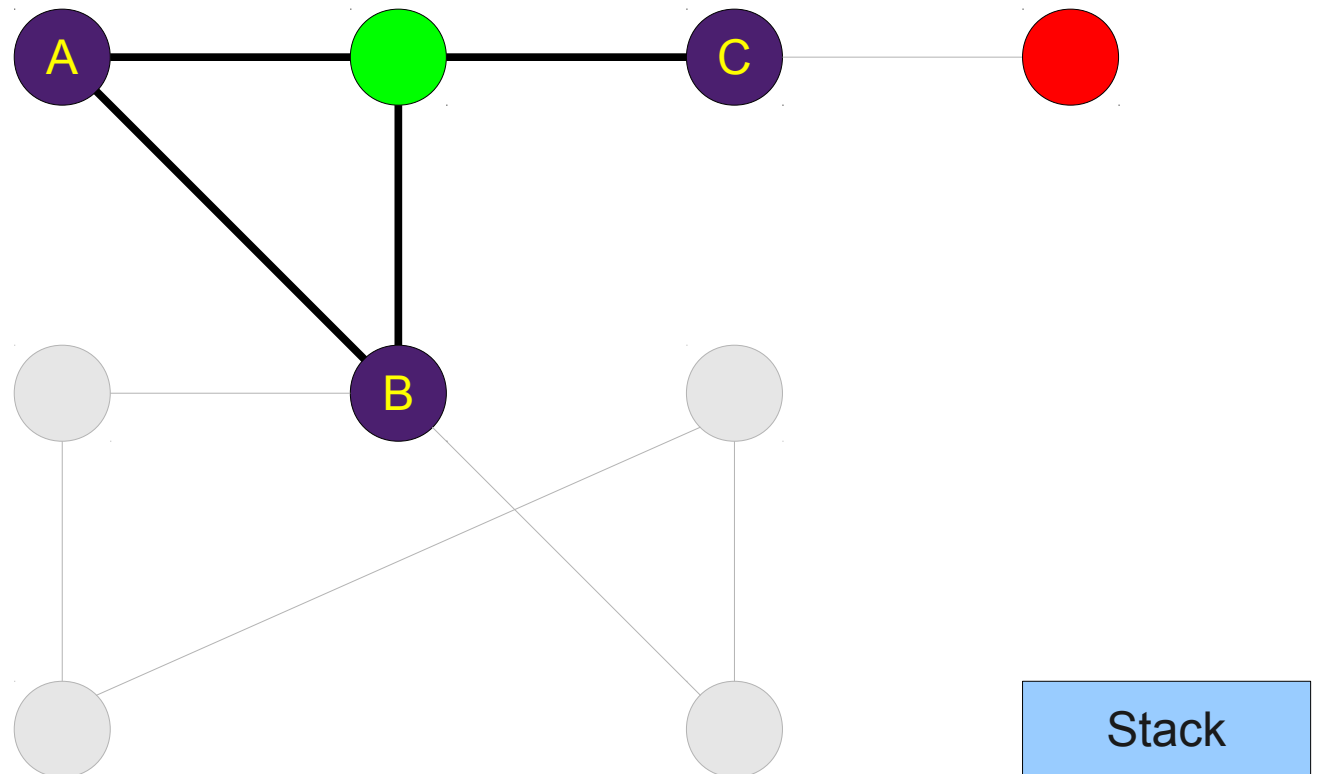
- Useful when trying to explore everything.
- Not good at finding specific nodes.





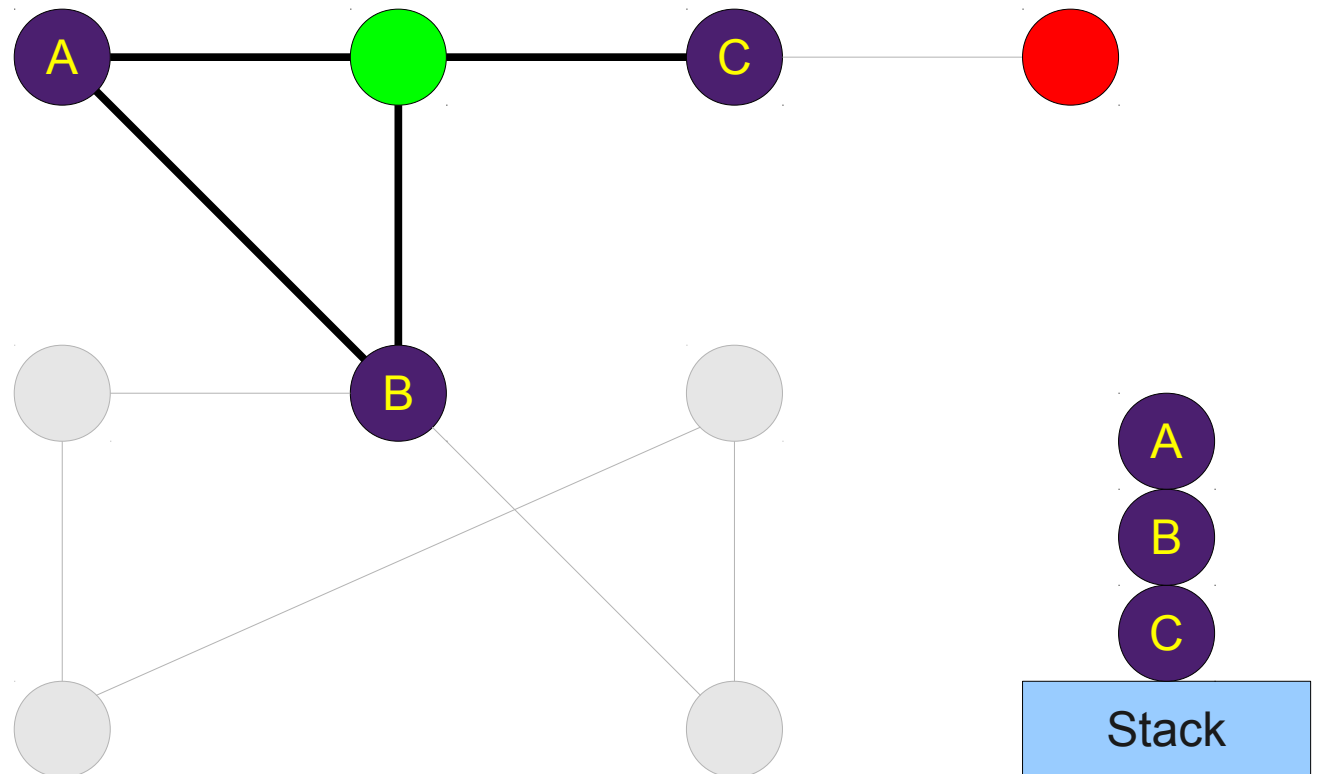
# Problems with DFS

- Useful when trying to explore everything.
- Not good at finding specific nodes.



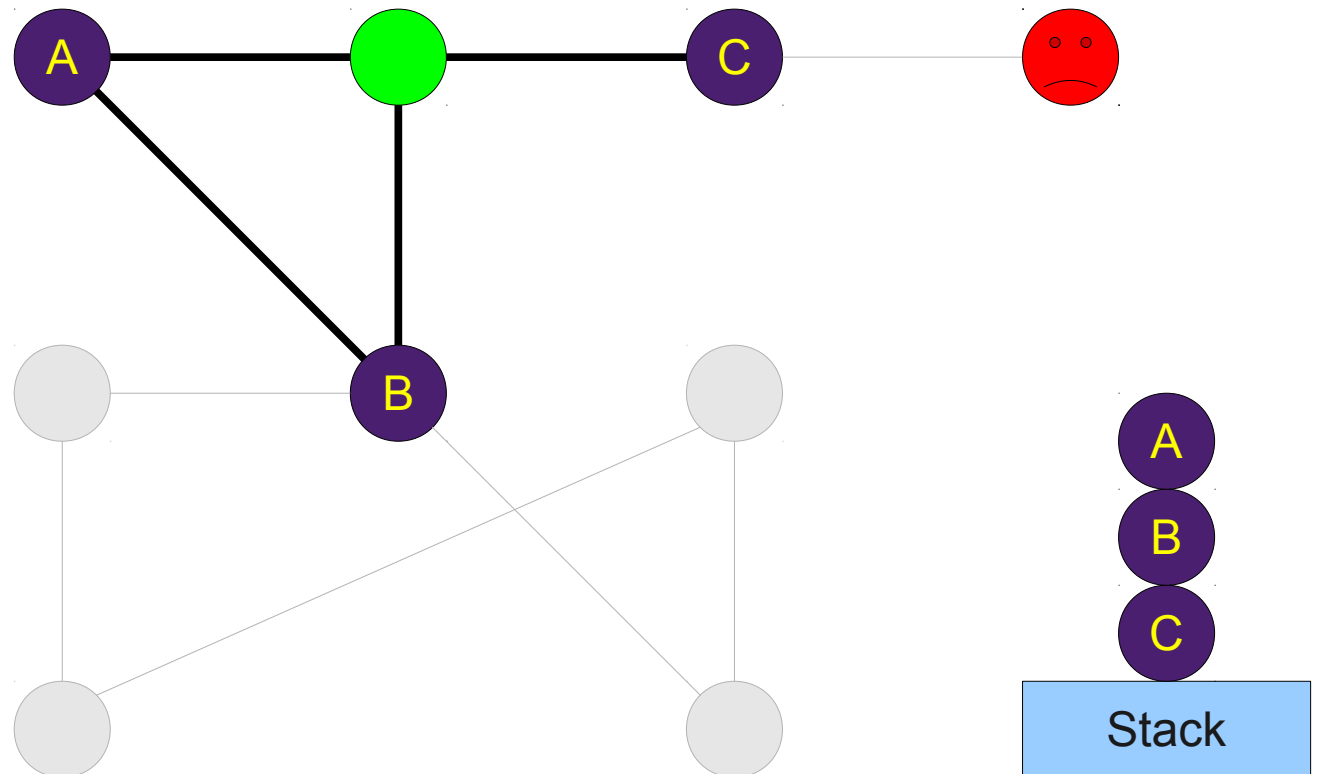
# Problems with DFS

- Useful when trying to explore everything.
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# Problems with DFS

