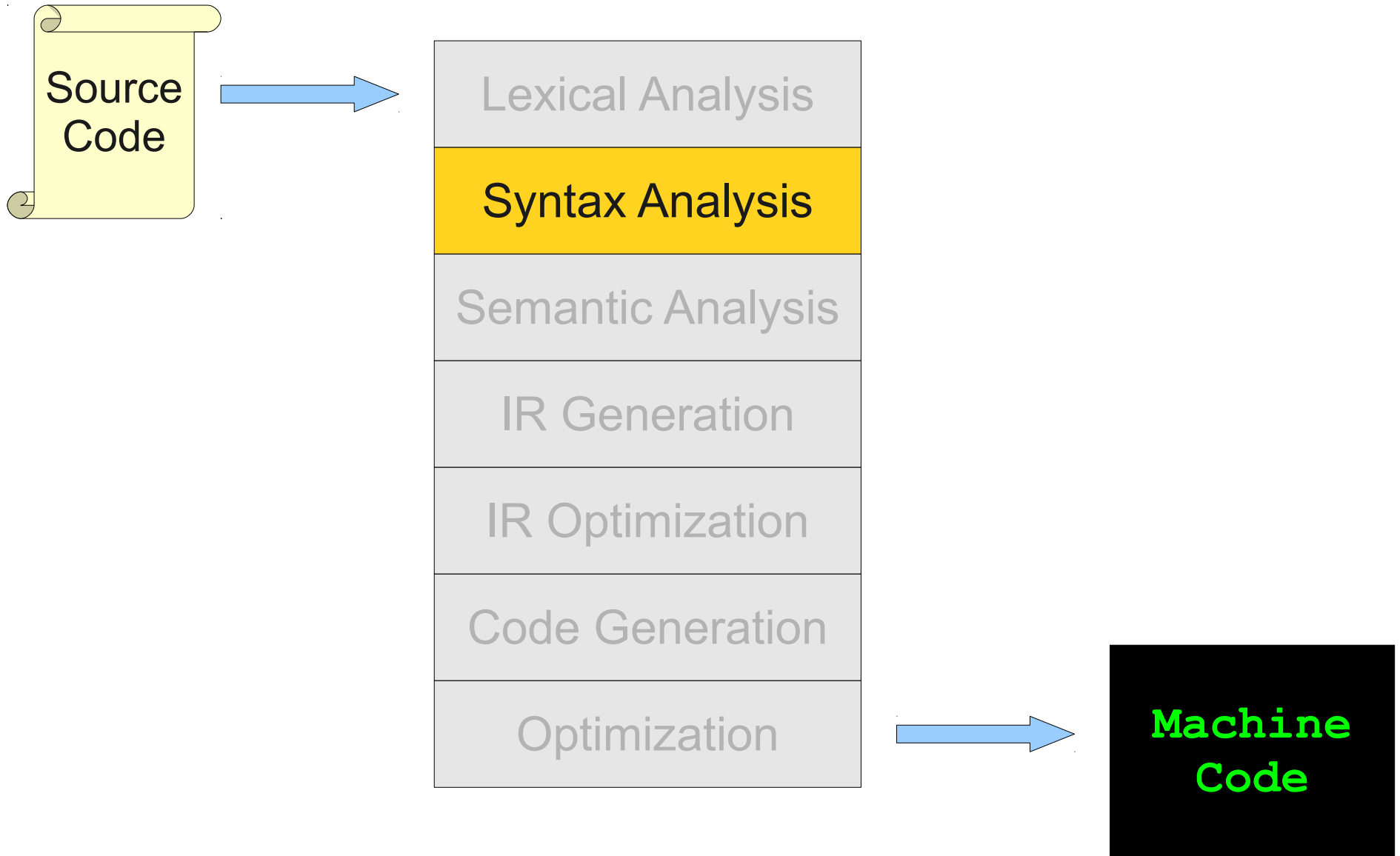


# Bottom-Up Parsing III

# Announcements

- Written Assignment 1 due **5:00PM today**.
- Submit by email or in the drop-off box in the Gates open area (under the Stanford Venture Fund Laboratories entrance); the filing cabinet should be clearly marked and I'll be nearby.
- Programming Project 2 due one week from today at 11:59PM.

# Where We Are



# Recap from Last Time

- Bottom-up parsing is often done with a **handle-finding automaton**.
  - Automaton looks for viable prefixes; algorithm determines whether to shift or reduce.
- LR(0) parsing only works on grammars when reduces are unambiguous.
- LR(1) parsing works on a large number of grammars, but requires too large a parse table to be practical.
- SLR(1) parsing augments LR(0) with one lookahead token when a shift/reduce conflict is found.

# SLR(1) Parsing

$S \rightarrow E$

$E \rightarrow T$

$E \rightarrow T + E$

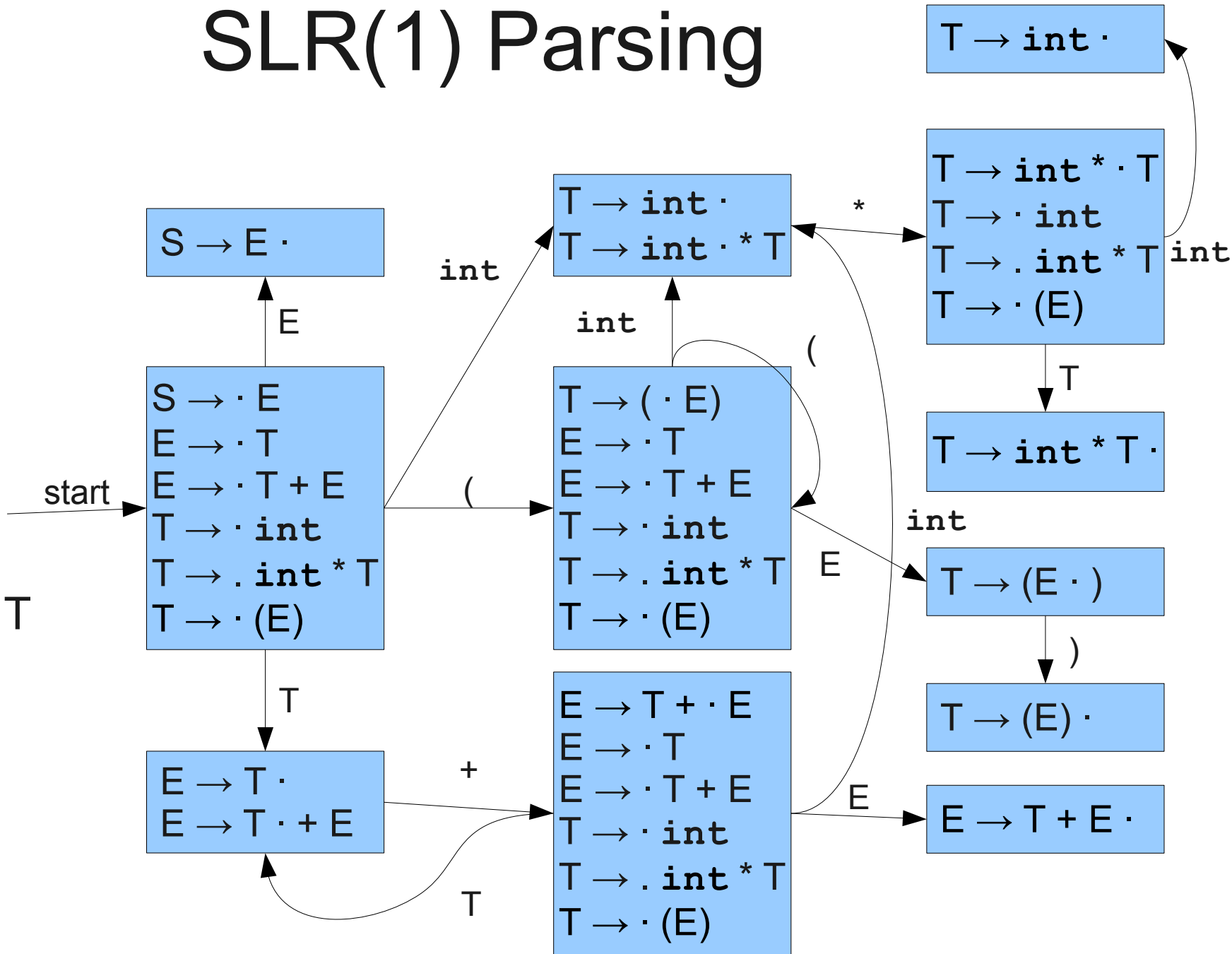
$T \rightarrow \text{int}$

$T \rightarrow (E)$

$T \rightarrow \text{int} * T$

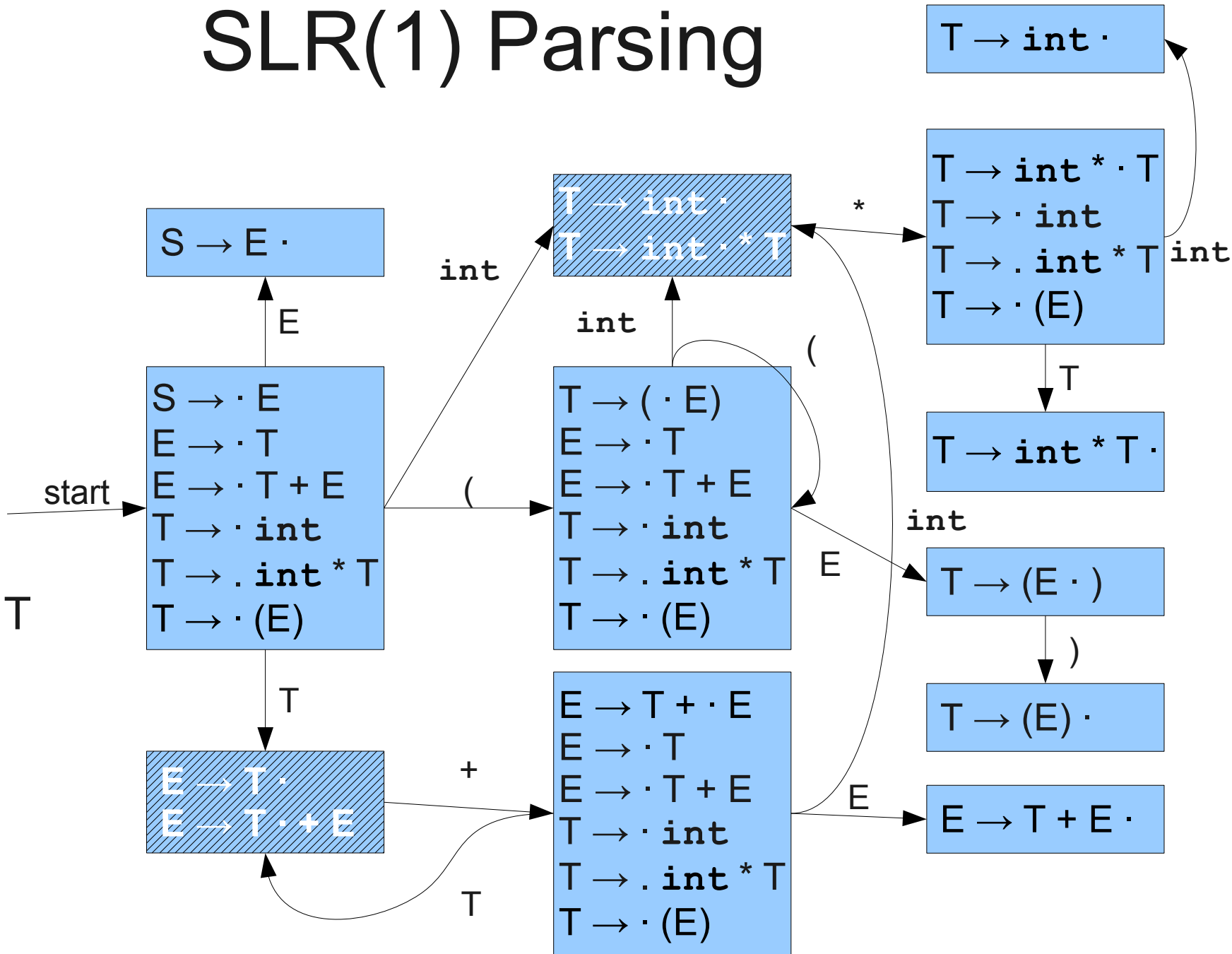
# SLR(1) Parsing

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$   
 $T \rightarrow \text{int} * T$



# SLR(1) Parsing

$S \rightarrow E$   
 $E \rightarrow T$   
 $E \rightarrow T + E$   
 $T \rightarrow \text{int}$   
 $T \rightarrow (E)$   
 $T \rightarrow \text{int} * T$



# Review of SLR(1)

- Construct an LR(0) automaton.
- Only reduce  $A \rightarrow v \cdot$  if the next lookahead token is in  $FOLLOW(A)$ .
- Intuitively, don't reduce when there's no way that the reduction could produce  $A$ .



# The Limits of SLR(1)

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow \mathbf{id}$   
 $L \rightarrow \mathbf{*R}$   
 $R \rightarrow L$

# The Limits of SLR(1)

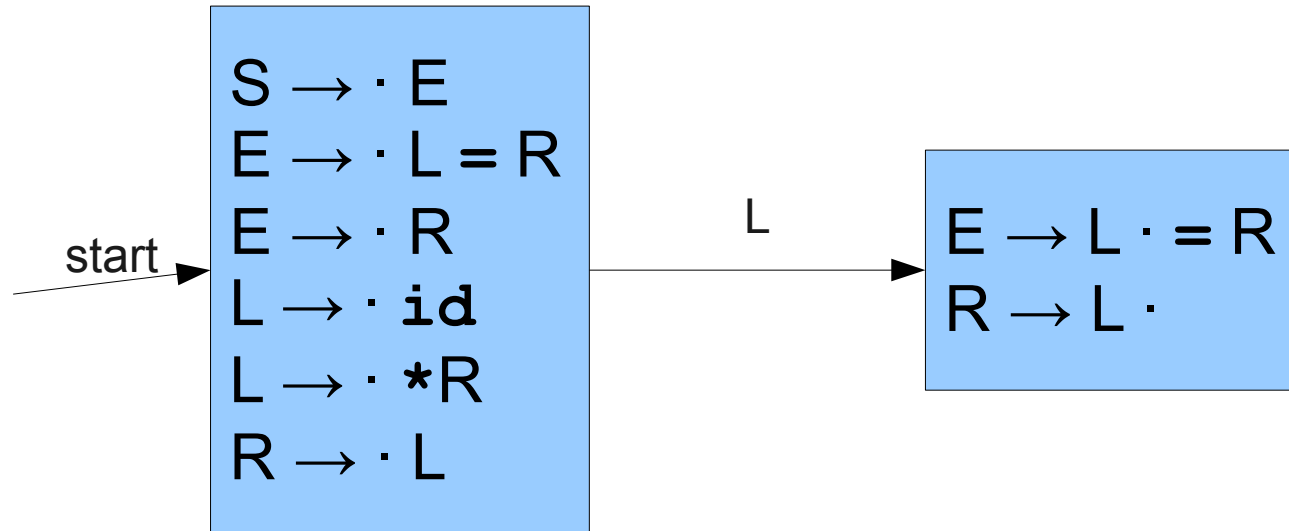
$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$

start →

$S \rightarrow \cdot E$   
 $E \rightarrow \cdot L = R$   
 $E \rightarrow \cdot R$   
 $L \rightarrow \cdot id$   
 $L \rightarrow \cdot *R$   
 $R \rightarrow \cdot L$

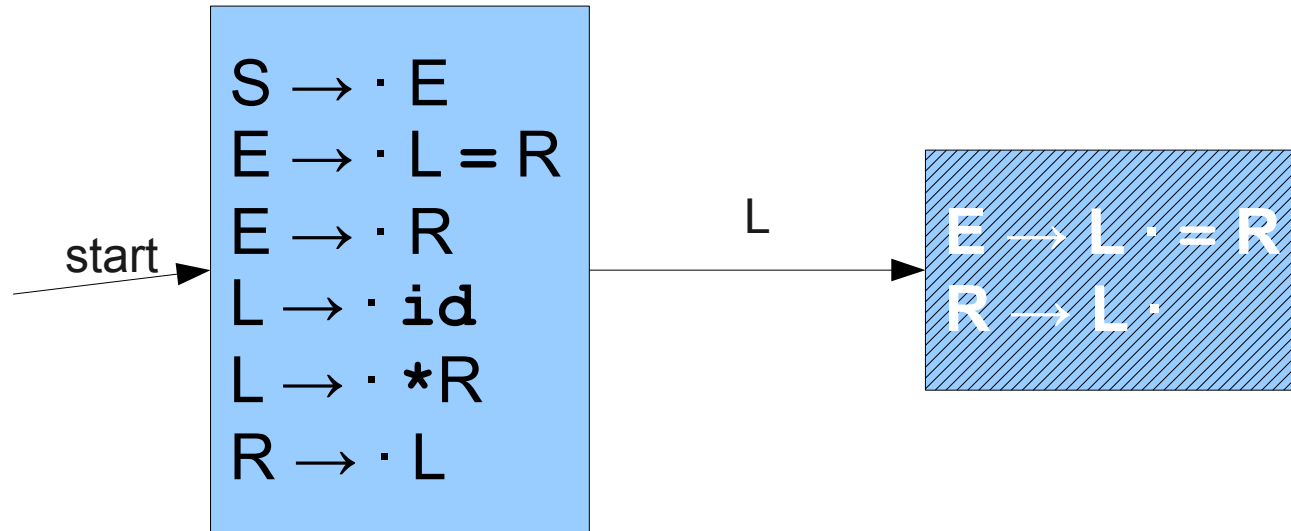
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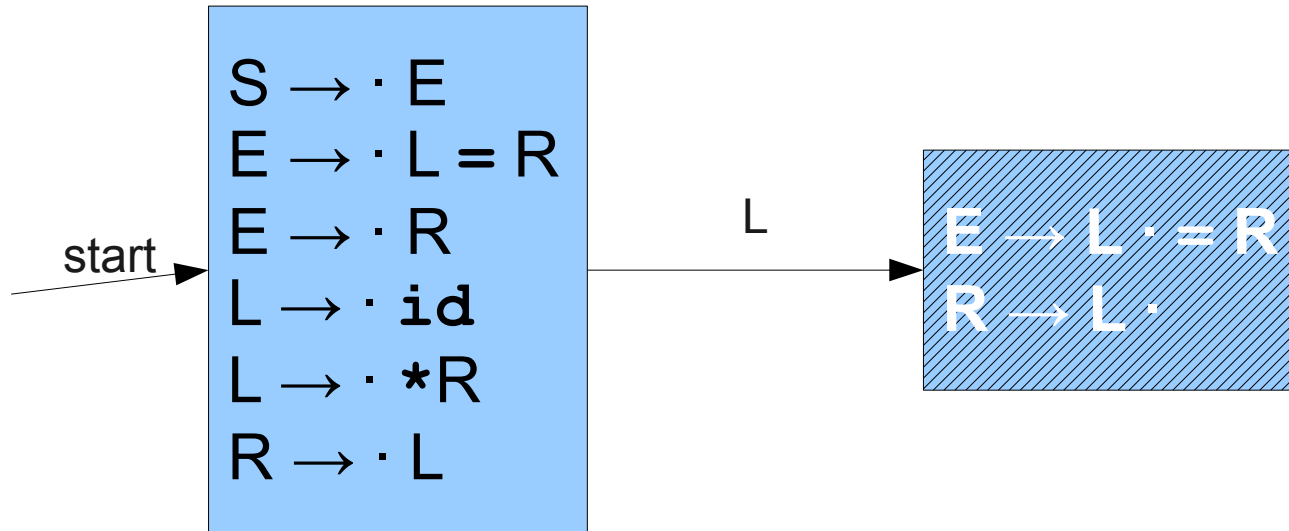
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# The Limits of SLR(1)

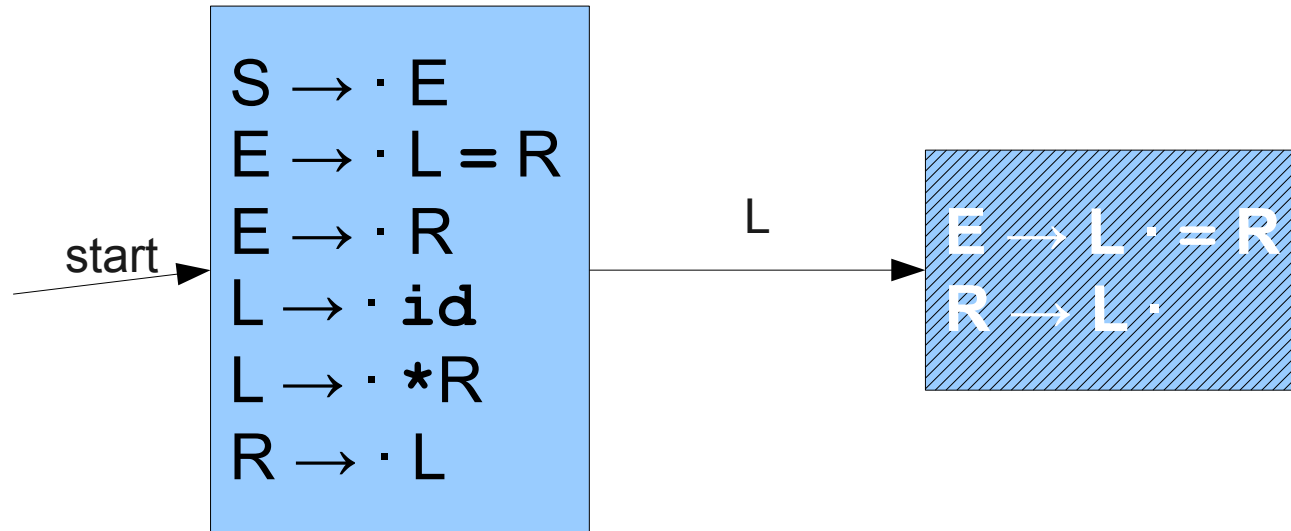
$S \rightarrow E$   
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$E \rightarrow L \cdot = R$  tells us to shift on seeing =  
 $R \rightarrow L \cdot$  tells us to reduce on FOLLOW(R).

# The Limits of SLR(1)

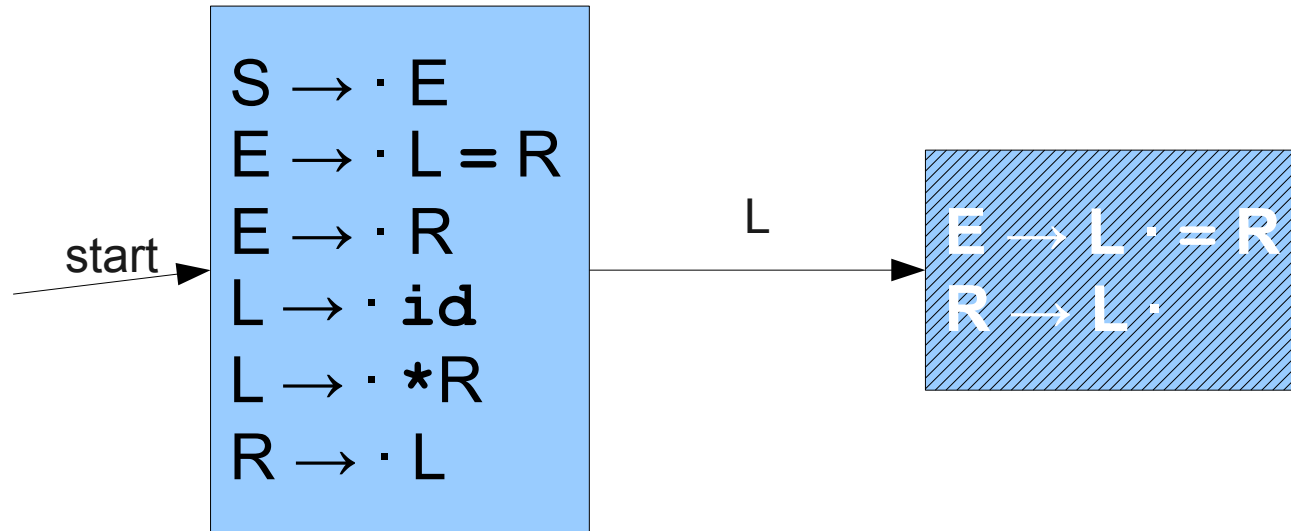
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$E \rightarrow L \cdot = R$  tells us to shift on seeing =  
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# The Limits of SLR(1)

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$E \rightarrow L \cdot = R$  tells us to shift on seeing =  
 $R \rightarrow L \cdot$  tells us to reduce on FOLLOW(R).

**We have a shift/reduce conflict!**

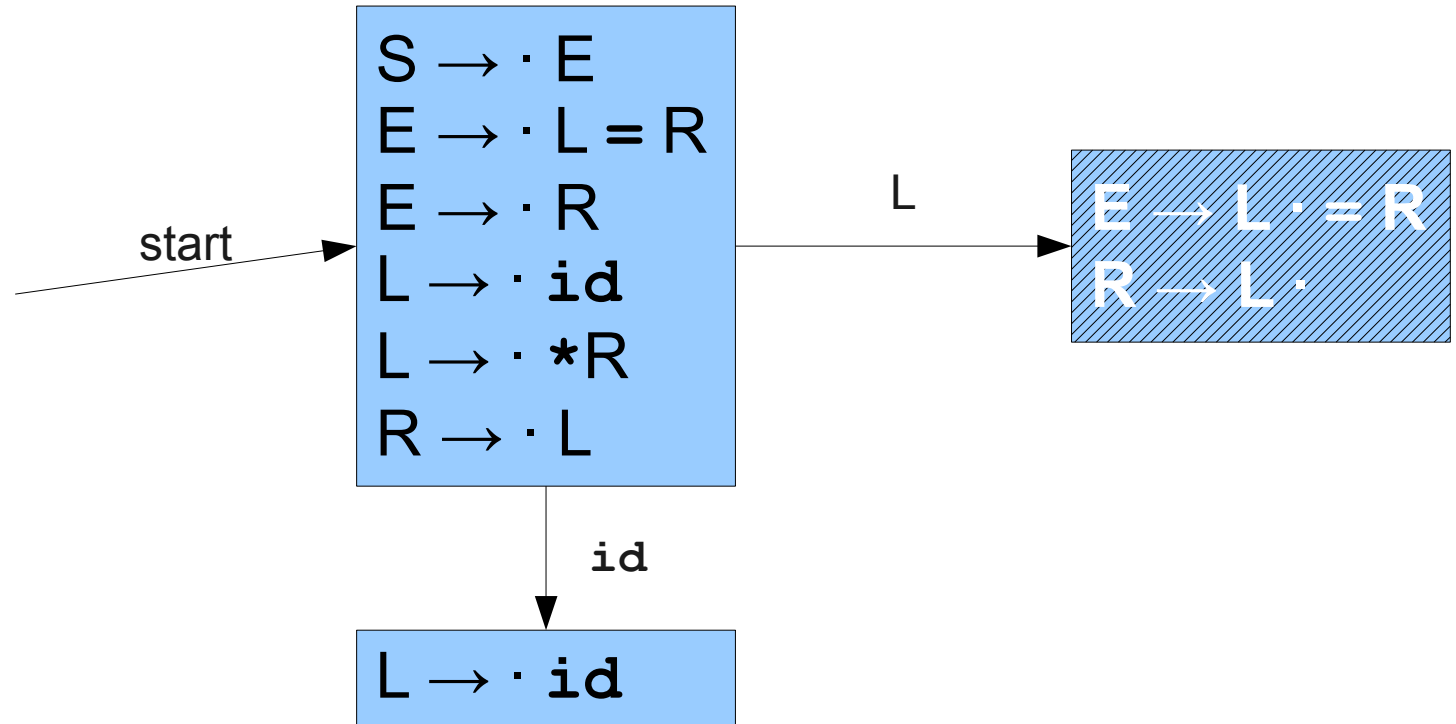
# Why is SLR(1) Weak?

- With LR(1), incredible contextual information.
  - Lookaheads at each state only possible after applying the productions that could get us there.
- With SLR(1), **no** contextual information.
  - FOLLOW(A) means “what could follow A **somewhere** in the grammar?,” even if in a particular state A couldn't possibly have that symbol after it.



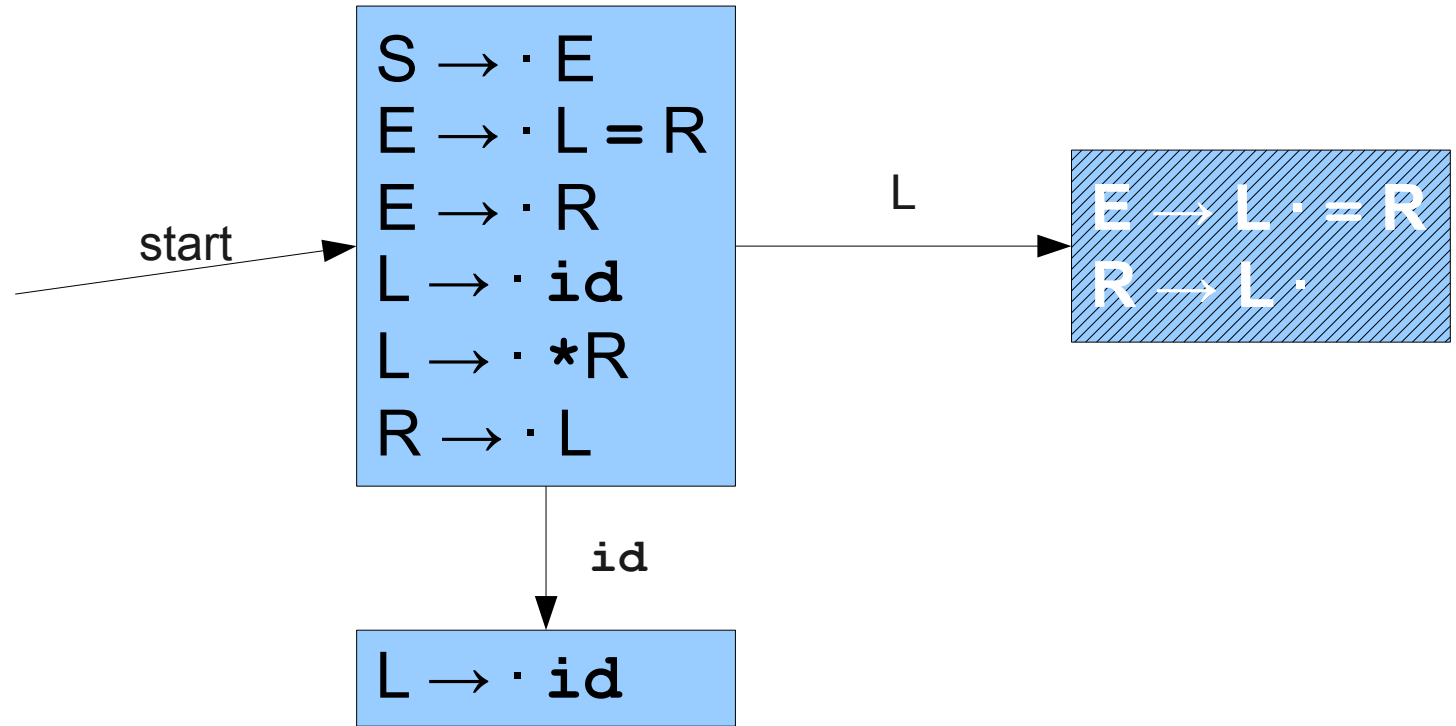
# A Lack of Context

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



# A Lack of Context

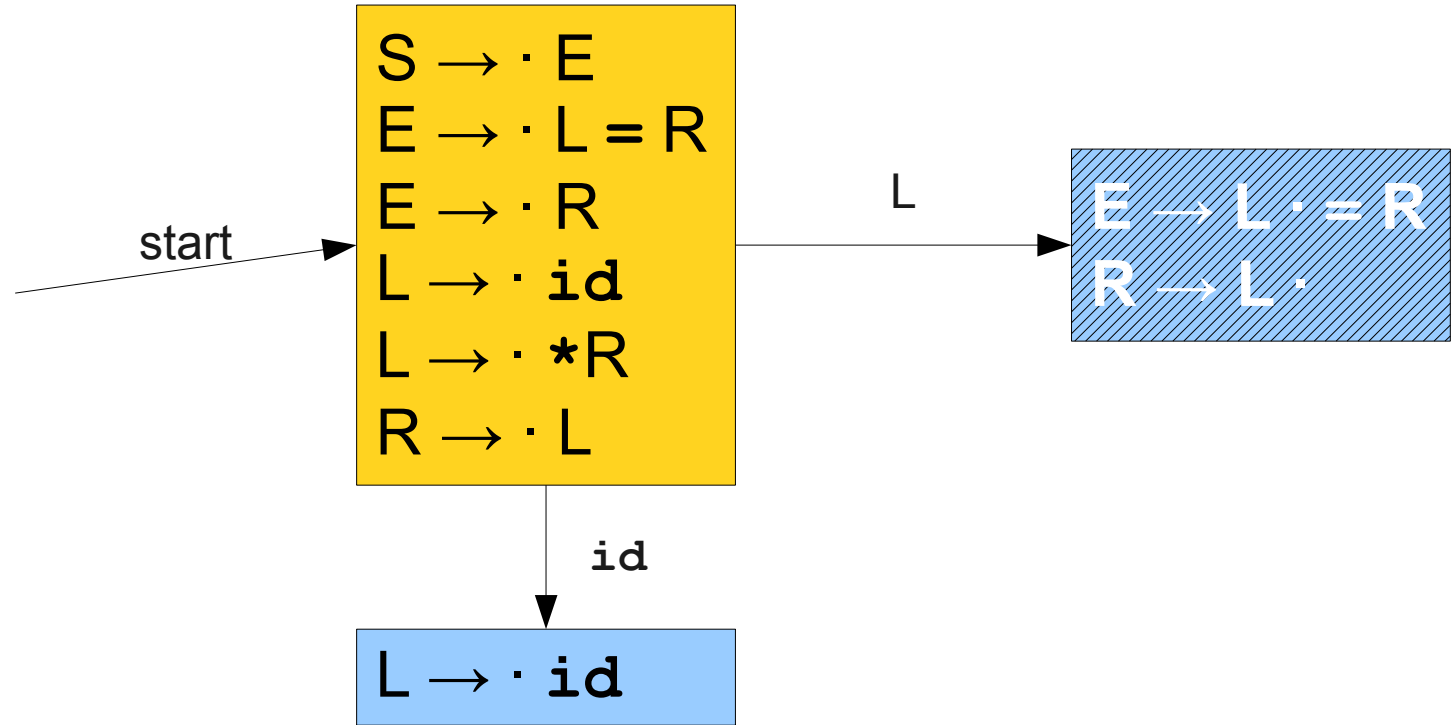
$S \rightarrow E$   
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| id = \* id

# A Lack of Context

$S \rightarrow E$   
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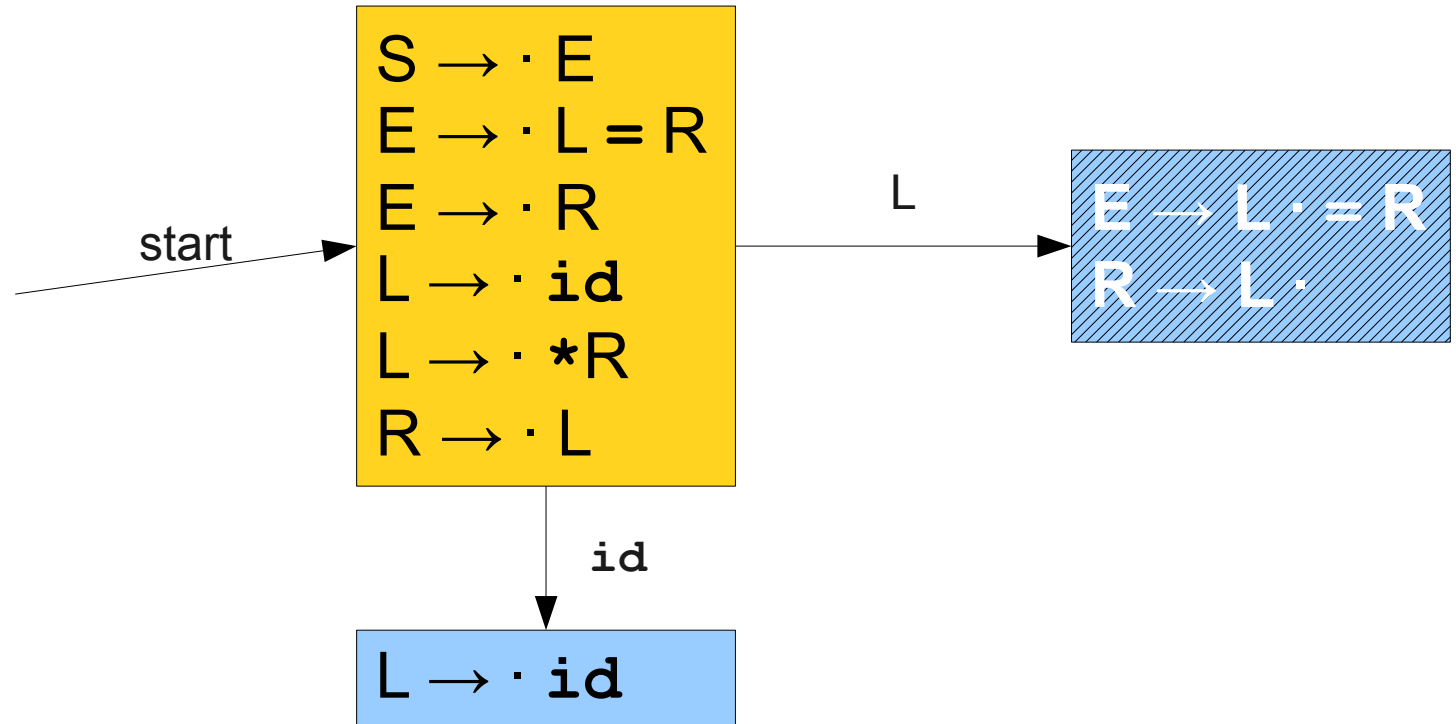


**|**

id	=	*	id
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# A Lack of Context

$S \rightarrow E$   
 $E \rightarrow L = R$   
 $E \rightarrow R$   
 $L \rightarrow id$   
 $L \rightarrow *R$   
 $R \rightarrow L$



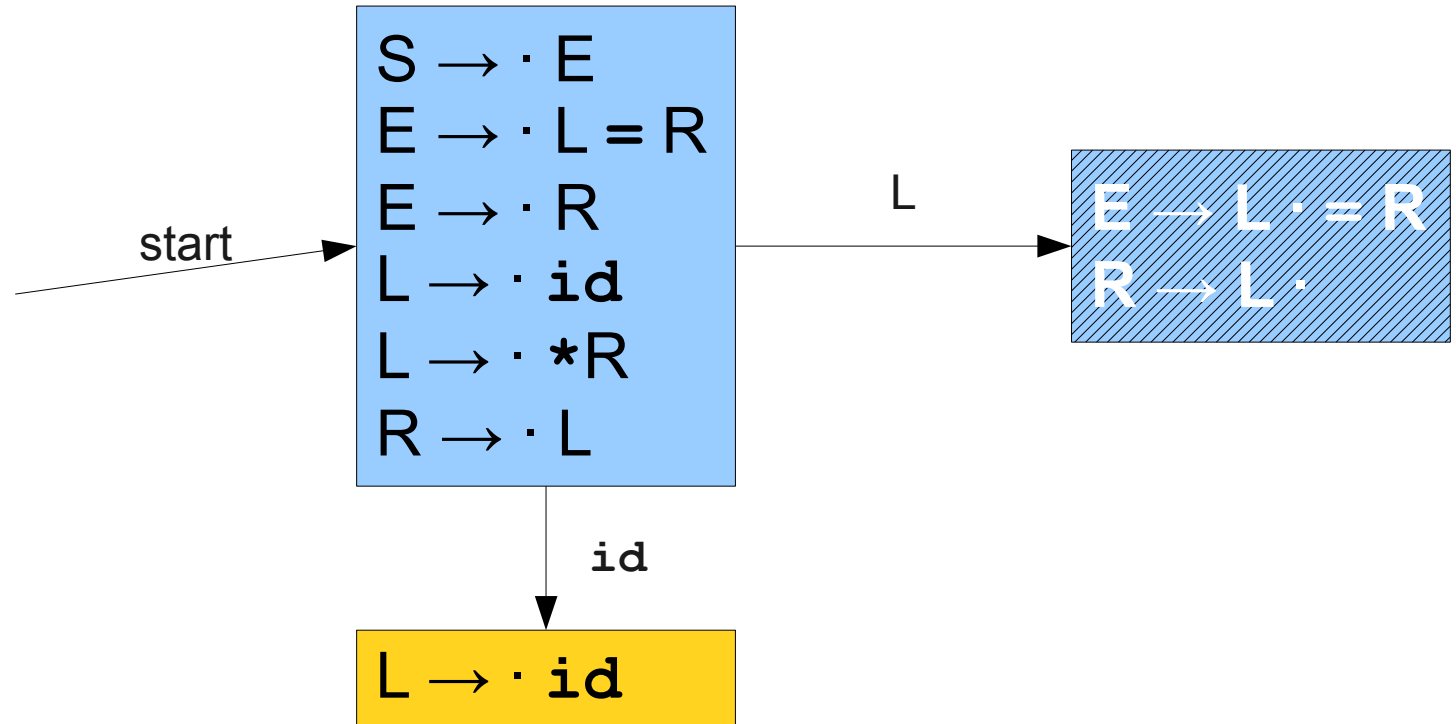
id
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 | 

=	*	id
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# A Lack of Context

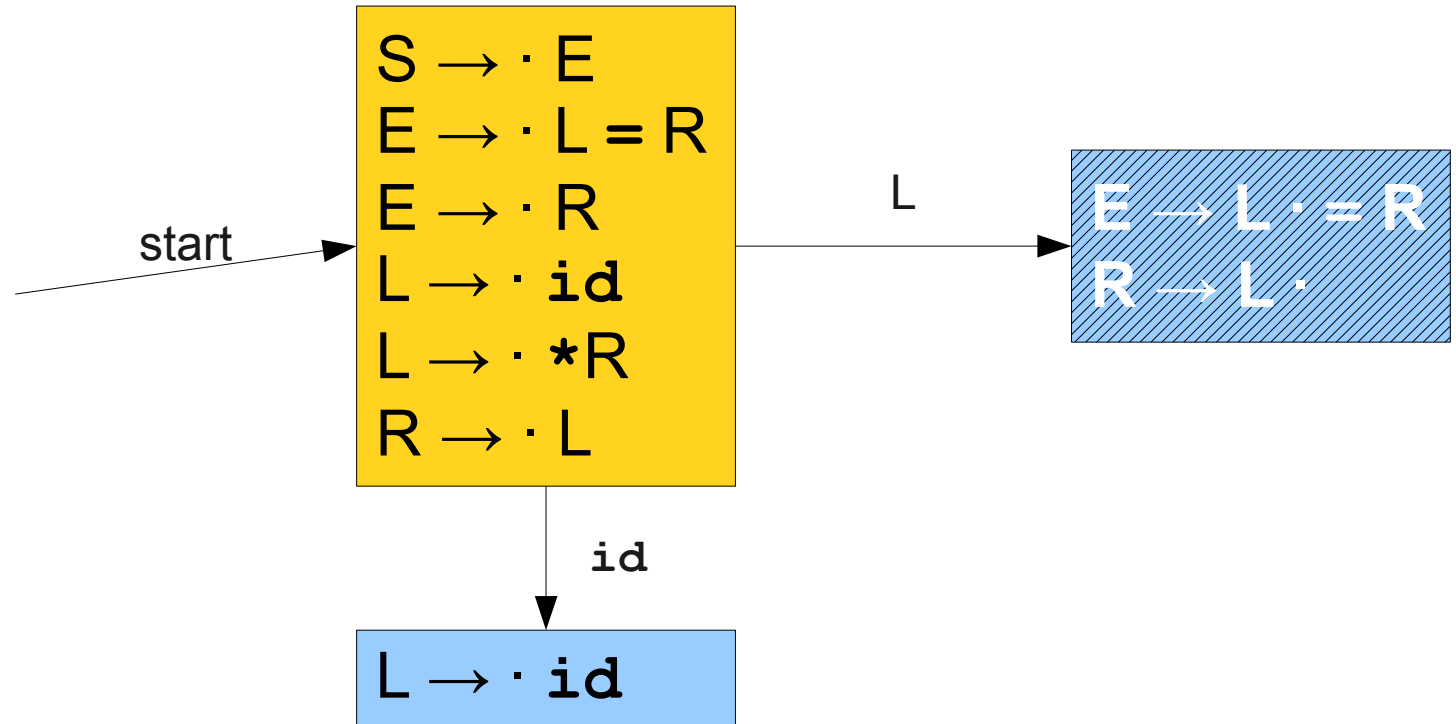
$S \rightarrow E$   
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 $R \rightarrow L$



id | = \* id

# A Lack of Context

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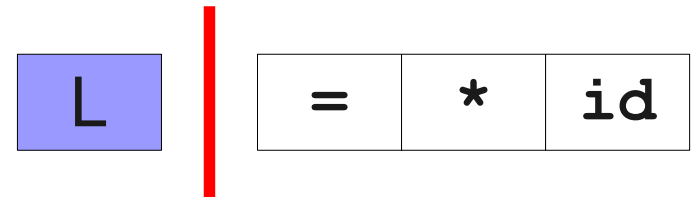
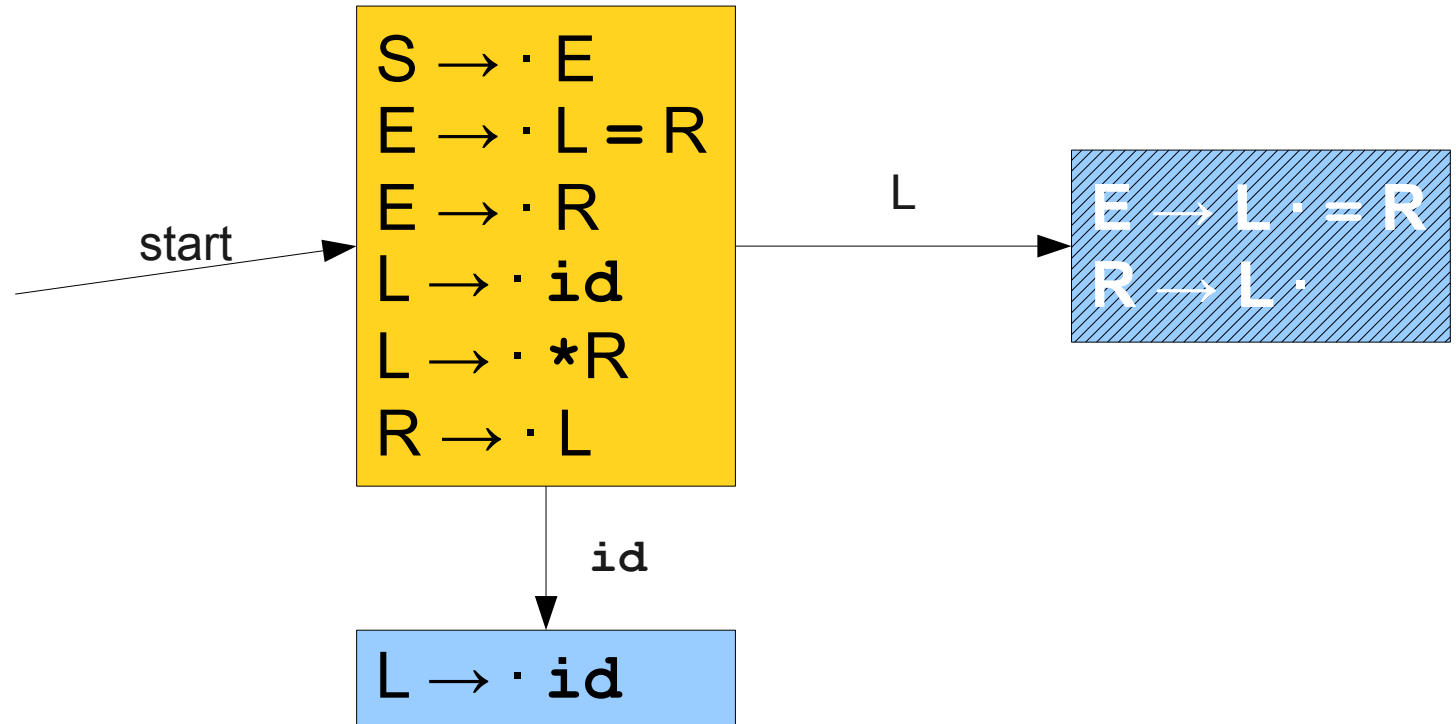


|

=	*	id
---	---	----

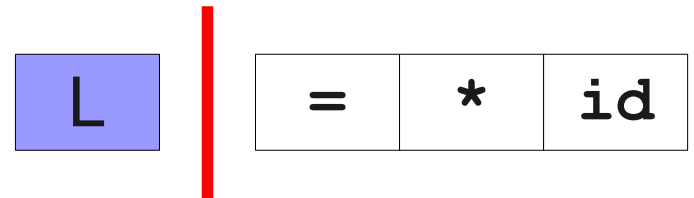
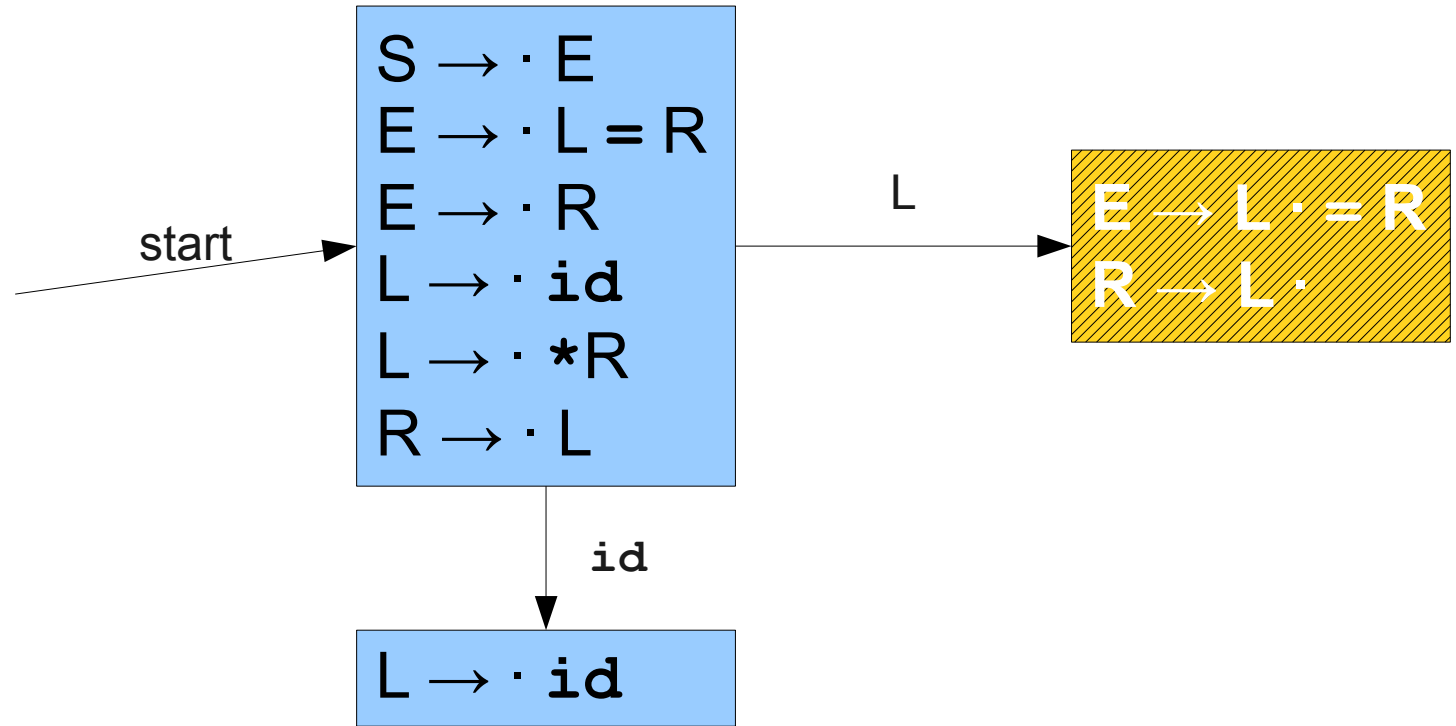
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# A Lack of Context

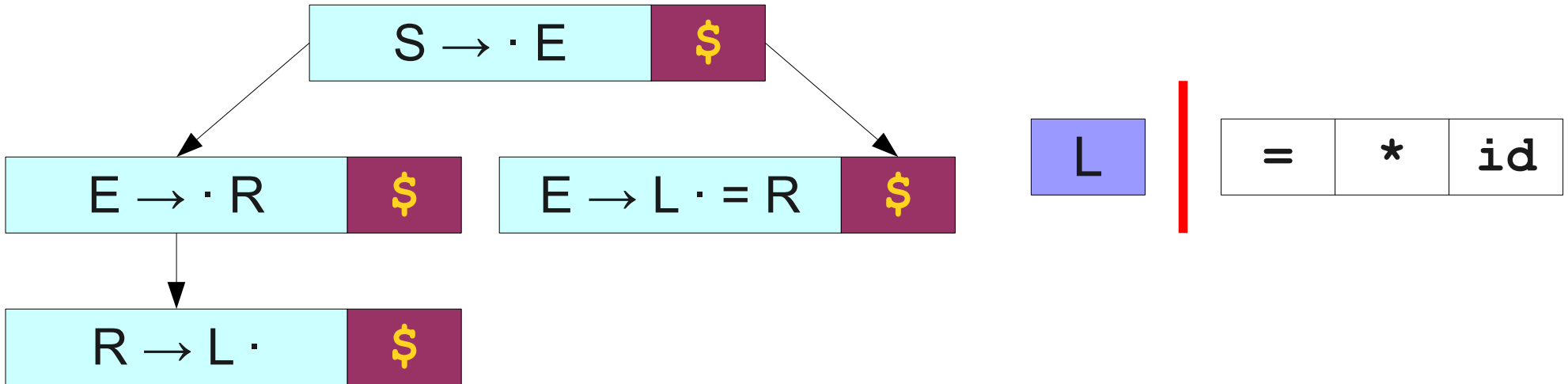
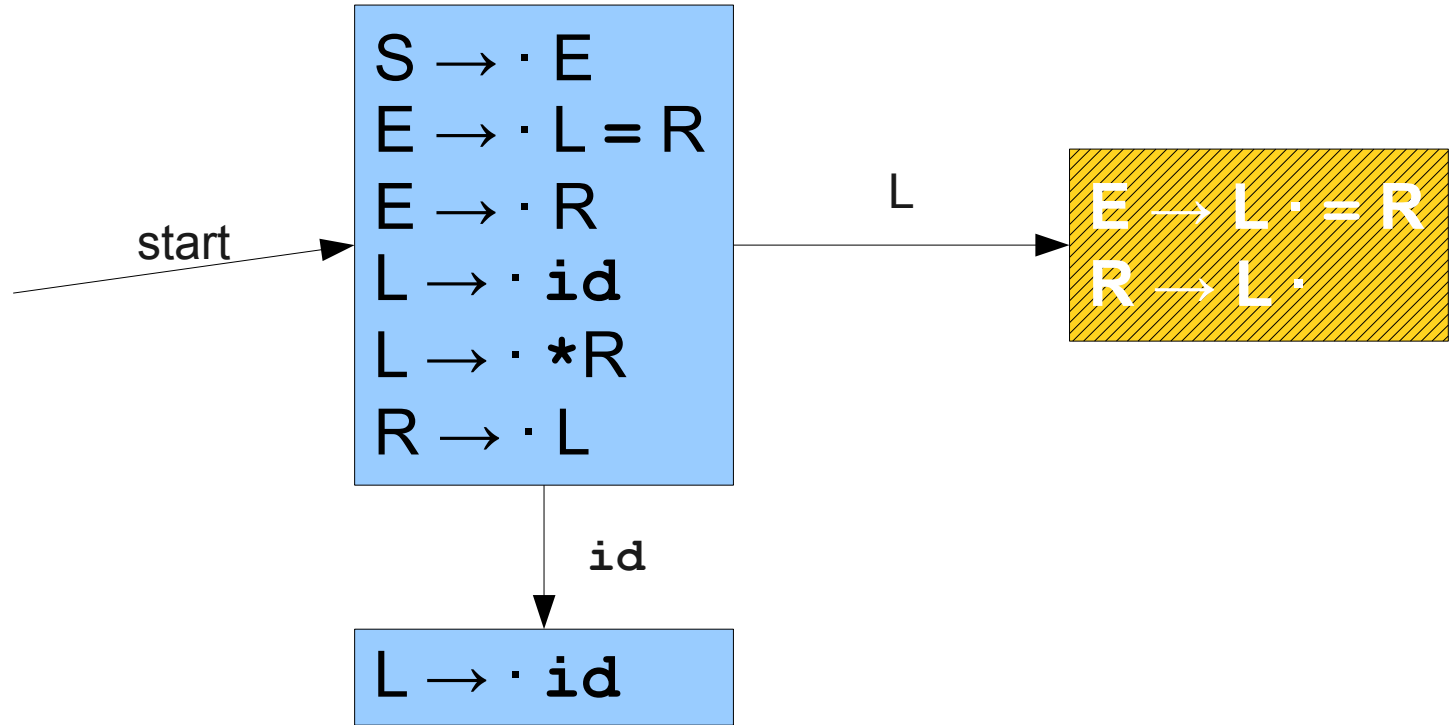
$S \rightarrow E$   
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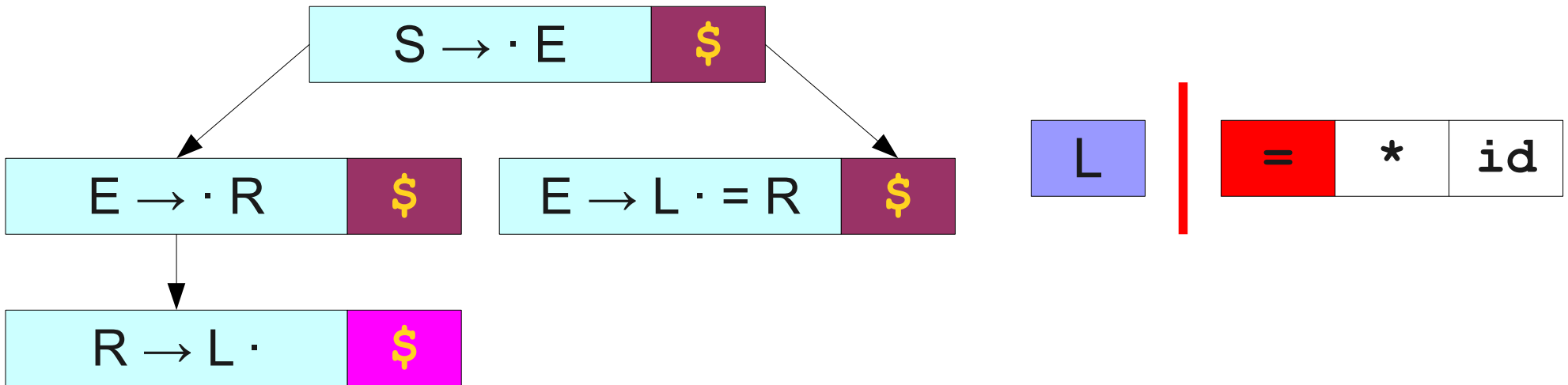
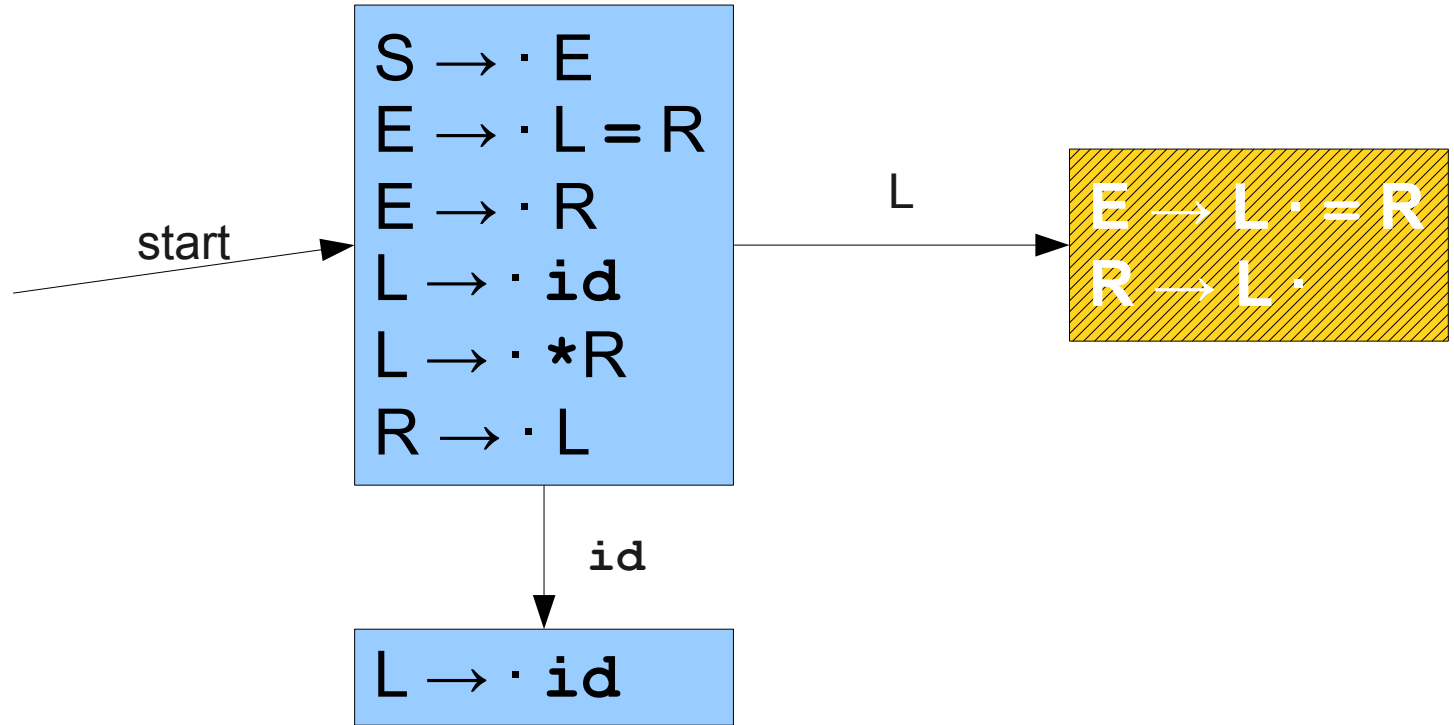
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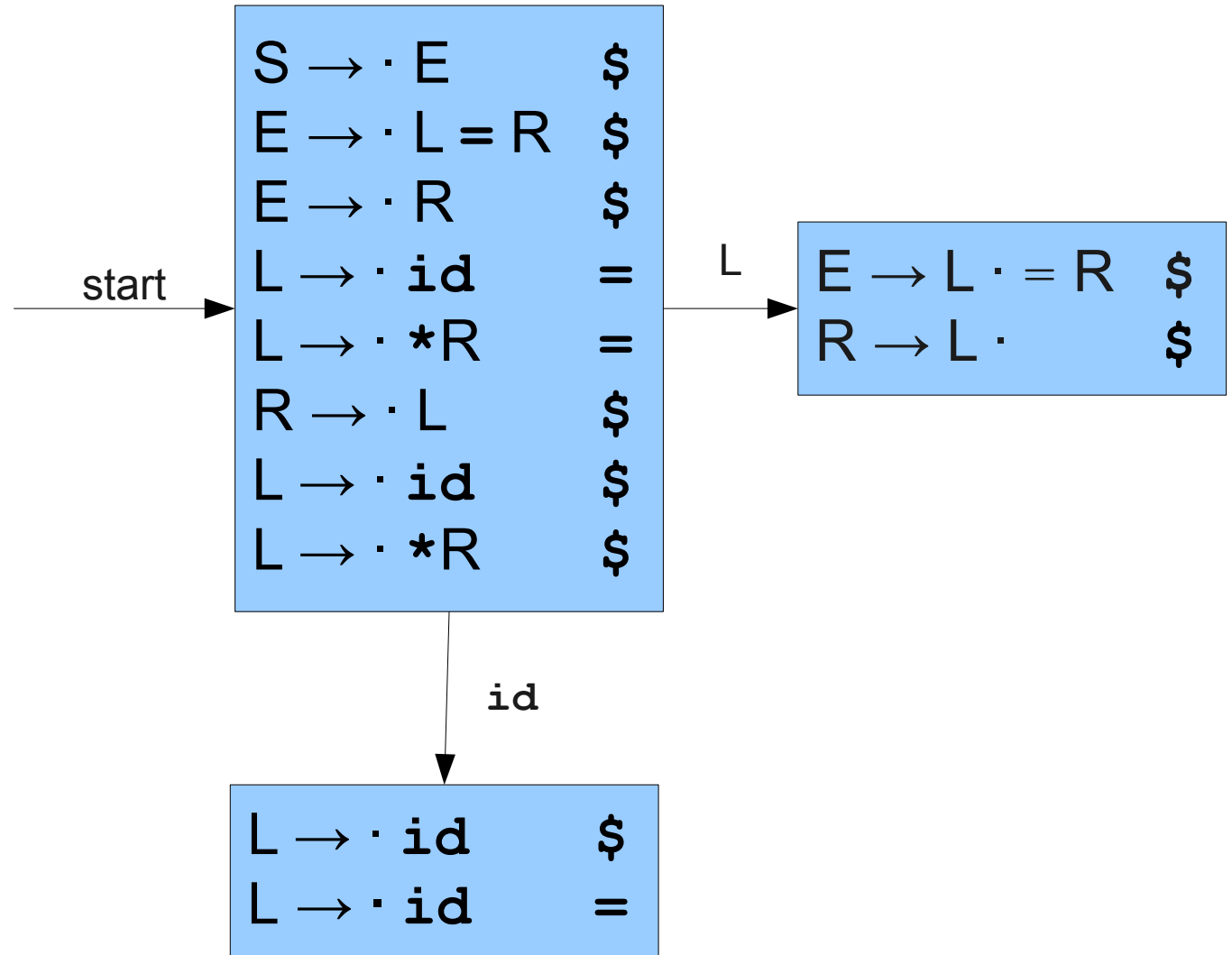


# For Reference: LR(1) States

$S \rightarrow E$   
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 $E \rightarrow R$   
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# LR(1) and SLR(1)

- SLR(1) is weak because it has no contextual information.
- LR(1) is impractical because its contextual information makes the automaton too big.
- Can we retain the LR(1) automaton's contextual information without all its states?

# Review of LR(1)

- Each state in an LR(1) automaton is a combination of an LR(0) state and lookahead information.
- Two LR(1) items have the same **core** if they are identical except for lookahead.

```
T → (·E)      $
E → ·E + T    )
E → ·T        )
T → ·int      )
T → ·(E)      )
```

```
T → (·E)      )
E → ·E + T    )
E → ·T        )
T → ·int      )
T → ·(E)      )
```

# A Surprisingly Powerful Idea

- In an LR(1) automaton, we have multiple states with the same core but different lookahead.
- **What if we merge all these states together?**
- This is called LALR(1)
  - **Lookahead(1) LR(0)**

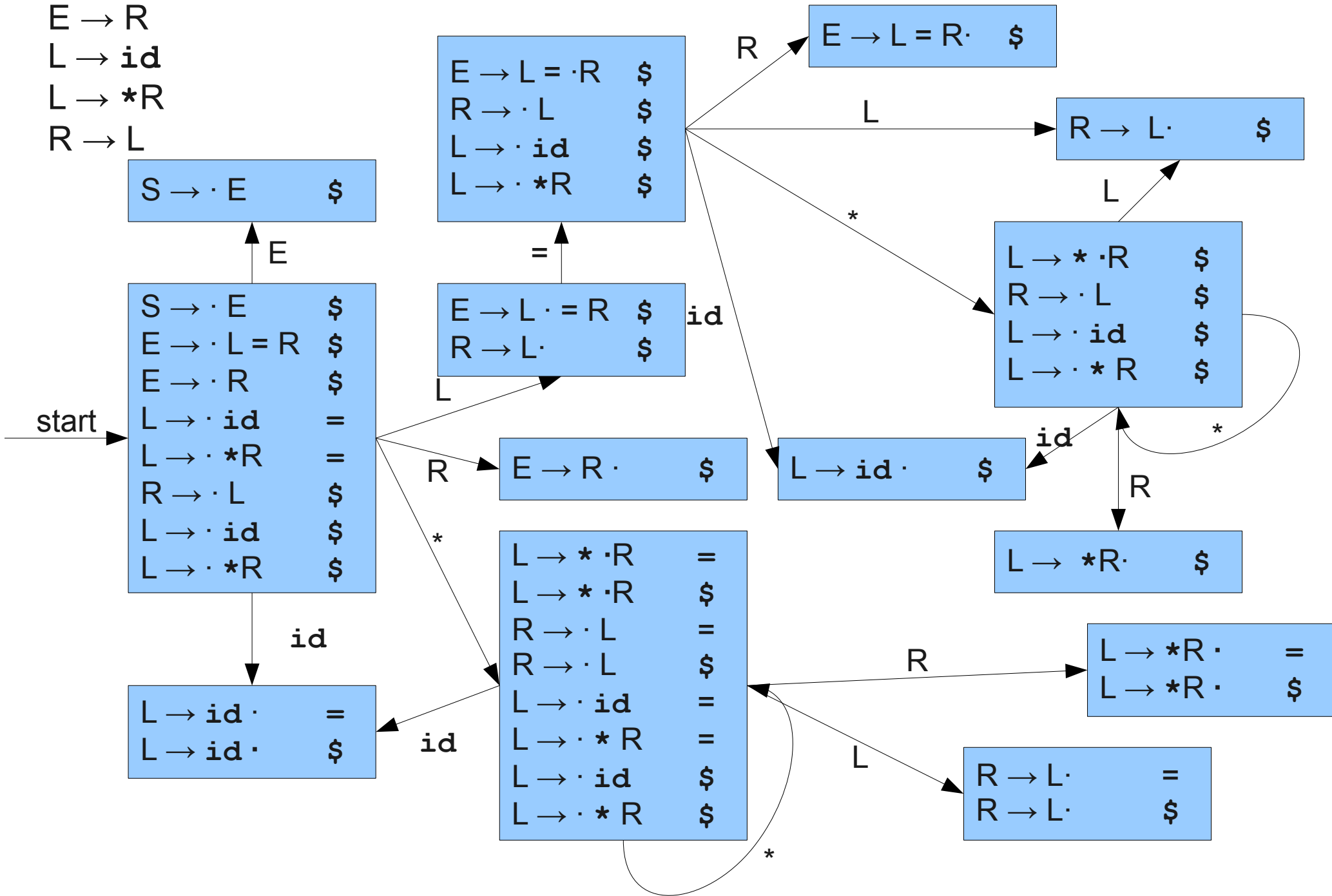
$S \rightarrow E$   
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# From LR(1) to LALR(1)



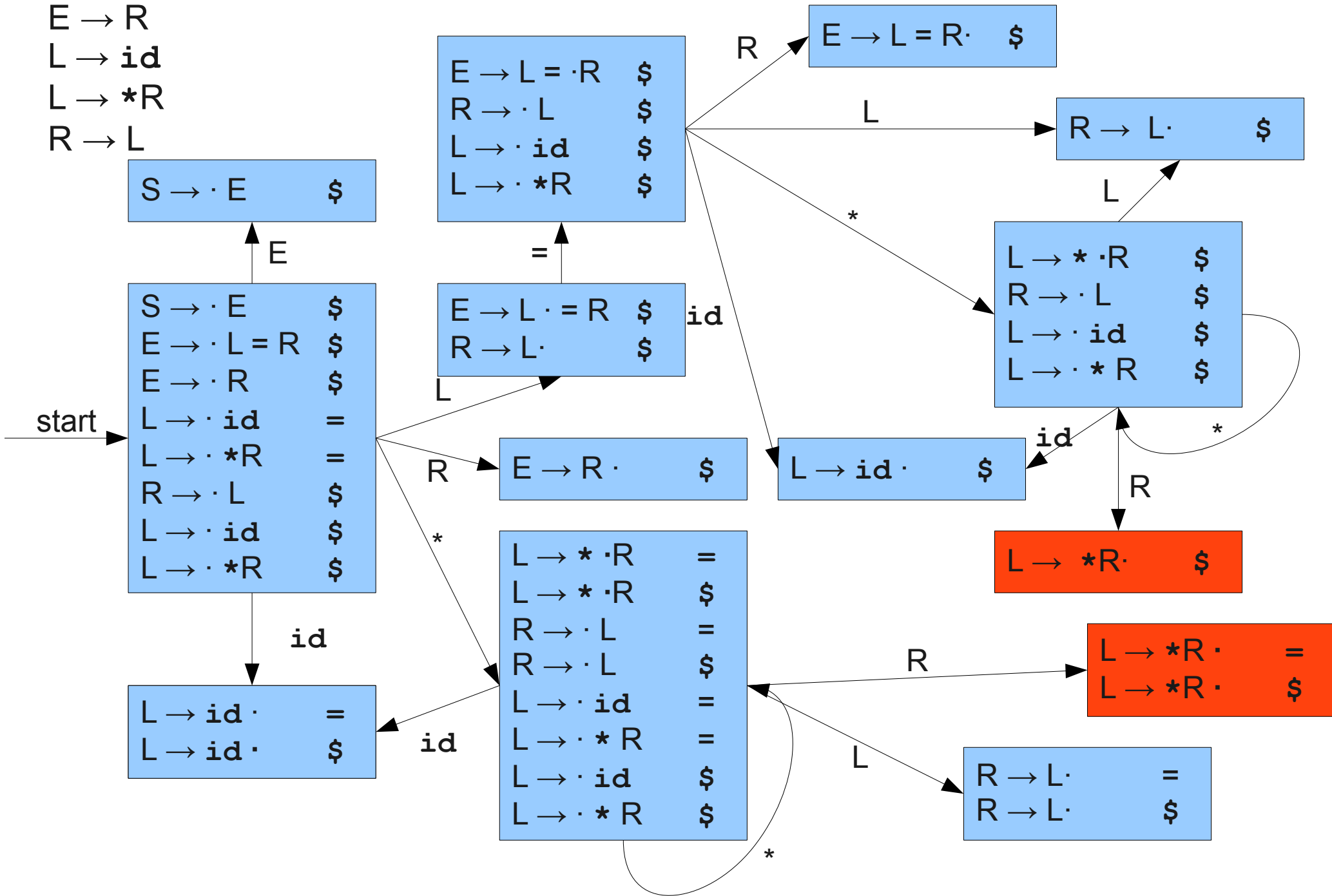
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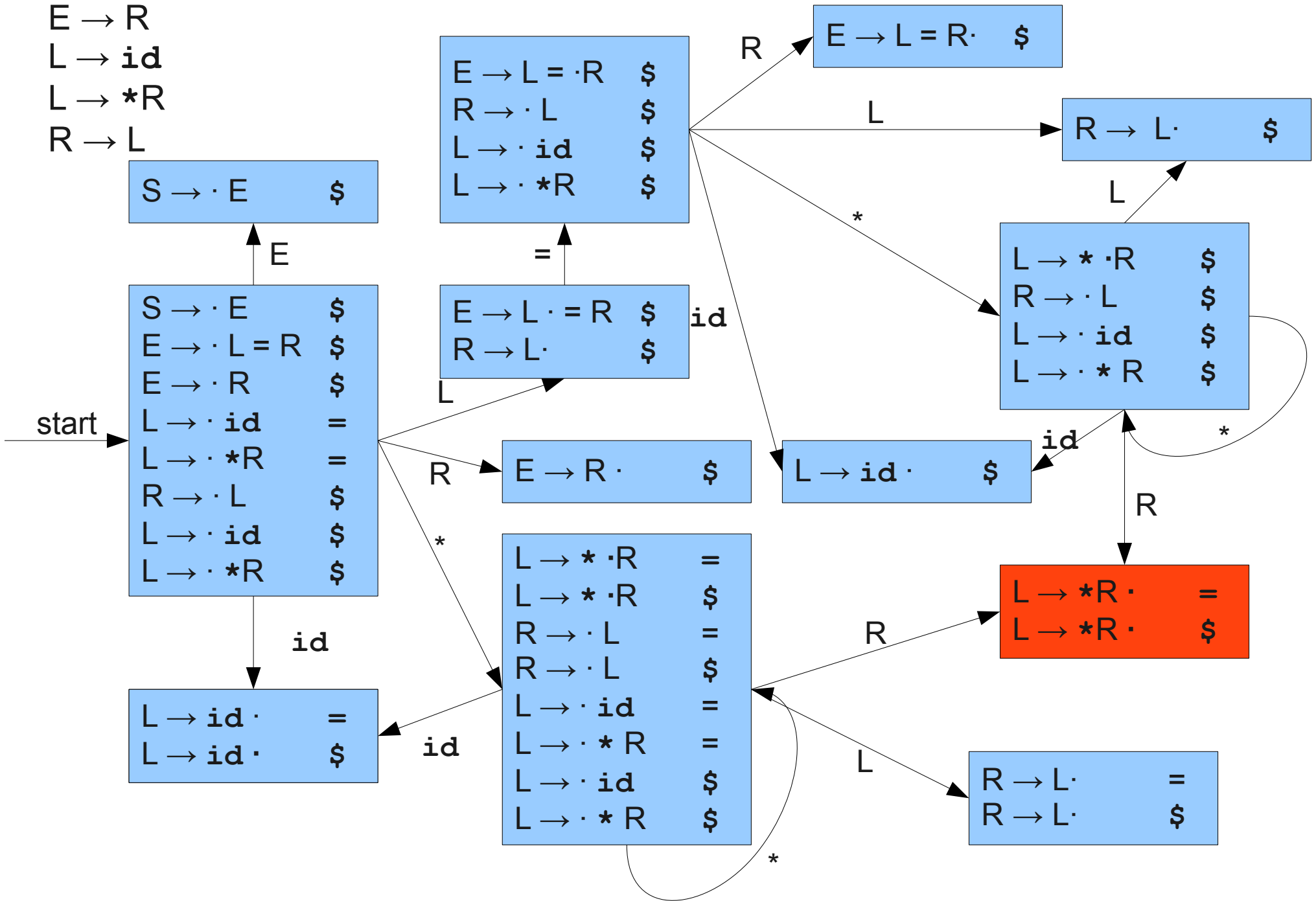
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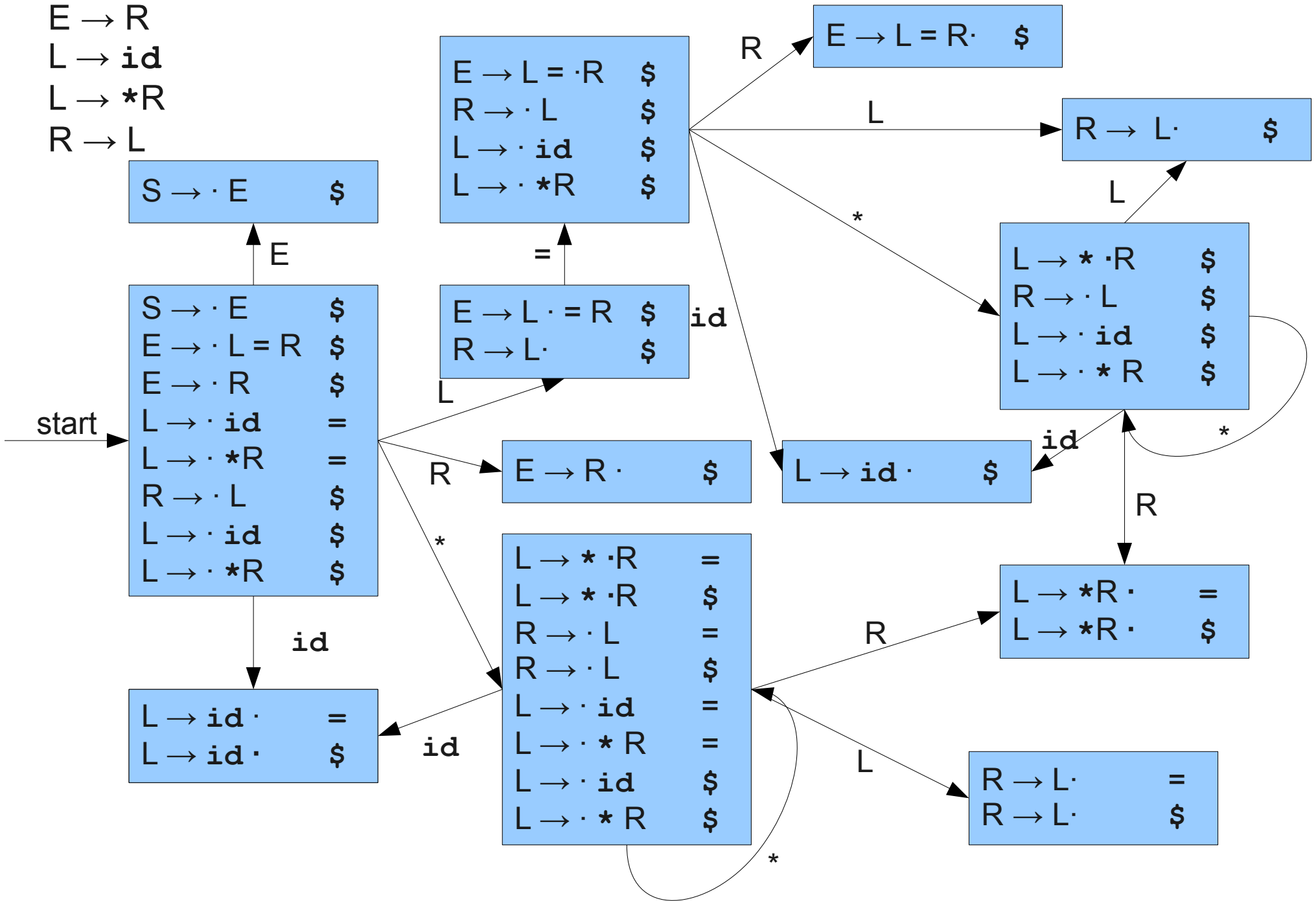
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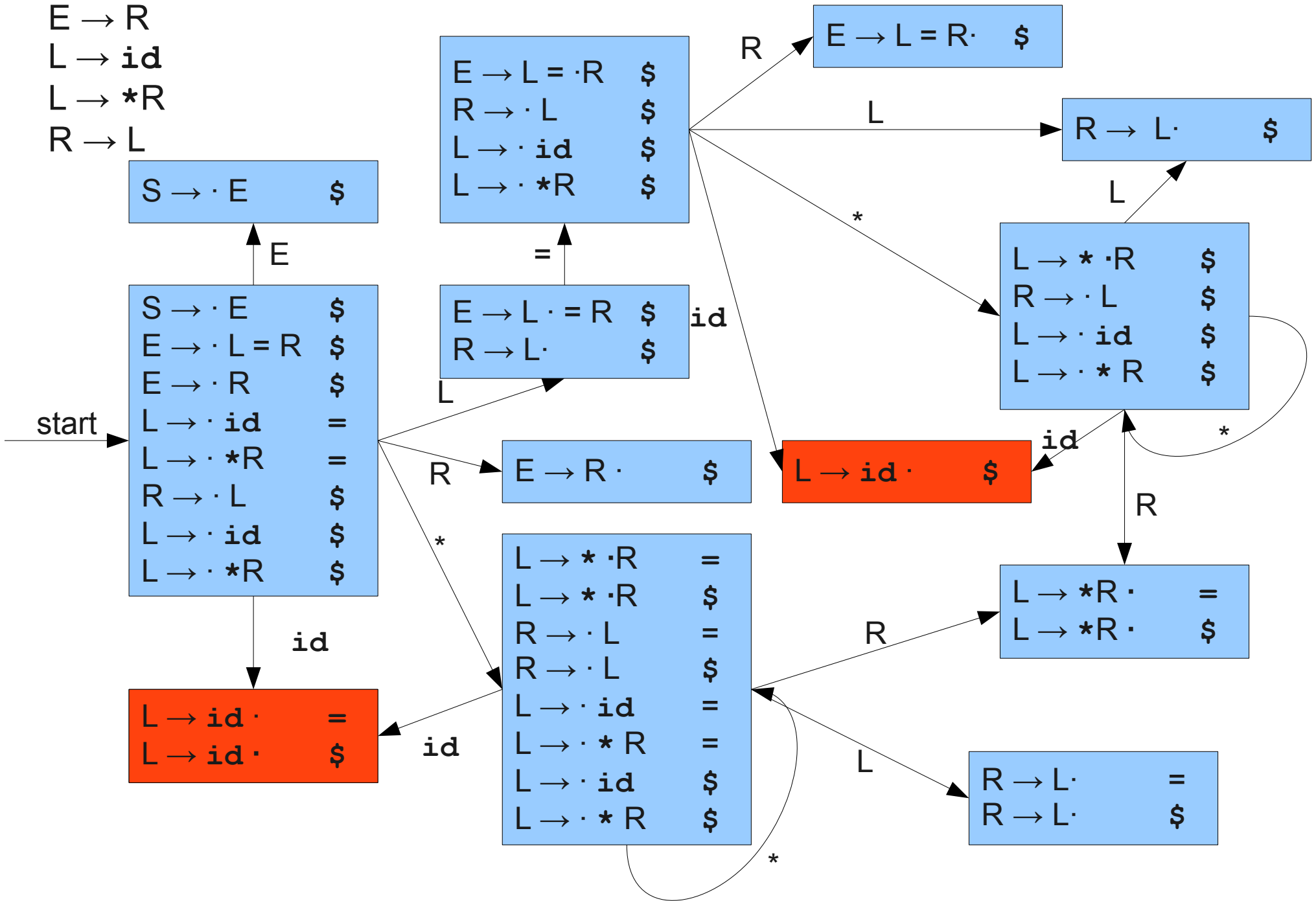
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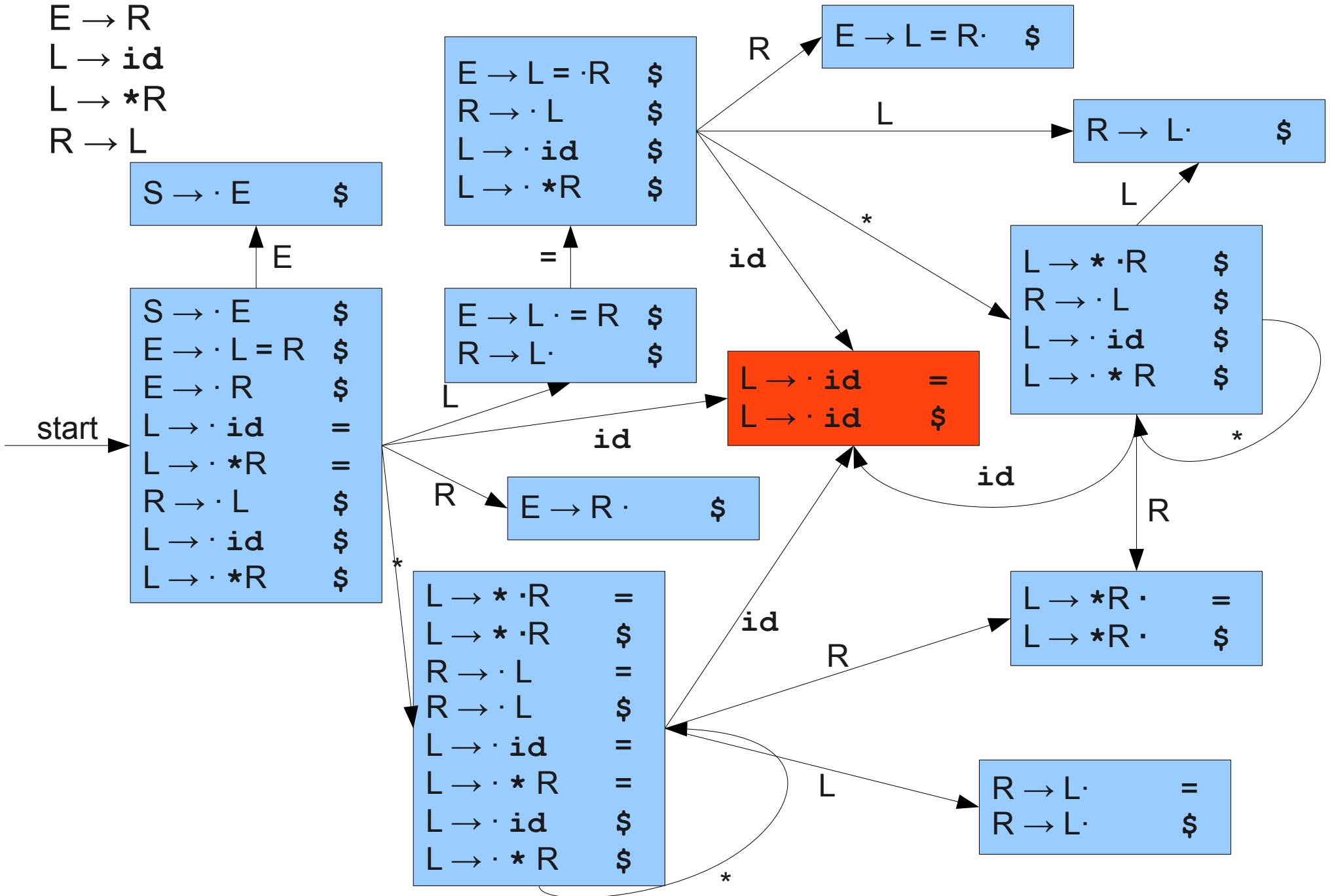
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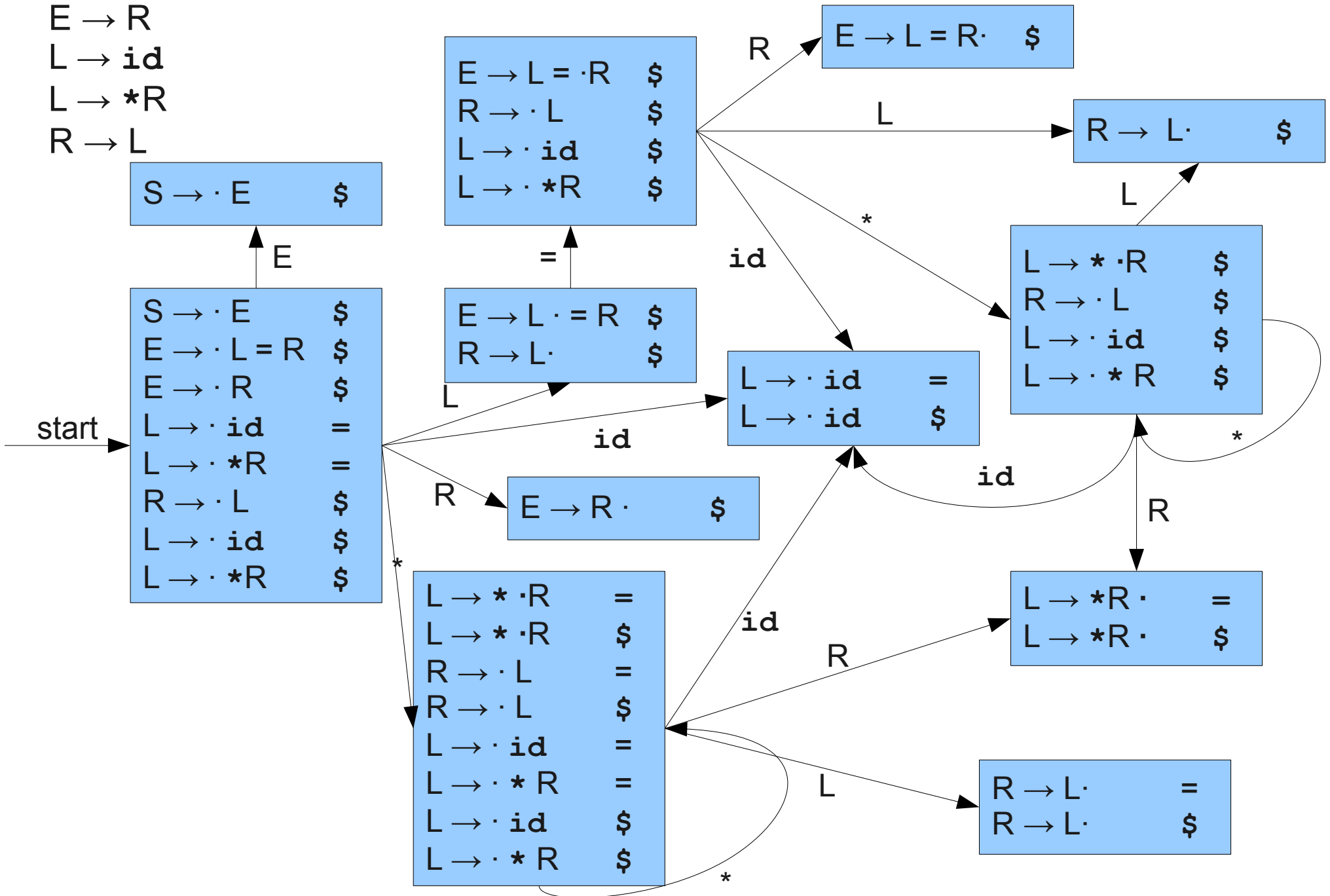
# From LR(1) to LALR(1)