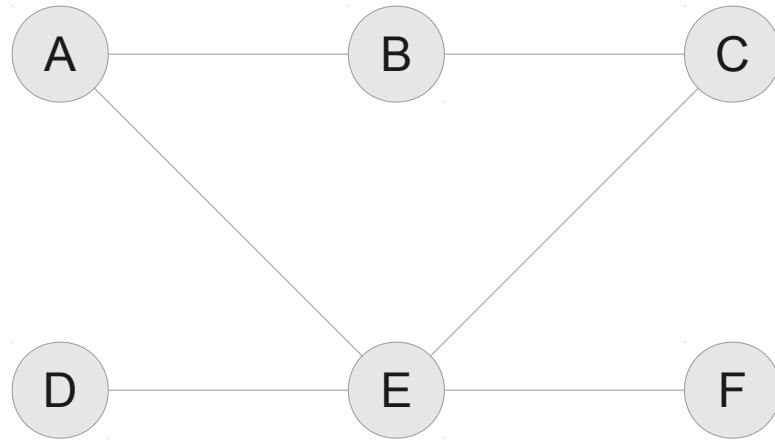
- Useful when trying to explore everything.
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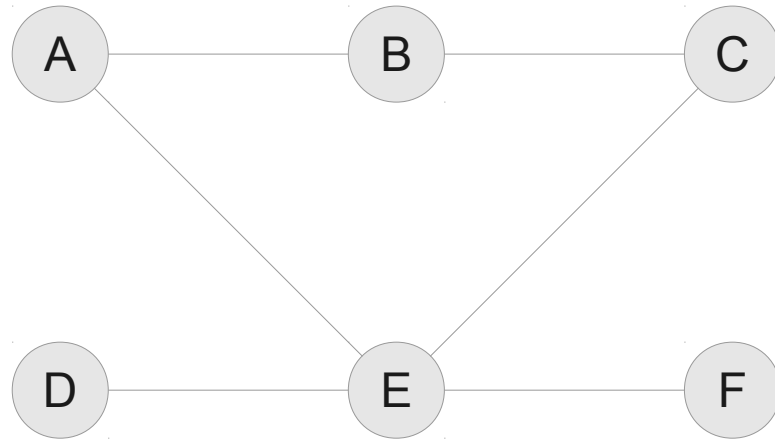
# Breadth-First Search

- Specialization of the general search algorithm where nodes to visit are put into a **queue**.
- Explores nodes one hop away, then two hops away, etc.
- Finds path with fewest edges from start node to all other nodes.
- Runs in  $O(m + n)$  with adjacency lists,  $O(n^2)$  with adjacency matrix.