$S \rightarrow E$   
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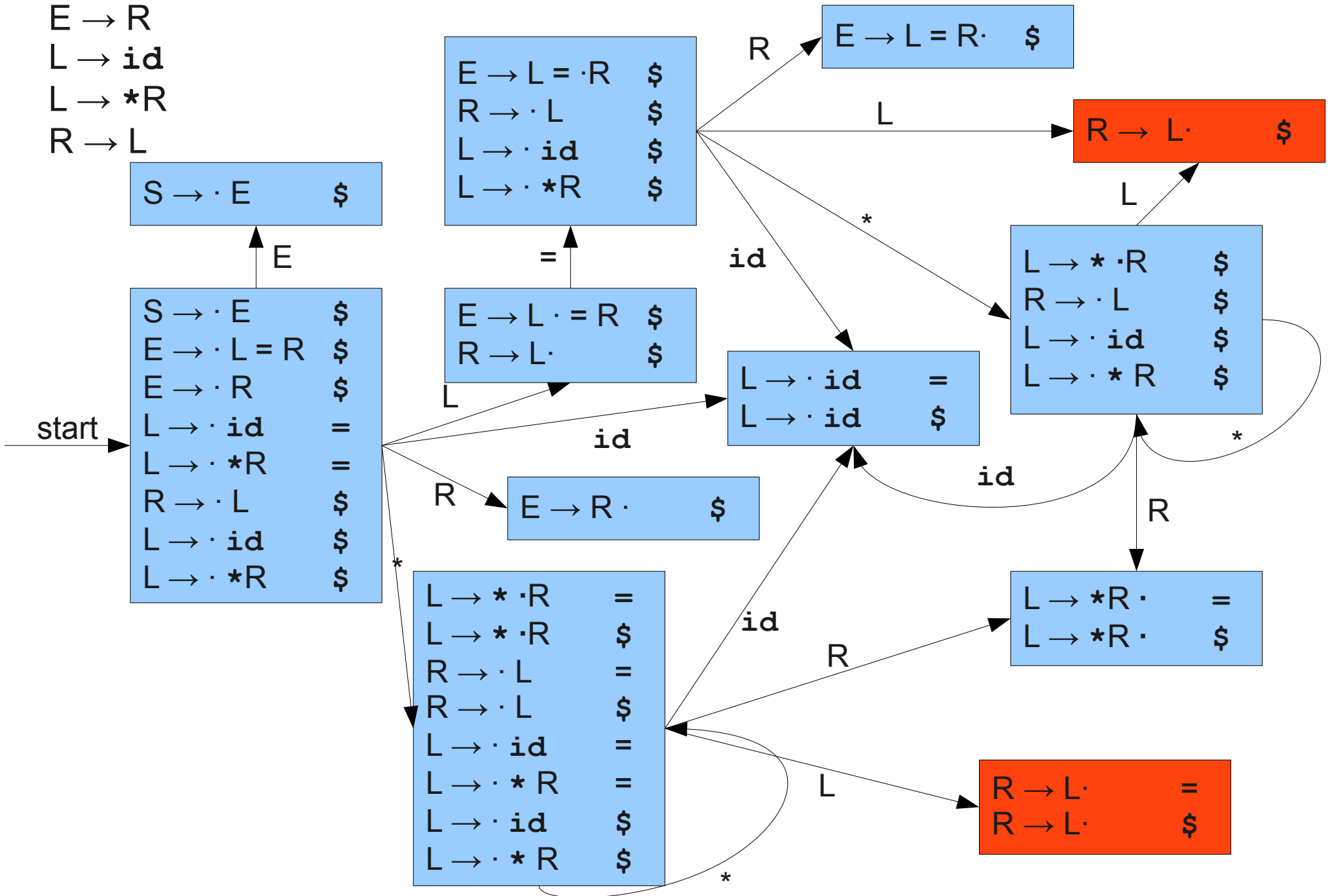
# From LR(1) to LALR(1)

- $S \rightarrow E$
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# From LR(1) to LALR(1)

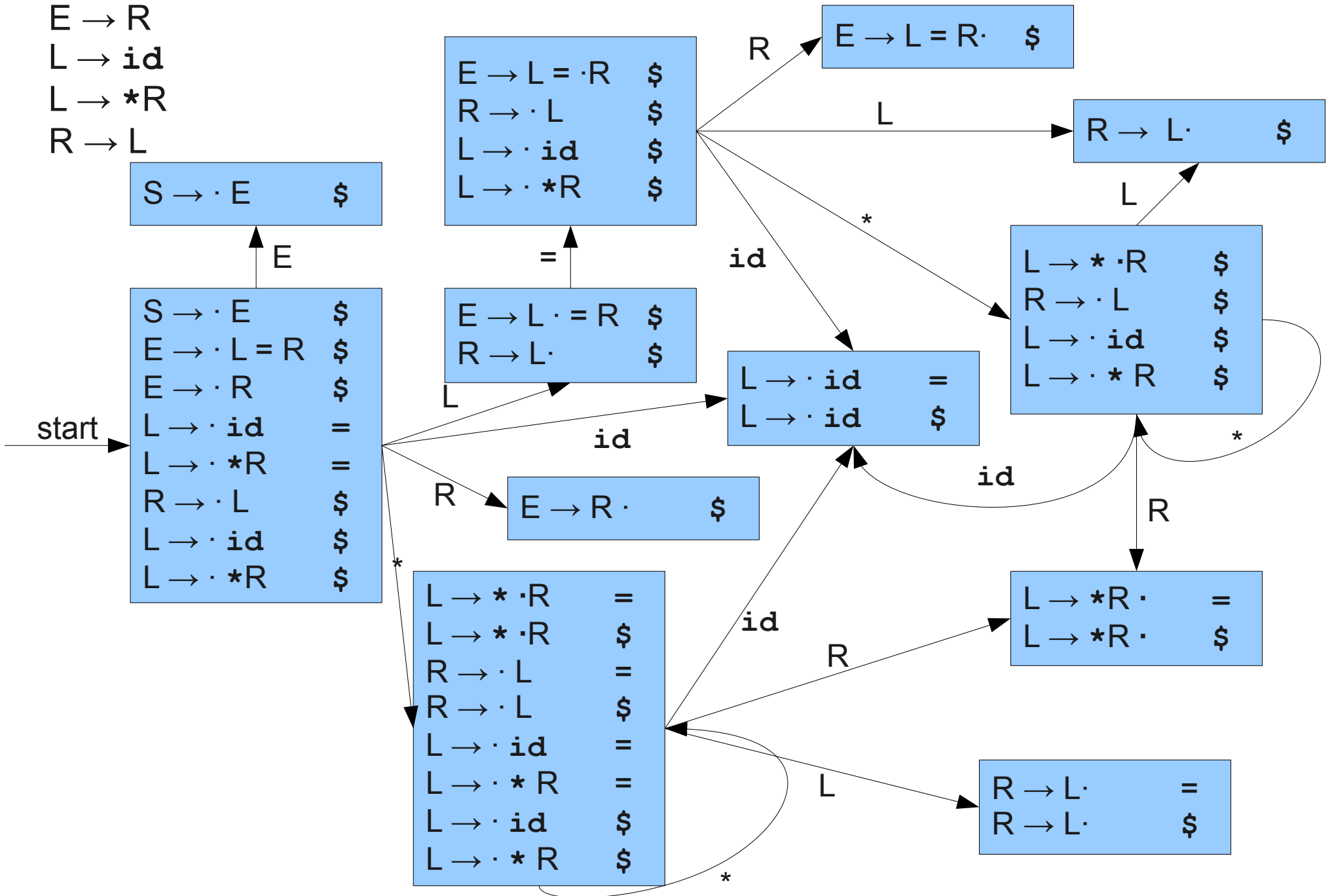
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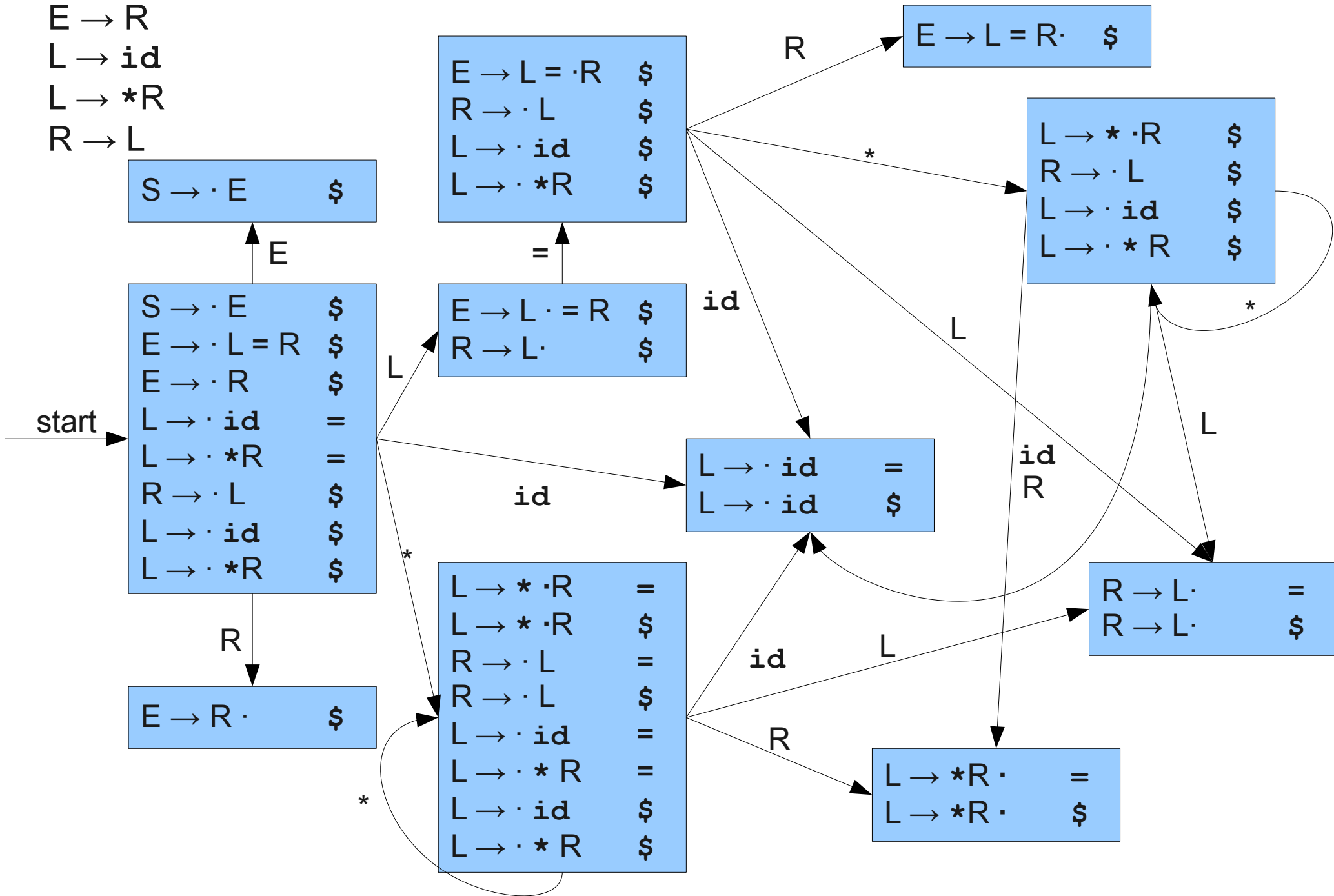
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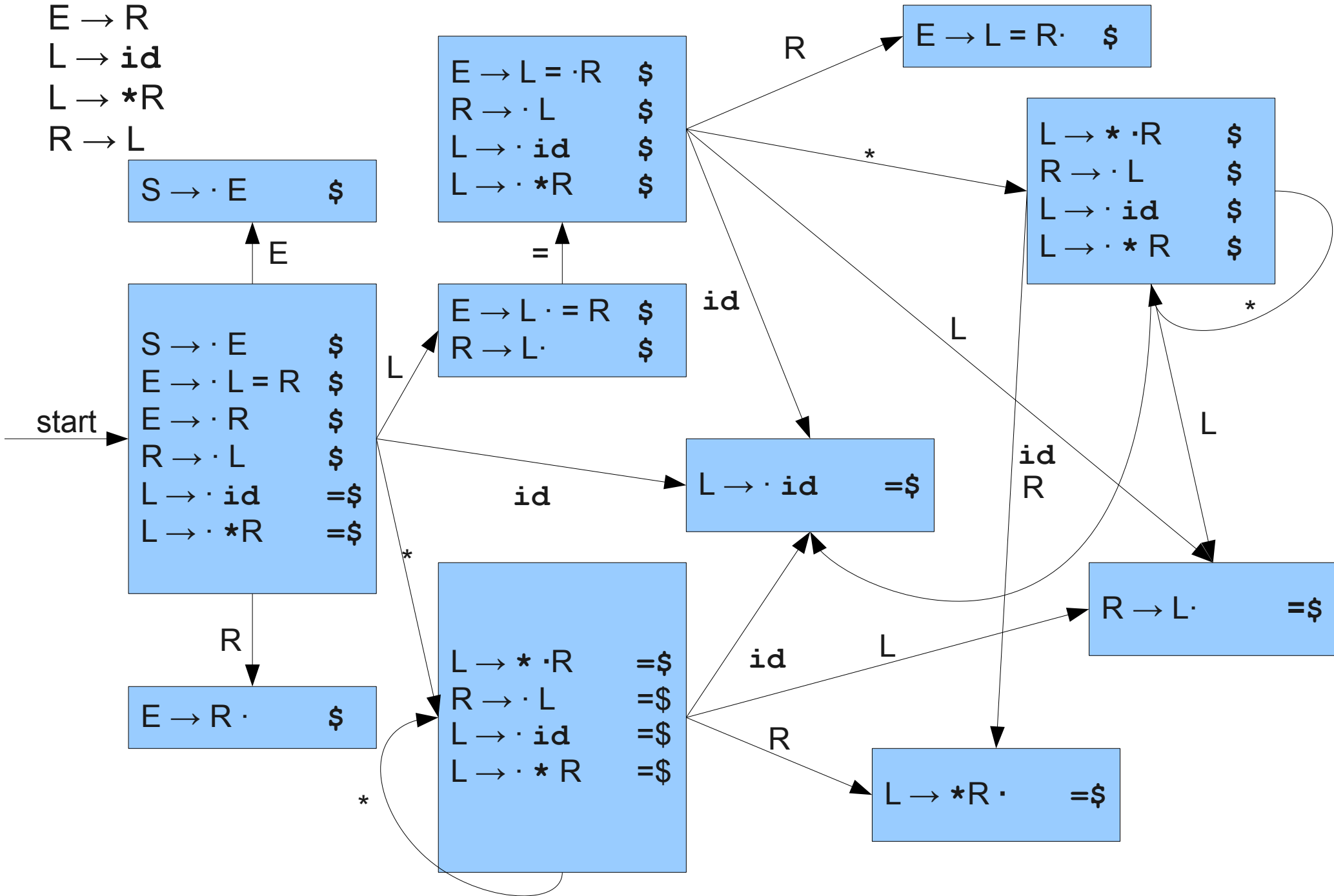
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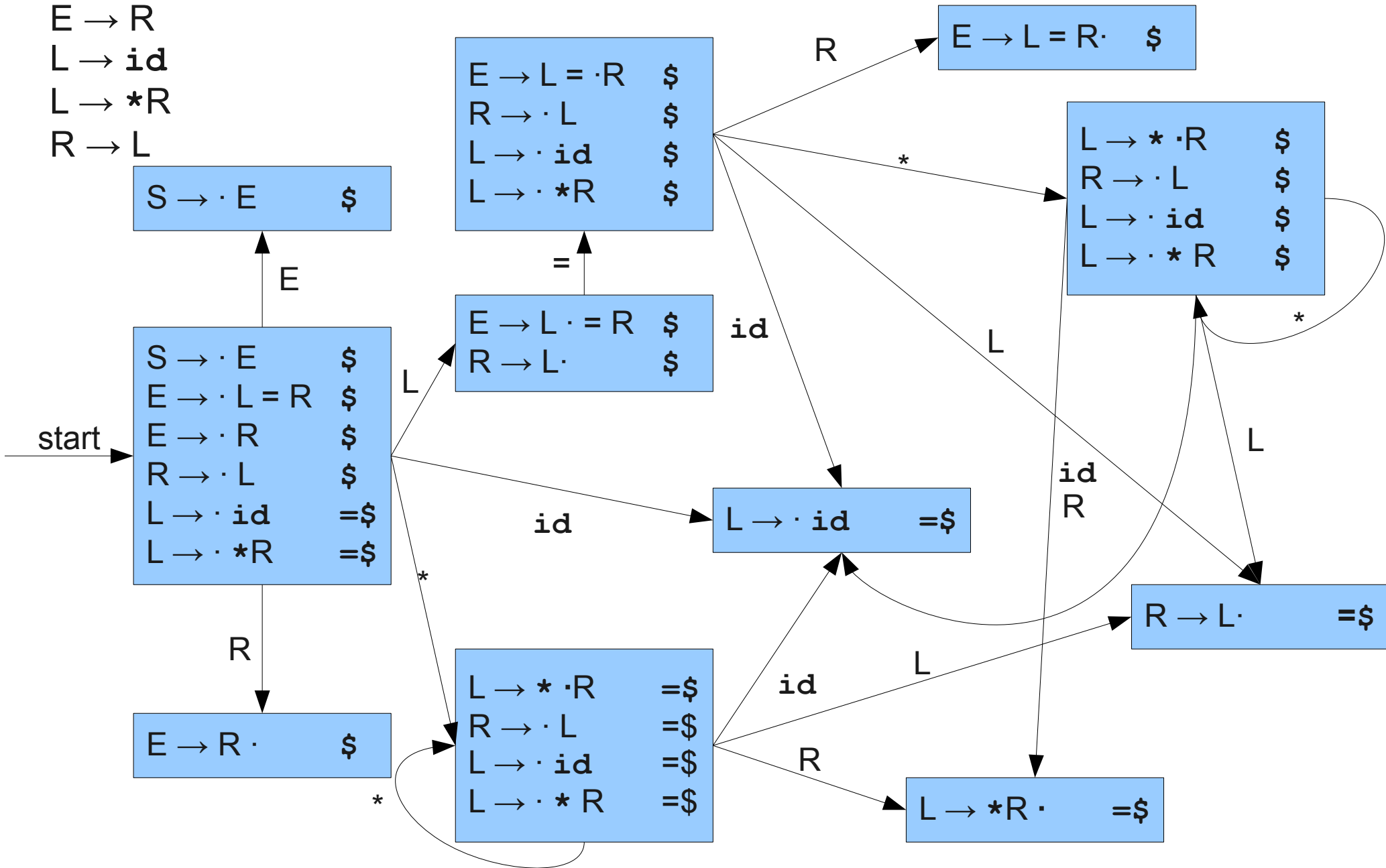
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# Advantages of LALR(1)

- Maintains **context**.
  - Lookup sets based on the fine-grained LR(1) automaton.
  - Each state's lookup relevant only for that state.
- Keeps automaton **small**.
  - Resulting automaton has same size as LR(0) automaton.

# LALR(1) is Powerful

- Every LR(0) grammar is LALR(1).
- Every SLR(1) grammar is LALR(1)
- **Most** (but not all) LR(1) grammars are LALR(1).

# LALR(1) isn't LR(1)

- Merging LR(1) states cannot introduce a shift/reduce conflict.
- **Why?**
- Since the items have the same core, a shift/reduce conflict in a LALR(1) state would have to also exist in one of the LR(1) states it was merged from.
- Merging LR(1) states **can** introduce a reduce/reduce conflict.
- Often these conflicts appear without any good reason; this is one limitation of LALR(1).

# Constructing LALR(1) Automata

- It's not a good idea to build LALR(1) automata from LR(1) automata.
- **Why?**
- LR(1) automata are impractically large.
- Are there more efficient methods for LALR(1) automata construction?
- **Yes**; we'll see two.



# The “Lazy Merging” Technique

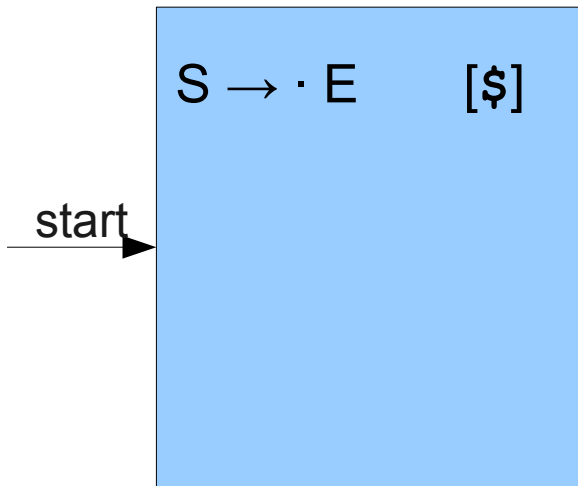
- **Idea:** Merge together LR(1) states as they're generated.
- Maintain a worklist of states to process; begin with the initial LR(1) state.
- When adding a new state, if it has the same core as an old state, update the old state and put it back in the worklist.

$S \rightarrow E$   
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 $E \rightarrow R$   
 $L \rightarrow \mathbf{id}$   
 $L \rightarrow *R$   
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# LALR(1) Construction

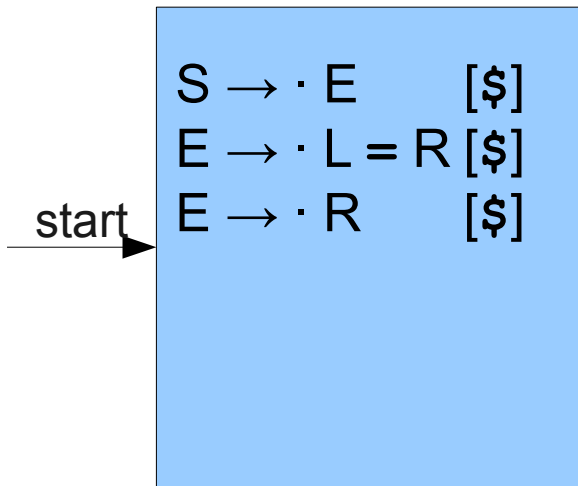
# LALR(1) Construction

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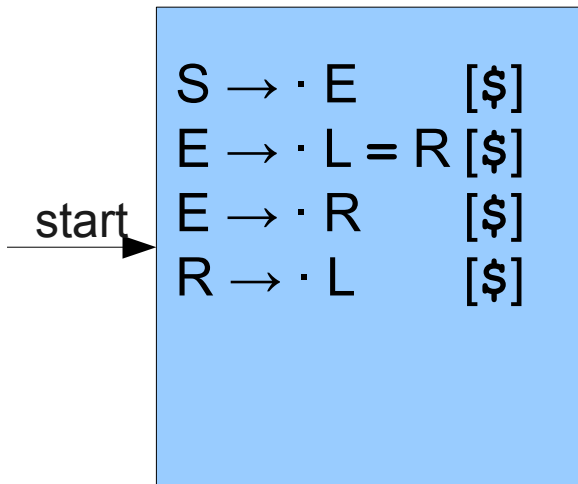
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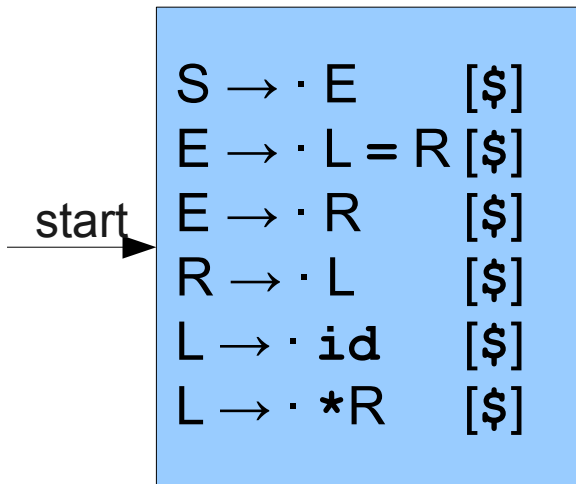
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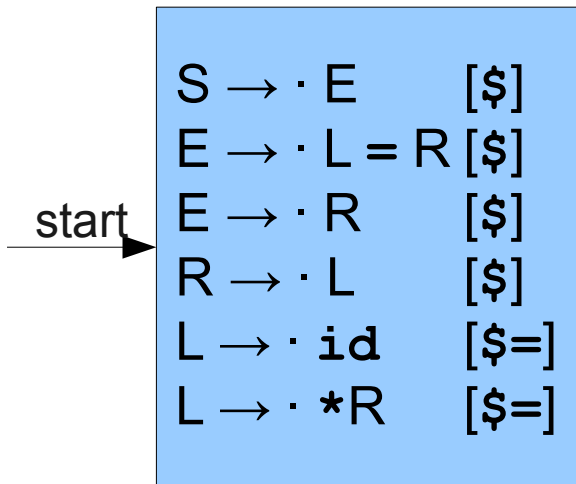
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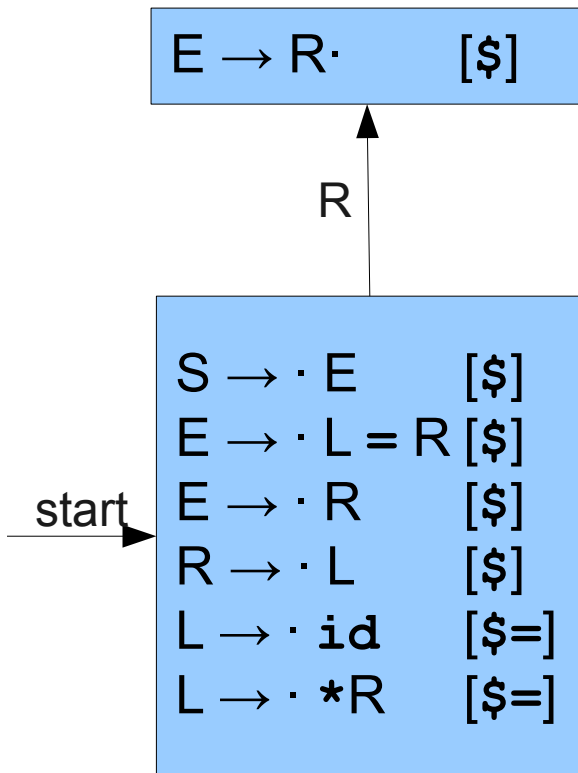
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# LALR(1) Construction

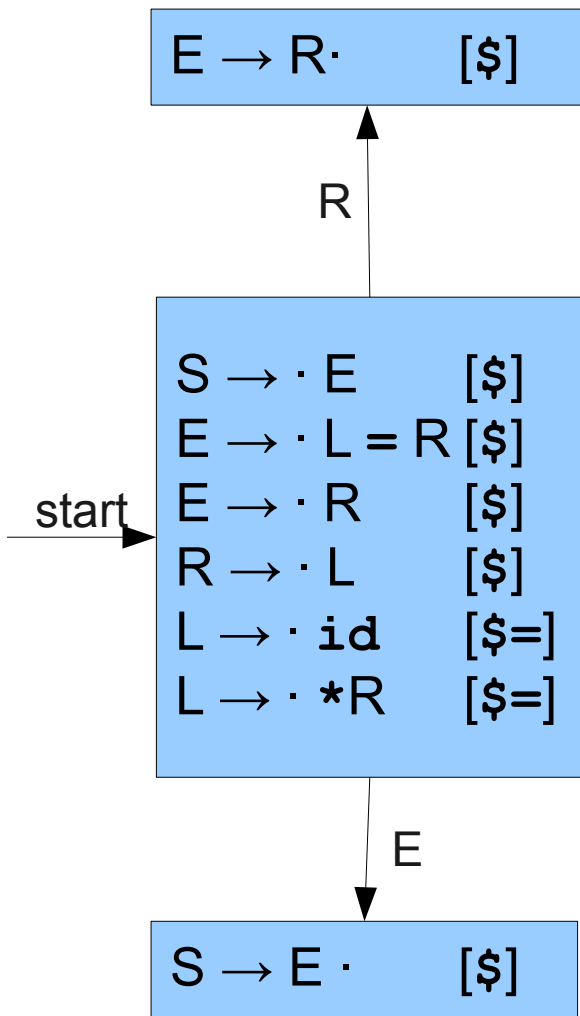
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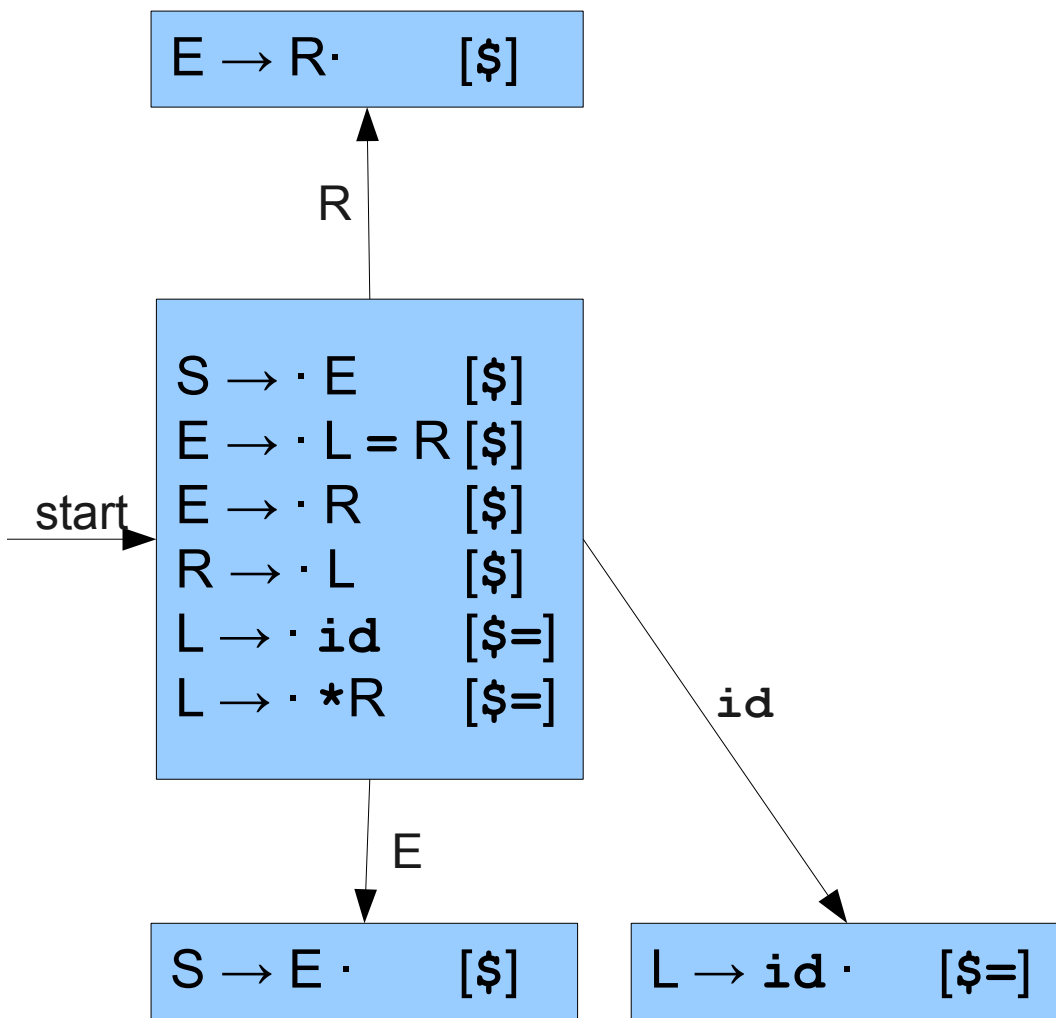
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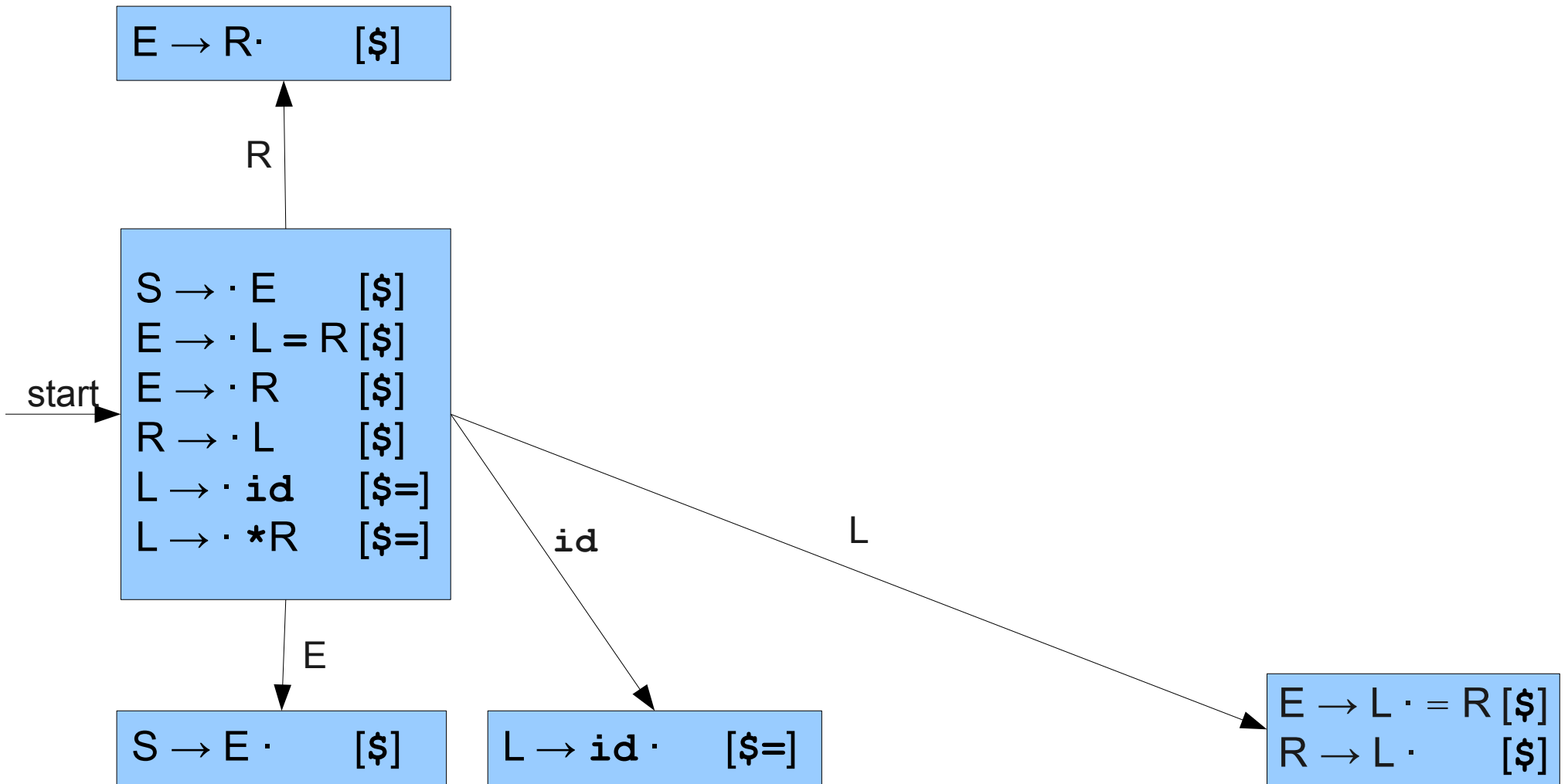
# LALR(1) Construction

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 $E \rightarrow L = R$   
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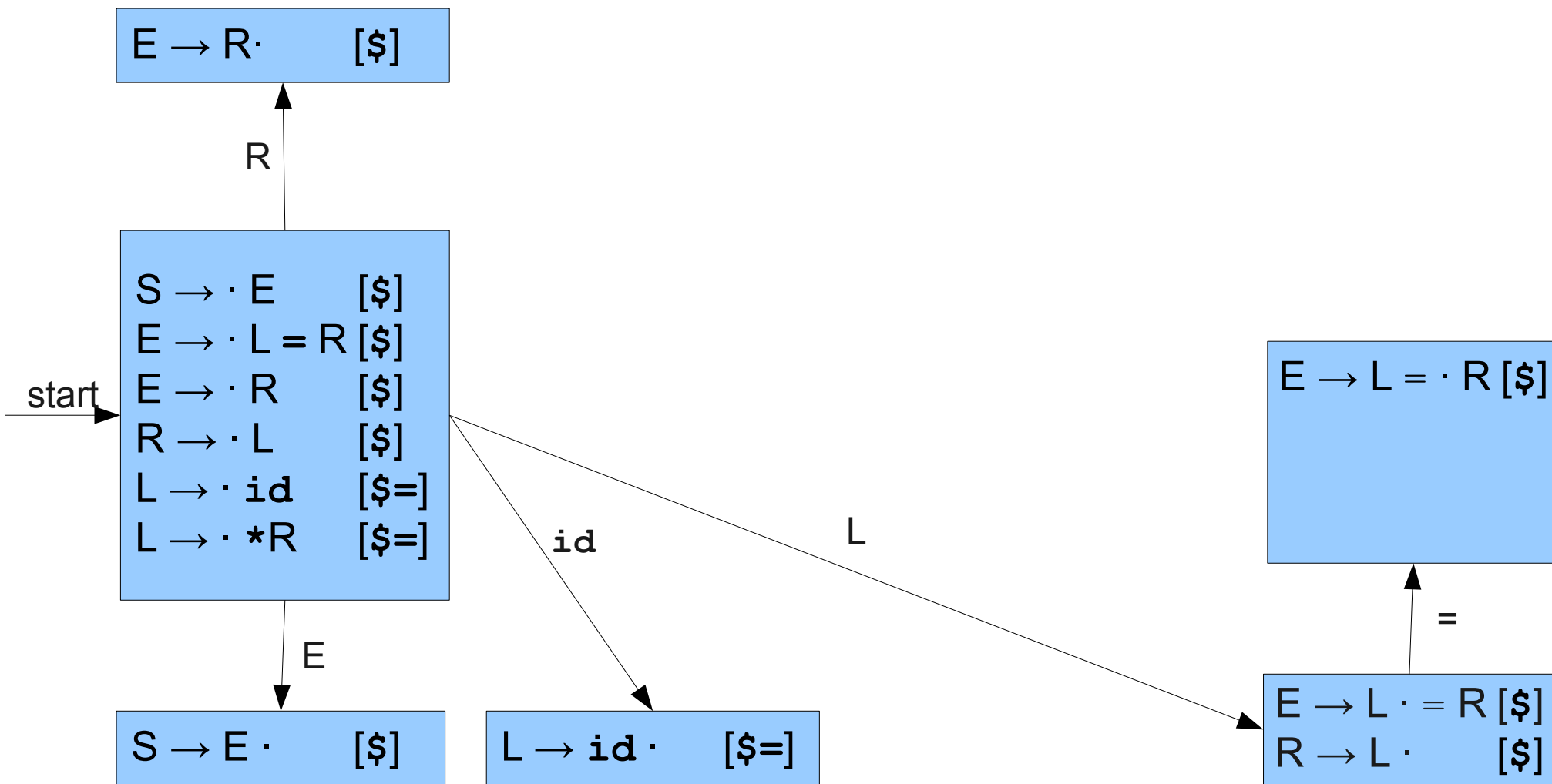
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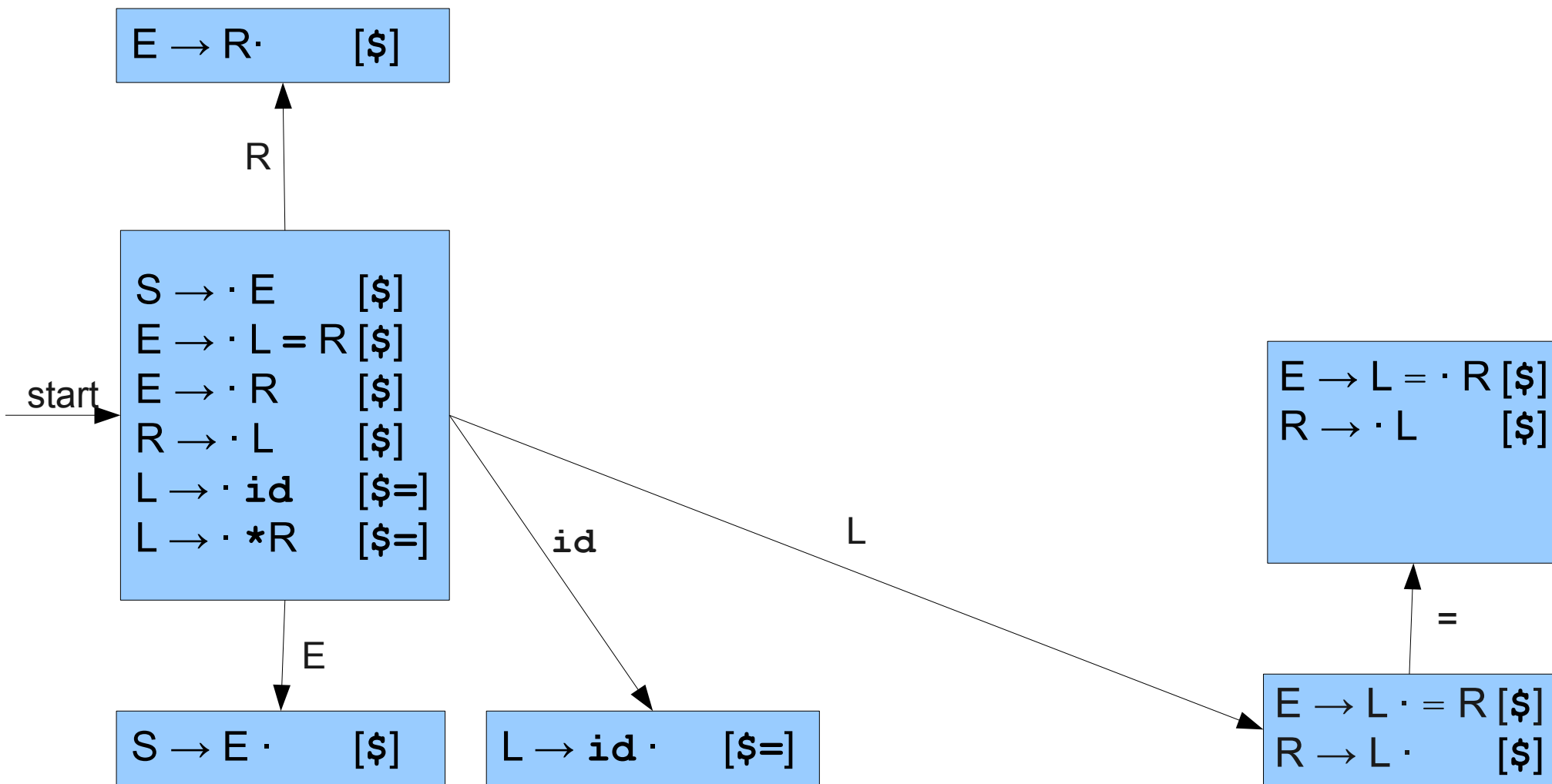
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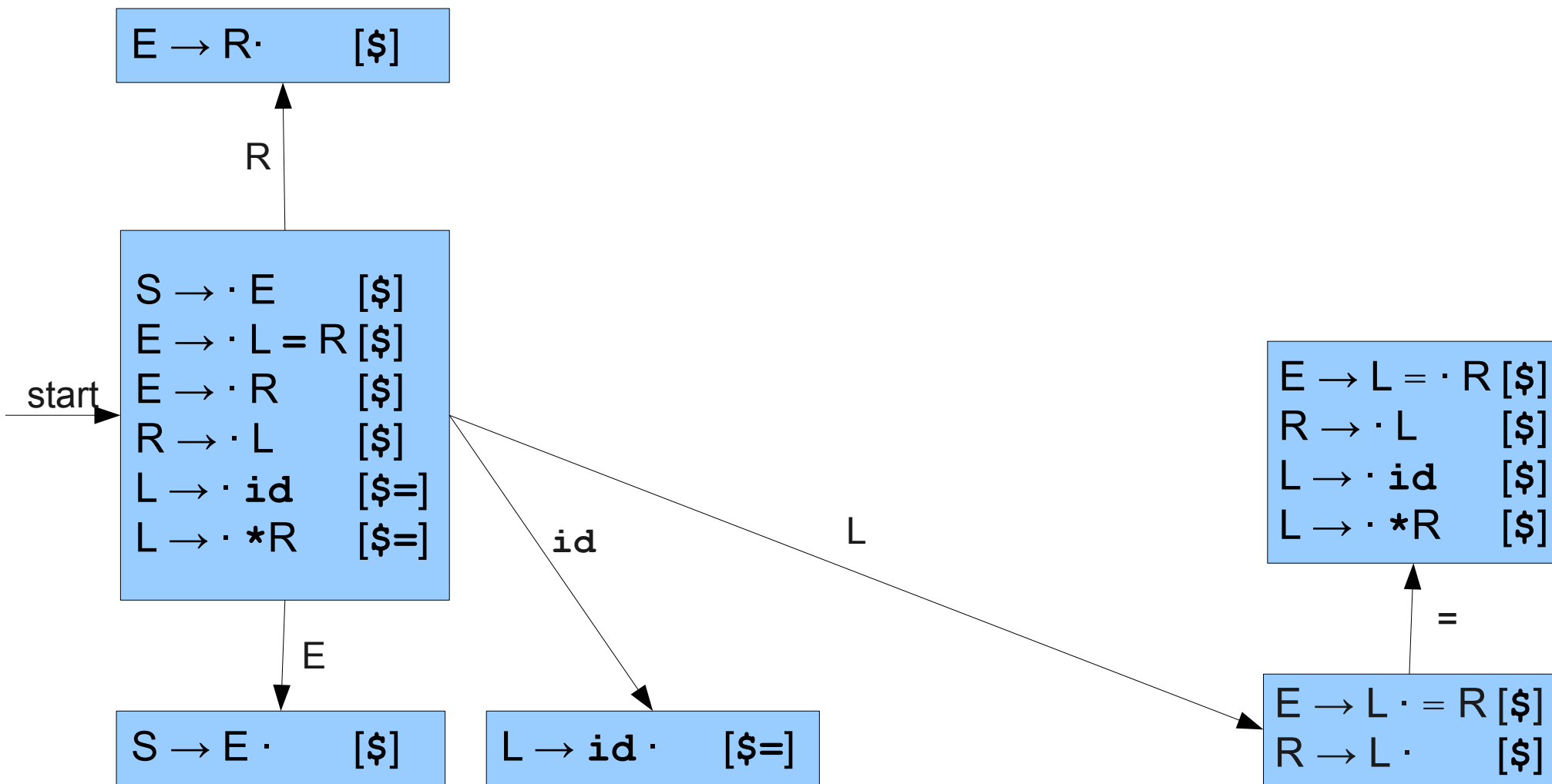
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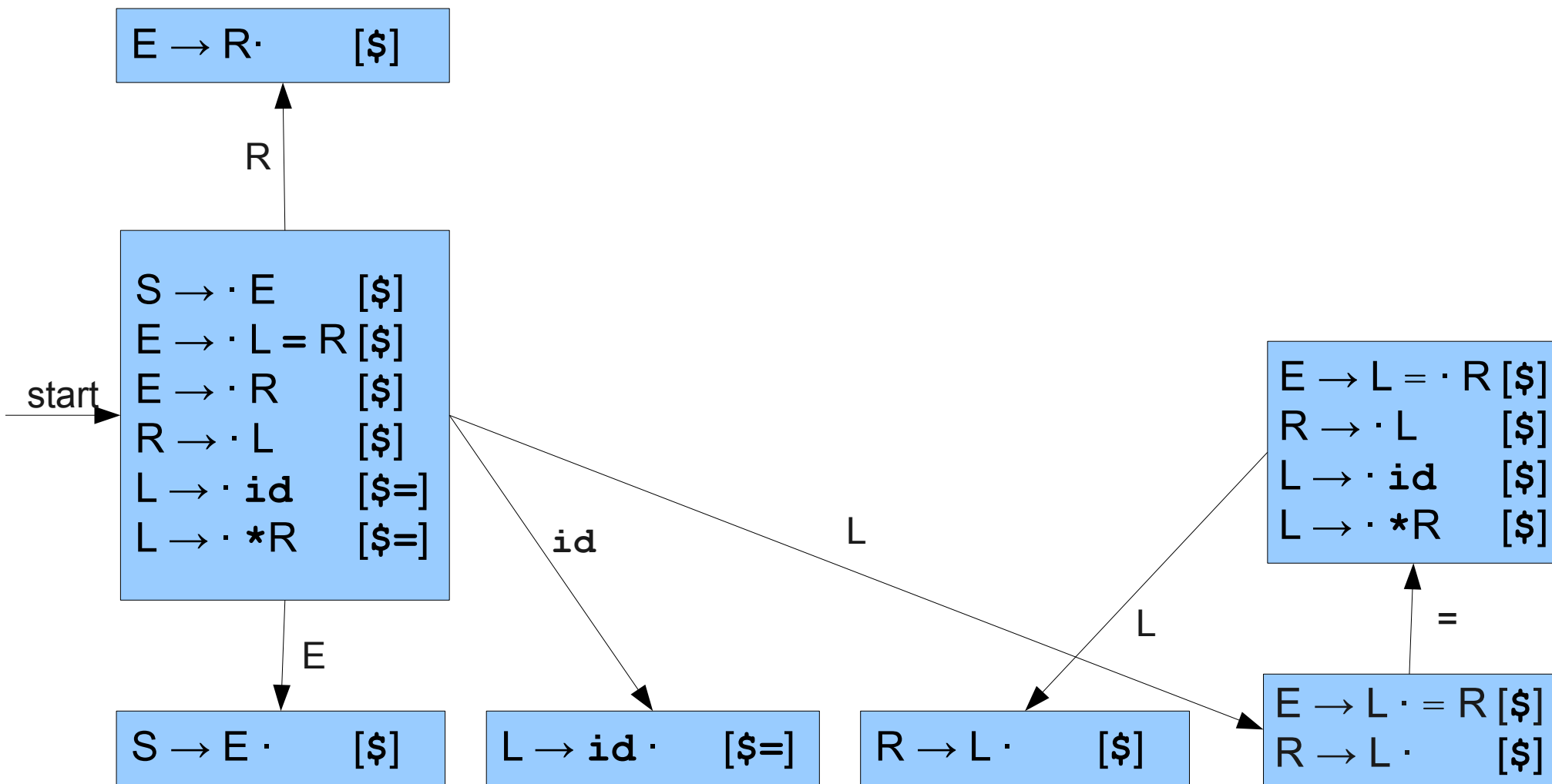
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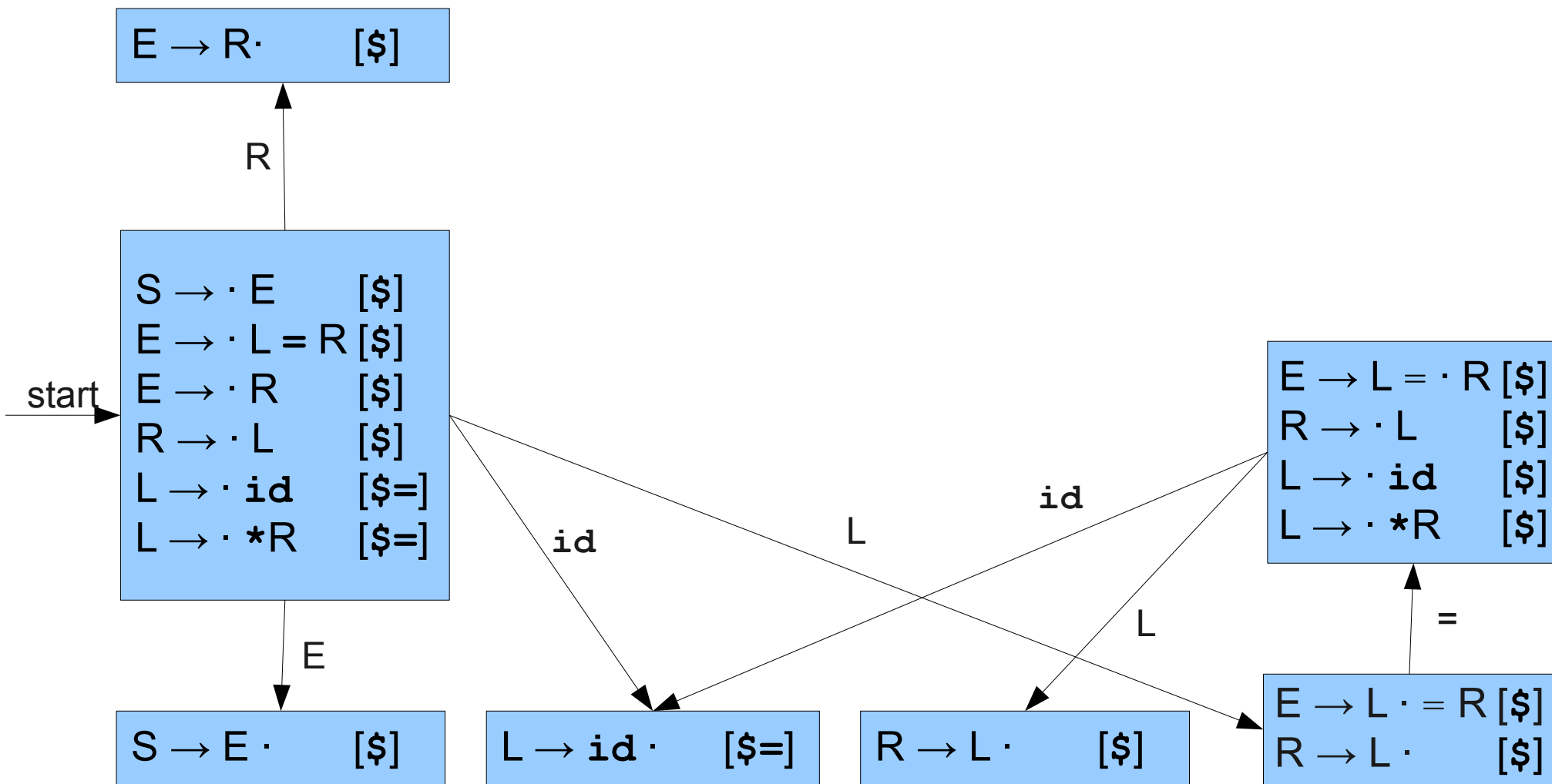
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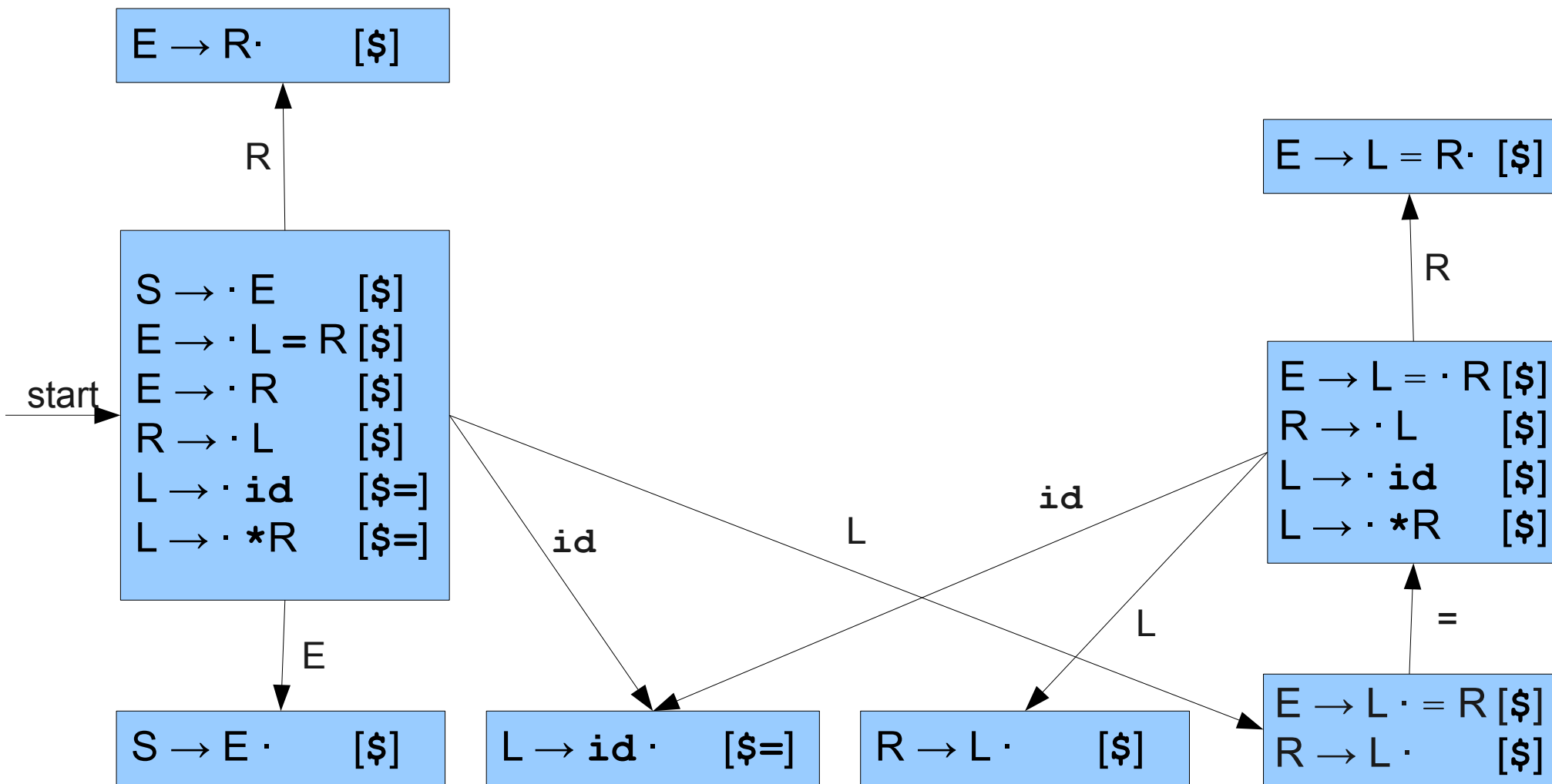
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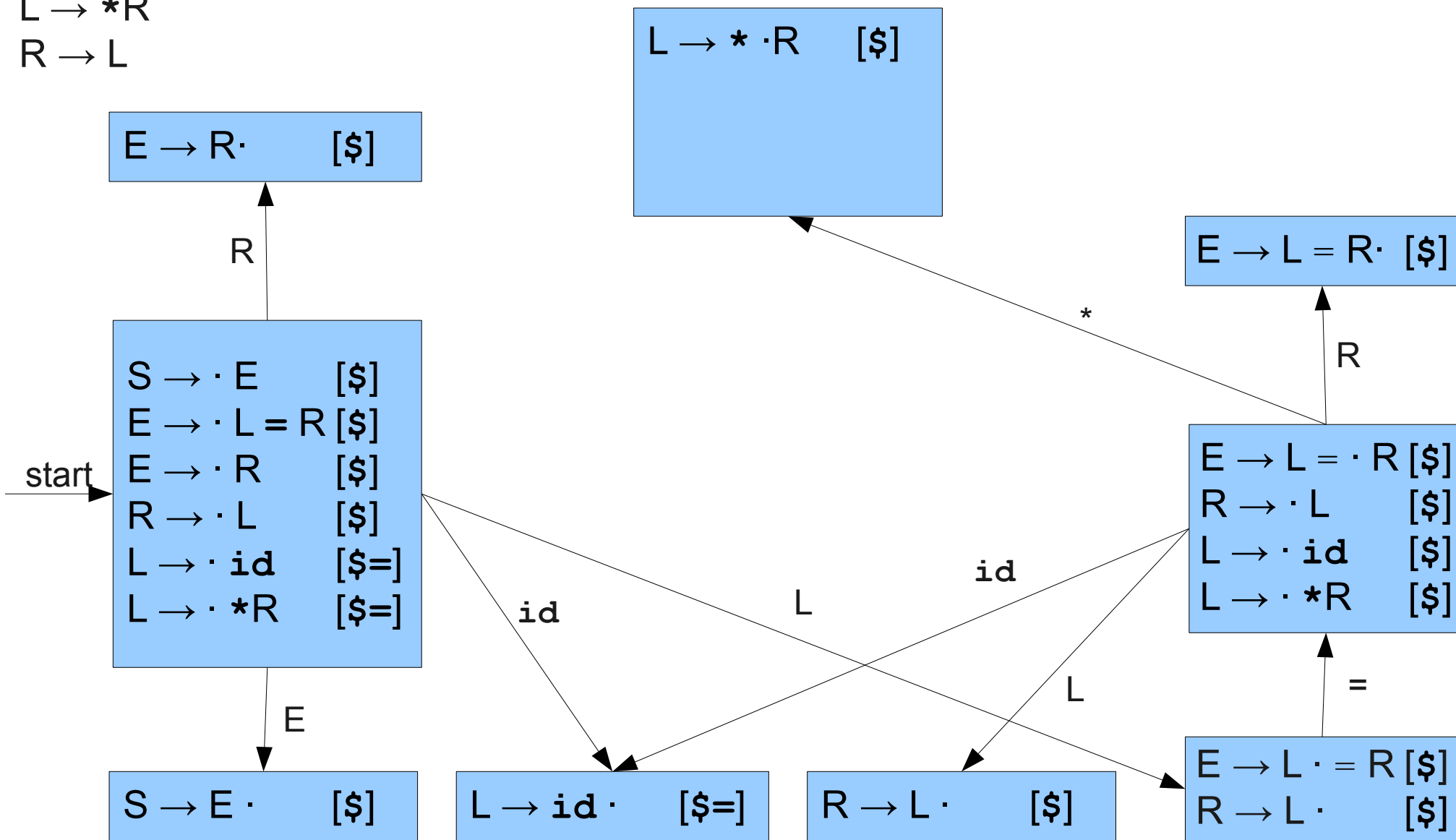
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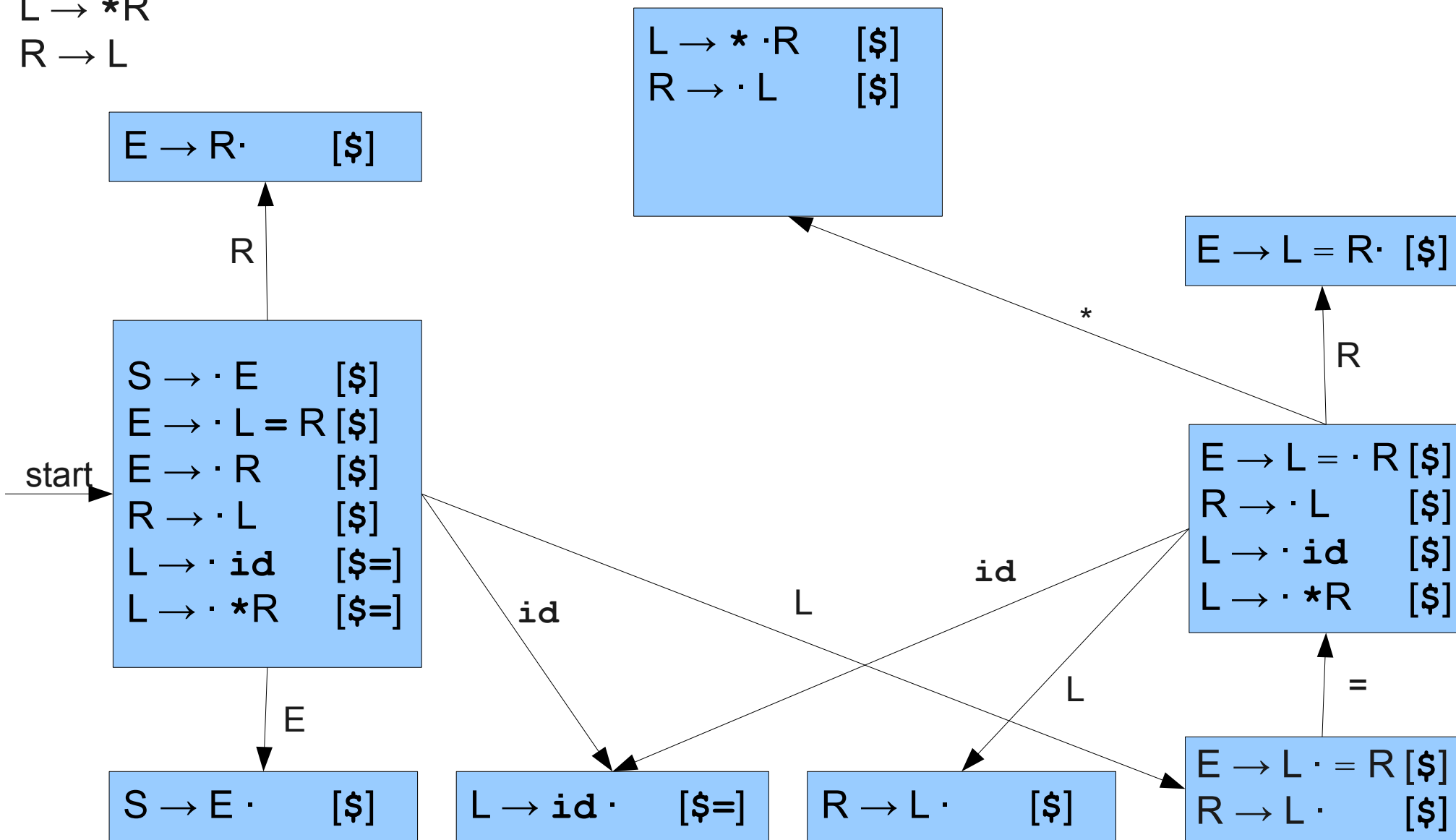
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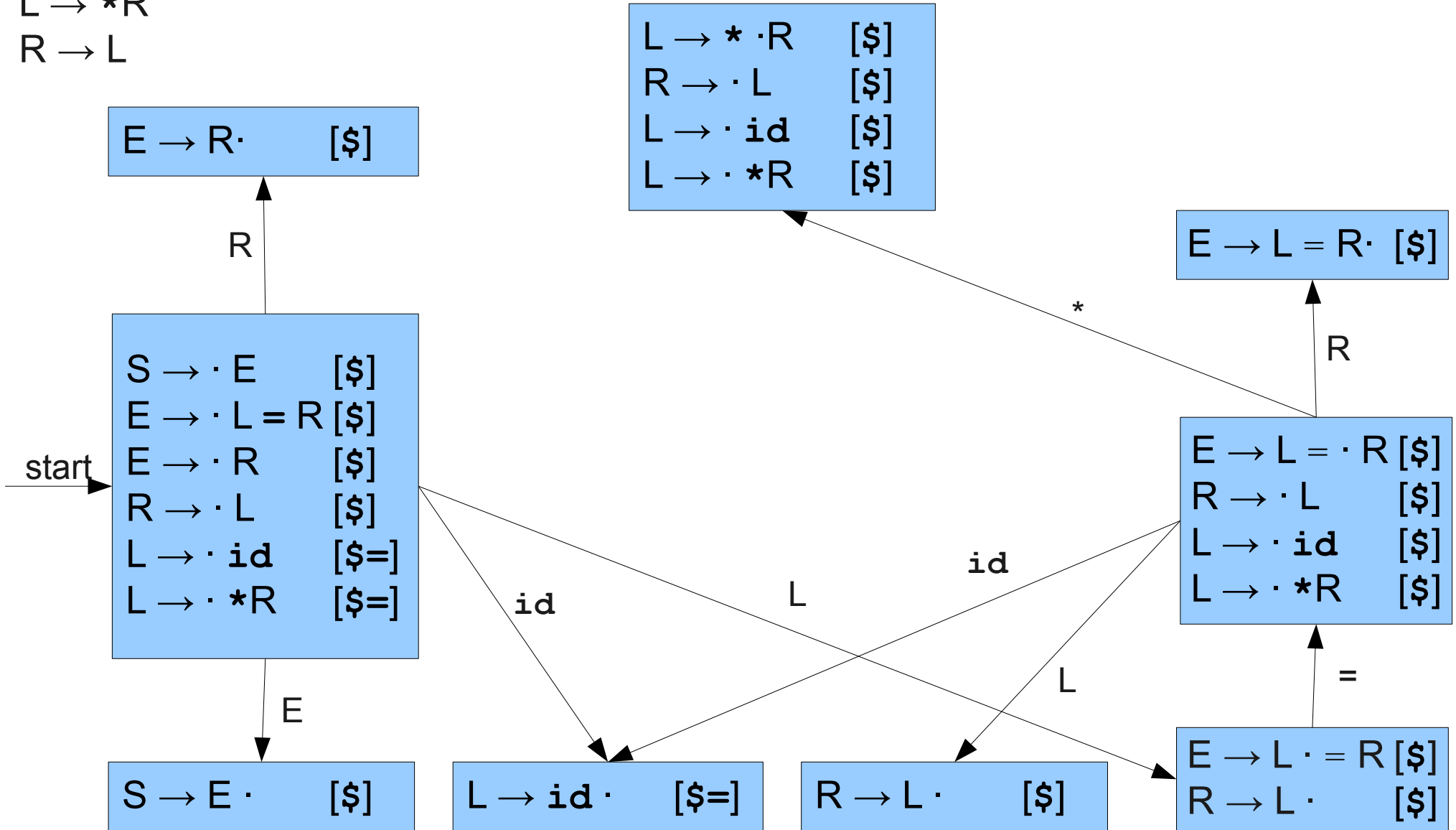
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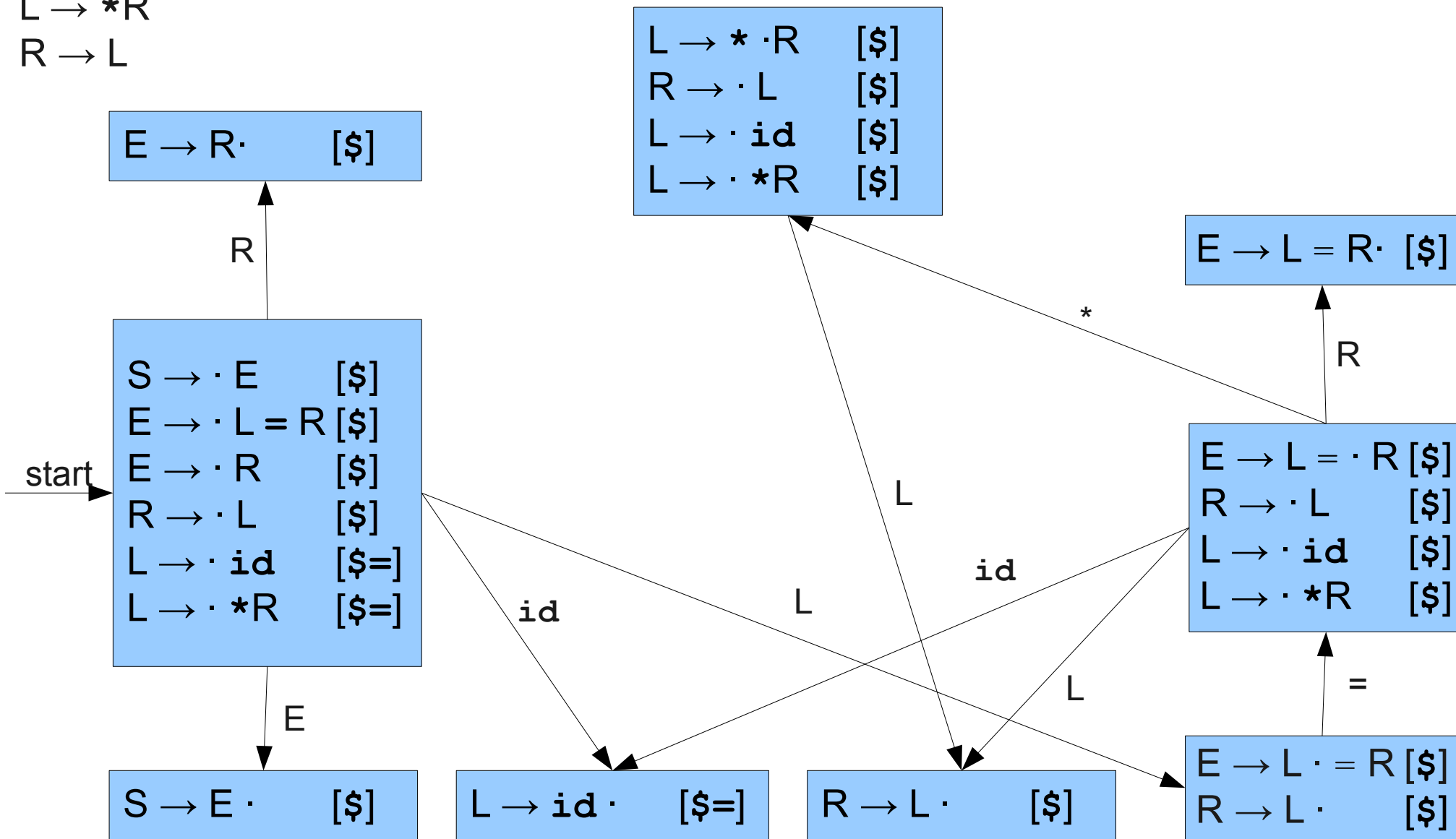
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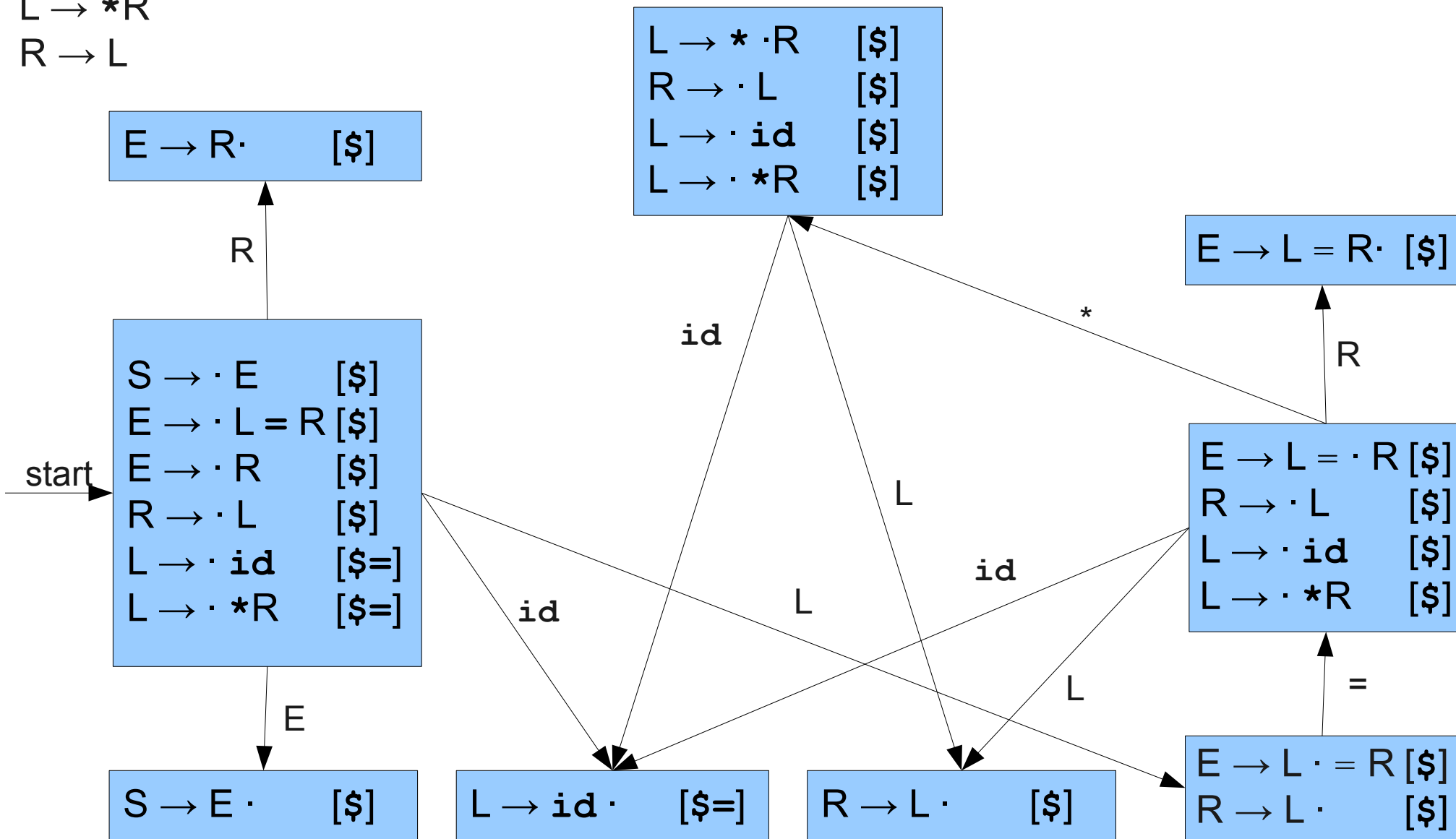
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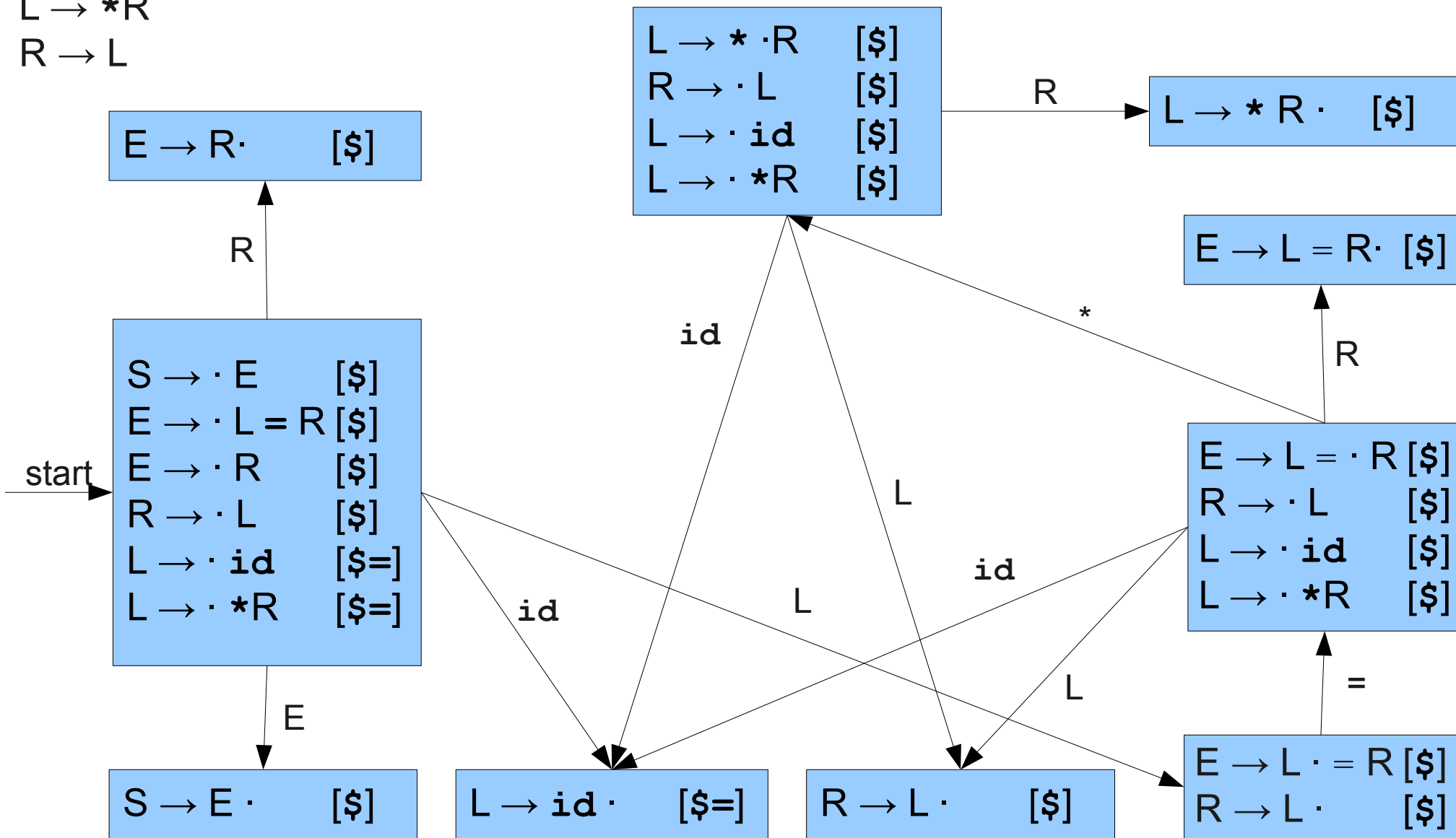
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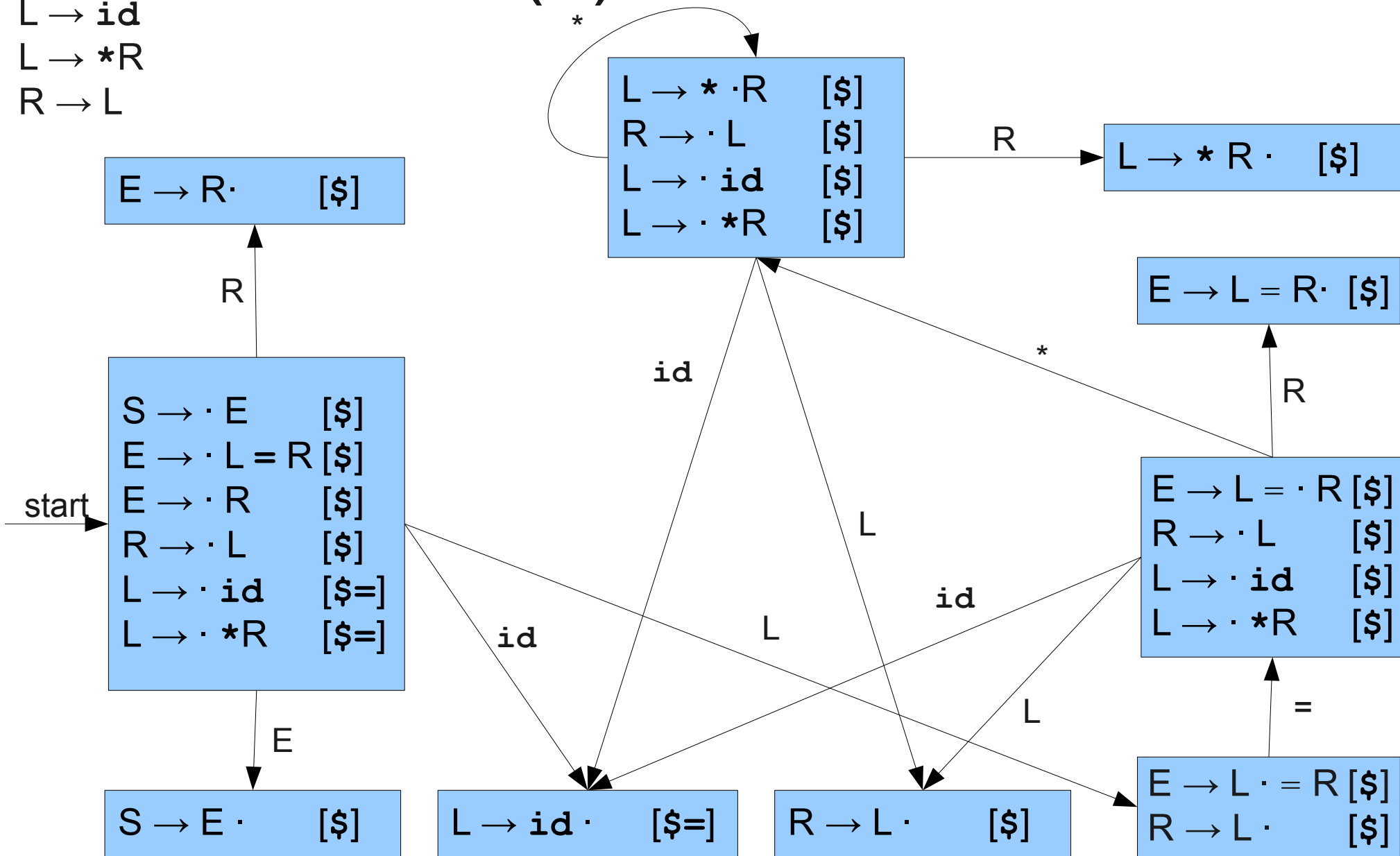
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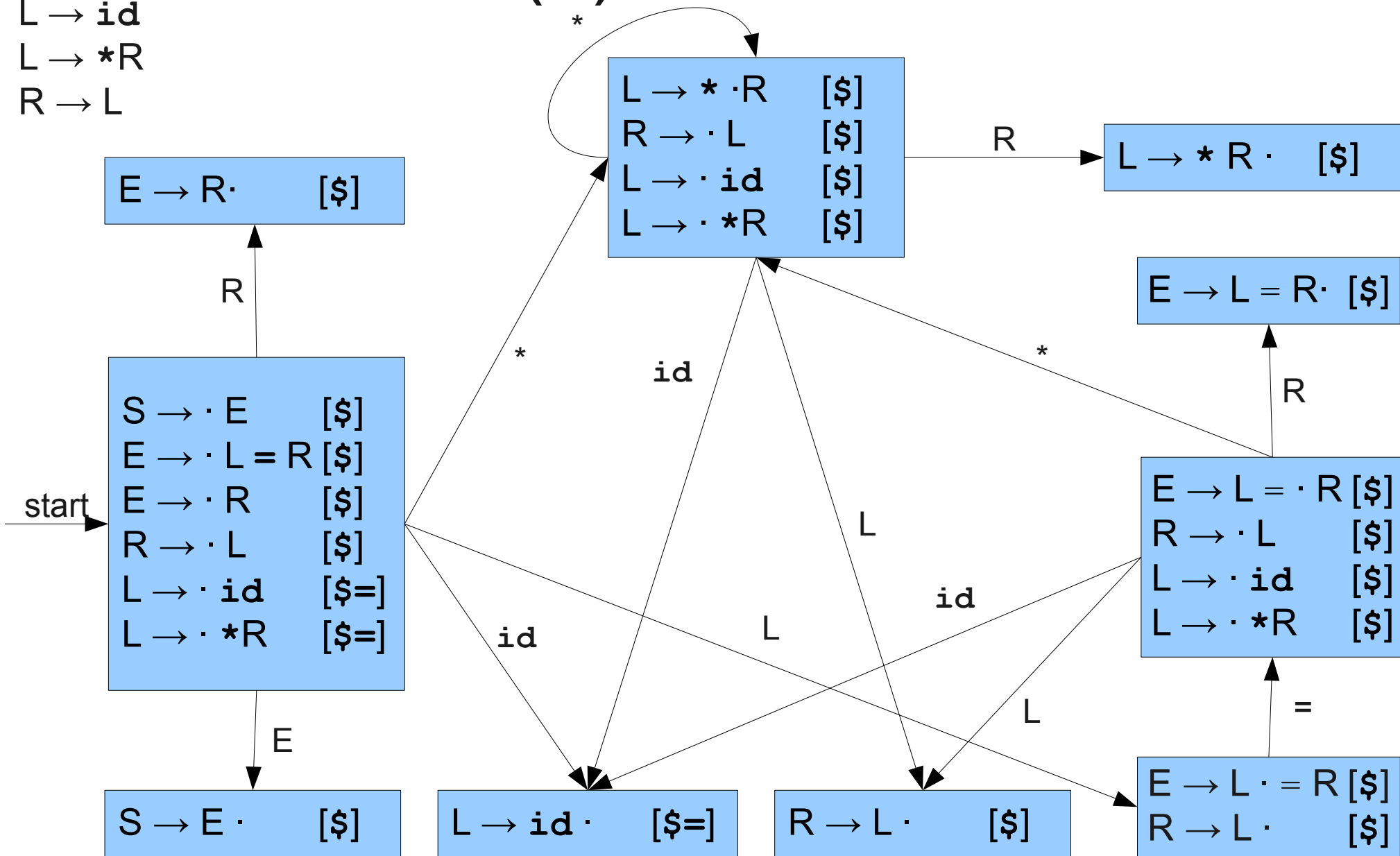
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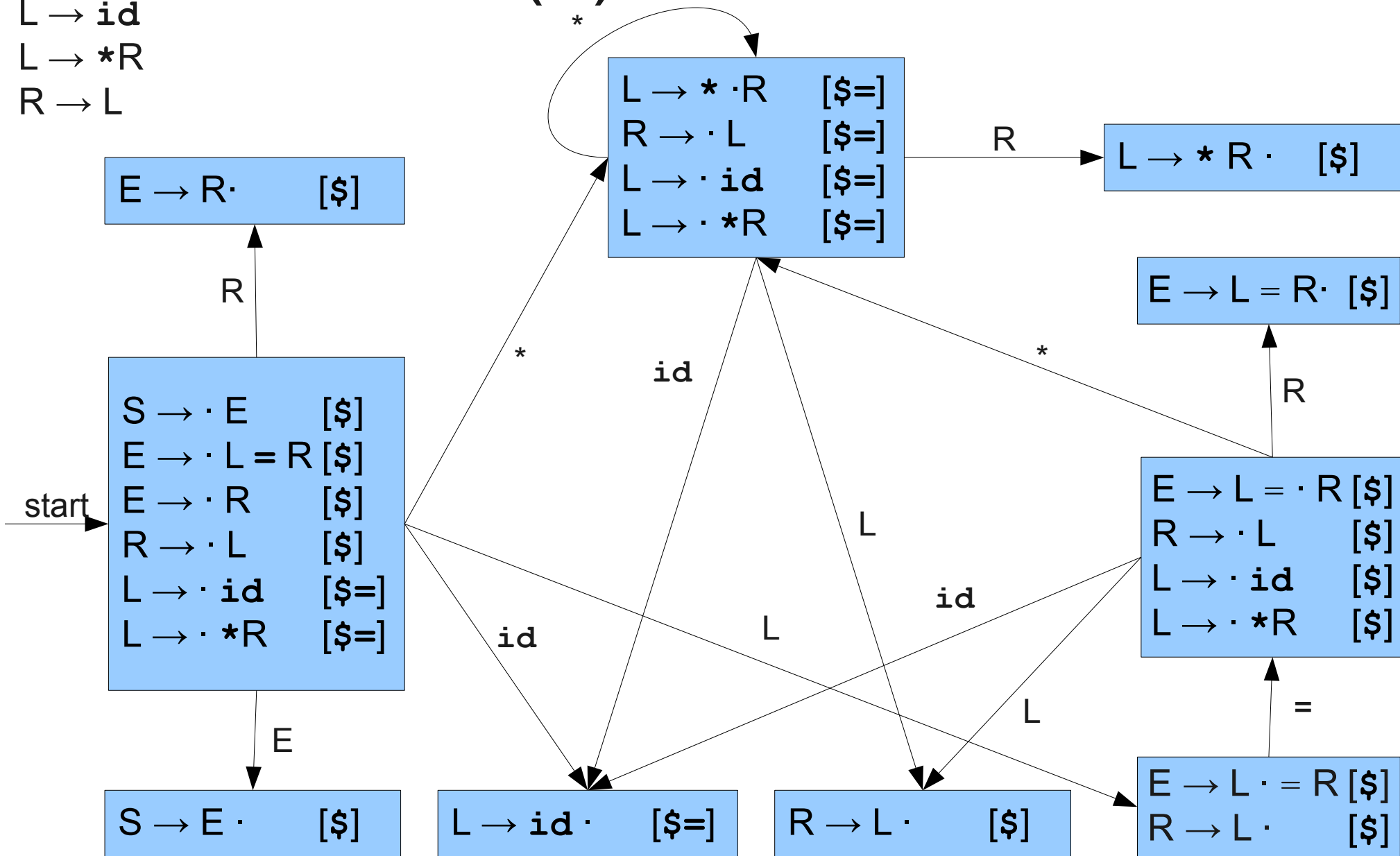
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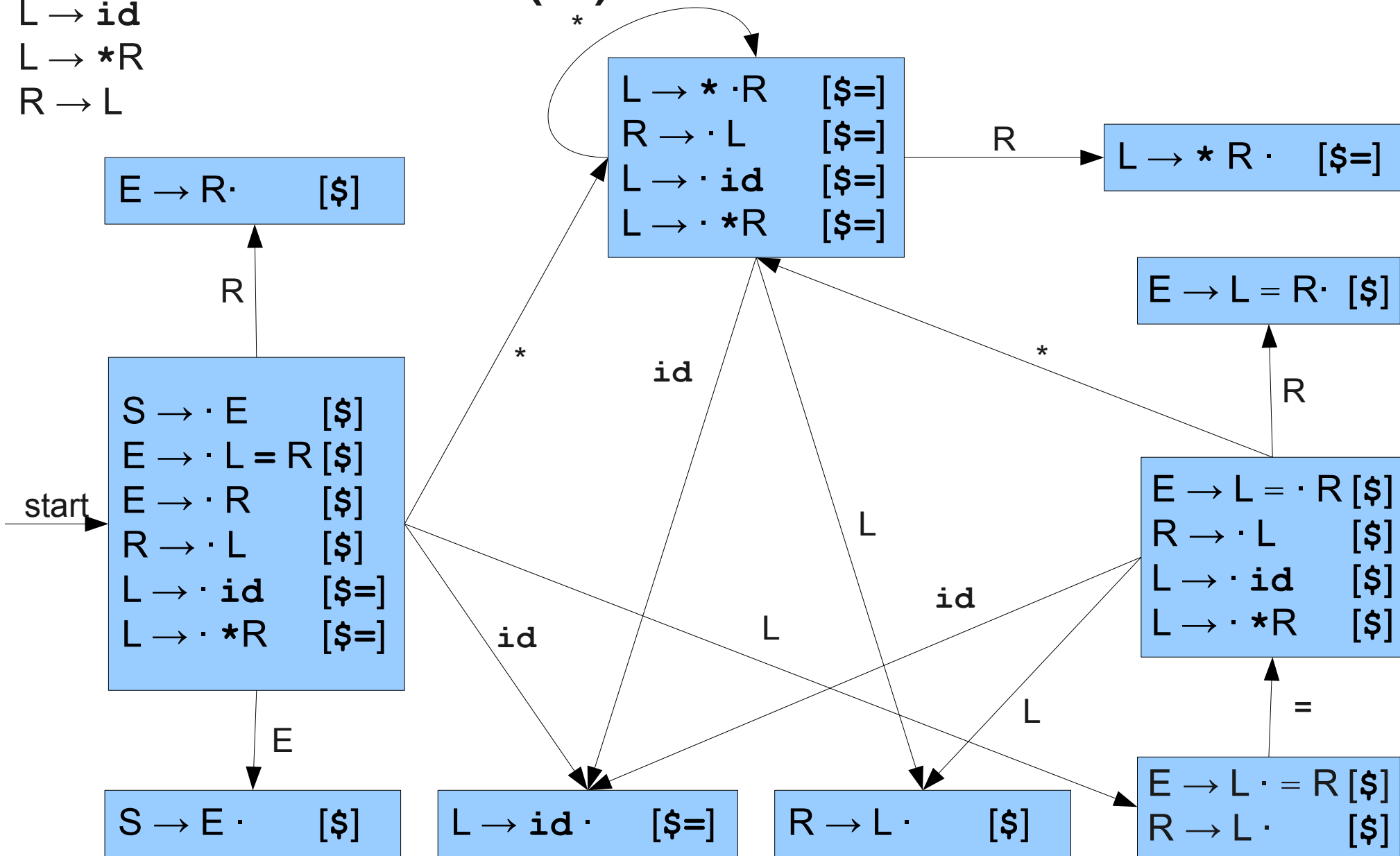
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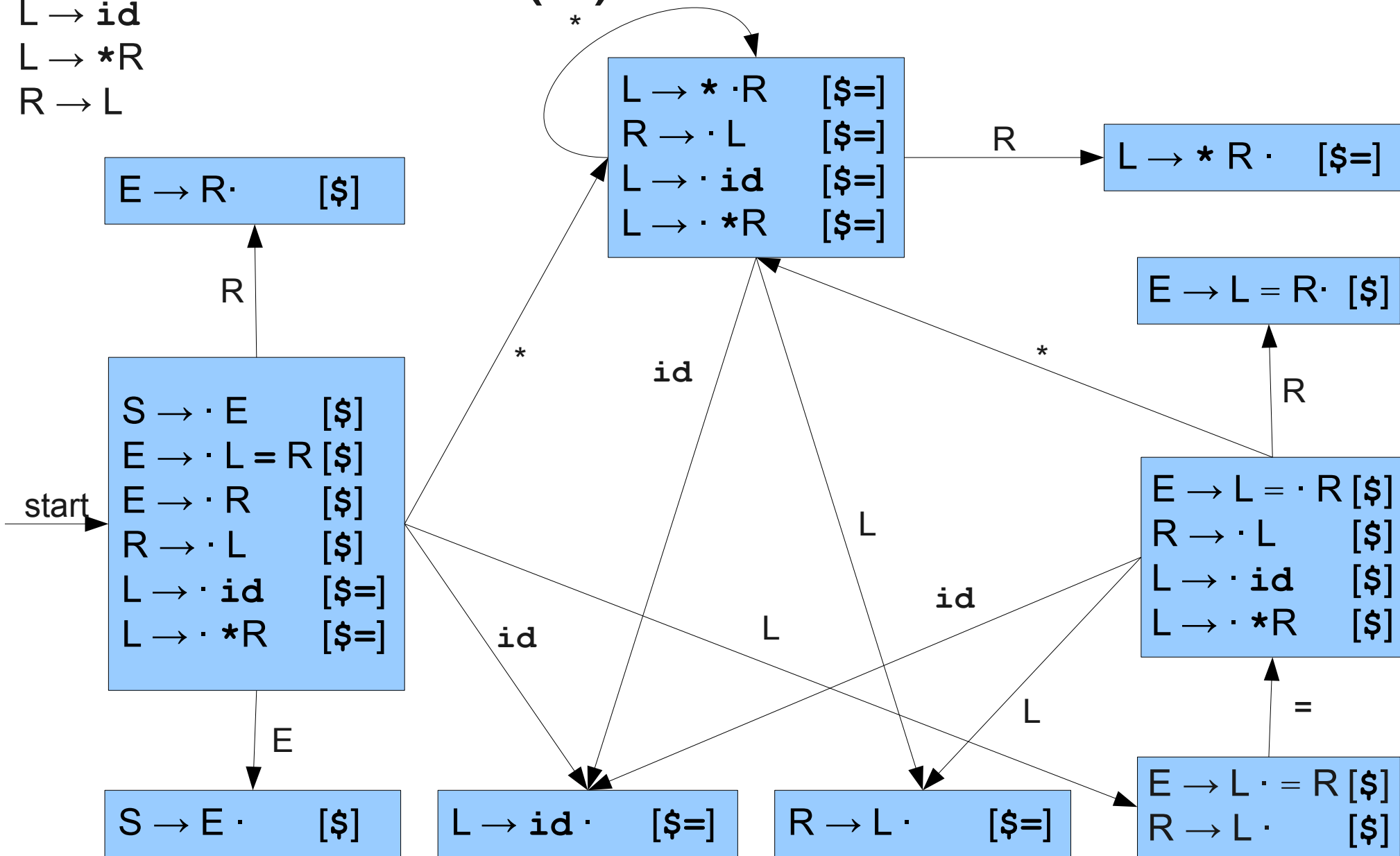
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# Analysis of our Algorithm

- Since we merge as we go, size of the partial automaton never exceeds size of overall automaton.
- However, this algorithm could be very slow in practice.
- **Why?**

# Analysis of our Algorithm

- Since we merge as we go, size of the partial automaton never exceeds size of overall automaton.
- However, this algorithm could be very slow in practice.
- **Why?**
- We might still have to generate all the LR(1) states, even if they immediately get merged.
- This can be very slow.