# Breadth-first search

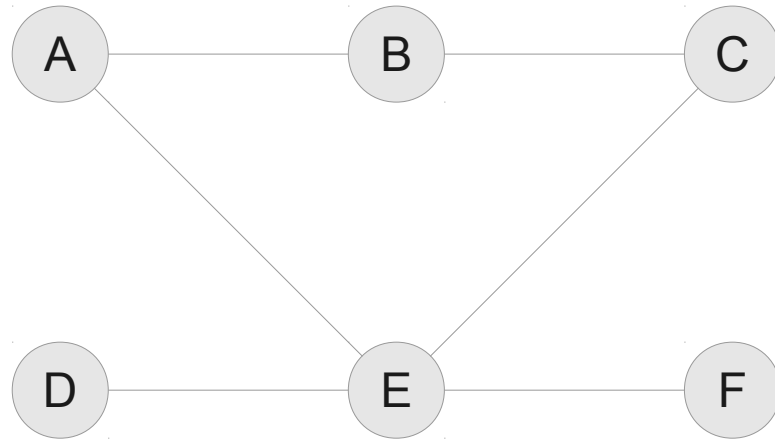


# Breadth-first search



Queue

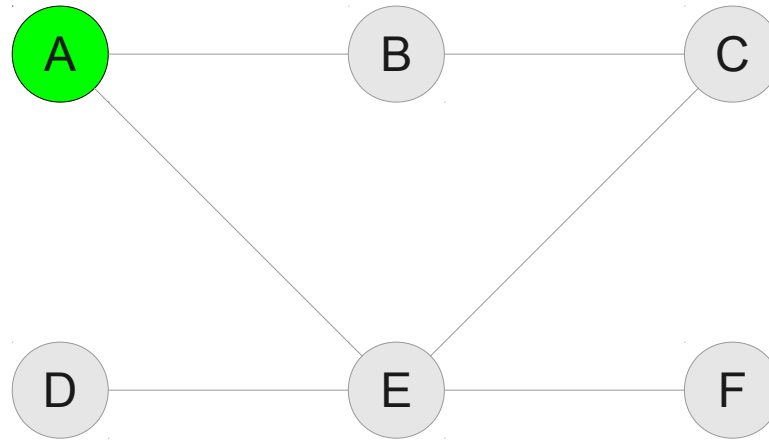
# Breadth-first search



Queue



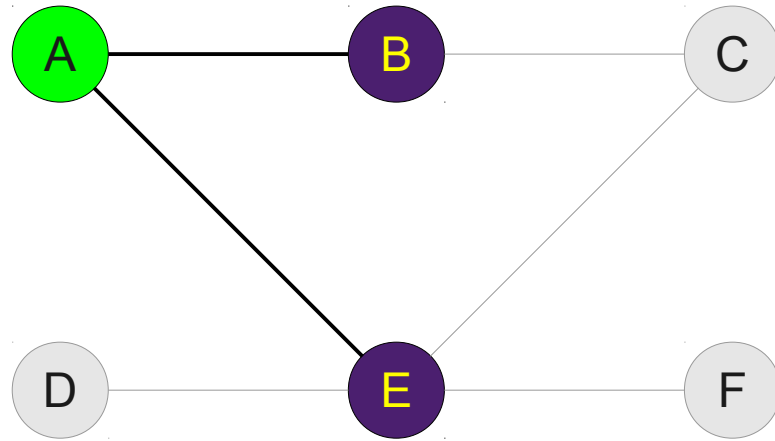
# Breadth-first search



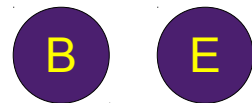
Queue



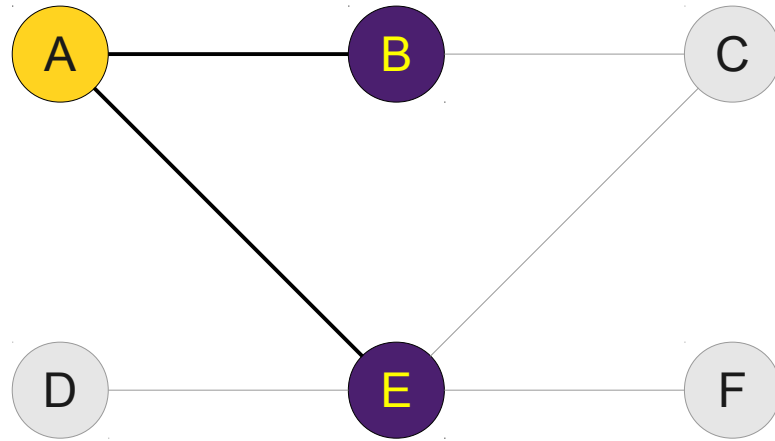
# Breadth-first search



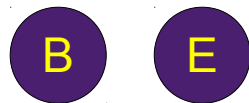
Queue



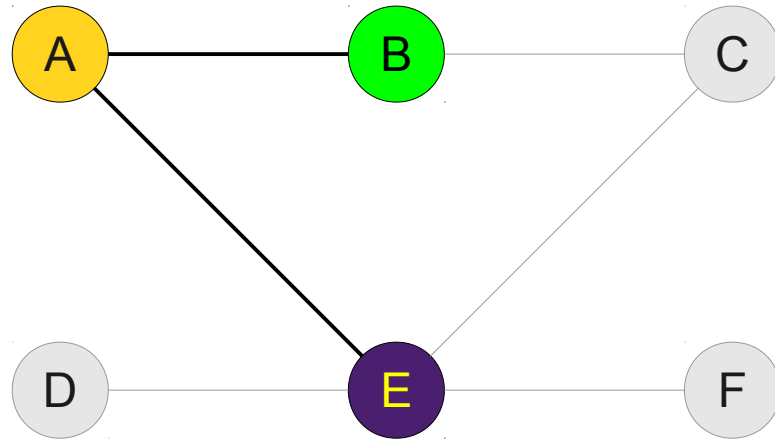
# Breadth-first search



Queue



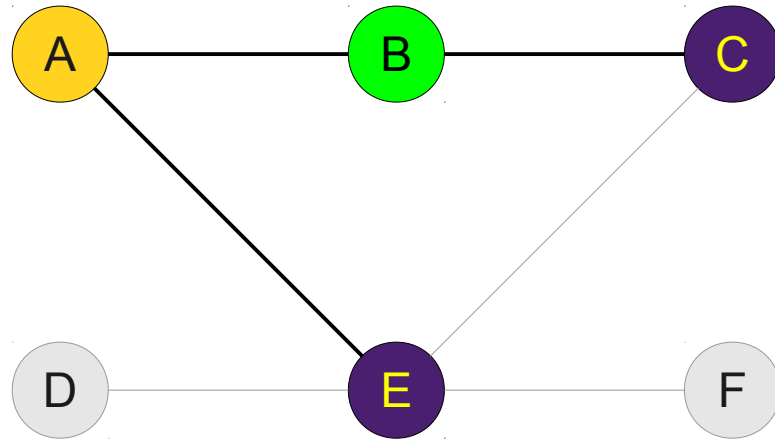
# Breadth-first search



Queue



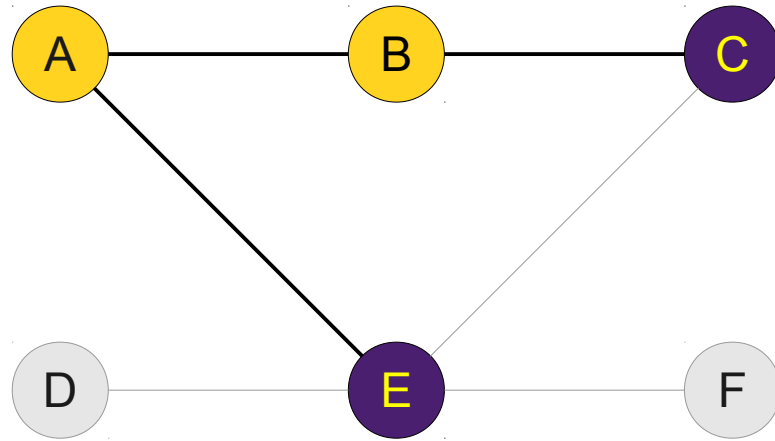
# Breadth-first search



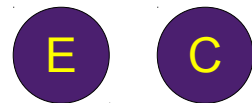
Queue



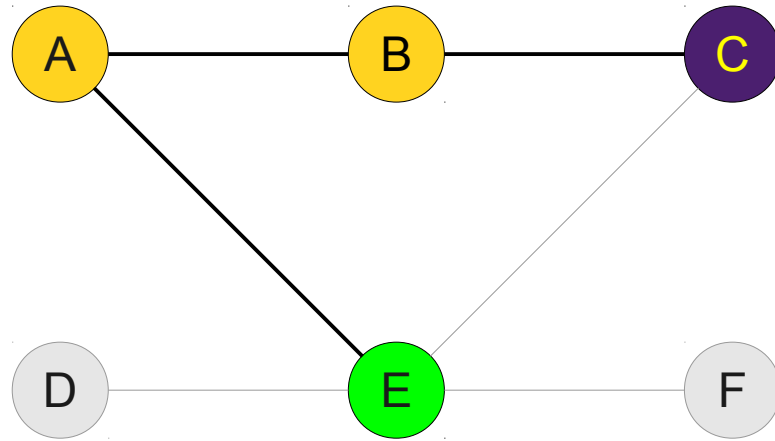
# Breadth-first search



Queue



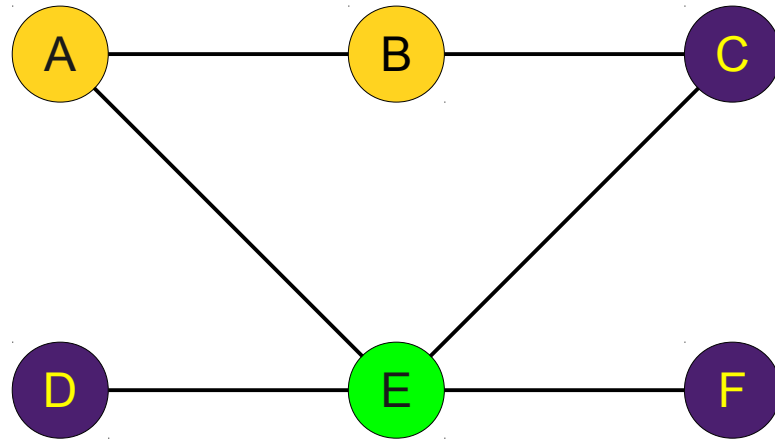
# Breadth-first search



Queue



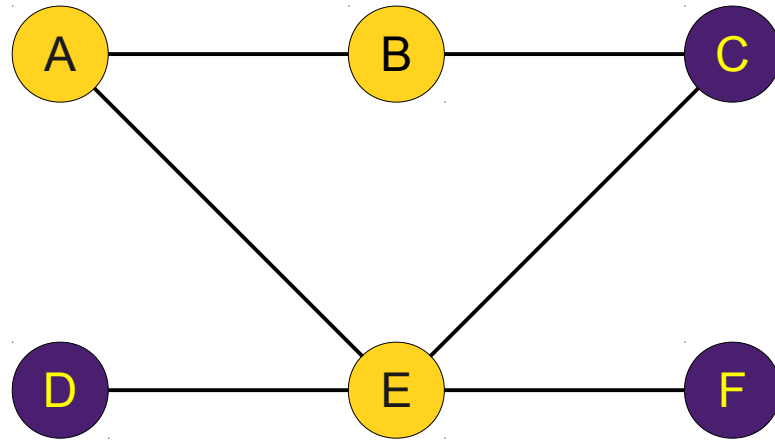
# Breadth-first search



Queue



# Breadth-first search

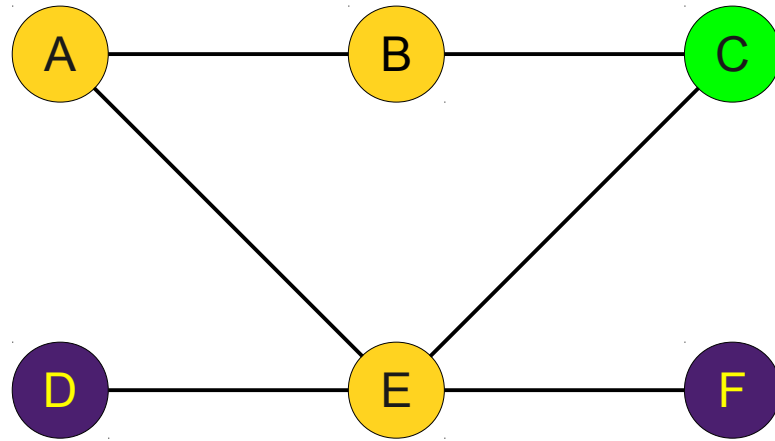


Queue





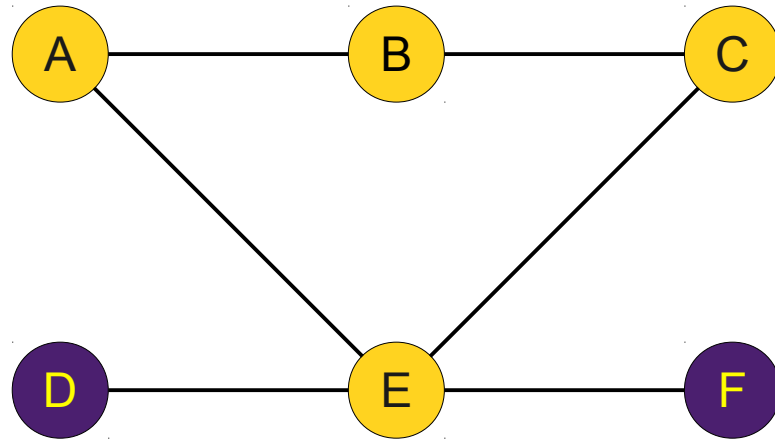
# Breadth-first search



Queue



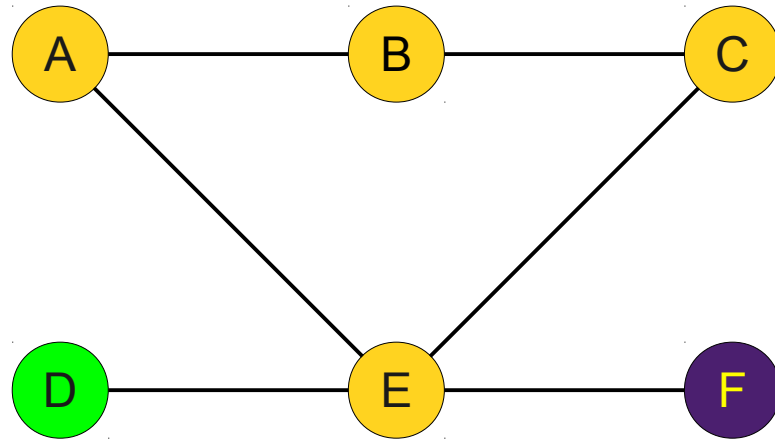
# Breadth-first search



Queue



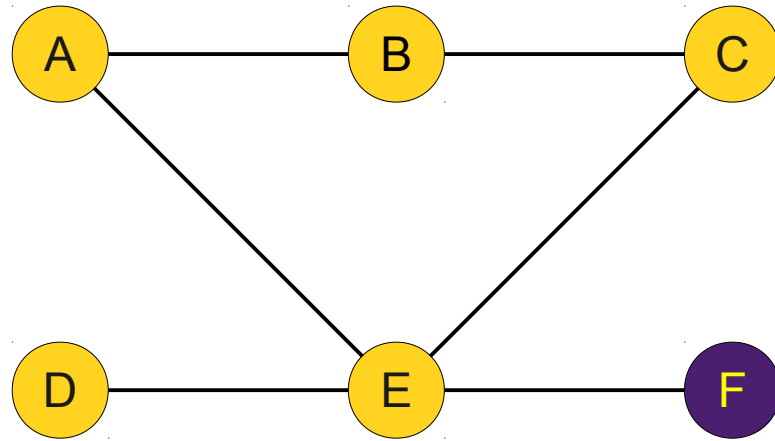
# Breadth-first search



Queue



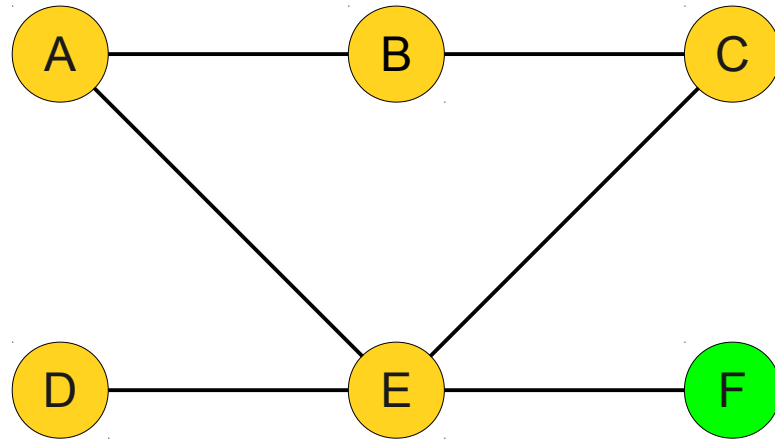
# Breadth-first search



Queue

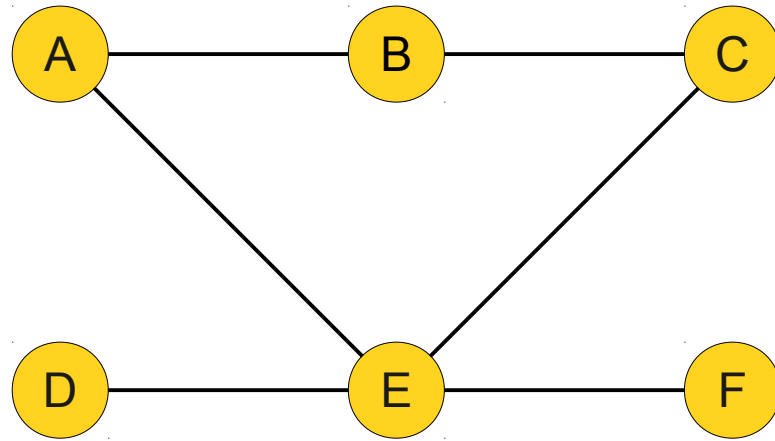


# Breadth-first search



Queue

# Breadth-first search



Queue

# Implementing BFS

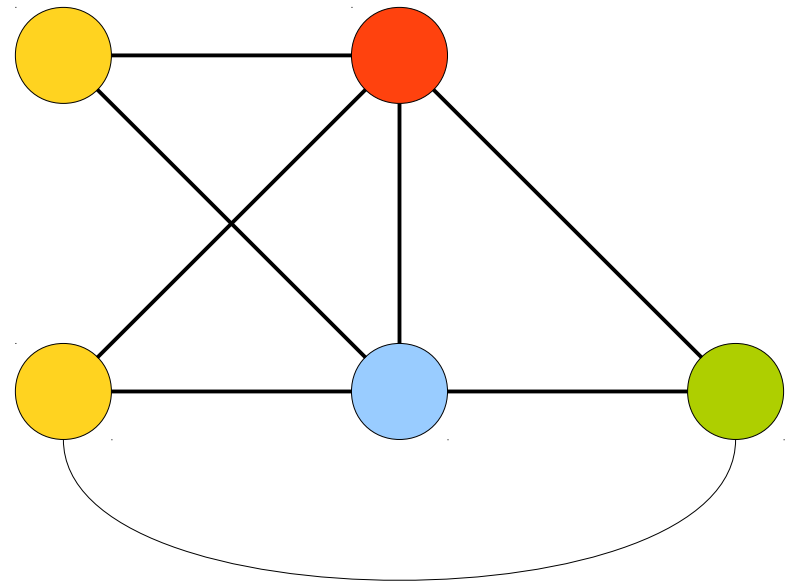
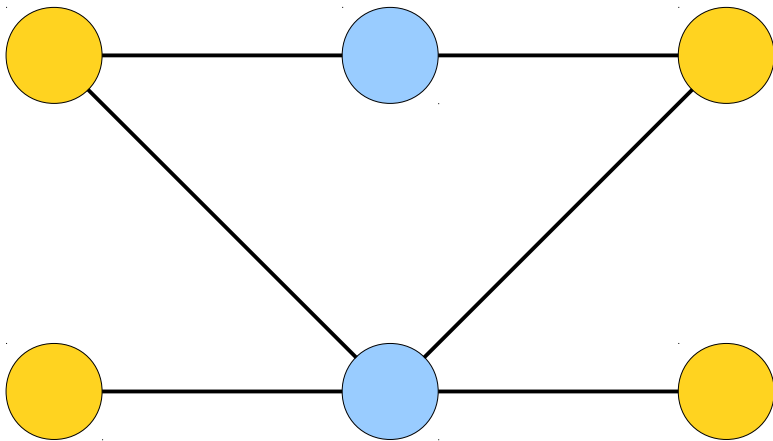
```
BFS(Node v, Set<Node> visited) {  
    Create a Queue<Node> of nodes to visit;  
    Add v to the queue;  
  
    while (The queue is not empty) {  
        Dequeue a node from the queue, let it be u;  
  
        if (u has been visited) continue;  
        Add u to the visited set;  
  
        for (Node w connected to u)  
            Enqueue w in the queue;  
    }  
}
```

# Classic Graph Algorithms



# Graph Coloring

- Given a graph  $G$ , assign **colors** to the nodes so that no edge has endpoints of the same color.
- The **chromatic number** of a graph is the fewest number of colors needed to color it.

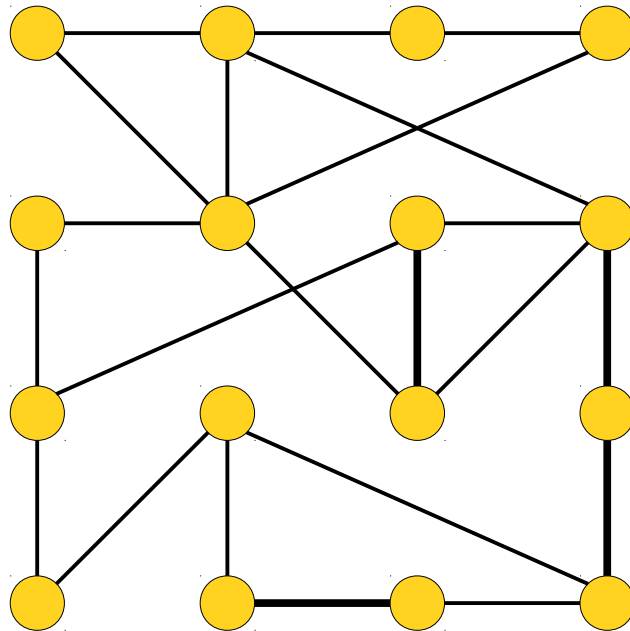


# Graph Coloring is Hard.

- Determining whether a graph can be colored with  $k$  colors (for  $k > 2$ ) is **NP-complete**.
- It is not known whether this problem can be solved in polynomial time.
- Want \$1,000,000? **Find a polynomial-time algorithm or prove that none exists.**

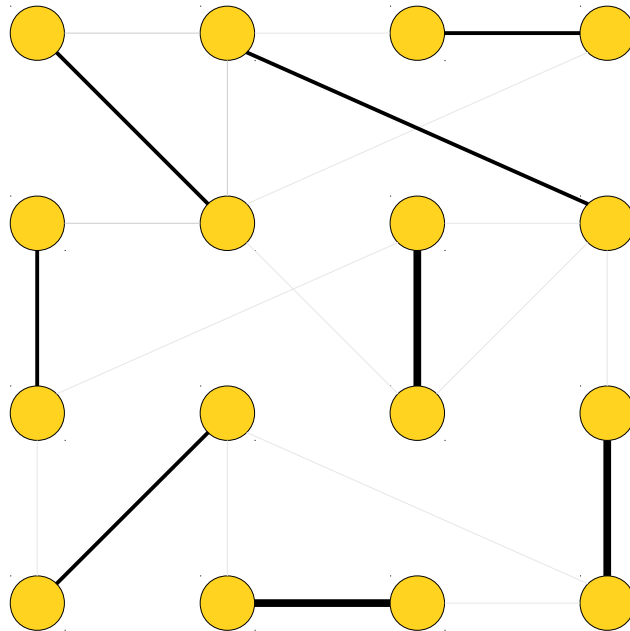
# Matching

- A **matching** in a graph is a subset of the edges that don't share any endpoints.
- Intuitively, pairing up nodes in the graph.