# SLR uses FOLLOW sets

- Recall: FOLLOW(A) is the set of terminals that can follow A in a production:

$$\text{FOLLOW}(A) = \{ t \mid S \rightarrow^* uAtv \}$$

- SLR is LR(0), with reductions augmented using FOLLOW sets.
- This is too weak for two reasons:
  - It ignores **context** (what state we're in).
  - It ignores **which reduction** we're doing.
- A stronger concept is the **lookahead set**.

# LALR uses LA sets

- The **lookahead set**  $LA(q, A \rightarrow w)$  is defined as
$$LA(q, A \rightarrow w) = \{ t \mid S \rightarrow^* uAtv \text{ and } uw \text{ reaches } q \}$$
- Here, “ $uw$  reaches  $q$ ” means that the LR(0) automaton, when run on  $uw$  reaches state  $q$ .
- Intuitively, if we're in some state  $q$  and are going to reduce  $A$  to  $w$ ,  $LA(q, A \rightarrow w)$  is the set of terminals that could actually follow  $A$  at this point.
- Much more precise than FOLLOW sets.

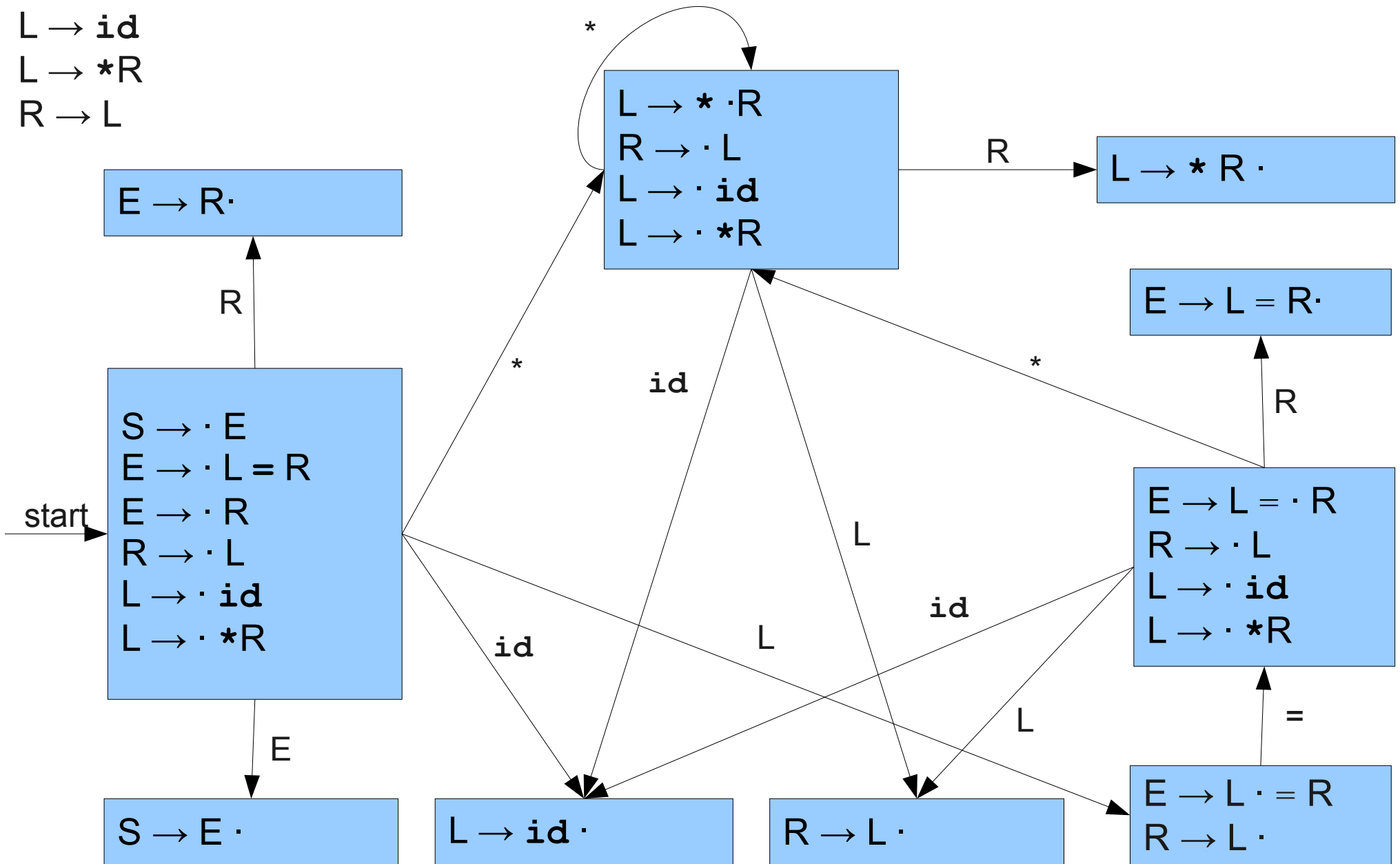


# LA and FOLLOW

- The **lookahead set**  $LA(q, A \rightarrow w)$  is defined as
$$LA(q, A \rightarrow w) = \{ t \mid S \rightarrow^* uAtv \text{ and } uw \text{ reaches } q \}$$
- The **follow set**  $FOLLOW(A)$  is defined as
$$FOLLOW(A) = \{ t \mid S \rightarrow^* uAtv \}$$
- These definitions are very similar to one another.
- If we can compute LA from FOLLOW, we can construct a LALR(1) parser efficiently.

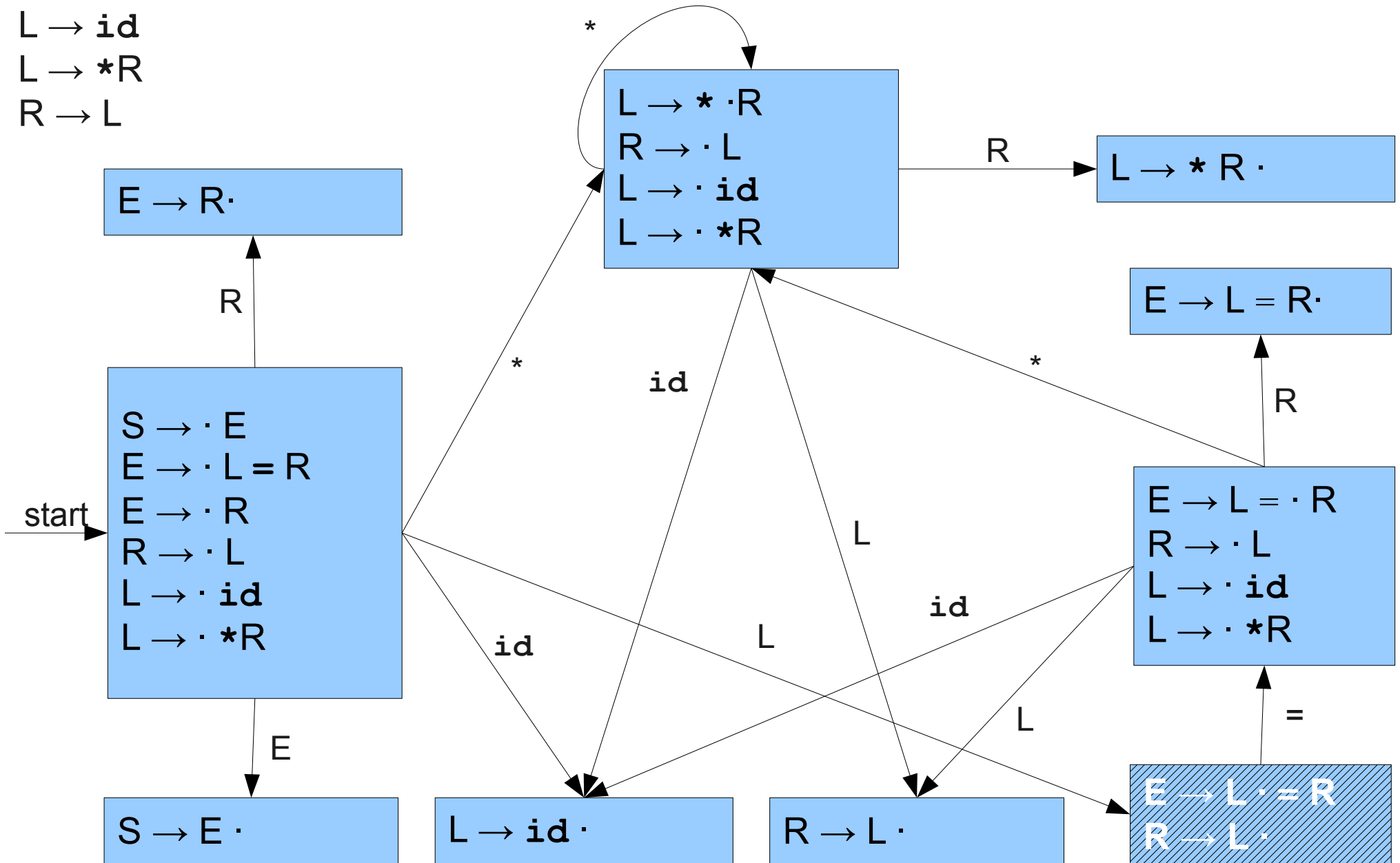
# An LR(0) Automaton

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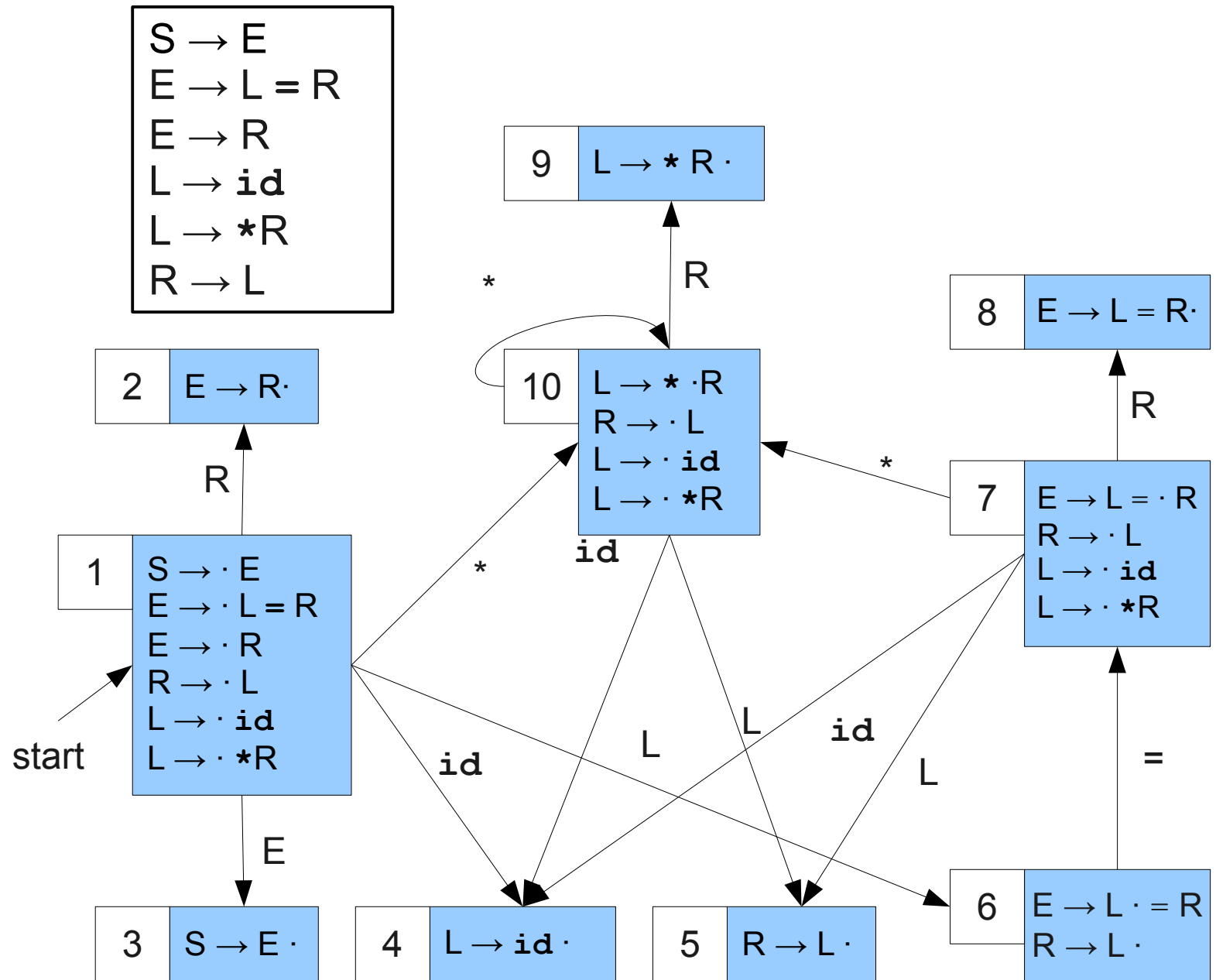
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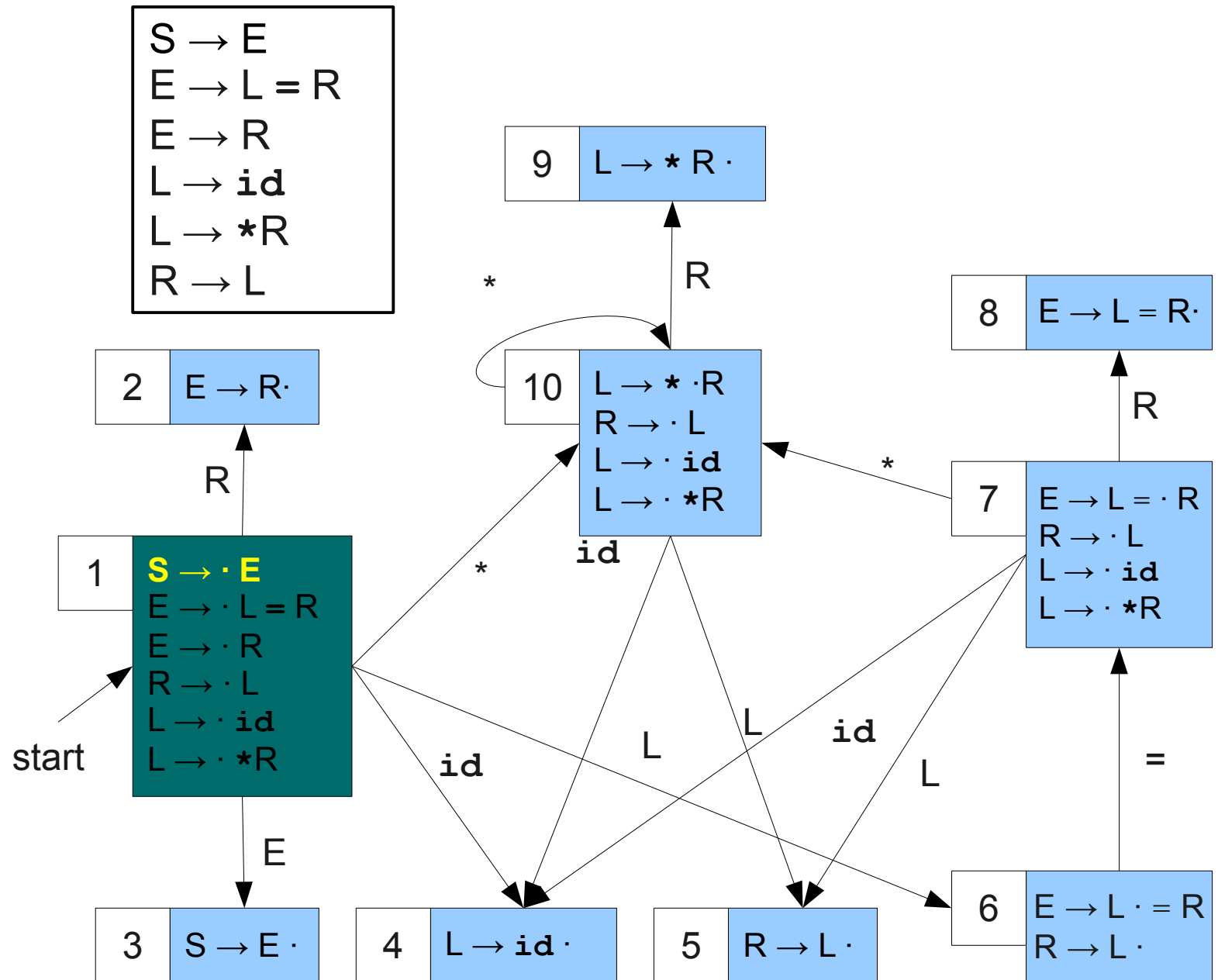
What if we used the LR(0) automaton to **change the grammar** to retain more context?

Prepare for one of the most beautiful  
constructions of the quarter...

# Augmenting the Grammar

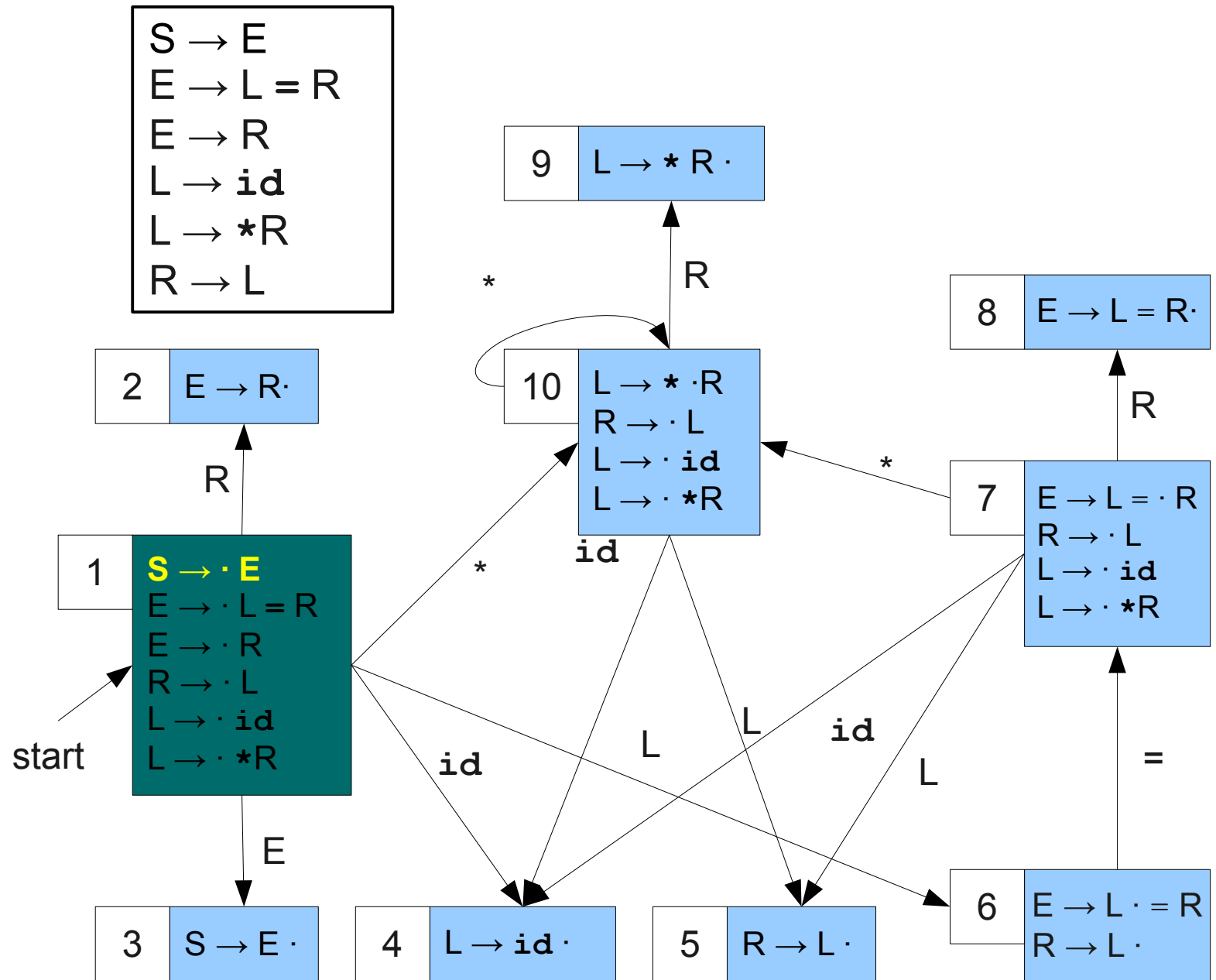


# Augmenting the Grammar



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$$S_1 \rightarrow E_{1-3}$$

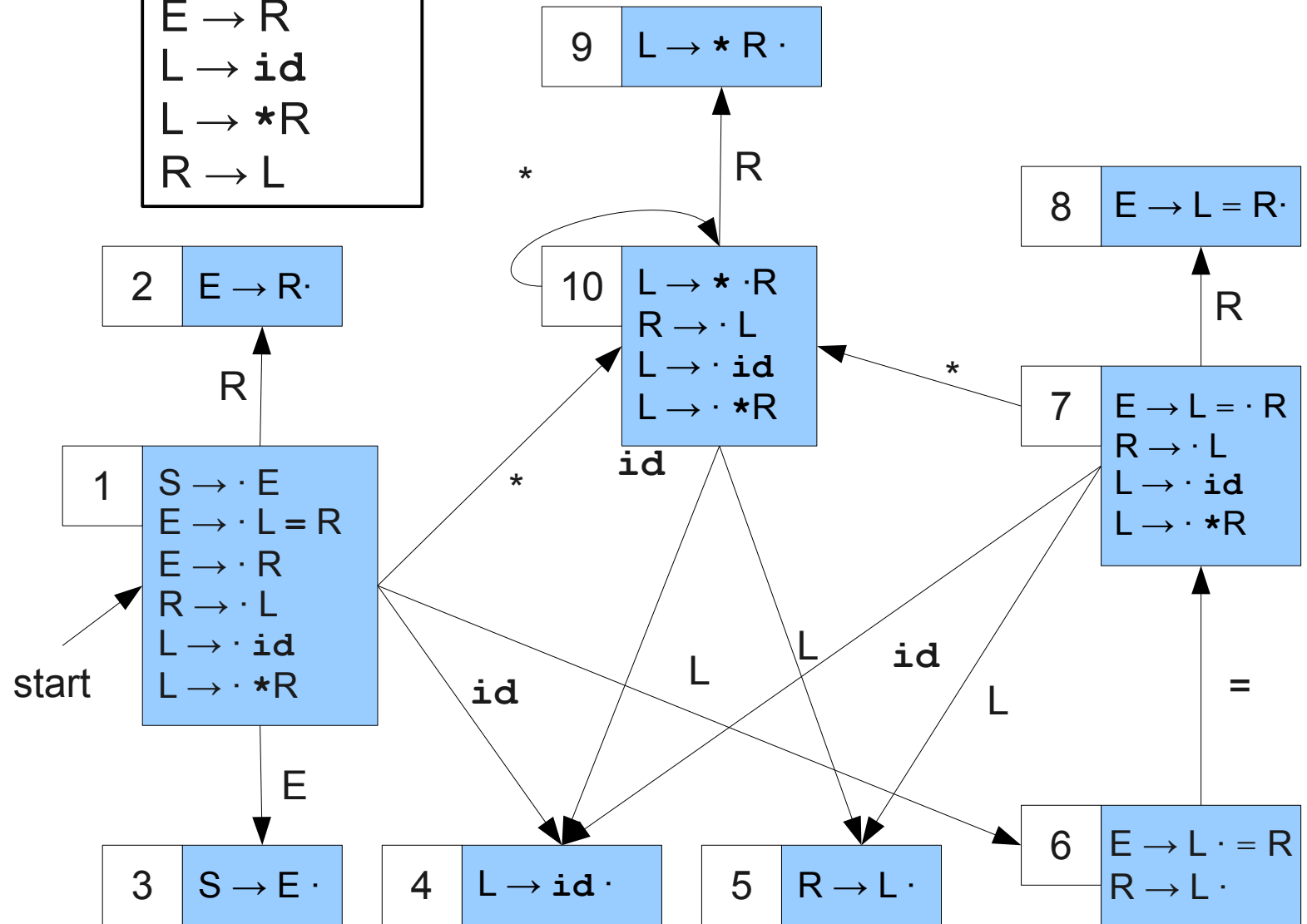




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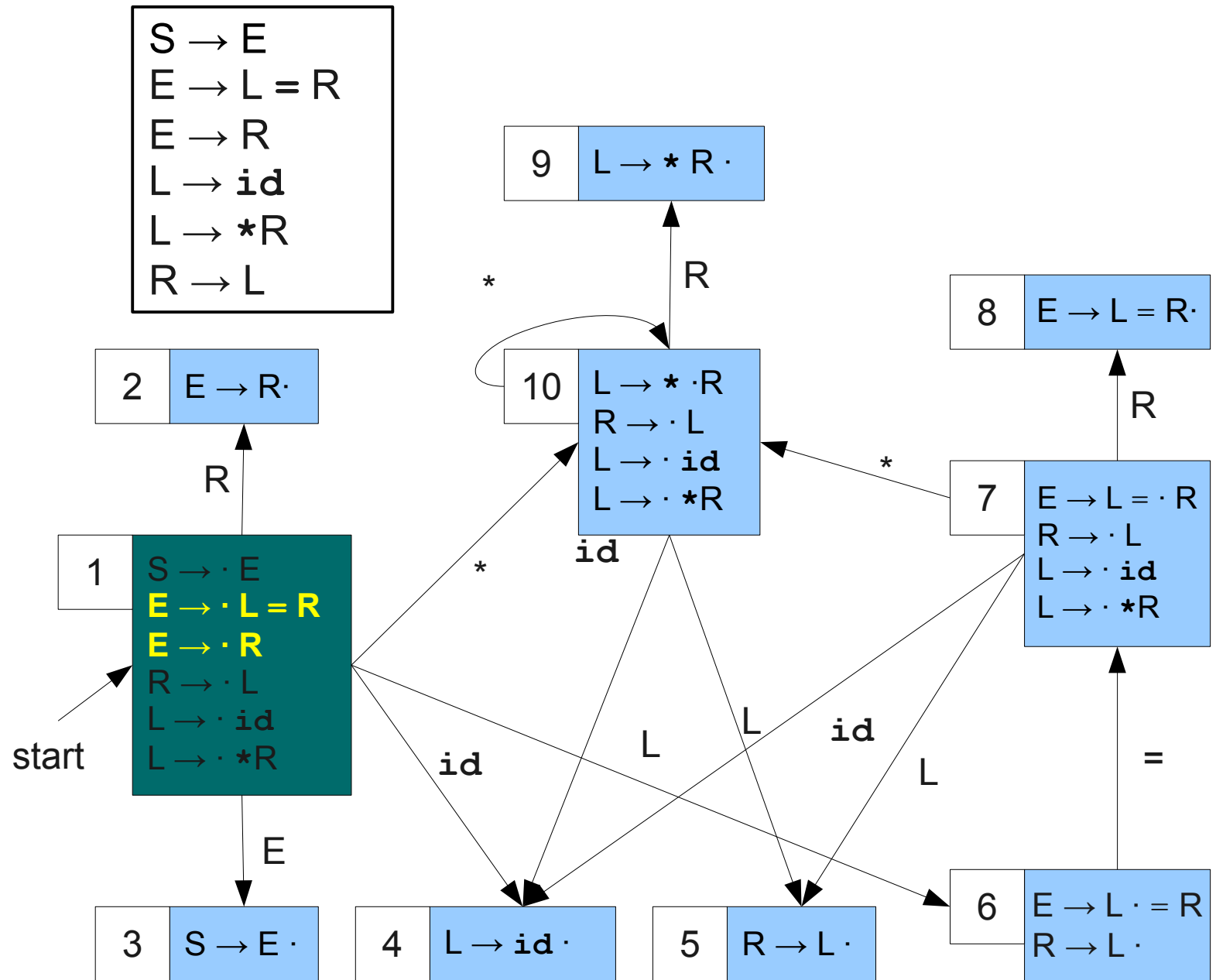
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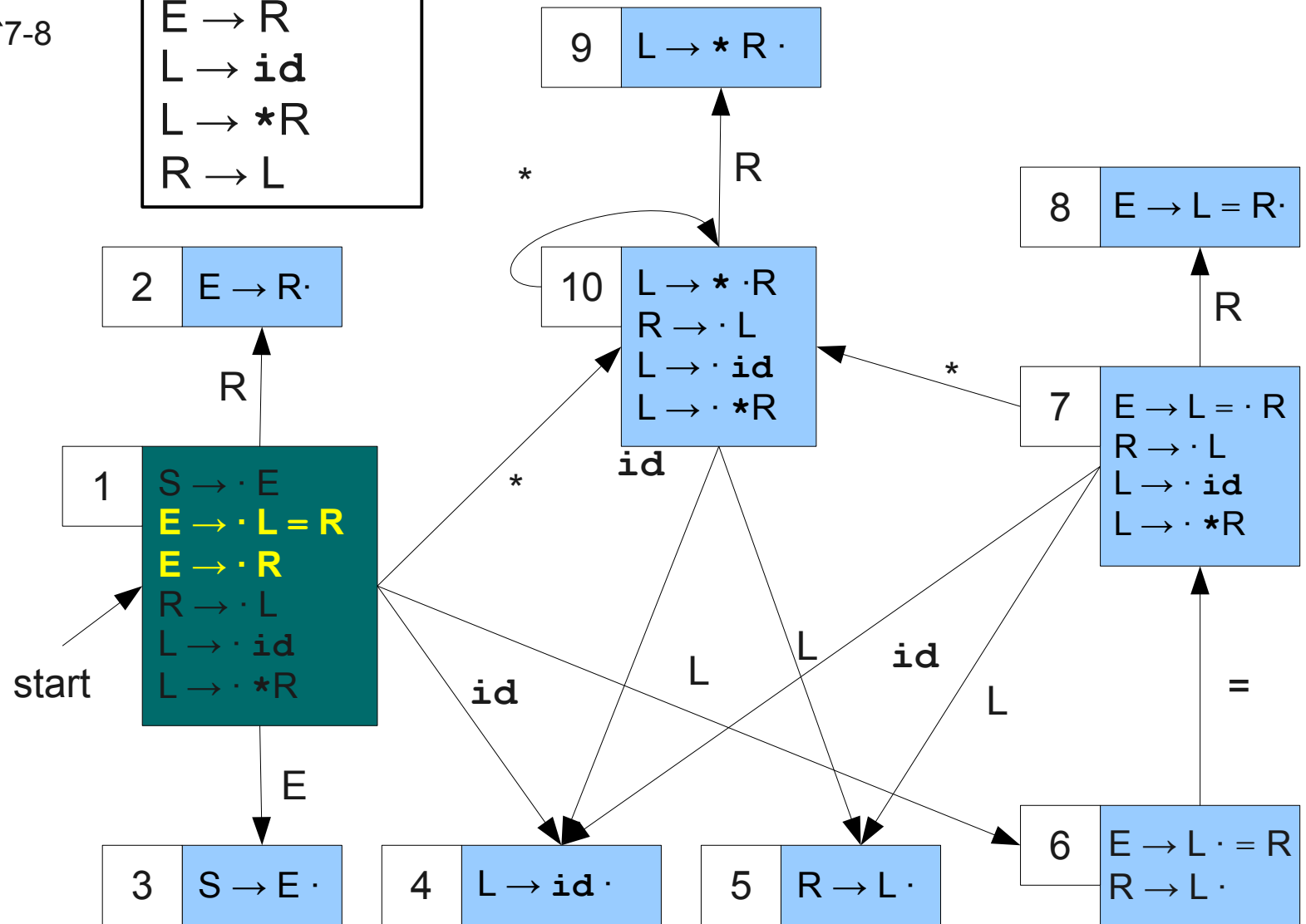
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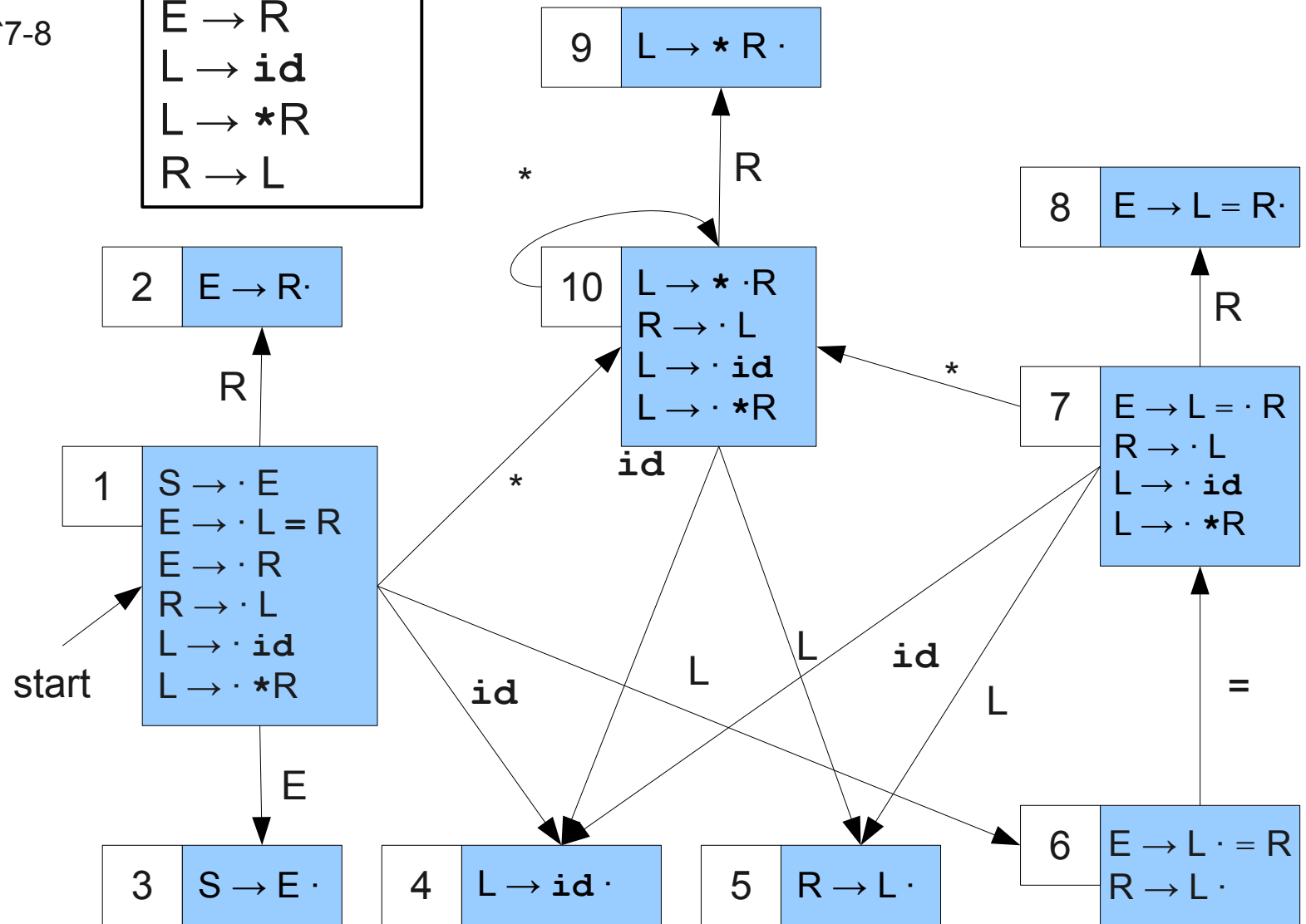
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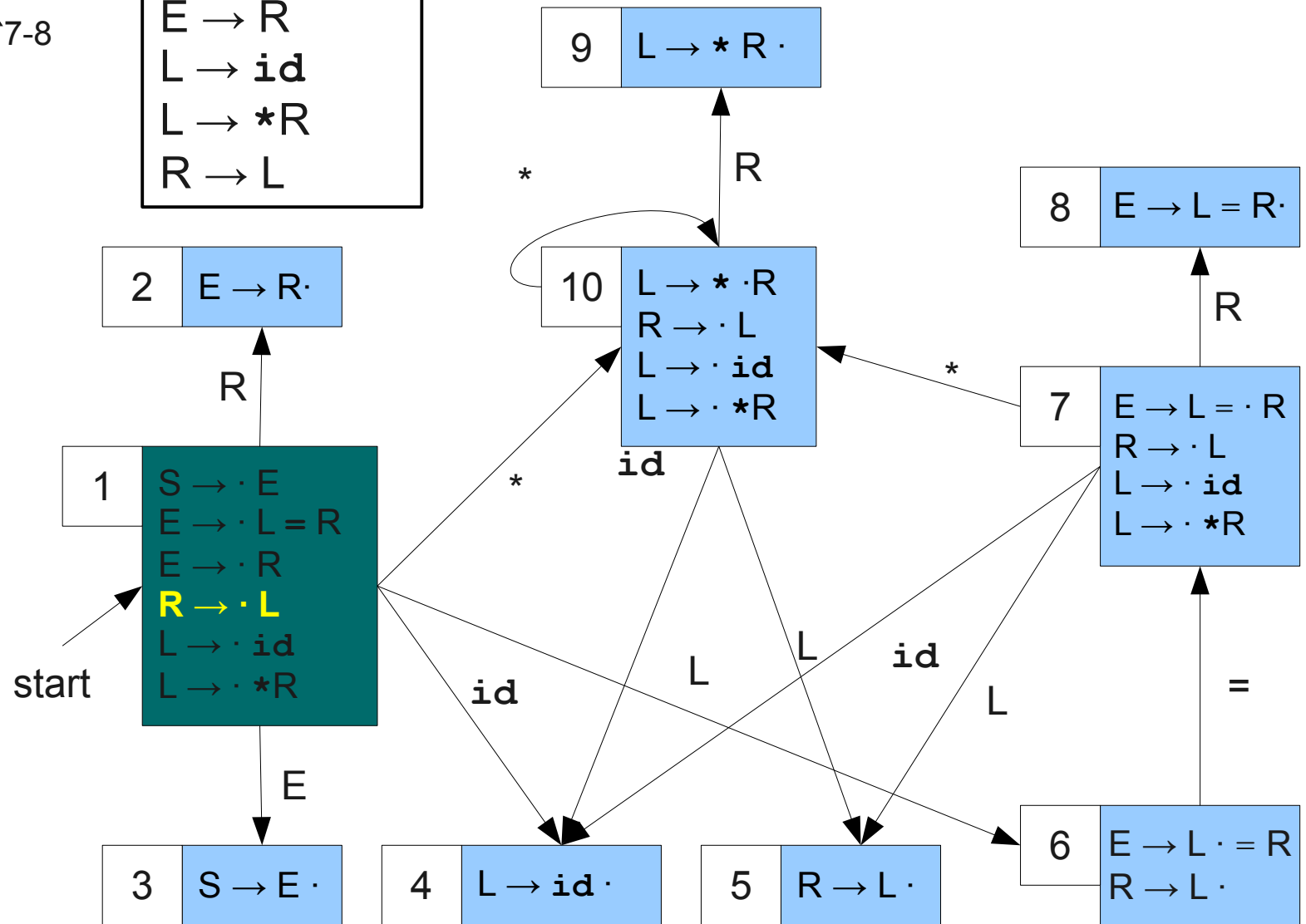
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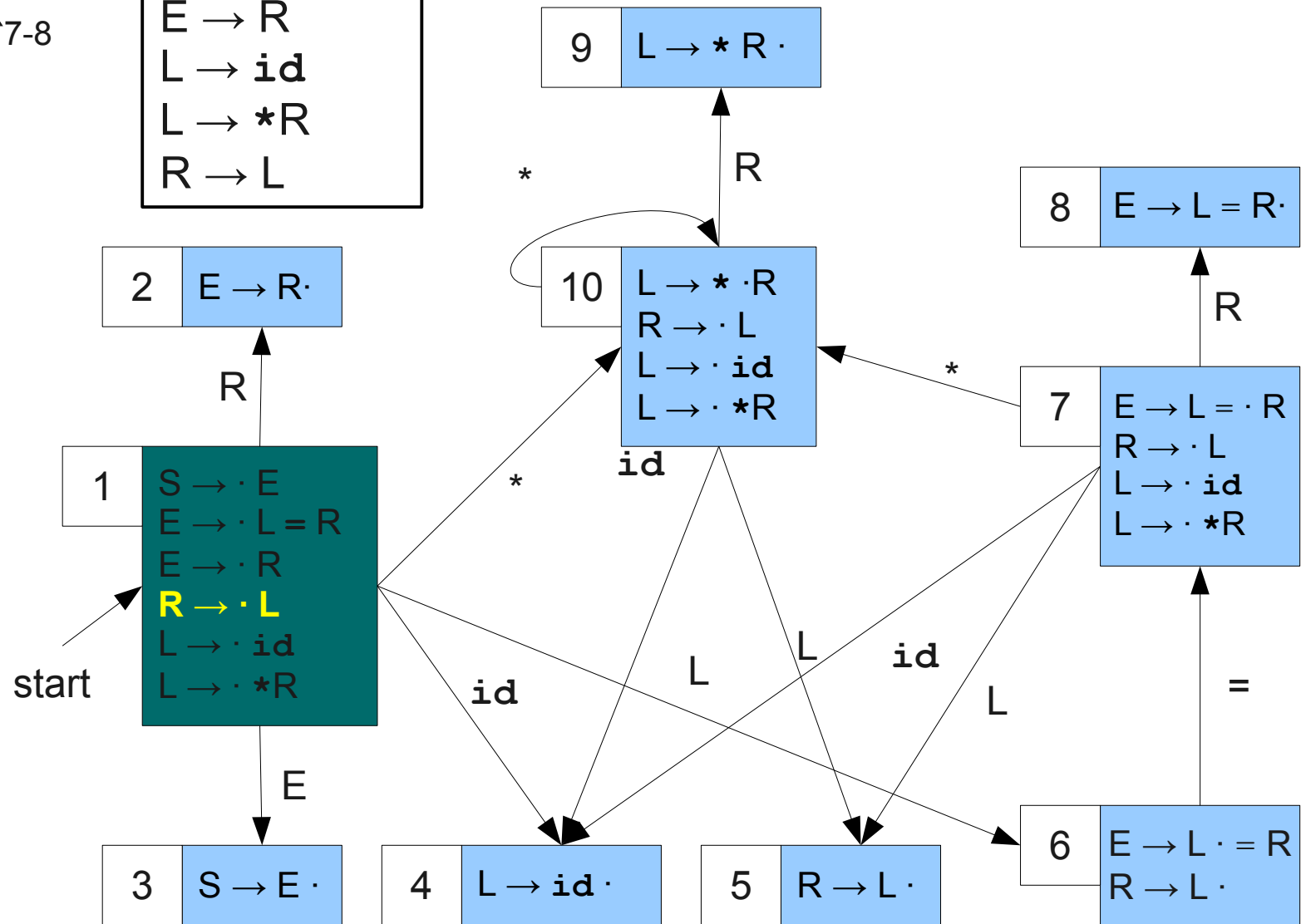
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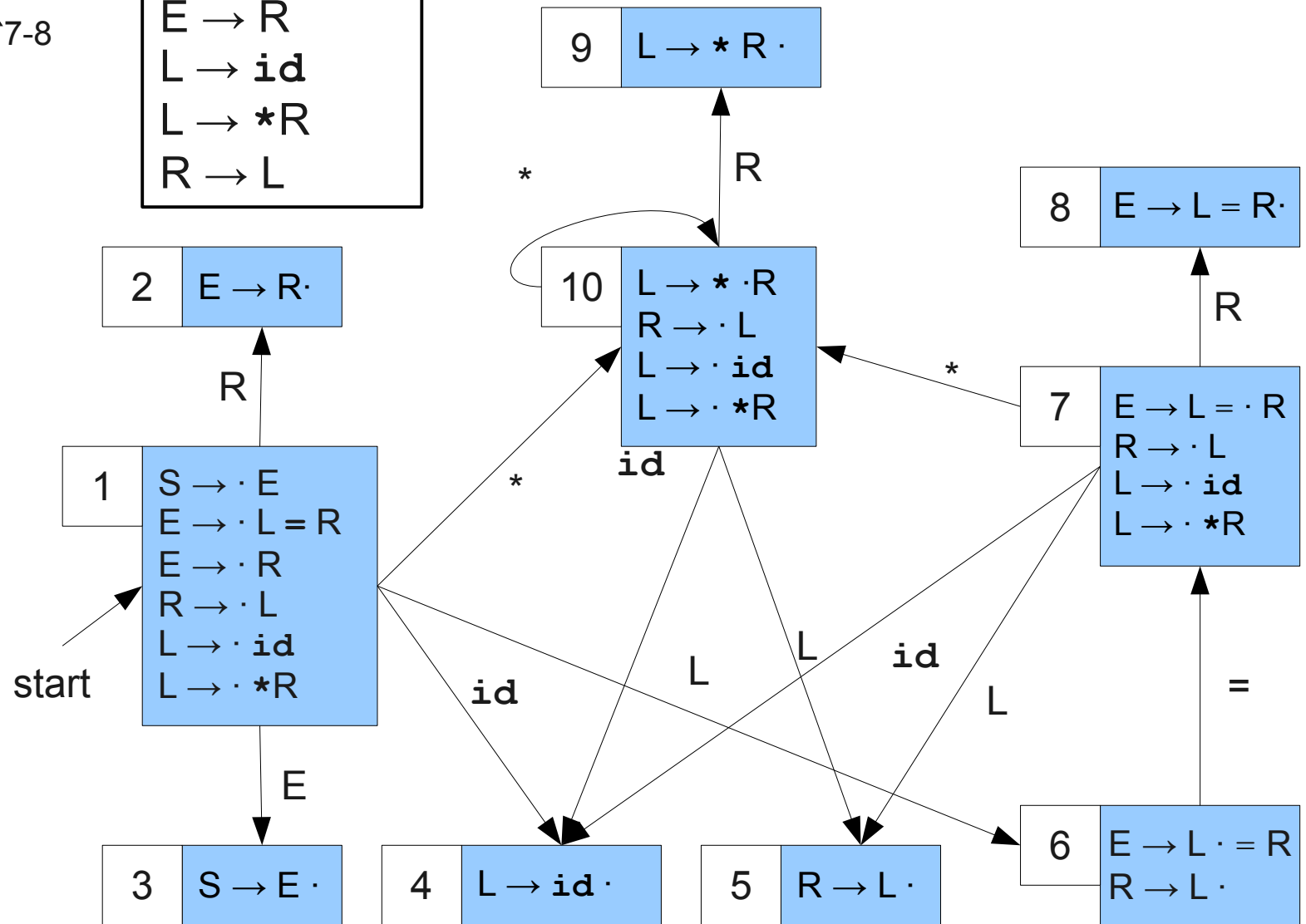
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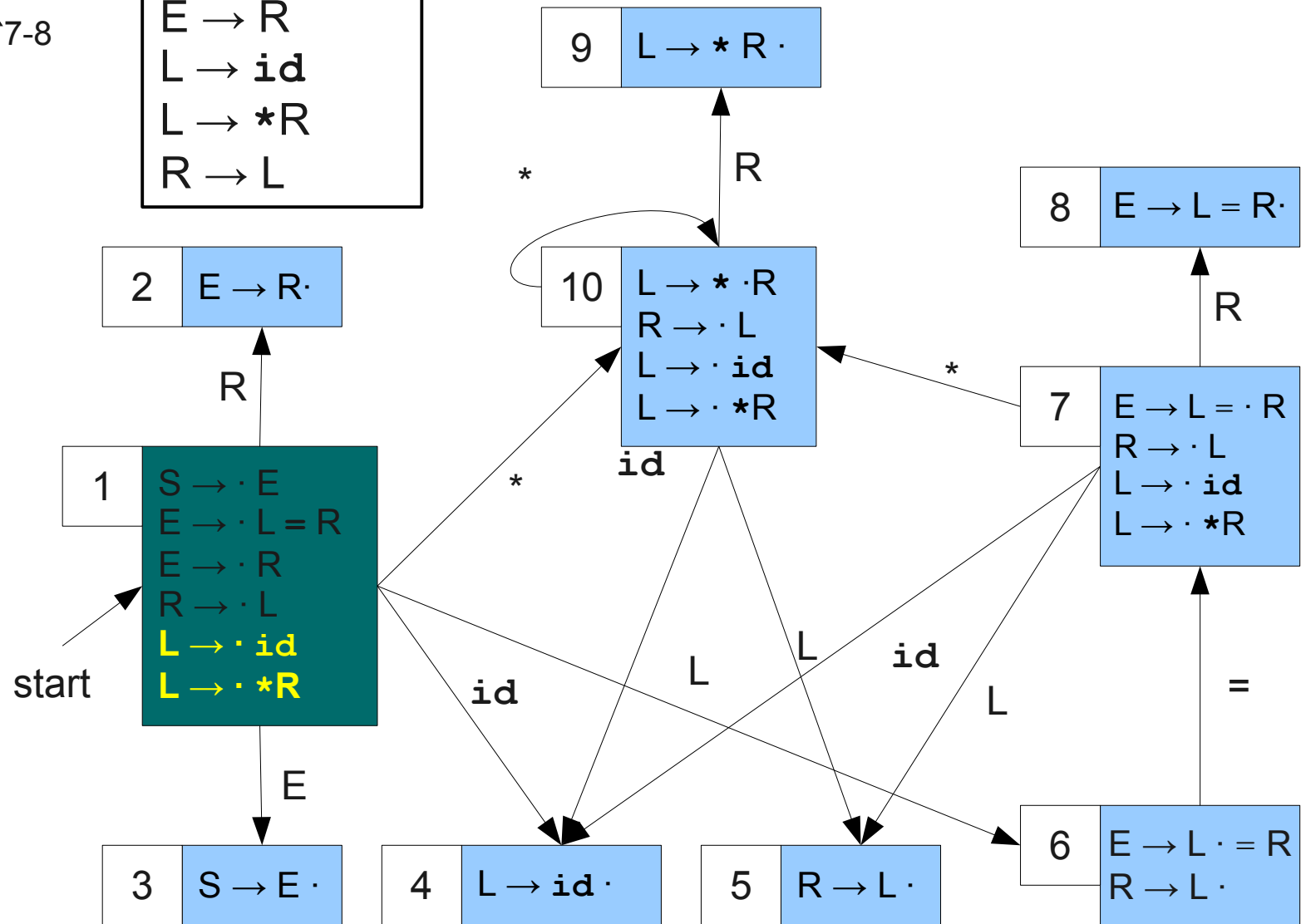
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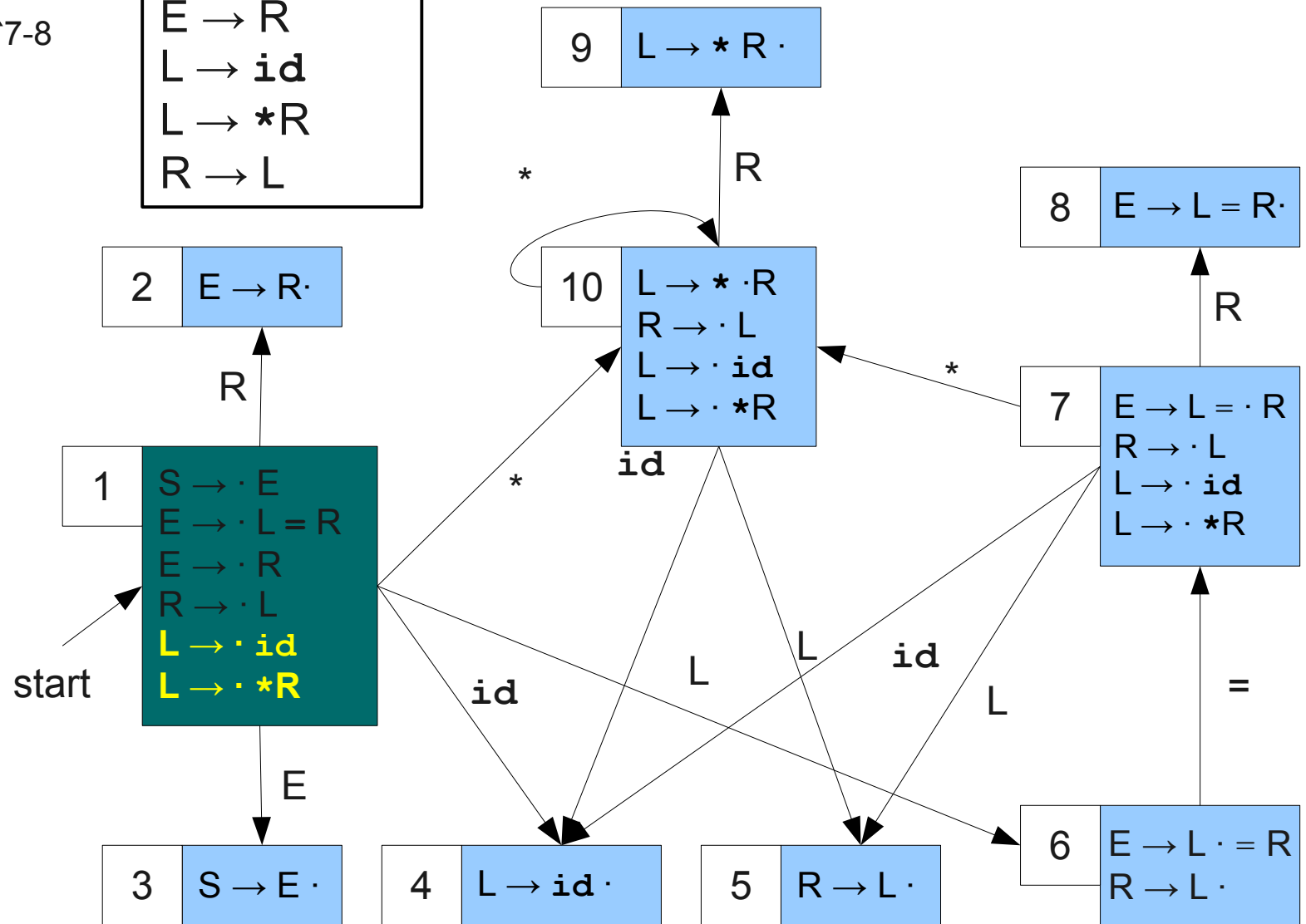