# Matching

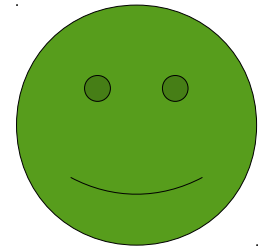
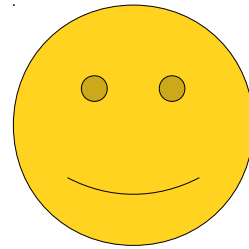
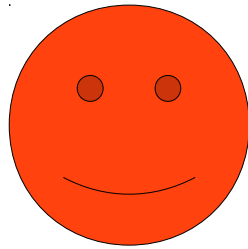
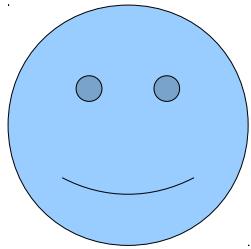
- A **matching** in a graph is a subset of the edges that don't share any endpoints.
- Intuitively, pairing up nodes in the graph.



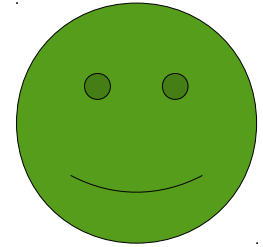
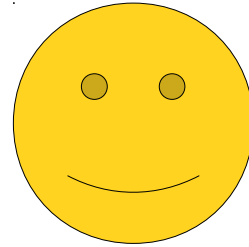
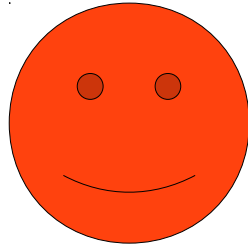
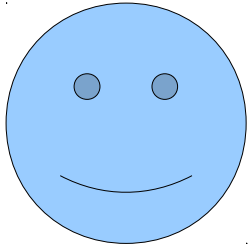
# Applications of Matching

- Unlike graph coloring, matching can be done quickly.
- Sample application: divvying up desserts.

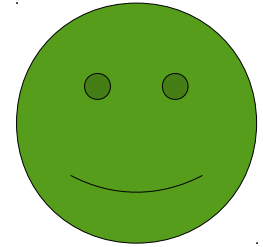
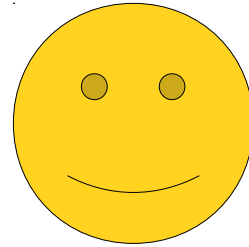
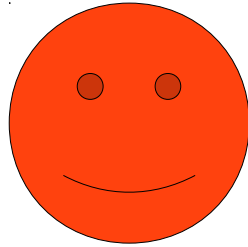
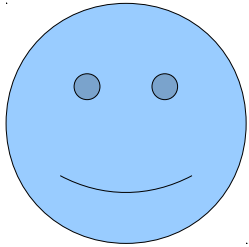
# Divvying Up Desserts



# Divvying Up Desserts

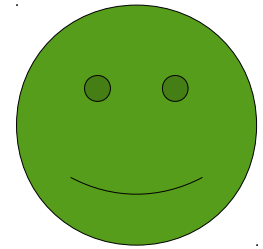
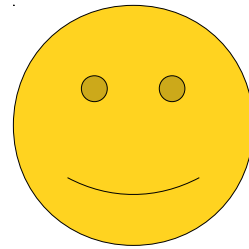
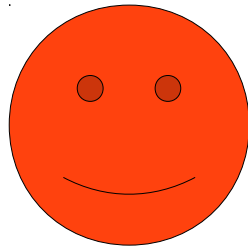
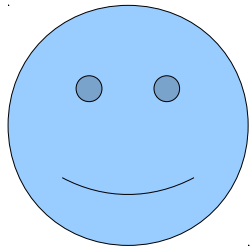


# Divvying Up Desserts

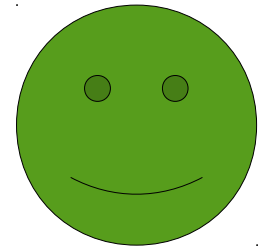
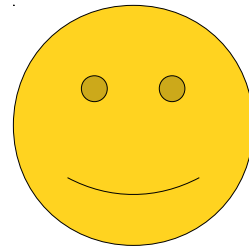
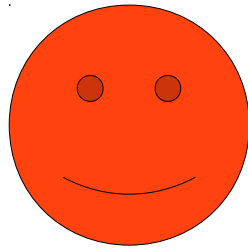
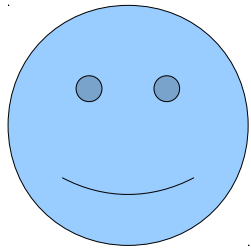




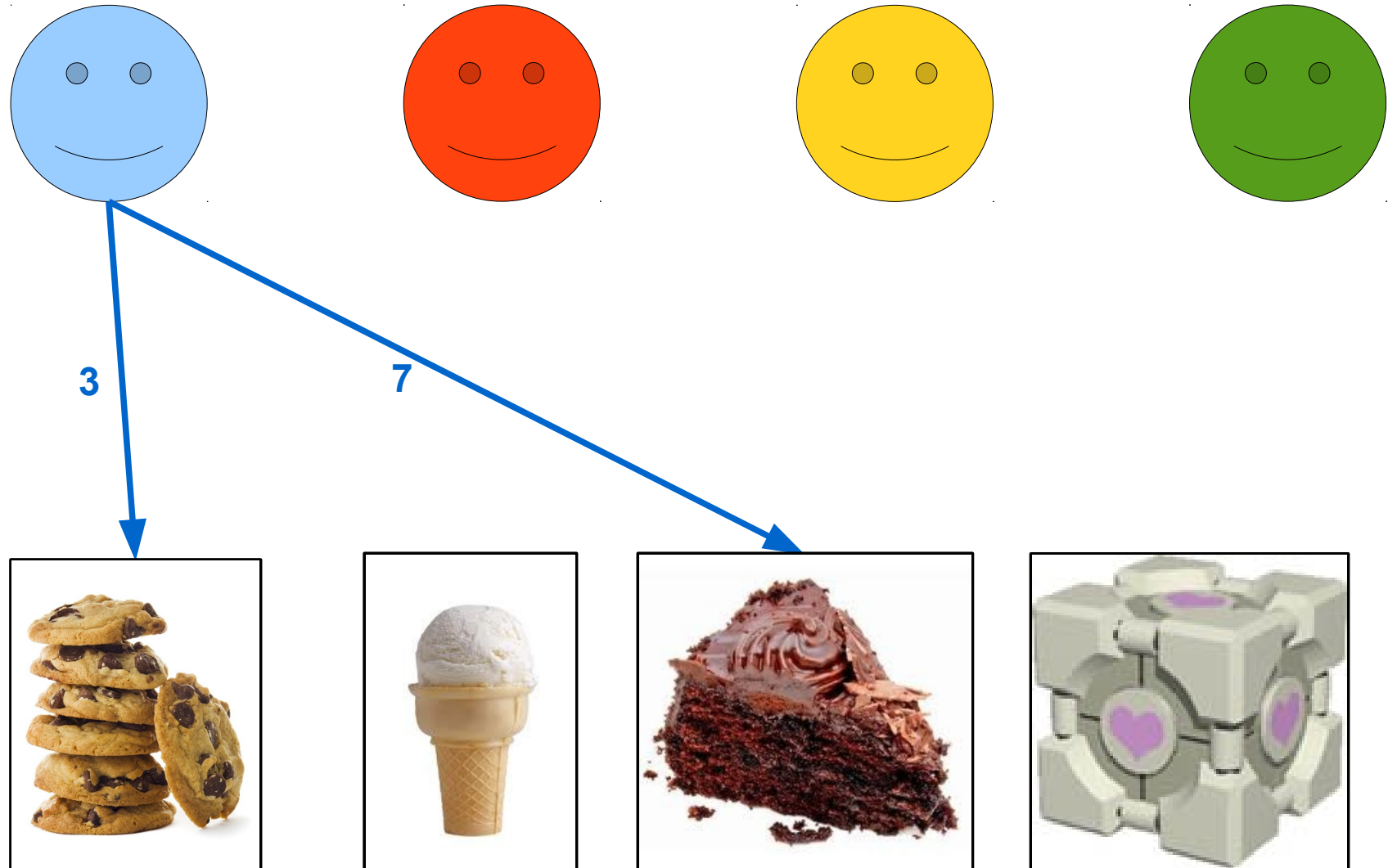
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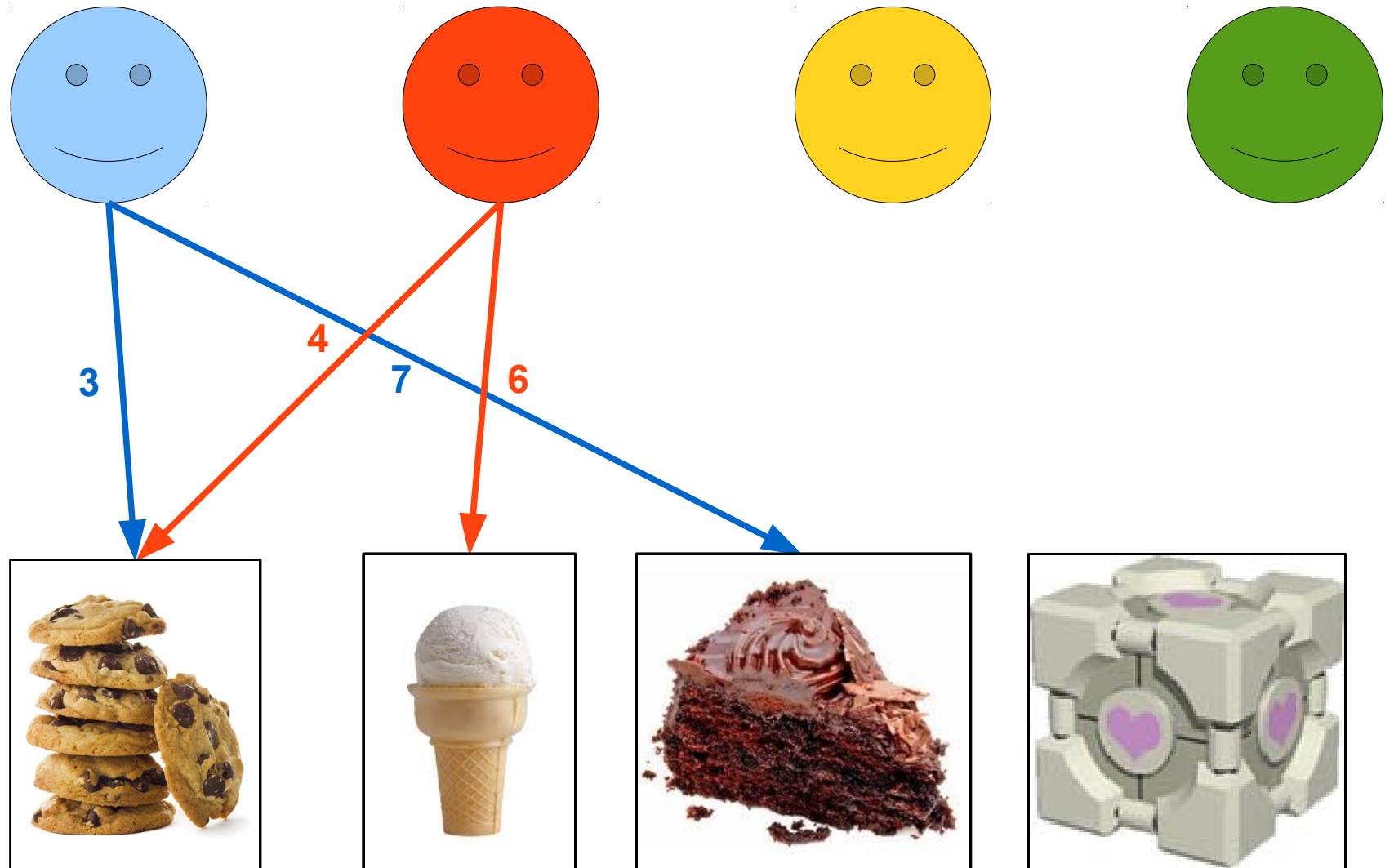
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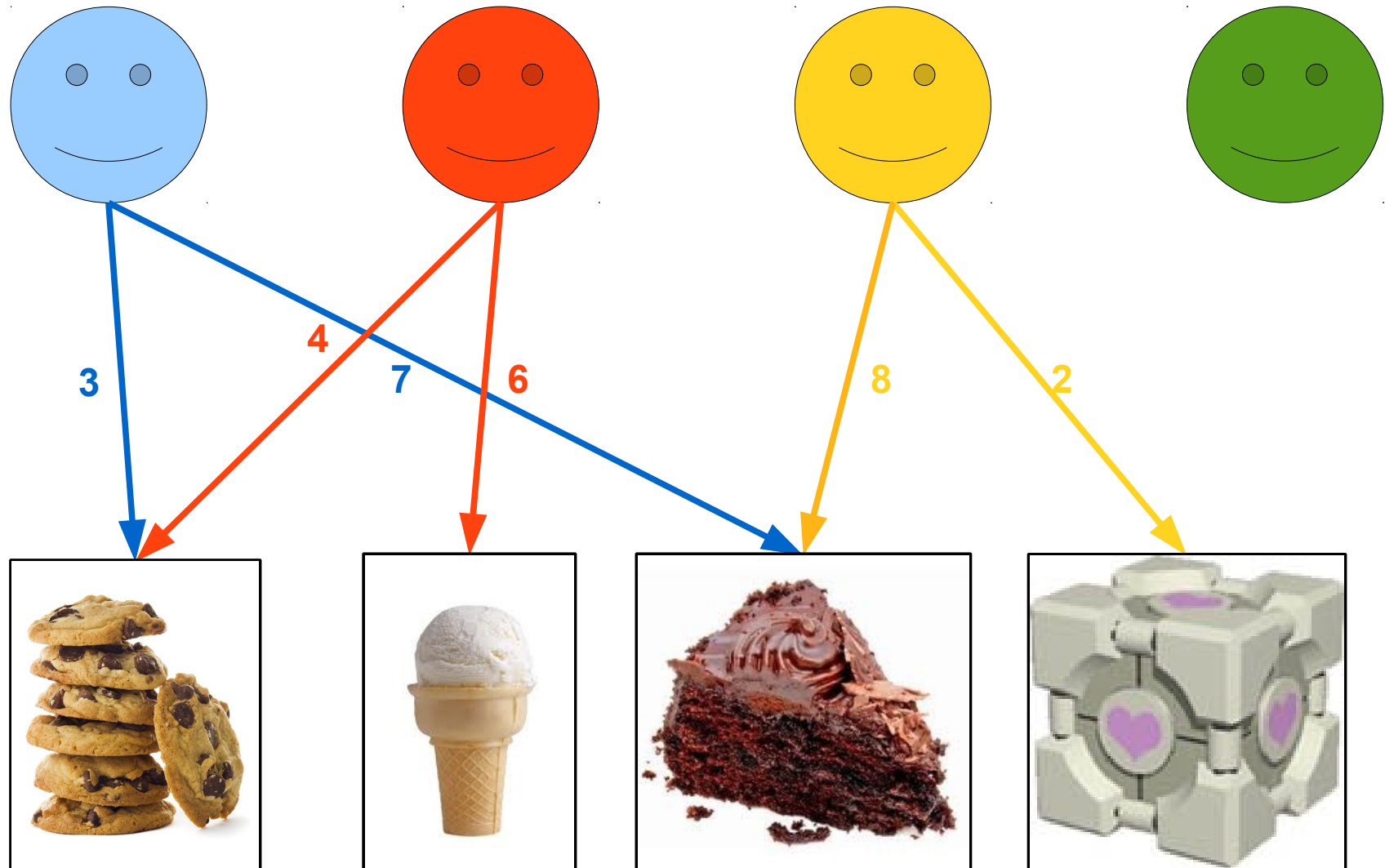
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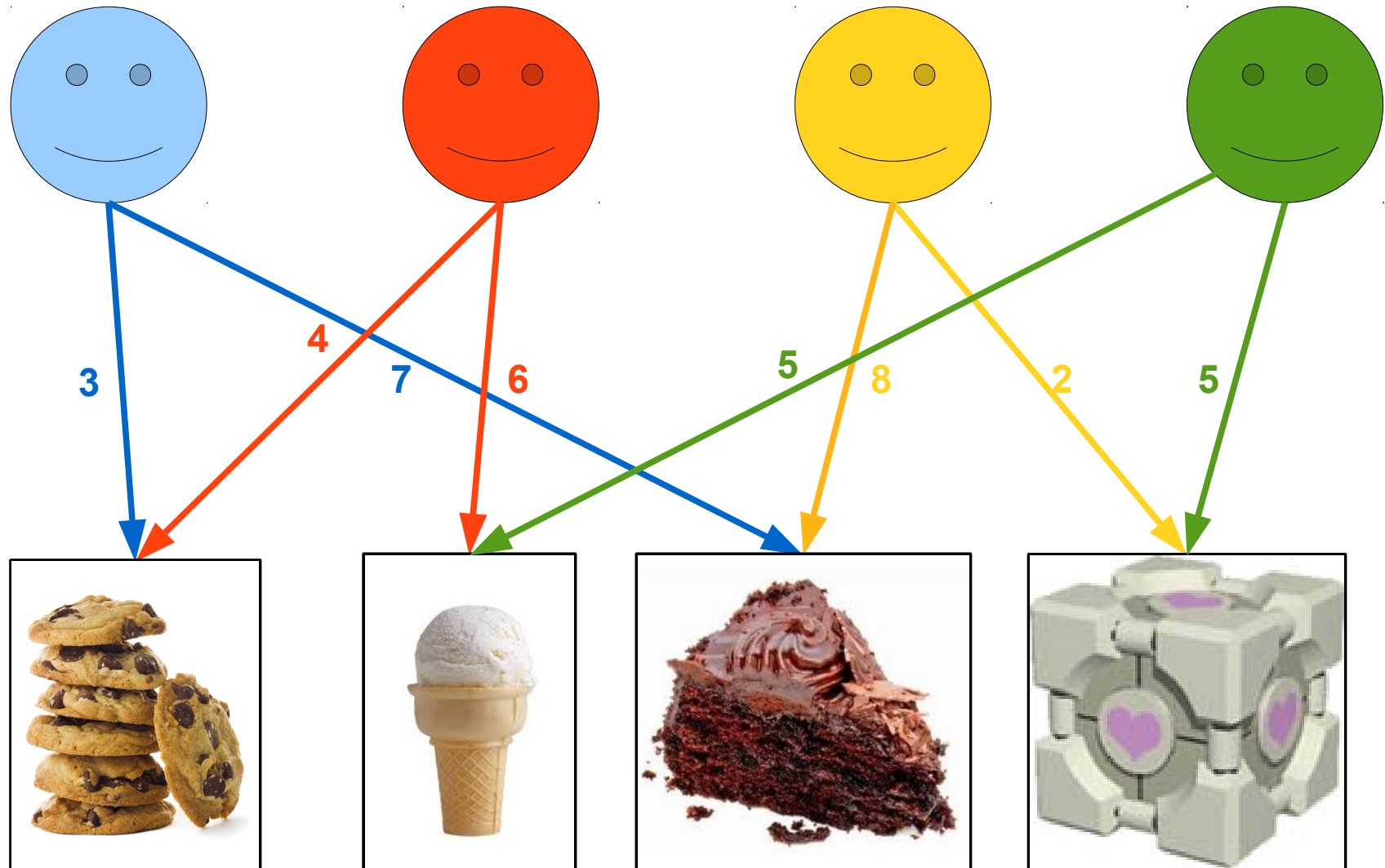
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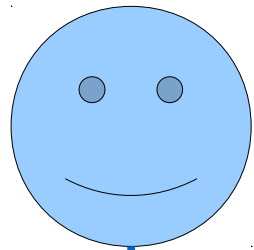
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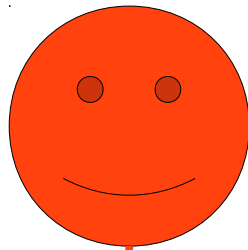
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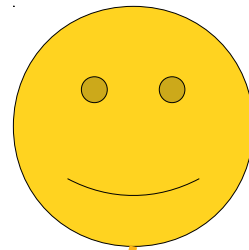
# Divvying Up Desserts