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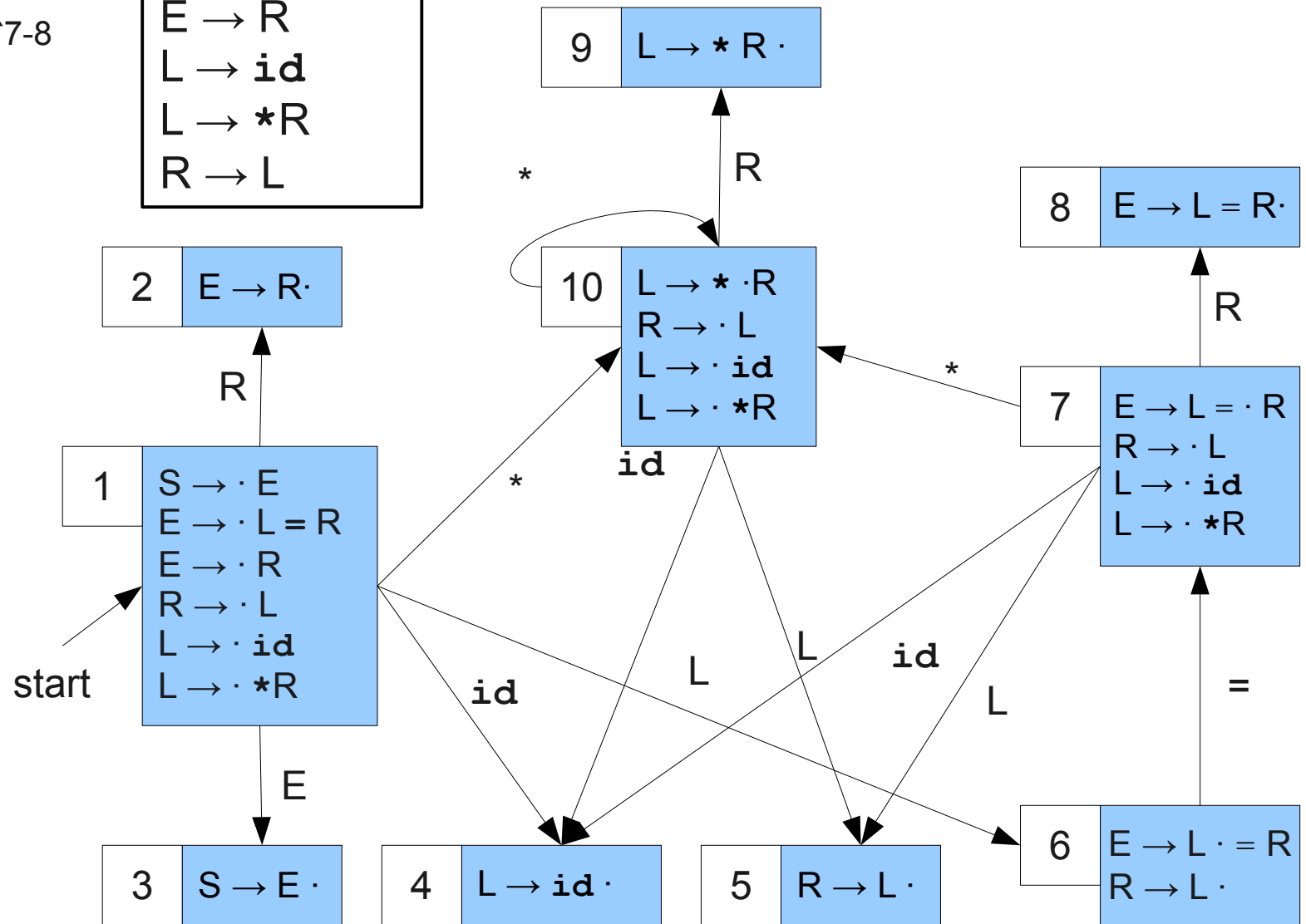
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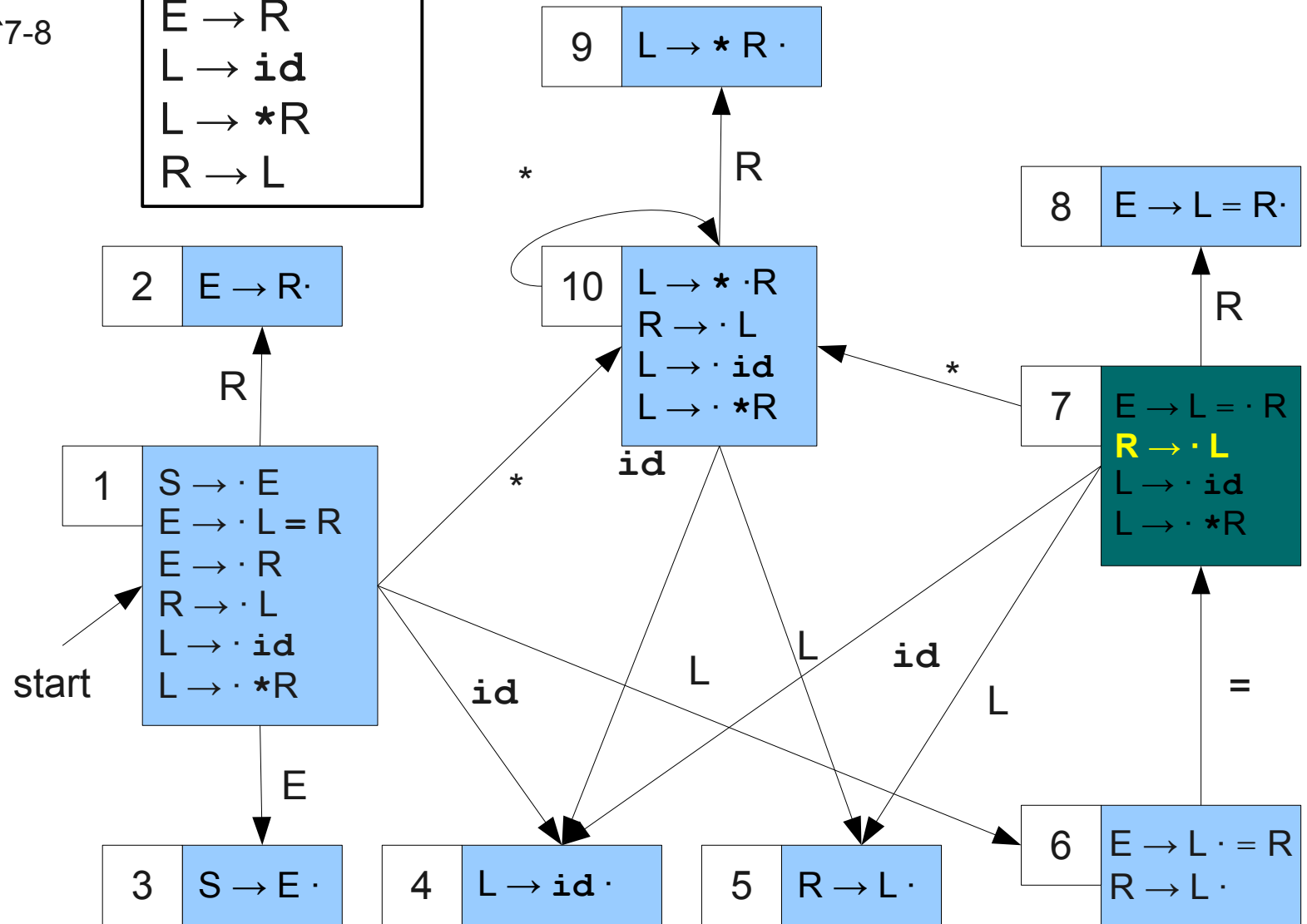
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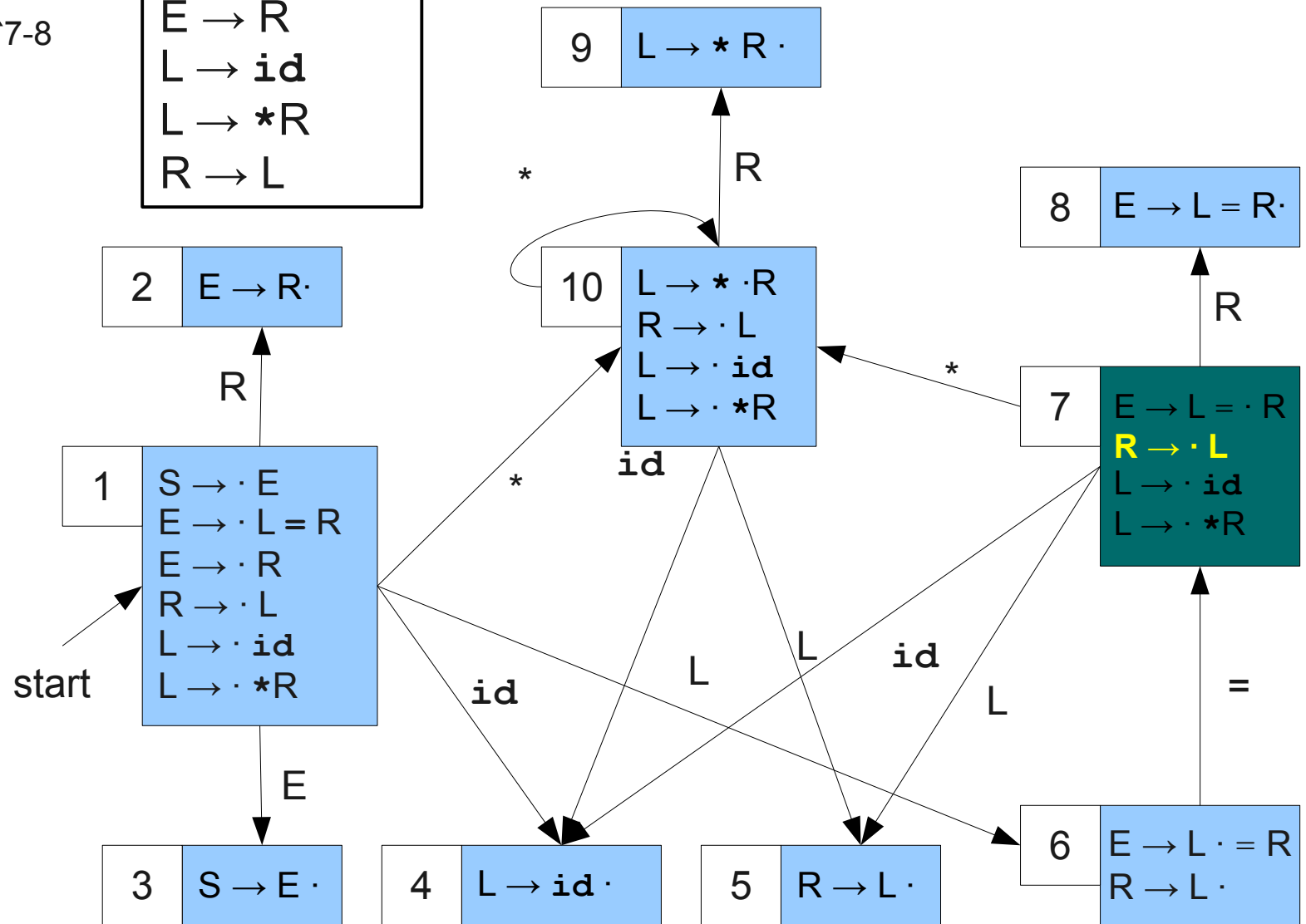
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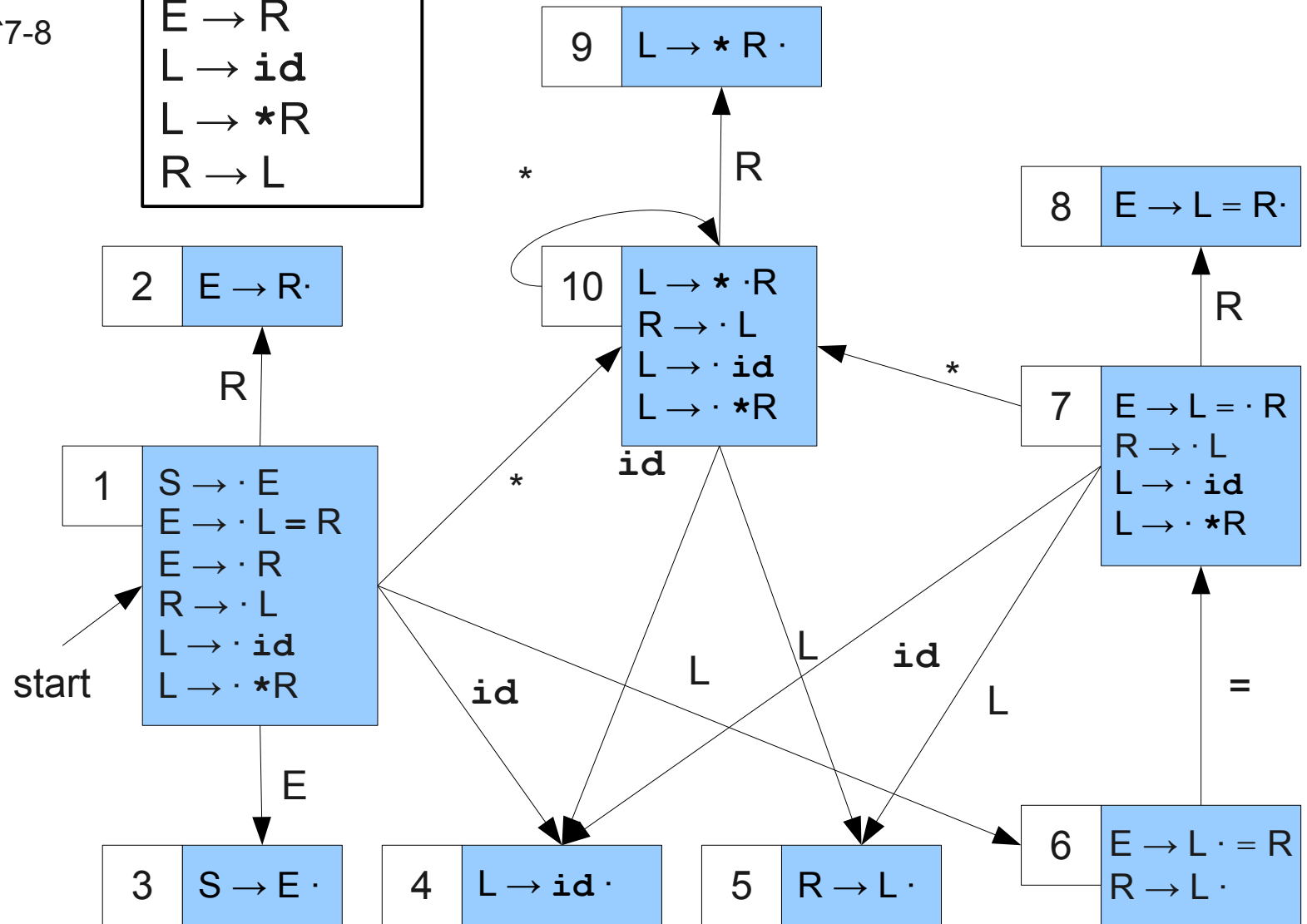
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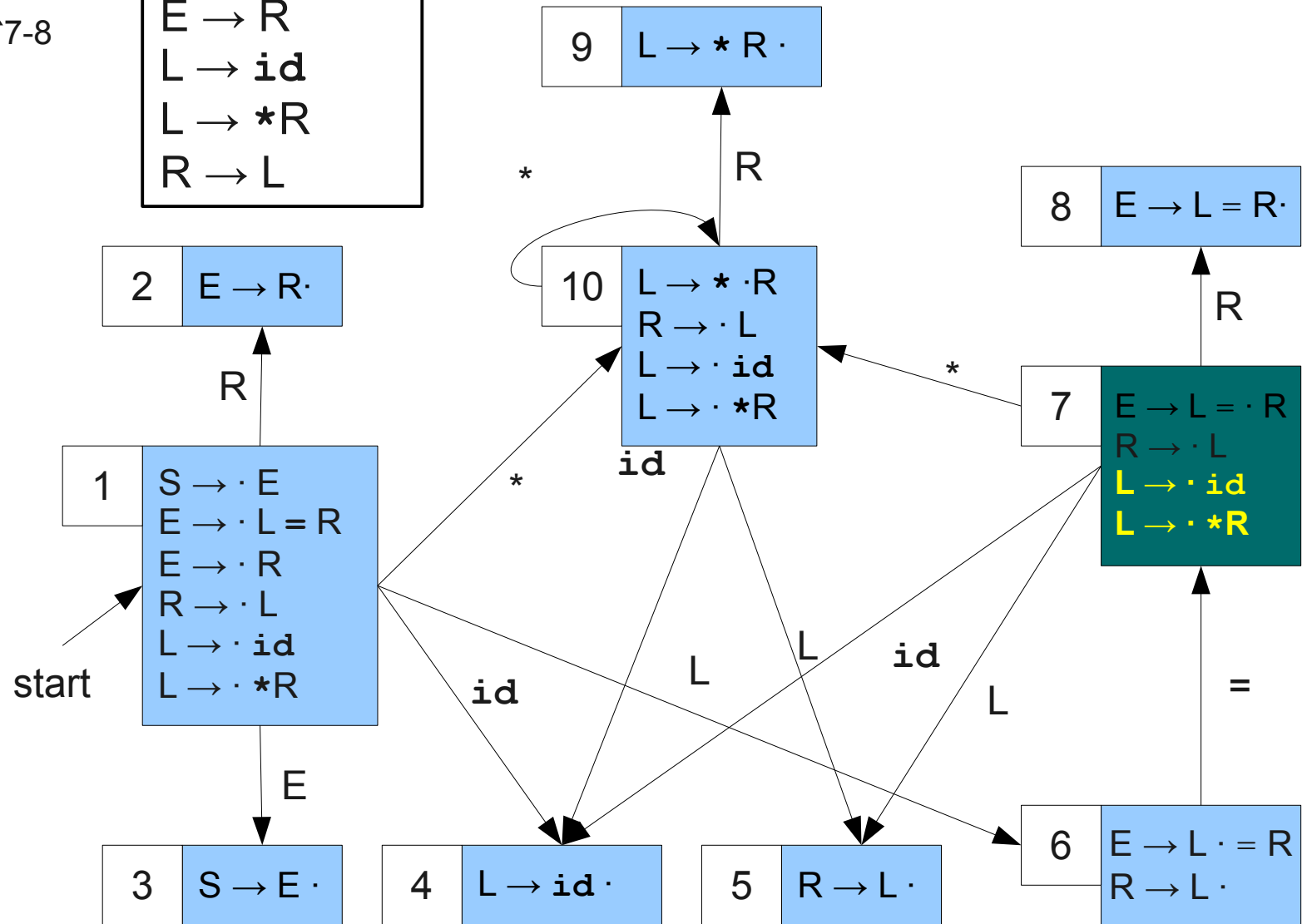
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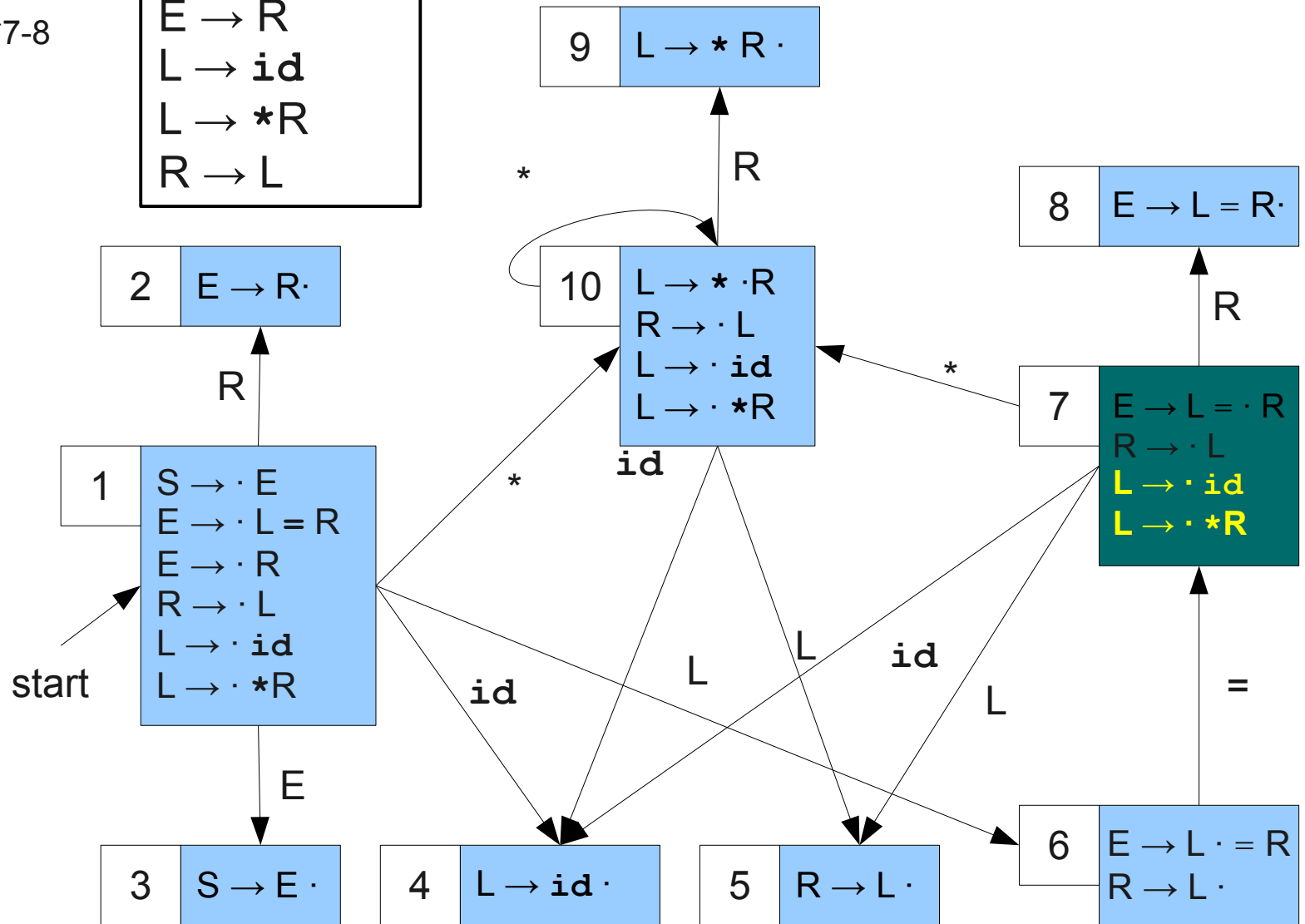
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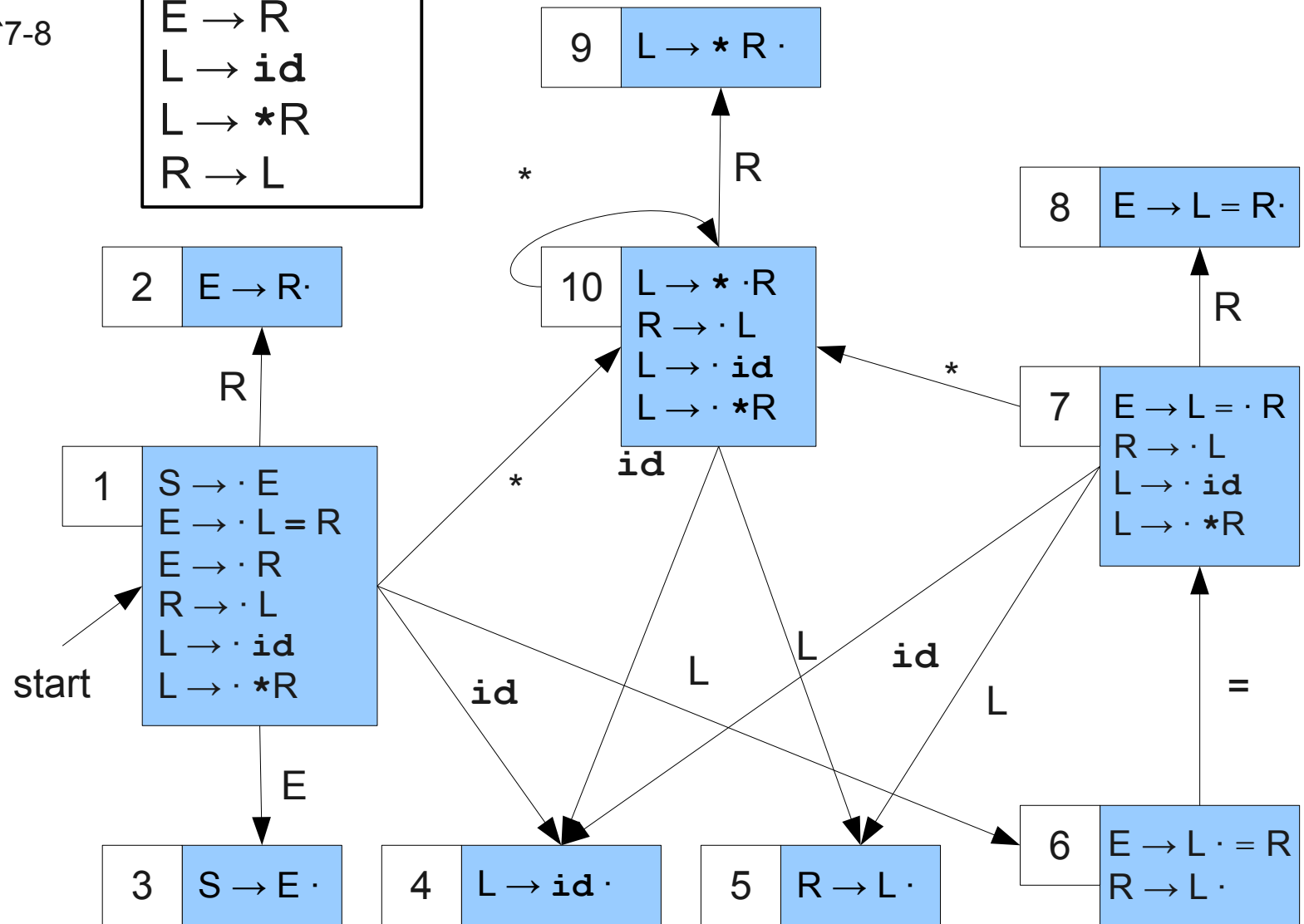
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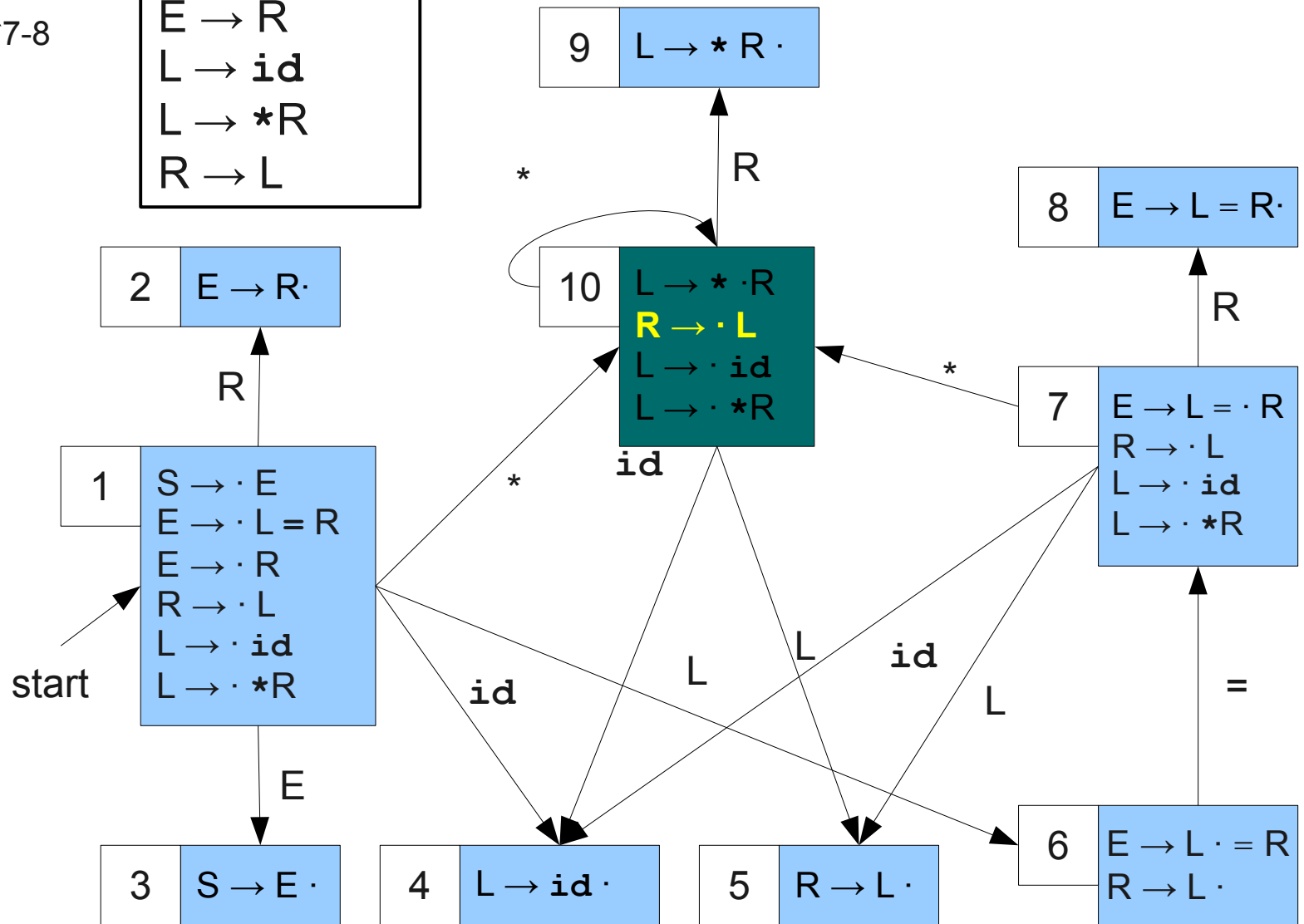




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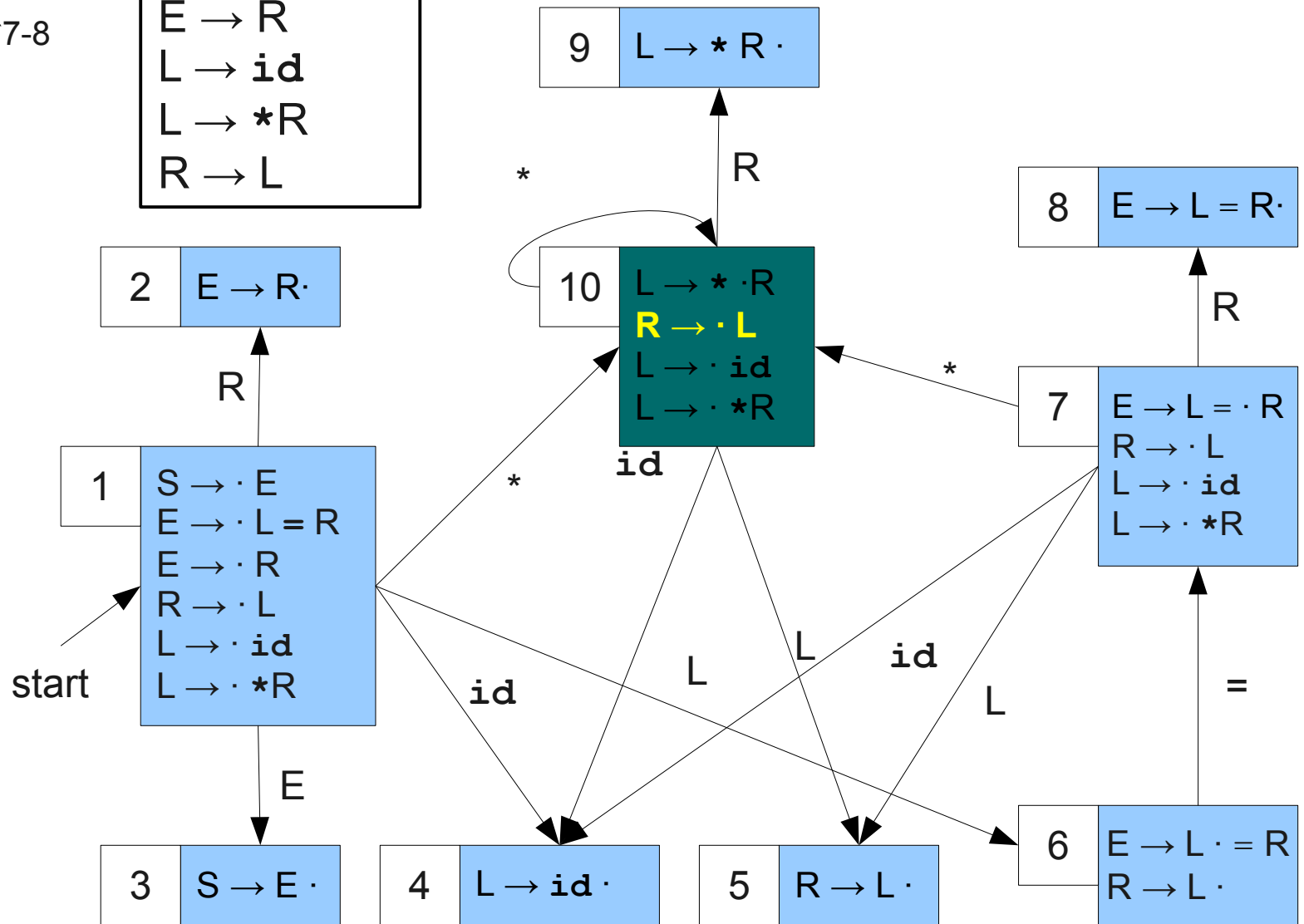
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 $R_{1-2} \rightarrow L_{1-6}$   
 $L_{1-6} \rightarrow id$   
 $L_{1-6} \rightarrow *R_{10-9}$   
 $R_{7-8} \rightarrow L_{7-5}$   
 $L_{7-5} \rightarrow id$   
 $L_{7-5} \rightarrow *R_{10-9}$   
 $R_{10-9} \rightarrow L_{10-5}$