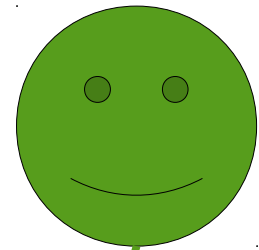
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6



8

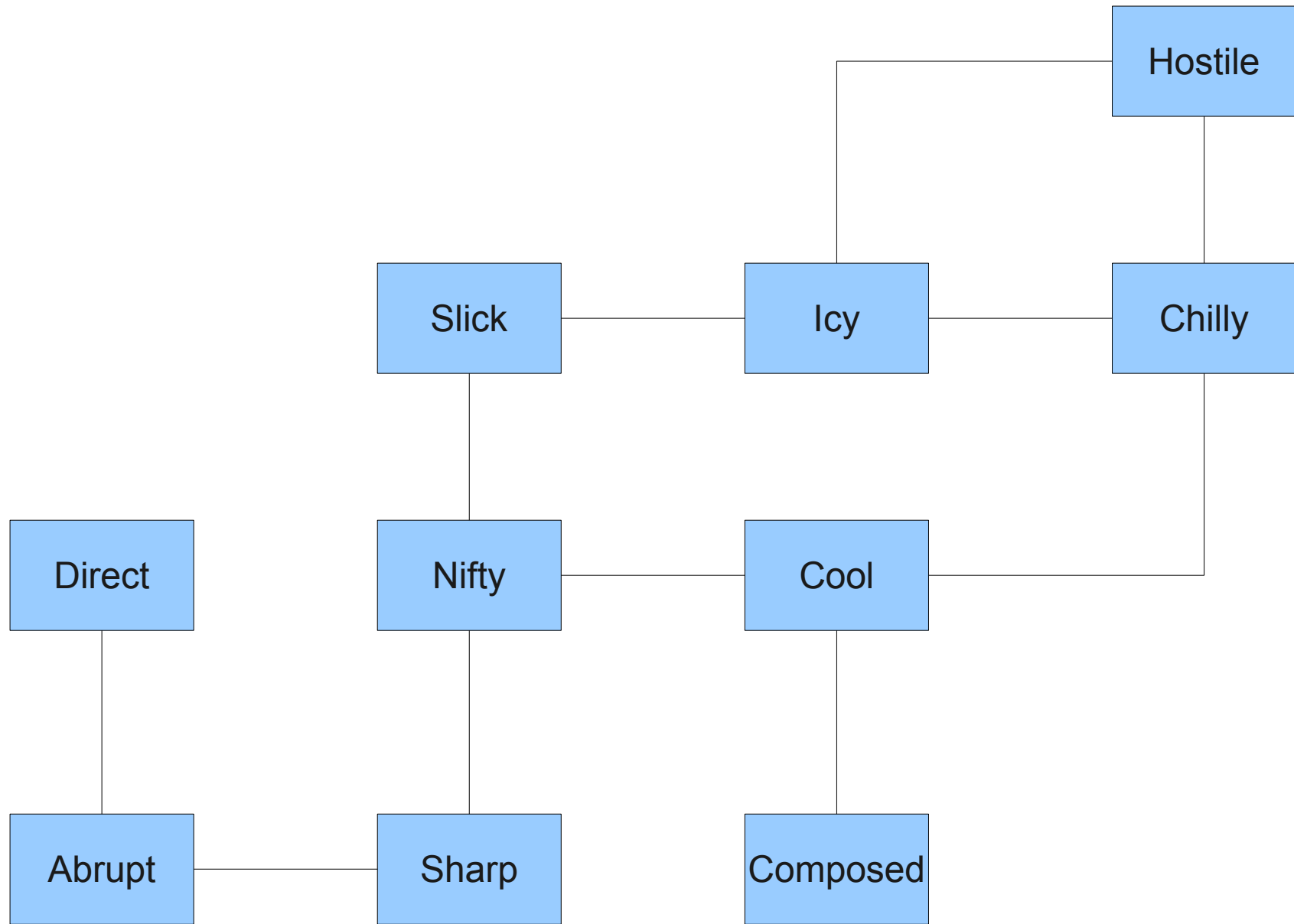


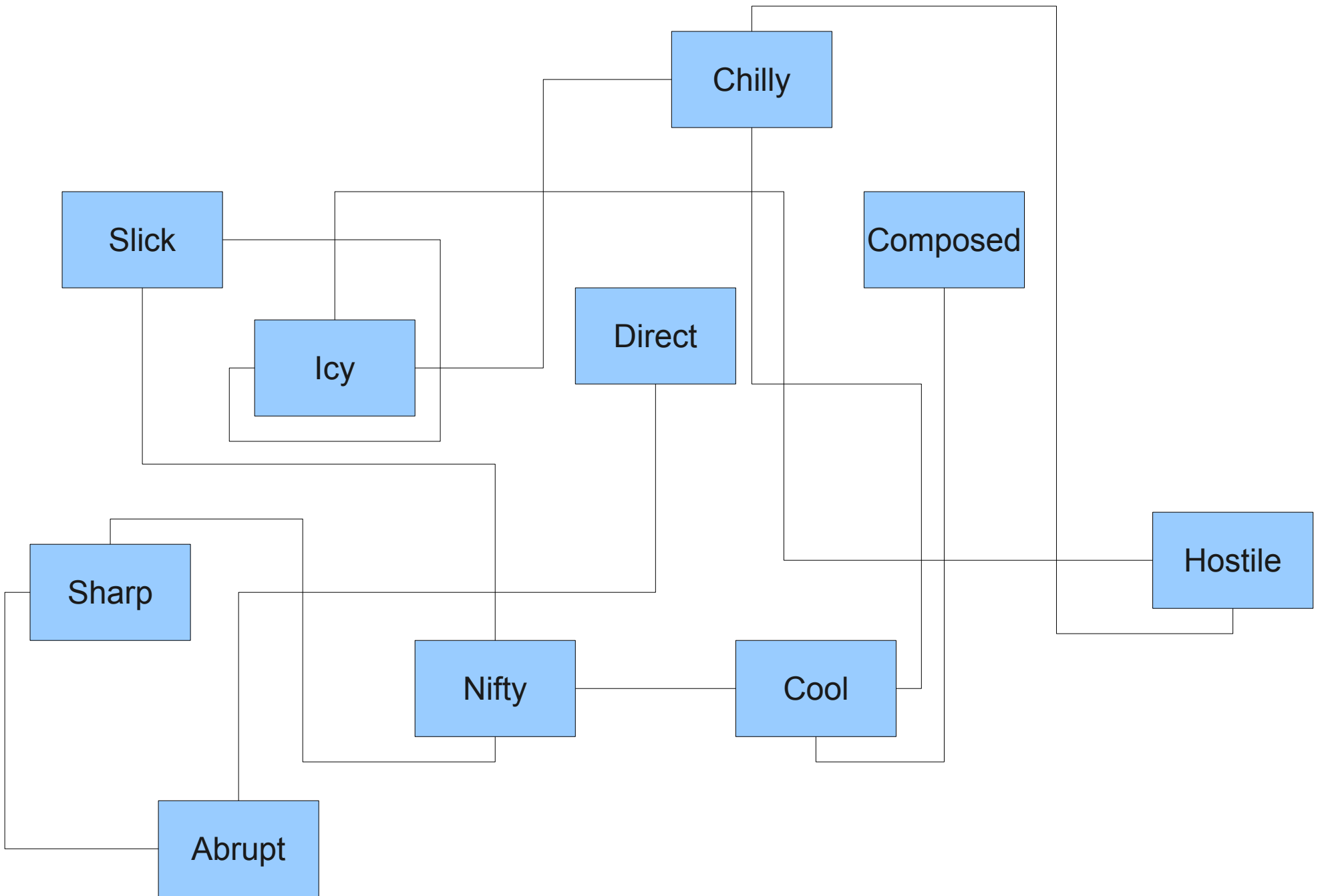
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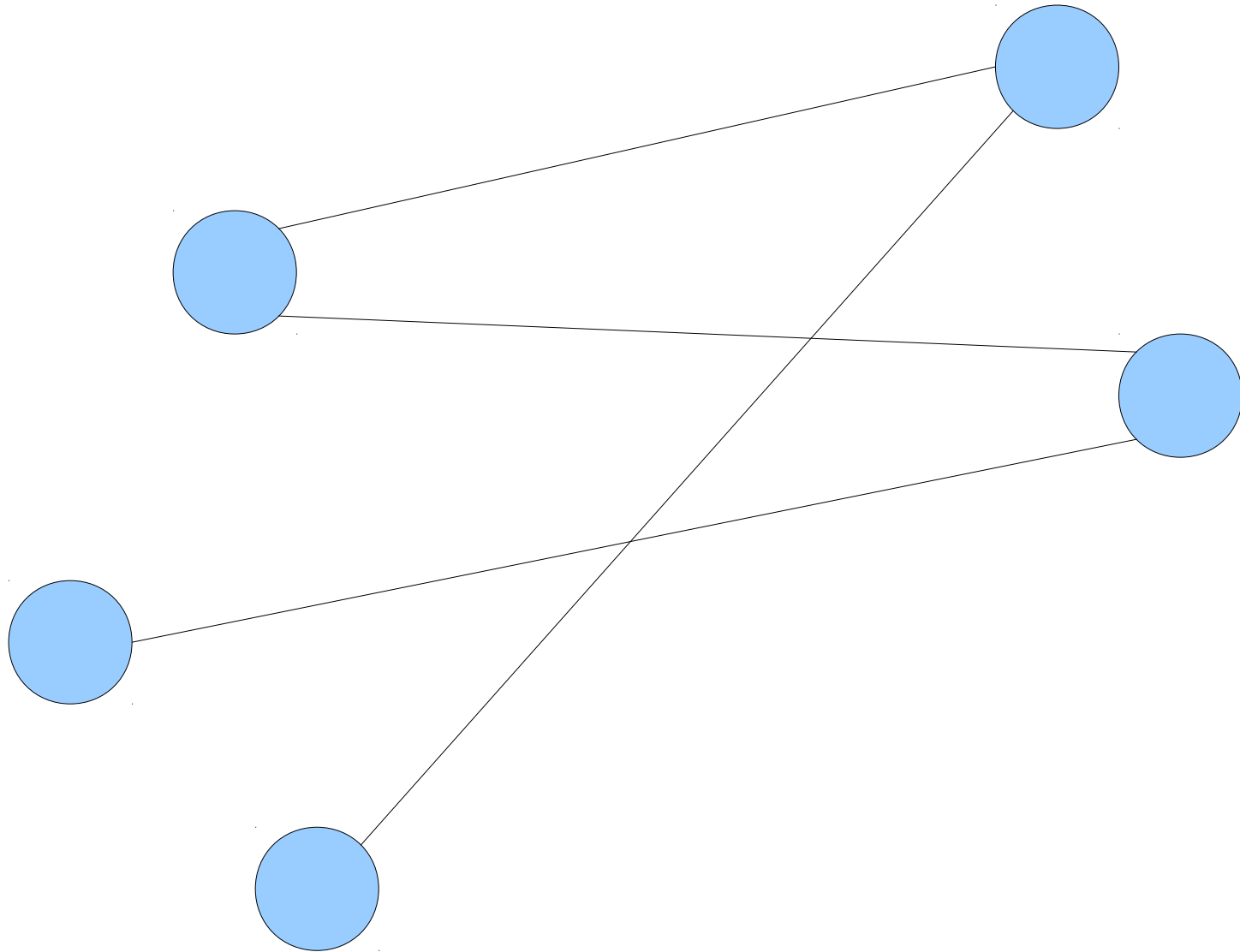


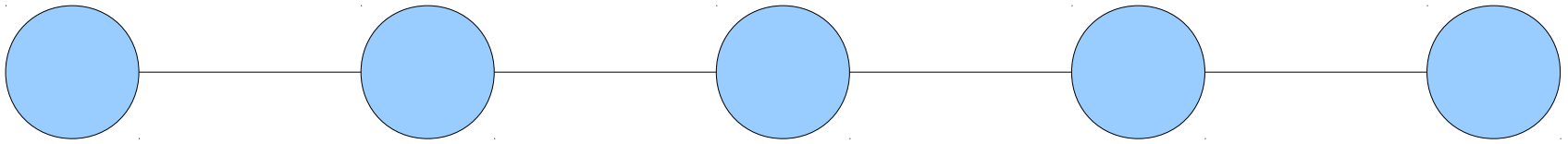
# Drawing Graphs

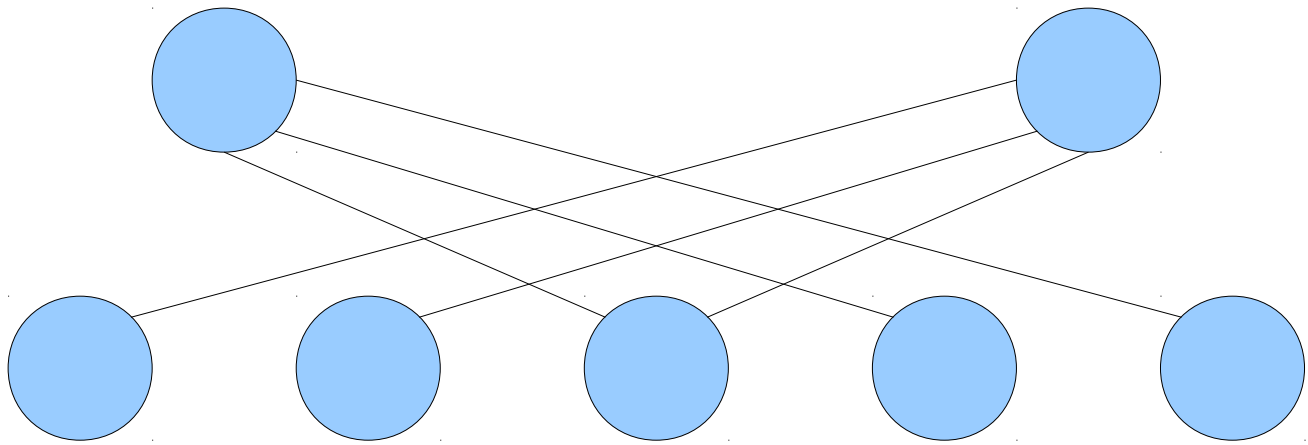


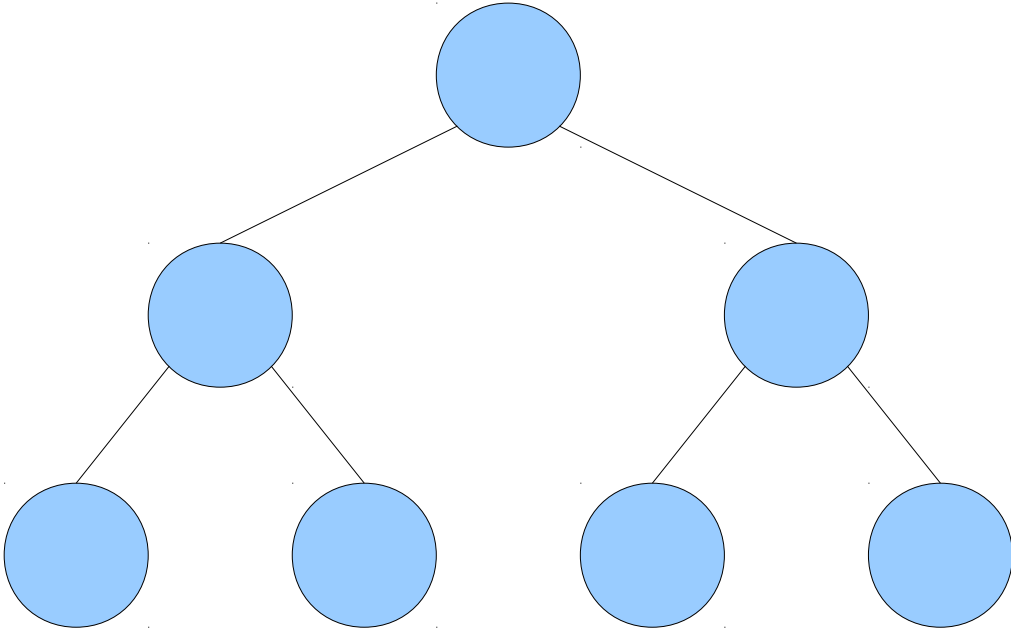












Idea: Treat the graph as a **physical system** that exerts forces on itself.

This is called a **force-directed layout algorithm**.



# Summary

- **Graphs** are a powerful abstraction for modeling **relationships** and **connectivity**.
- **Adjacency lists** and **adjacency matrices** are two common representations of graphs.
- Directed acyclic graphs can be visited via a **topological sort**.
- **Depth-first search** is a simple graph exploration algorithm.
- **Breadth-first search** searches a graph one layer at a time.
- There are many classic algorithms on graphs:
  - **Graph coloring** tries to color nodes so no two nodes of the same color are connected.
  - **Matchings** represent pairing up of graph elements.
  - **Graph drawing** seeks to render aesthetically-pleasing graphs.