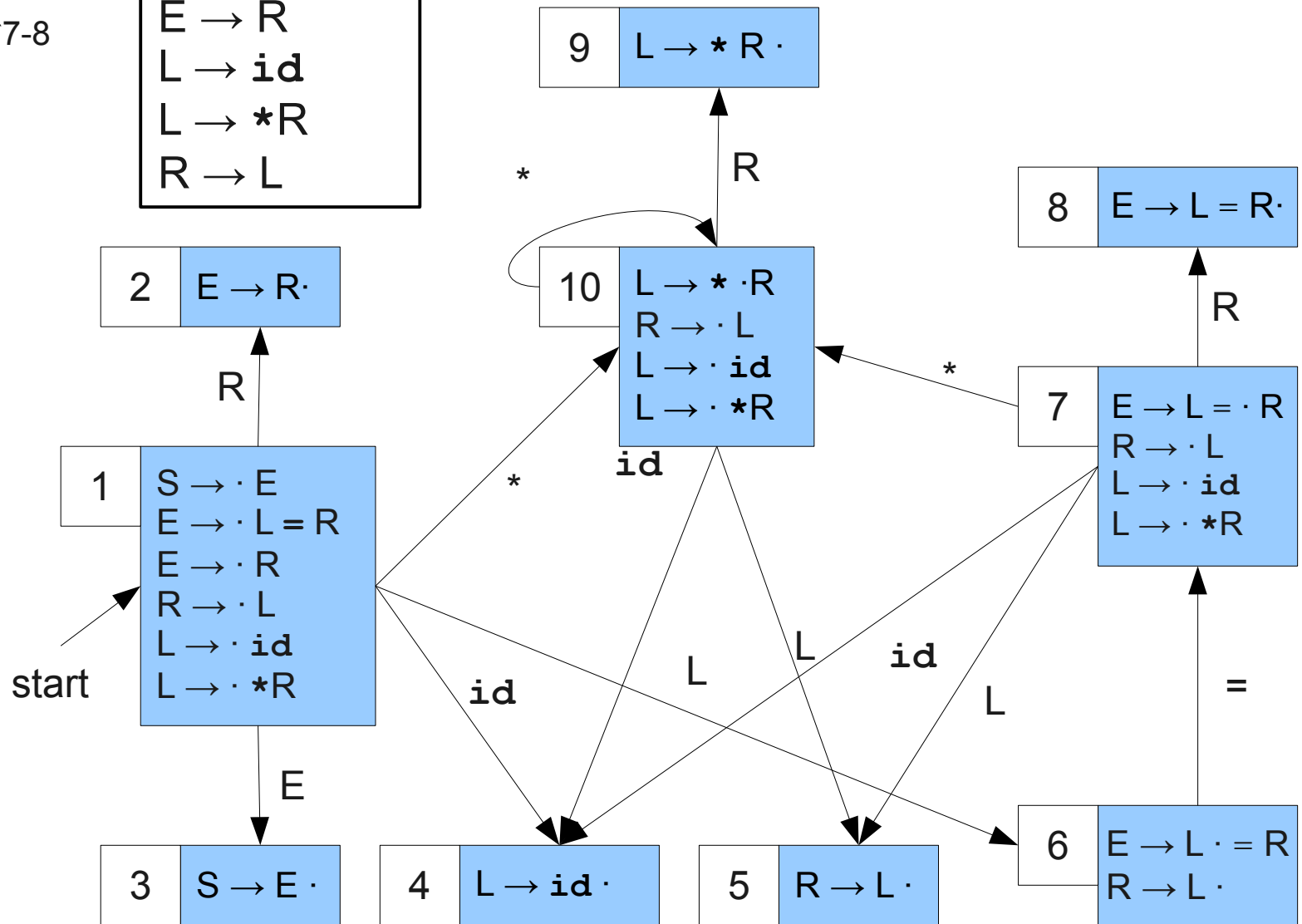
$S \rightarrow E$
$E \rightarrow L = R$
$E \rightarrow R$
$L \rightarrow id$
$L \rightarrow *R$
$R \rightarrow L$



# Augmenting the Grammar

$S_1 \rightarrow E_{1-3}$   
 $E_{1-3} \rightarrow L_{1-6} = R_{7-8}$   
 $E_{1-3} \rightarrow R_{1-2}$   
 $R_{1-2} \rightarrow L_{1-6}$   
 $L_{1-6} \rightarrow id$   
 $L_{1-6} \rightarrow *R_{10-9}$   
 $R_{7-8} \rightarrow L_{7-5}$   
 $L_{7-5} \rightarrow id$   
 $L_{7-5} \rightarrow *R_{10-9}$   
 $R_{10-9} \rightarrow L_{10-5}$

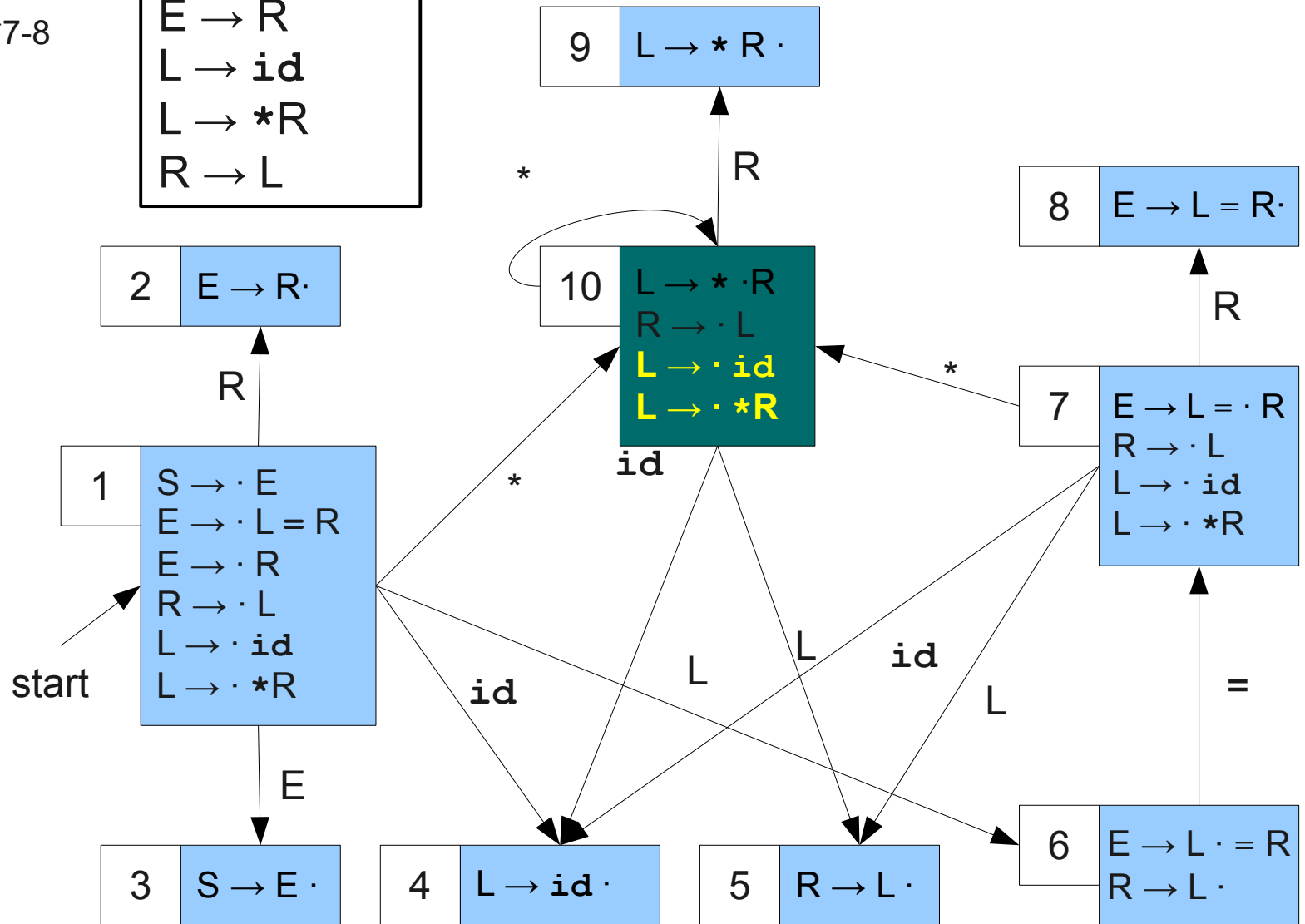
$S \rightarrow E$
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 $L_{1-6} \rightarrow id$   
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 $L_{7-5} \rightarrow id$   
 $L_{7-5} \rightarrow *R_{10-9}$   
 $R_{10-9} \rightarrow L_{10-5}$

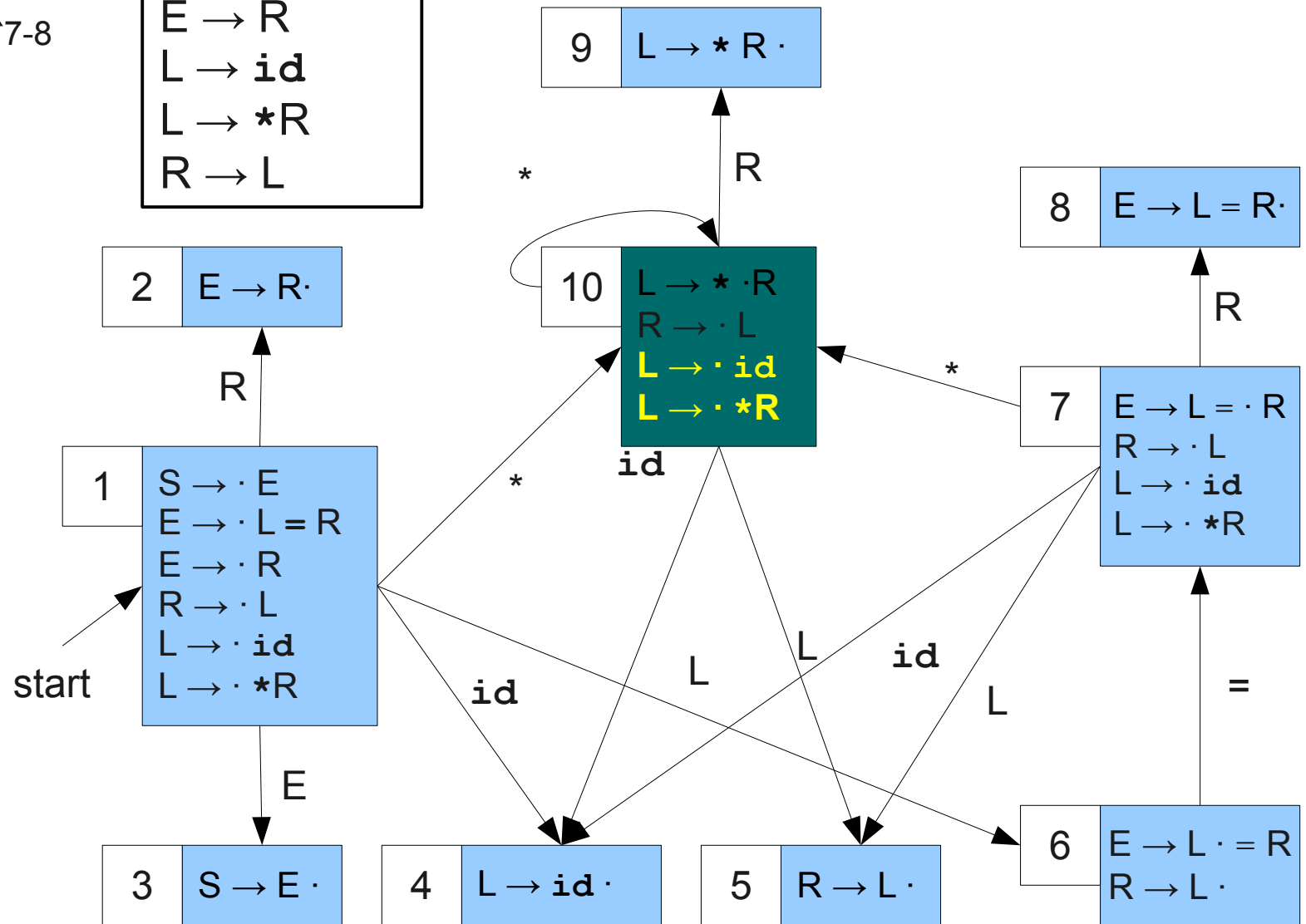
$S \rightarrow E$
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# Augmenting the Grammar

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 $R_{7-8} \rightarrow L_{7-5}$   
 $L_{7-5} \rightarrow id$   
 $L_{7-5} \rightarrow *R_{10-9}$   
 $R_{10-9} \rightarrow L_{10-5}$   
 $L_{10-5} \rightarrow id$   
 $L_{10-5} \rightarrow *R_{10-9}$

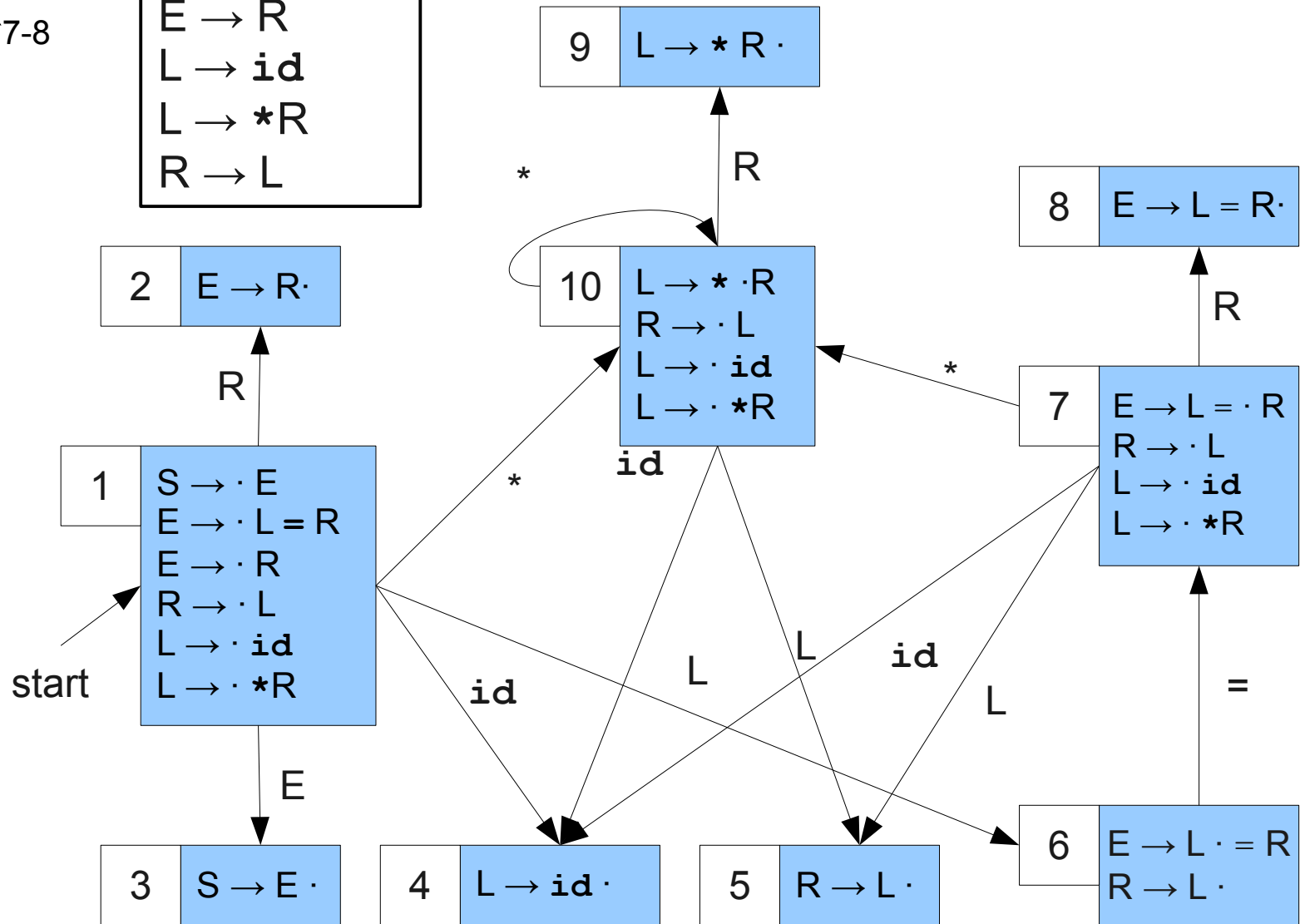
$S \rightarrow E$
$E \rightarrow L = R$
$E \rightarrow R$
$L \rightarrow id$
$L \rightarrow *R$
$R \rightarrow L$



# Augmenting the Grammar

$S_1 \rightarrow E_{1-3}$   
 $E_{1-3} \rightarrow L_{1-6} = R_{7-8}$   
 $E_{1-3} \rightarrow R_{1-2}$   
 $R_{1-2} \rightarrow L_{1-6}$   
 $L_{1-6} \rightarrow id$   
 $L_{1-6} \rightarrow *R_{10-9}$   
 $R_{7-8} \rightarrow L_{7-5}$   
 $L_{7-5} \rightarrow id$   
 $L_{7-5} \rightarrow *R_{10-9}$   
 $R_{10-9} \rightarrow L_{10-5}$   
 $L_{10-5} \rightarrow id$   
 $L_{10-5} \rightarrow *R_{10-9}$

$S \rightarrow E$
$E \rightarrow L = R$
$E \rightarrow R$
$L \rightarrow id$
$L \rightarrow *R$
$R \rightarrow L$



# Constructing Augmented Grammars

- For each item  $A \rightarrow \cdot v$  in some state  $q$ :
  - Trace out the path  $v$  takes through the LR(0) automaton starting at  $q$ .
  - Replace each nonterminal in  $v$  with nonterminals annotated with the state transitioned to and from by the edge labeled with that nonterminal.
  - Replace  $A$  with a nonterminal annotated with the start and end state of the transition on  $A$  out of  $q$ .
- Result is a larger grammar with more precise productions.

# Why is this Grammar Useful?

- At a high-level, separates out the nonterminals based on their context.
- This makes the FOLLOW sets more precise for their nonterminals.
- In fact, the FOLLOW sets are **surprisingly** precise.



# Augmented FOLLOW Sets

$$S_1 \rightarrow E_{1-3}$$

$$E_{1-3} \rightarrow L_{1-6} = R_{7-8}$$

$$E_{1-3} \rightarrow R_{1-2}$$

$$R_{1-2} \rightarrow L_{1-6}$$

$$L_{1-6} \rightarrow \mathbf{id}$$

$$L_{1-6} \rightarrow *R_{10-9}$$

$$R_{7-8} \rightarrow L_{7-5}$$

$$L_{7-5} \rightarrow \mathbf{id}$$

$$L_{7-5} \rightarrow *R_{10-9}$$

$$R_{10-9} \rightarrow L_{10-5}$$

$$L_{10-5} \rightarrow \mathbf{id}$$

$$L_{10-5} \rightarrow *R_{10-9}$$

# Augmented FOLLOW Sets

$$S_1 \rightarrow E_{1-3}$$

$$E_{1-3} \rightarrow L_{1-6} = R_{7-8}$$

$$E_{1-3} \rightarrow R_{1-2}$$

$$R_{1-2} \rightarrow L_{1-6}$$

$$L_{1-6} \rightarrow \mathbf{id}$$

$$L_{1-6} \rightarrow *R_{10-9}$$

$$R_{7-8} \rightarrow L_{7-5}$$

$$L_{7-5} \rightarrow \mathbf{id}$$

$$L_{7-5} \rightarrow *R_{10-9}$$

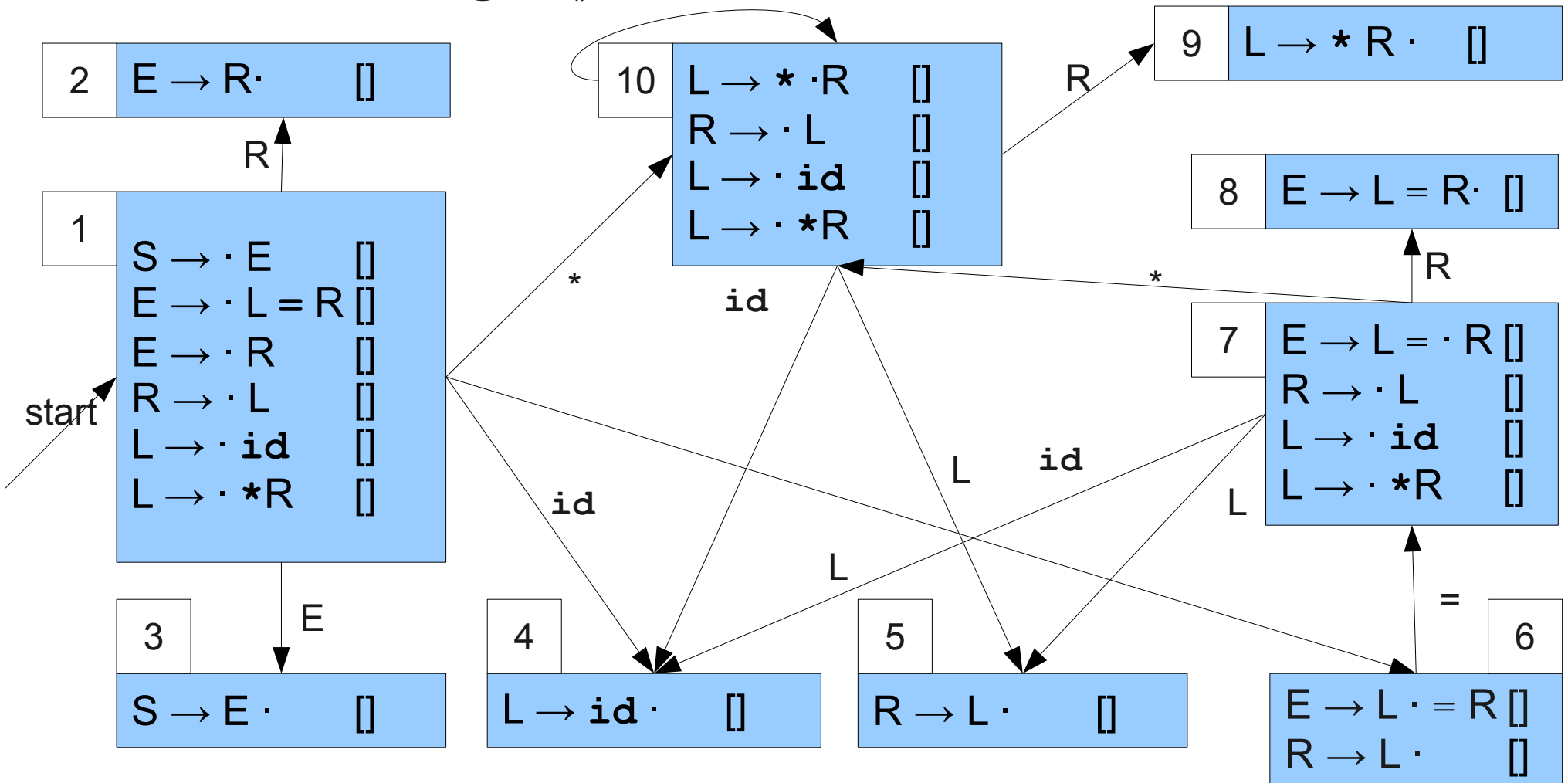
$$R_{10-9} \rightarrow L_{10-5}$$

$$L_{10-5} \rightarrow \mathbf{id}$$

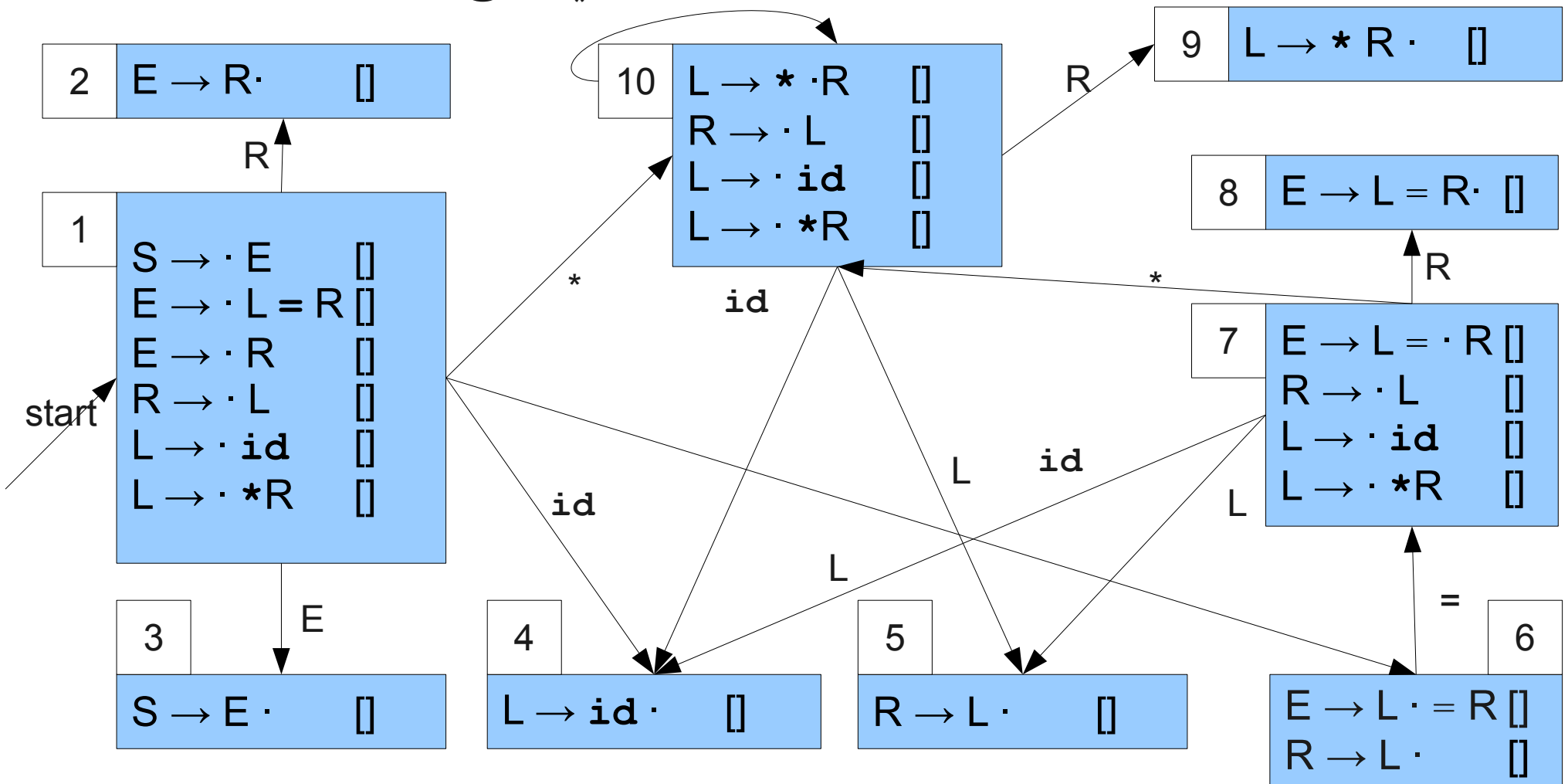
$$L_{10-5} \rightarrow *R_{10-9}$$

$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets

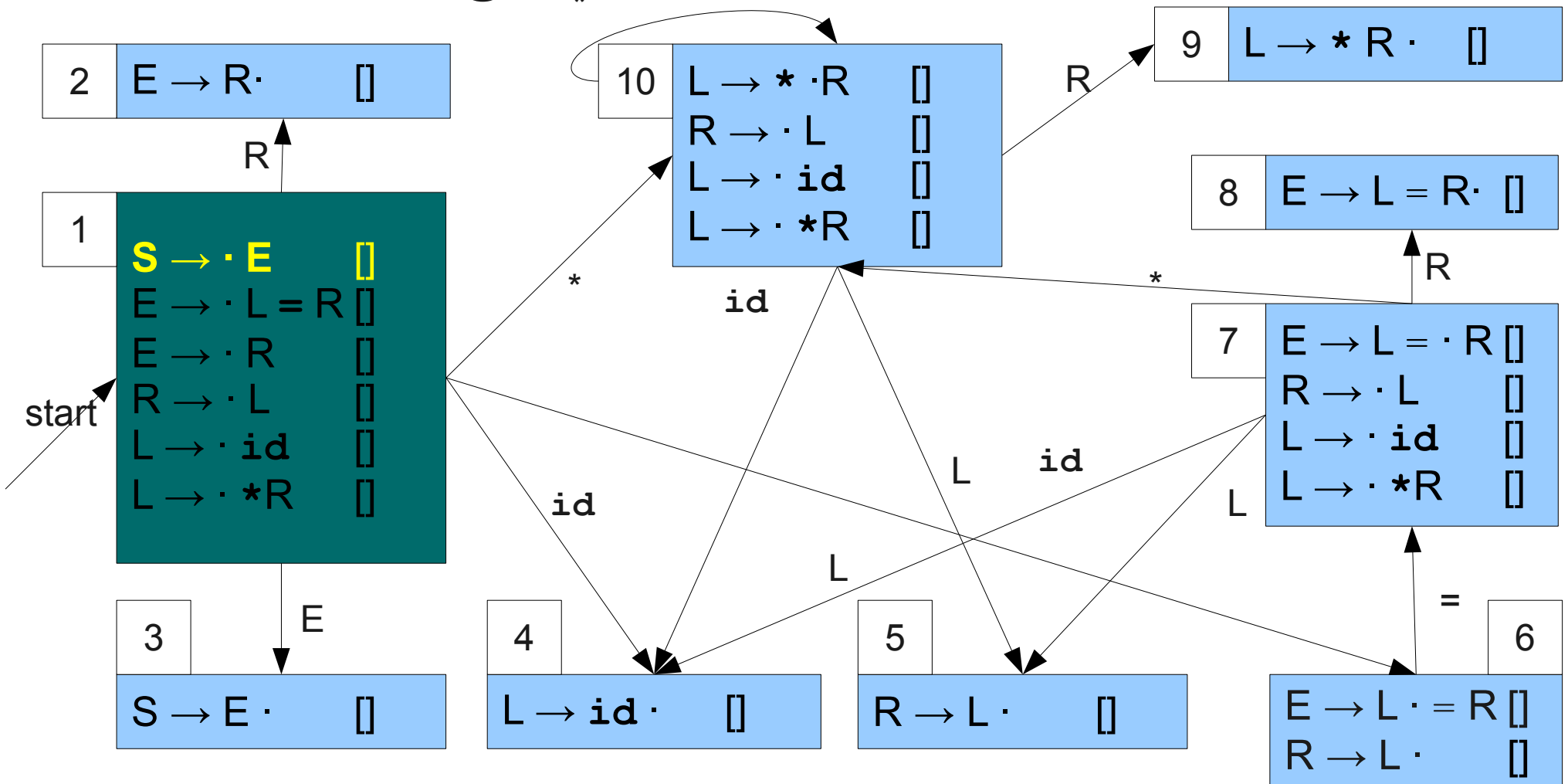


# Using Our FOLLOW Sets



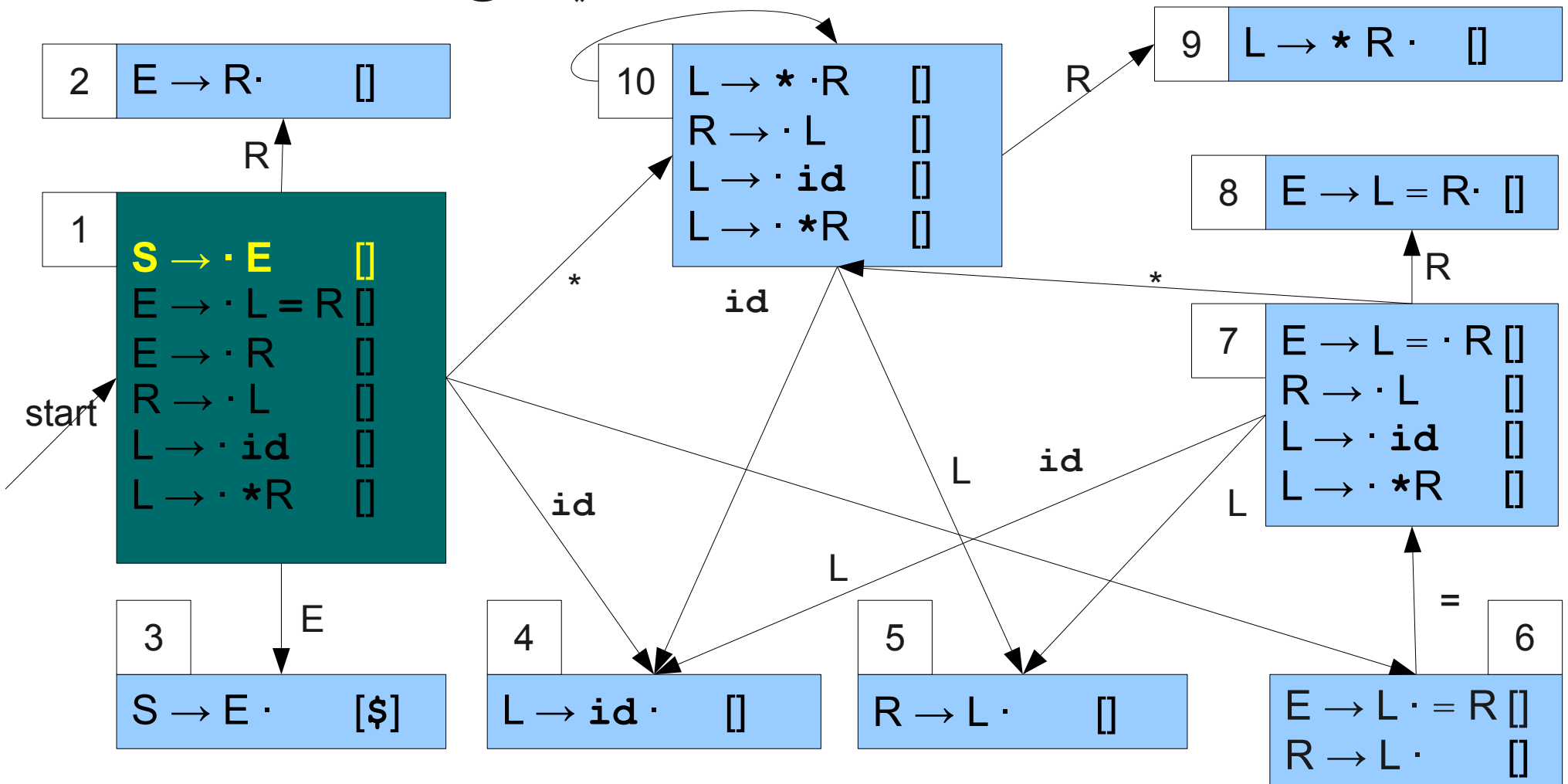
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



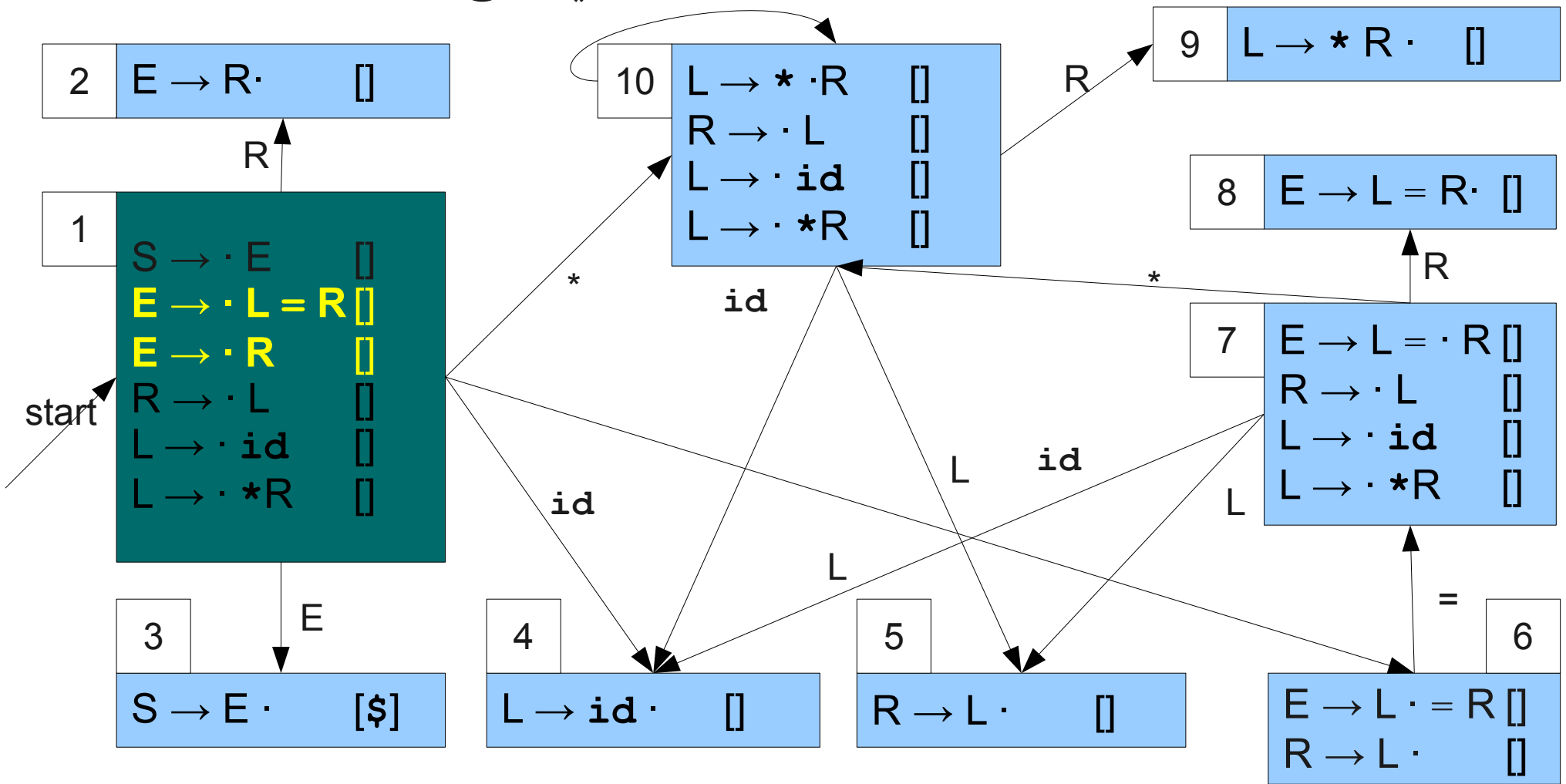
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



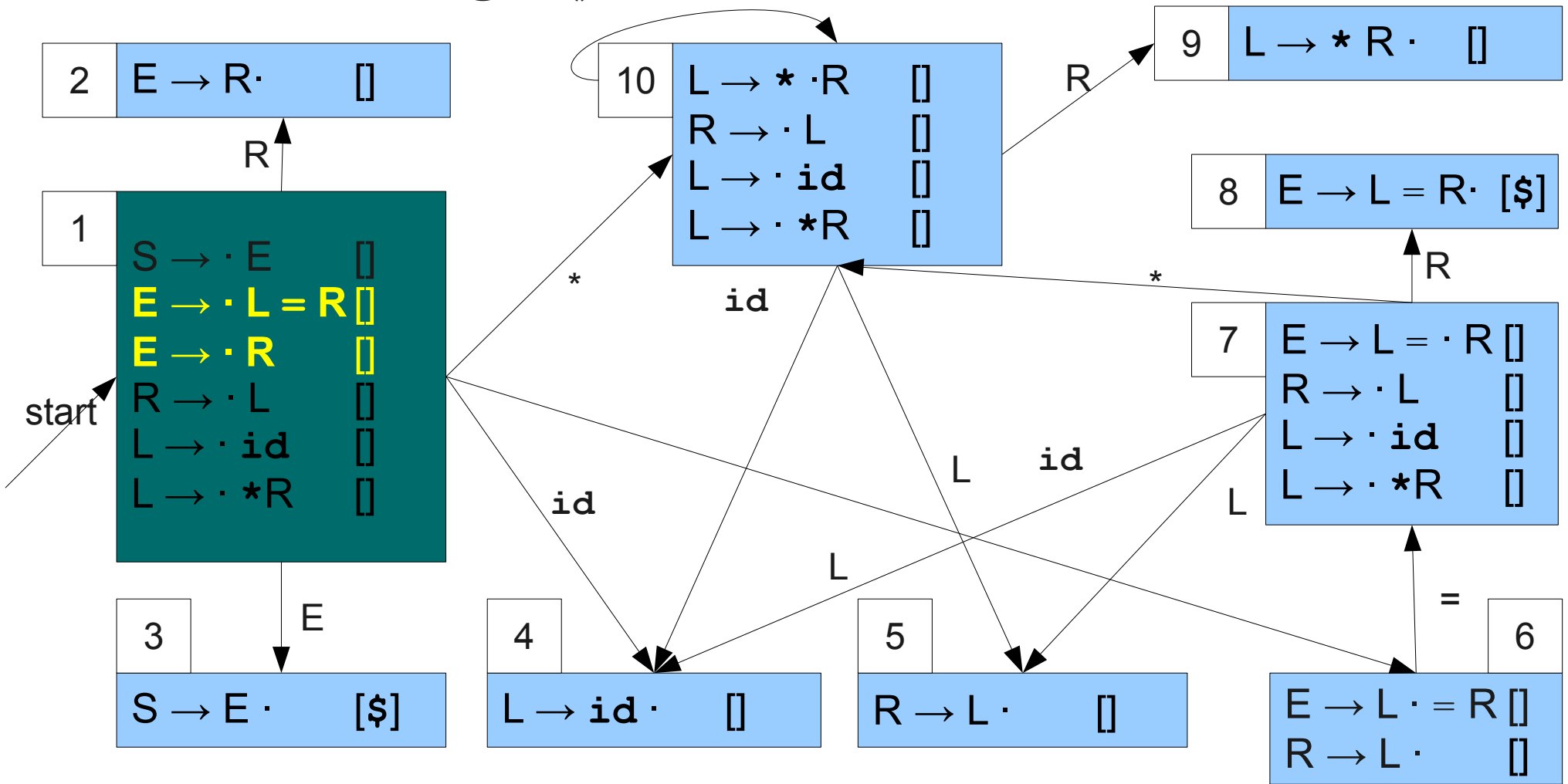
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

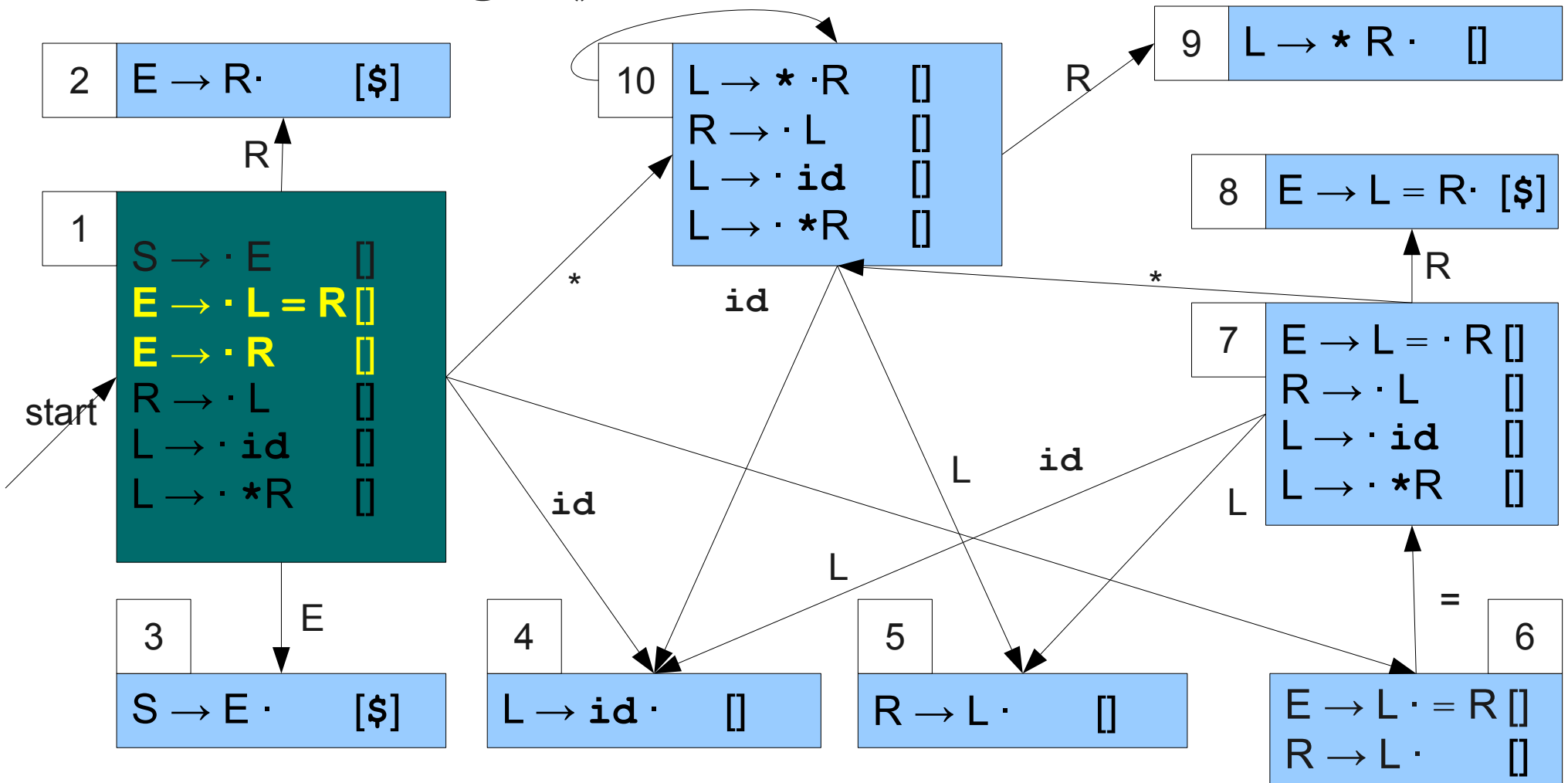
# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

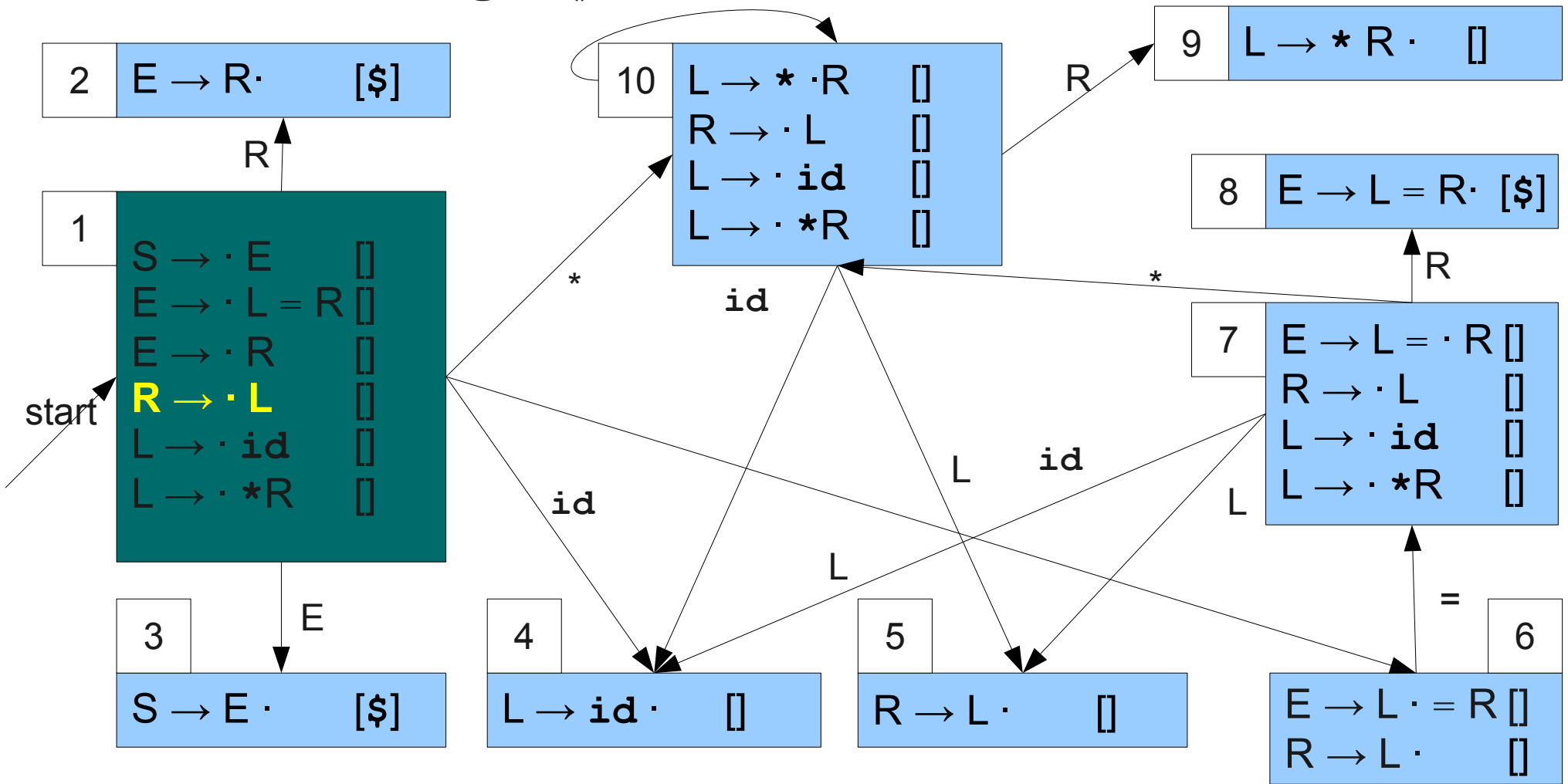


# Using Our FOLLOW Sets



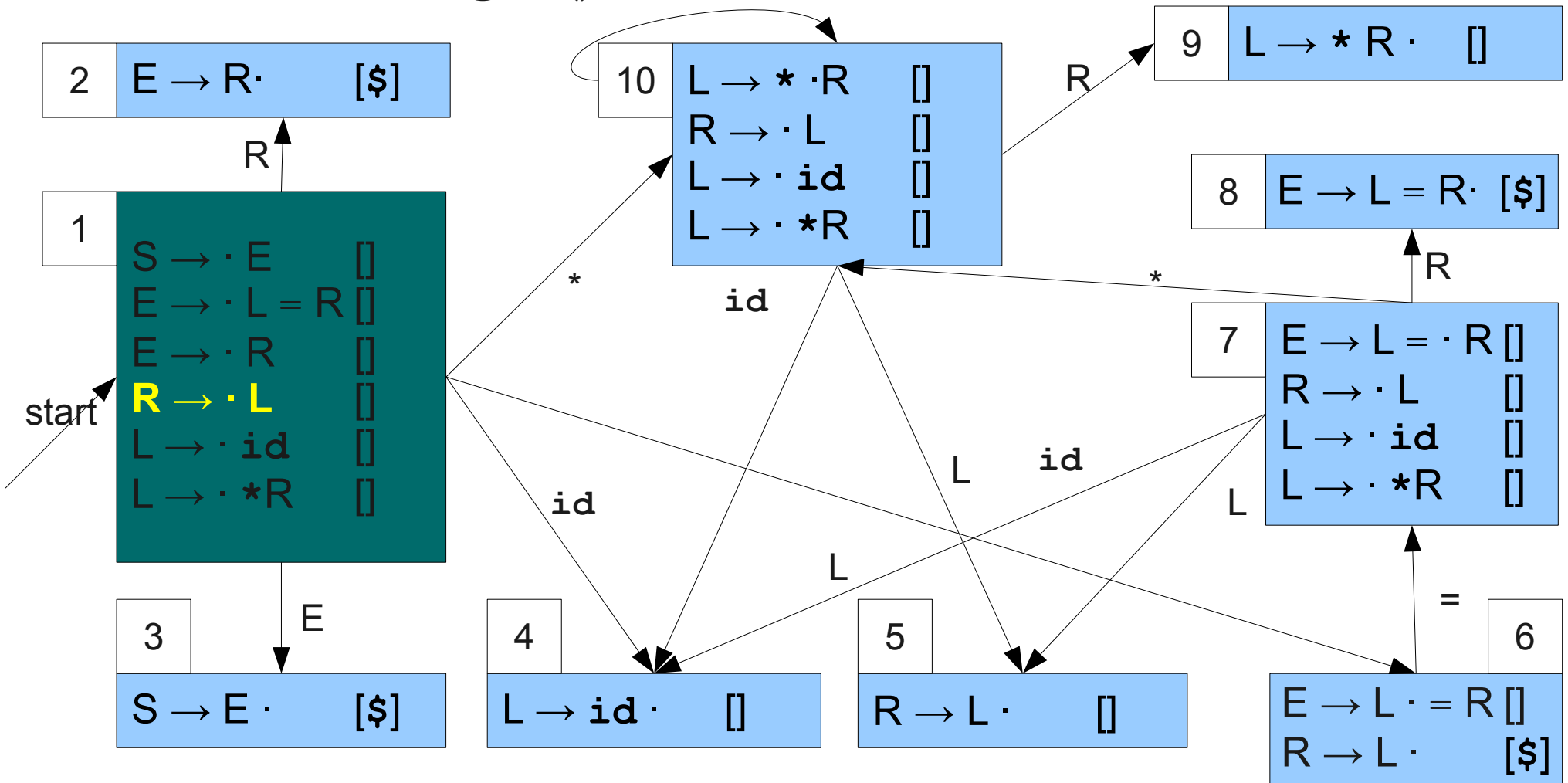
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



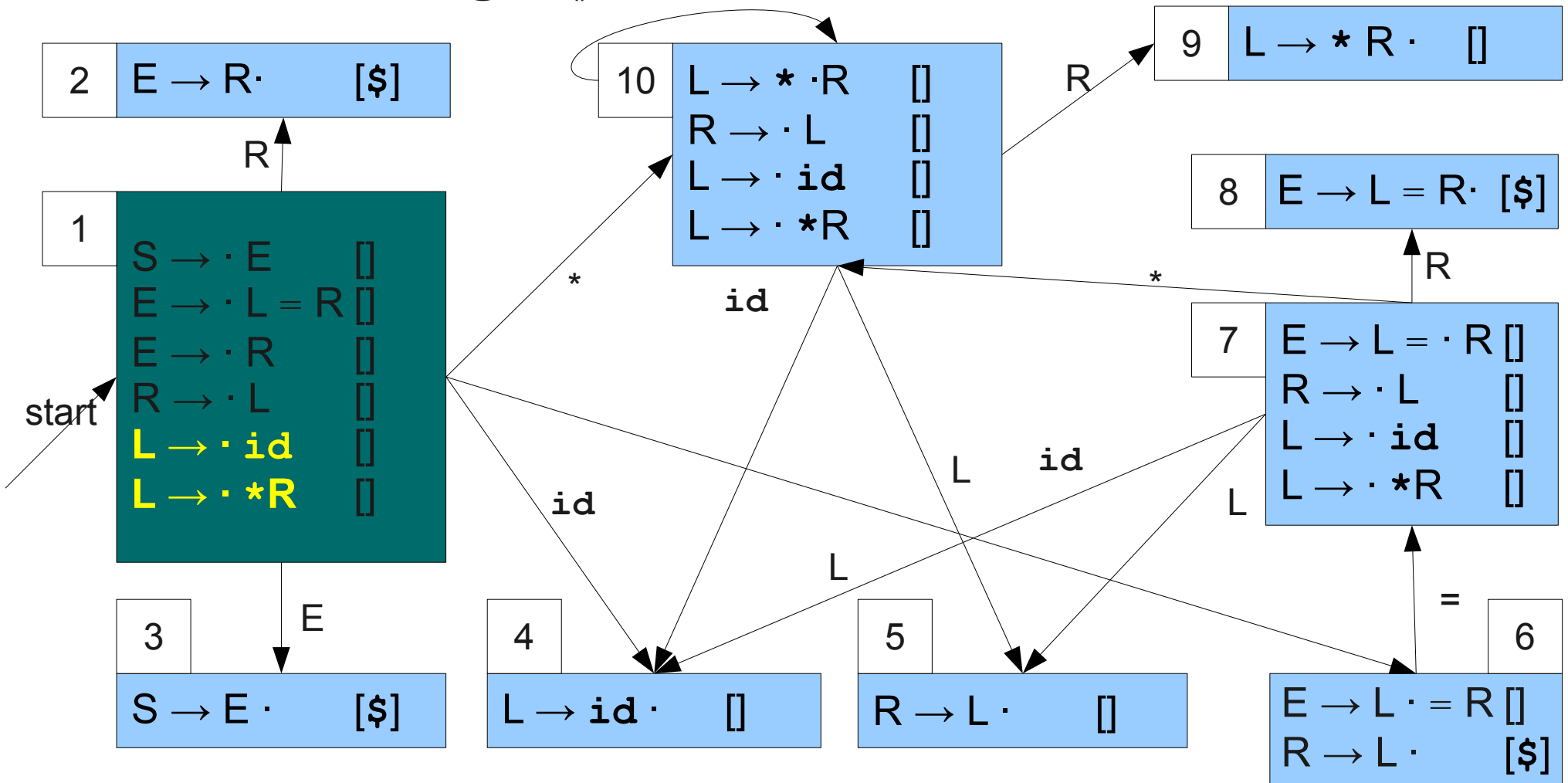
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



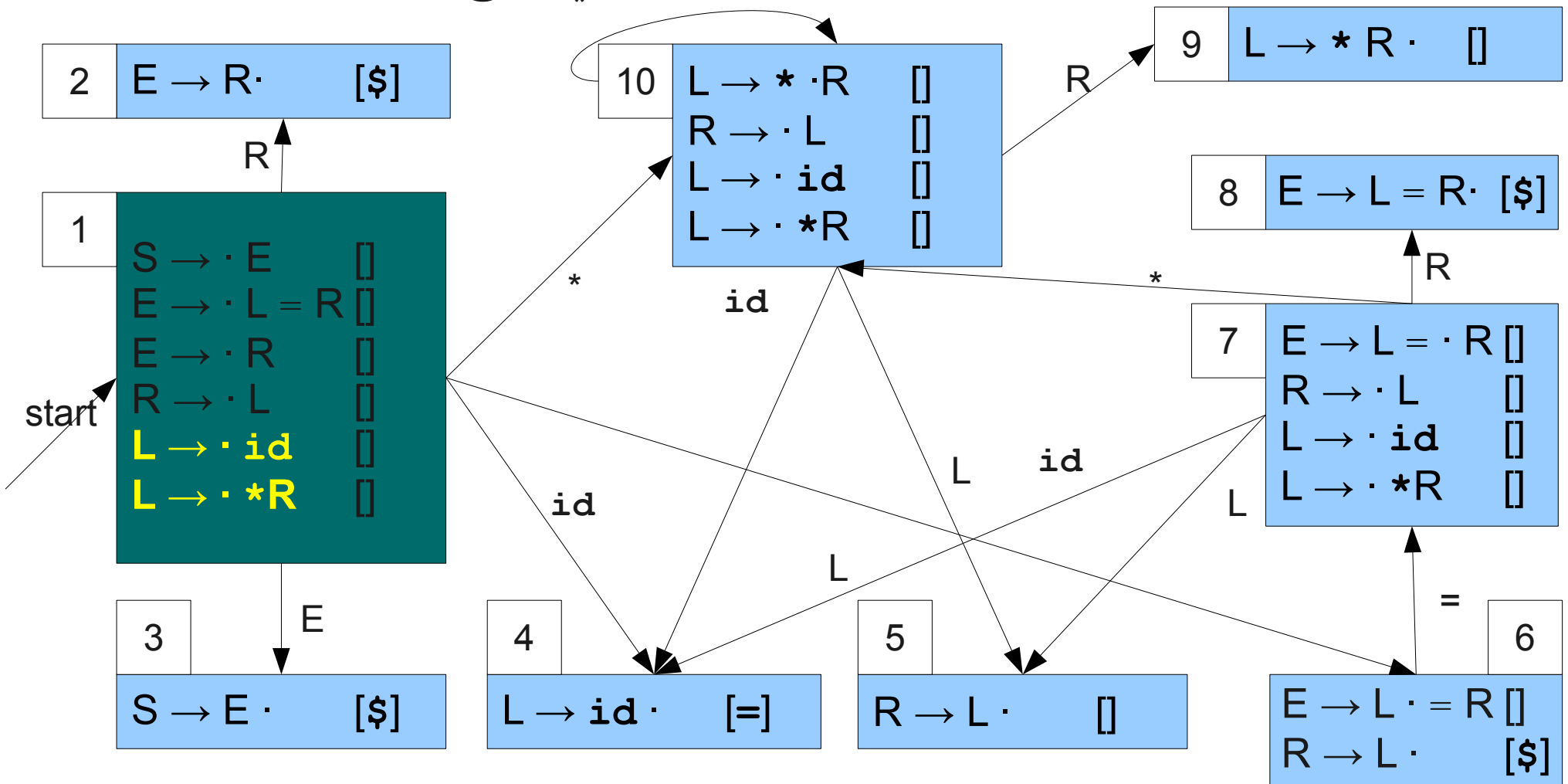
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



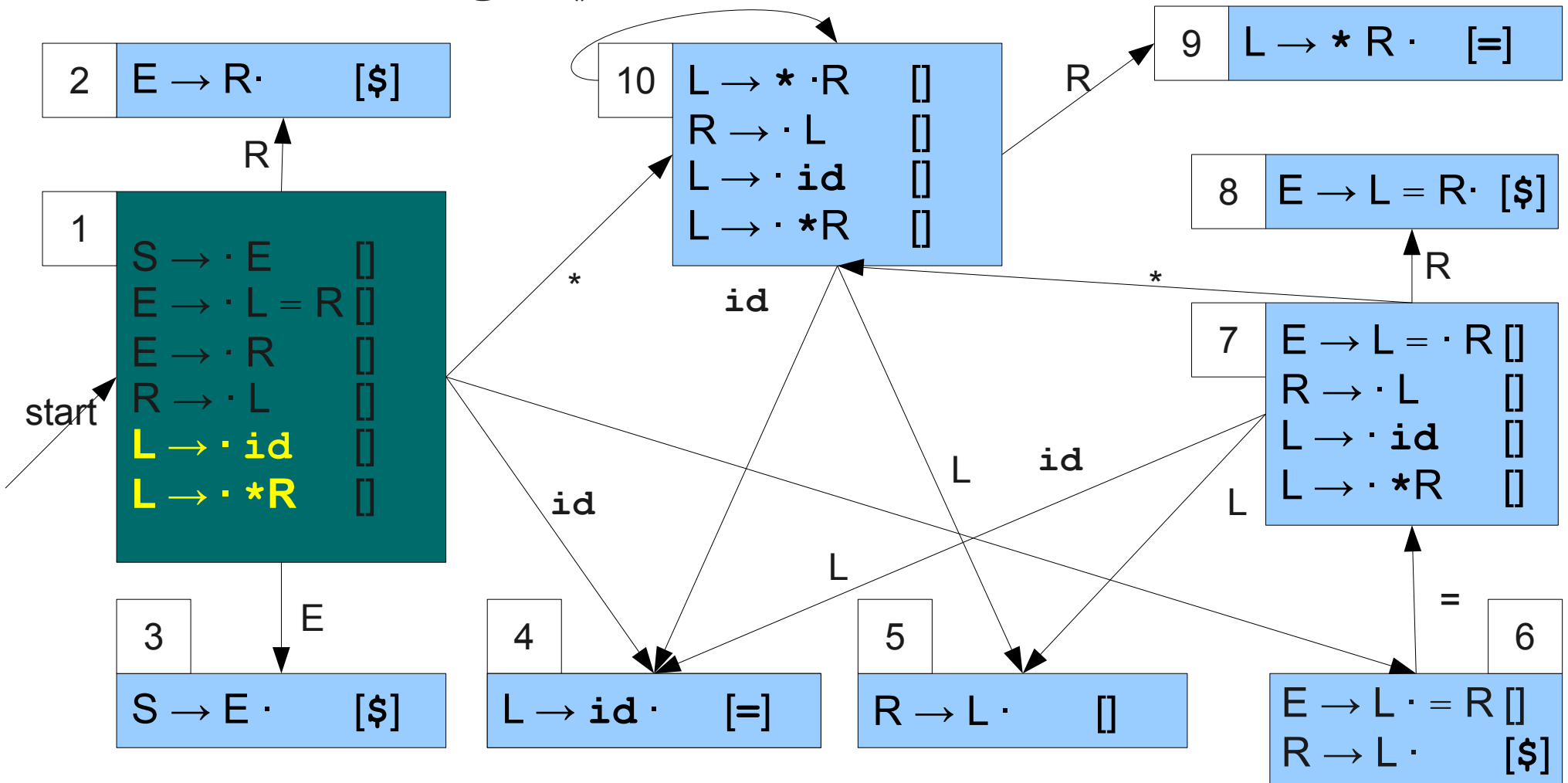
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



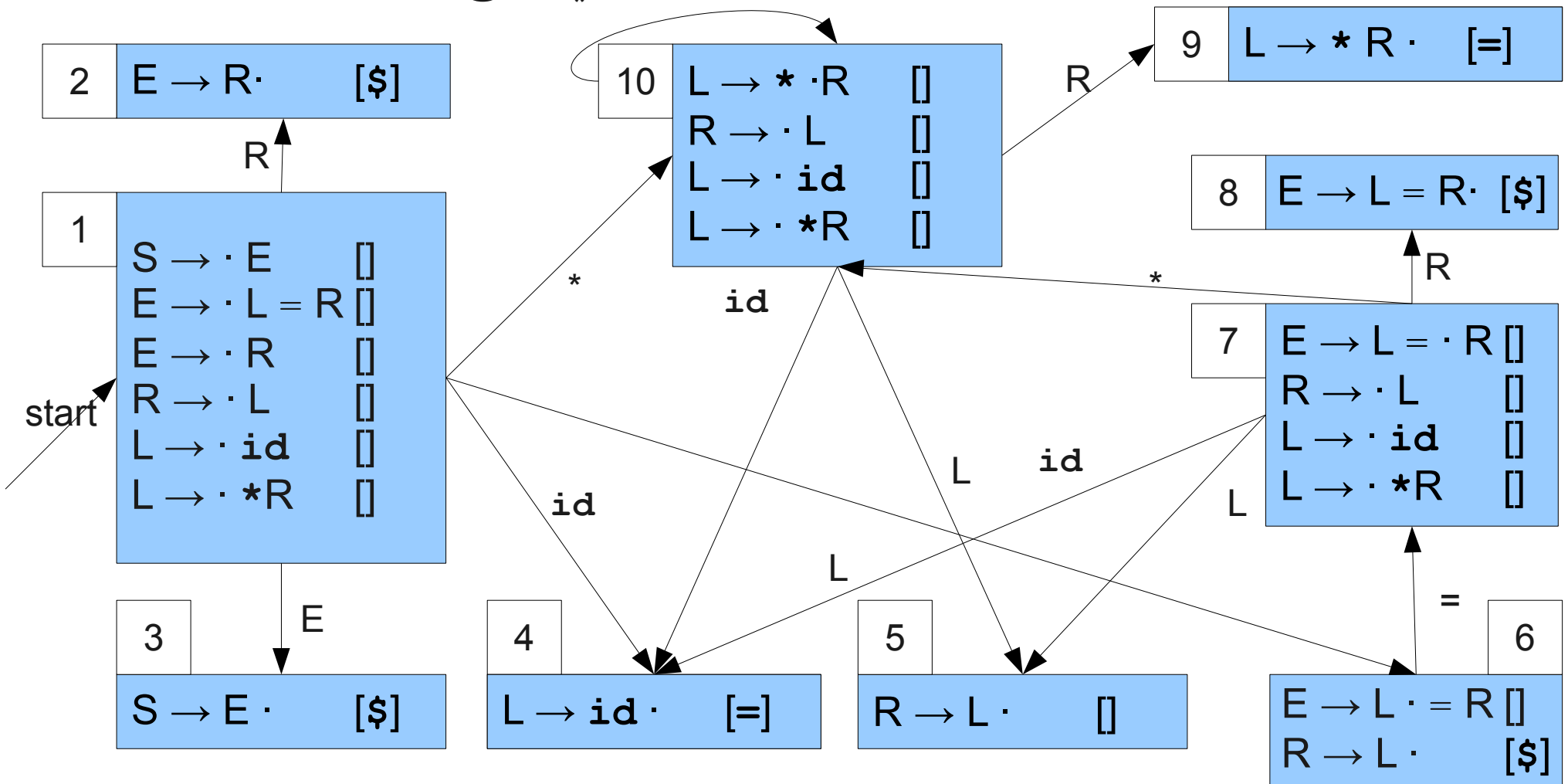
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



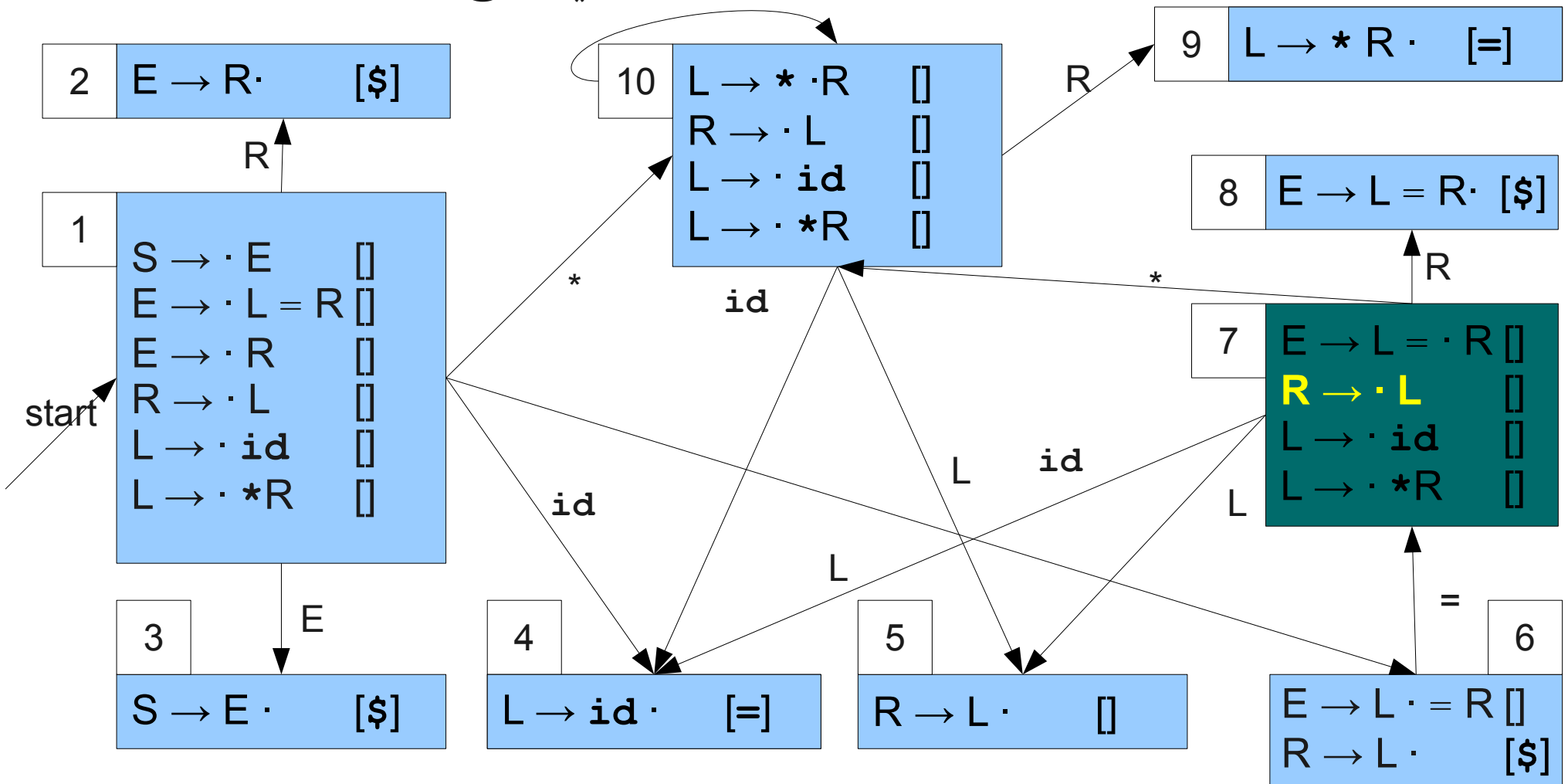
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

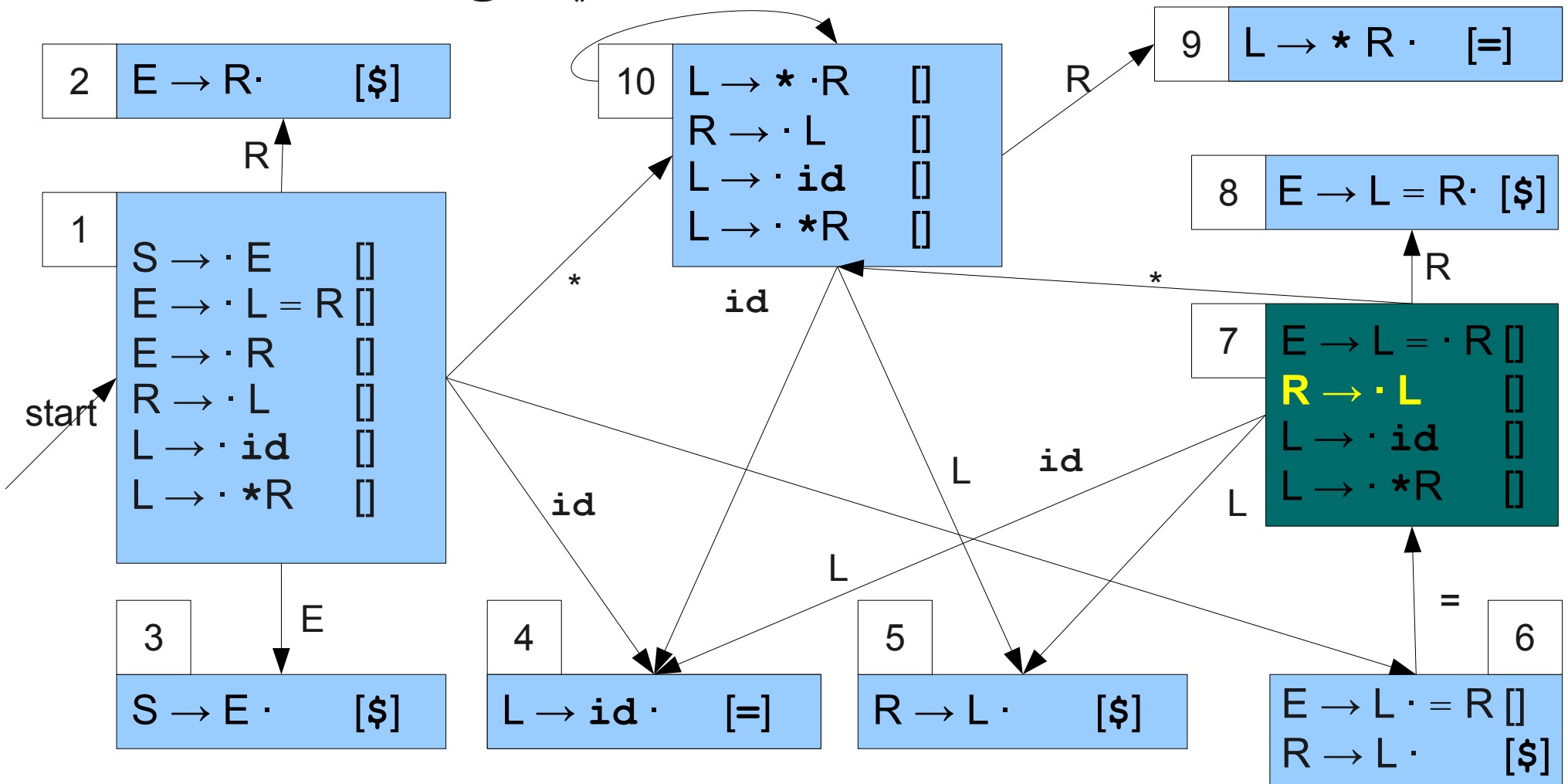
# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

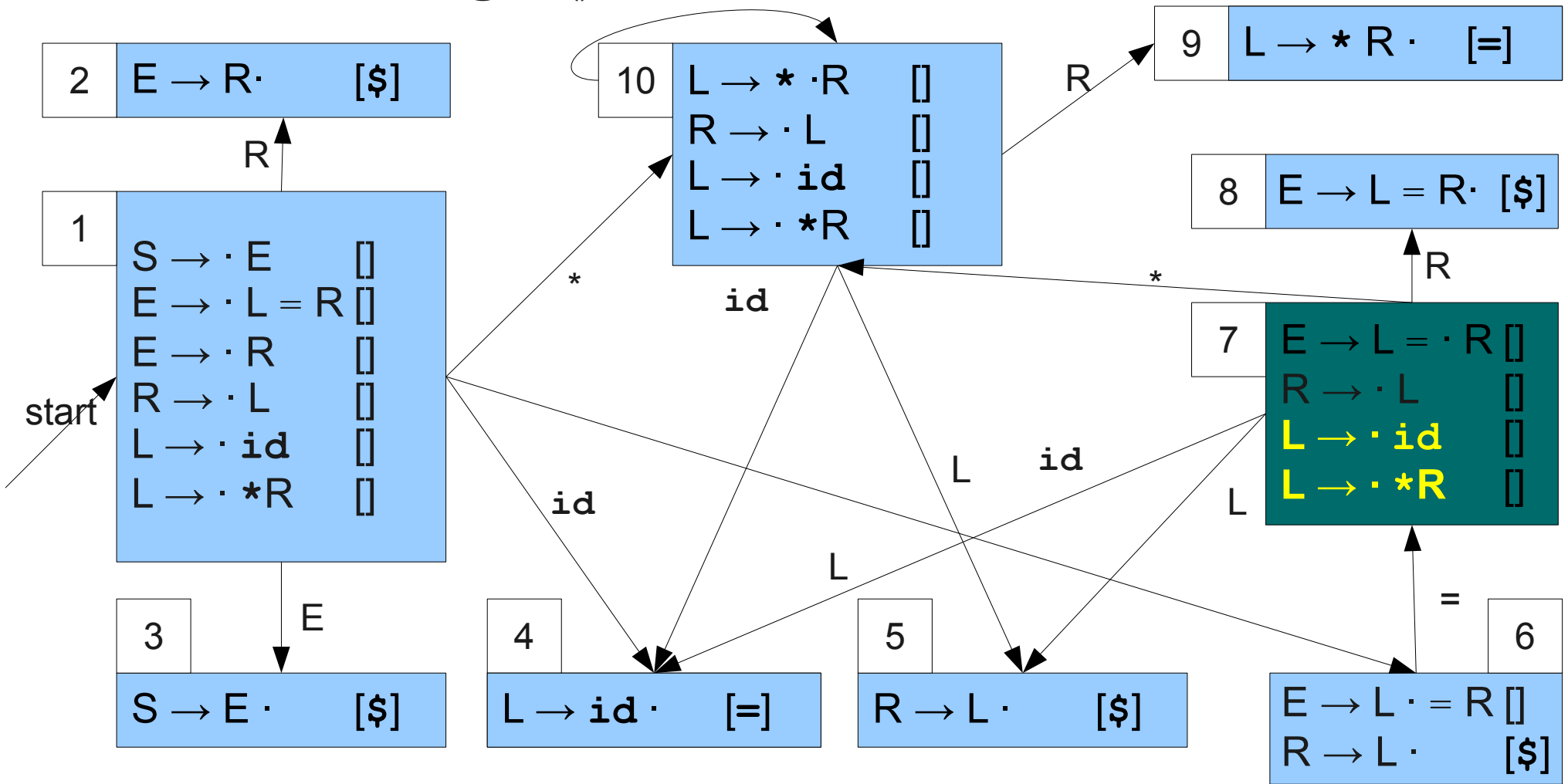


# Using Our FOLLOW Sets



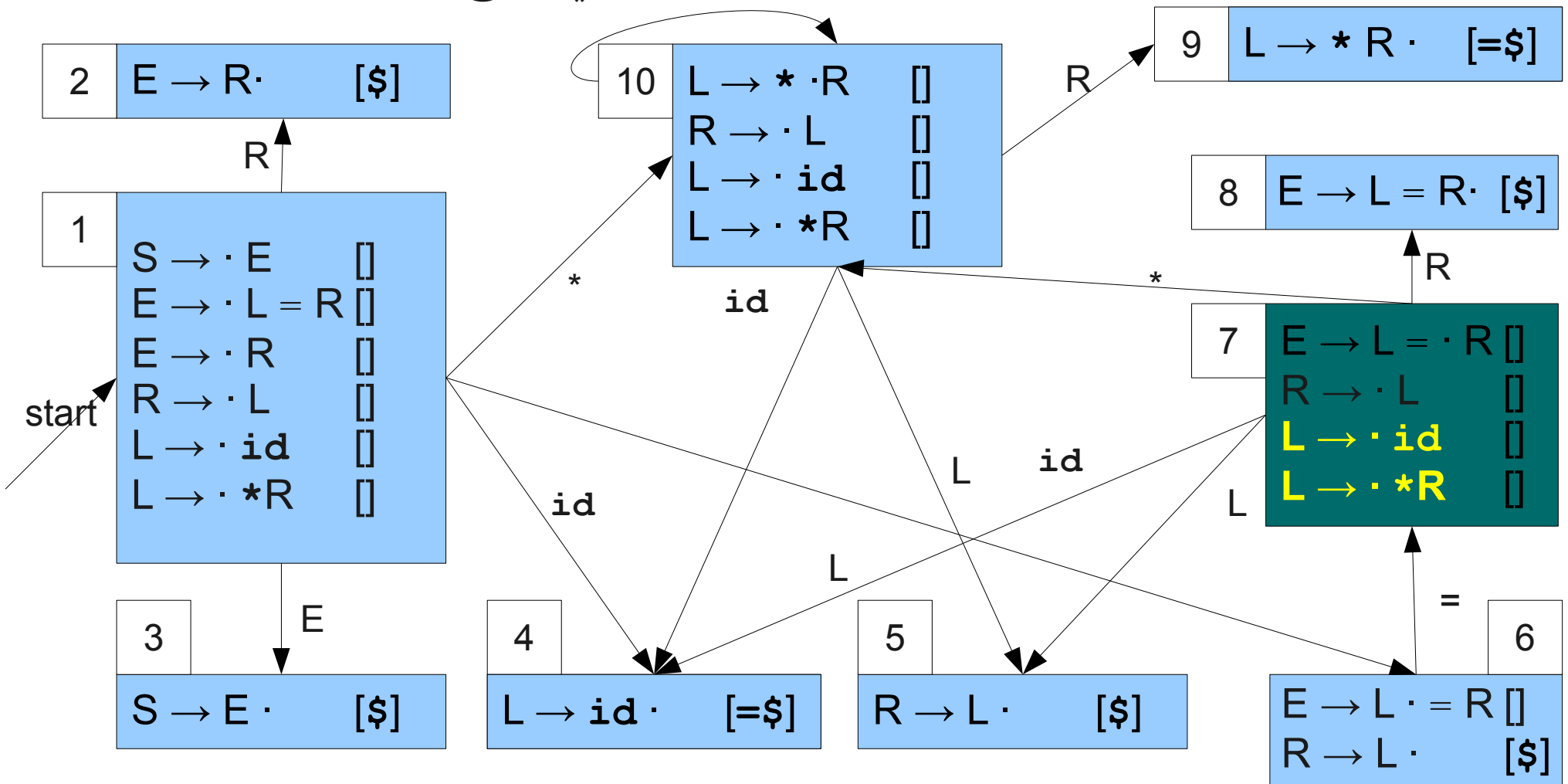
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



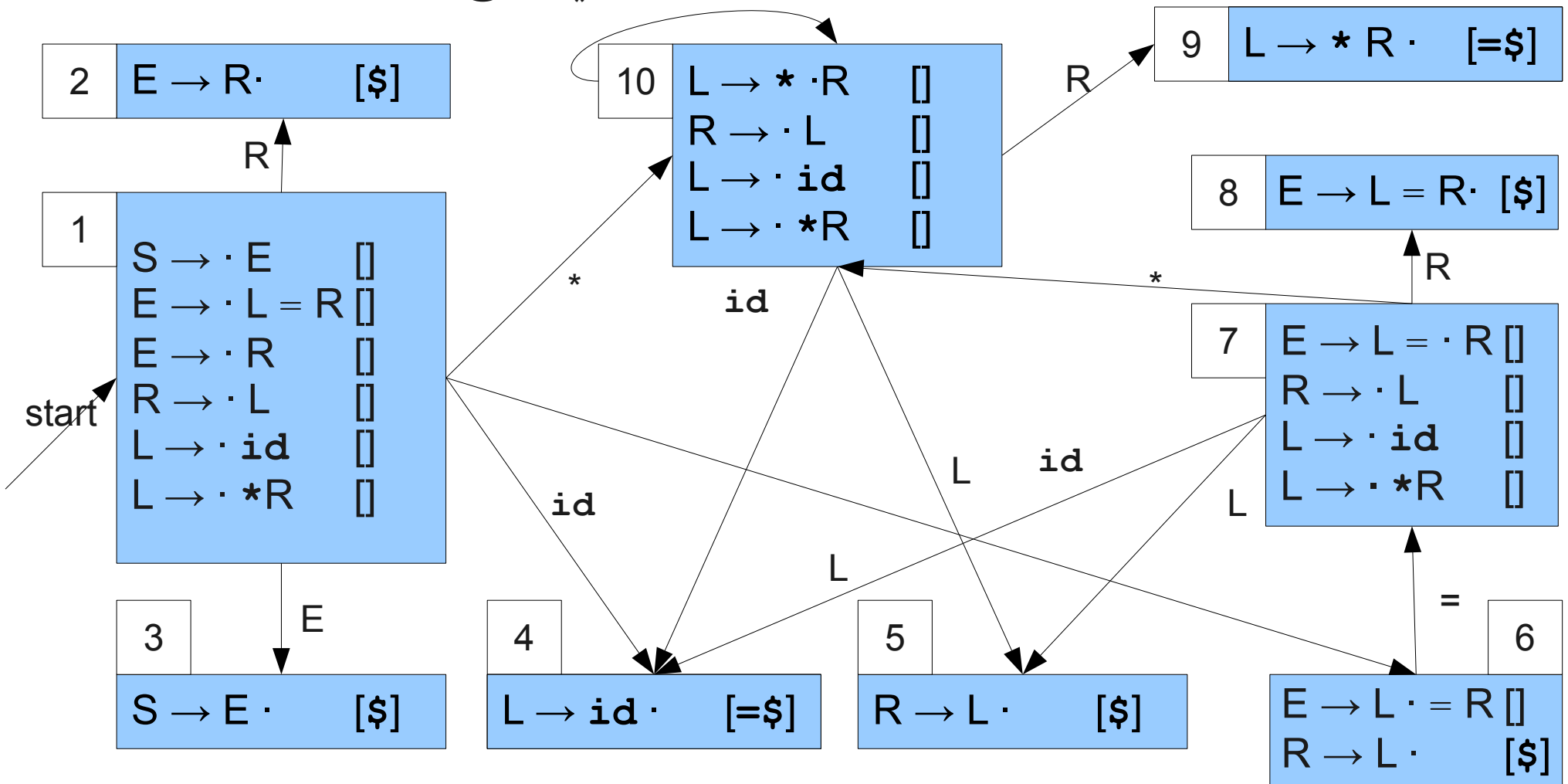
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



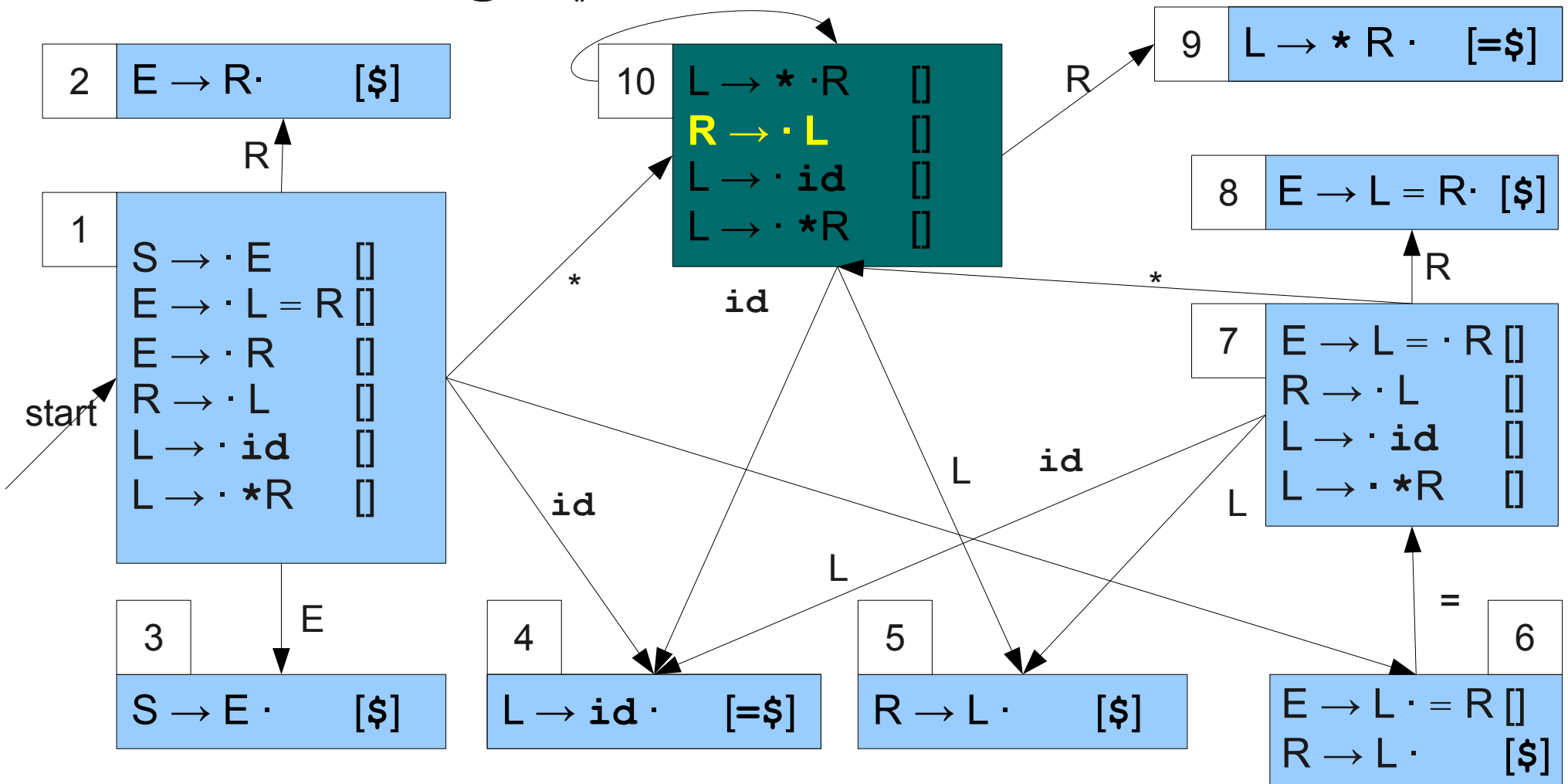
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



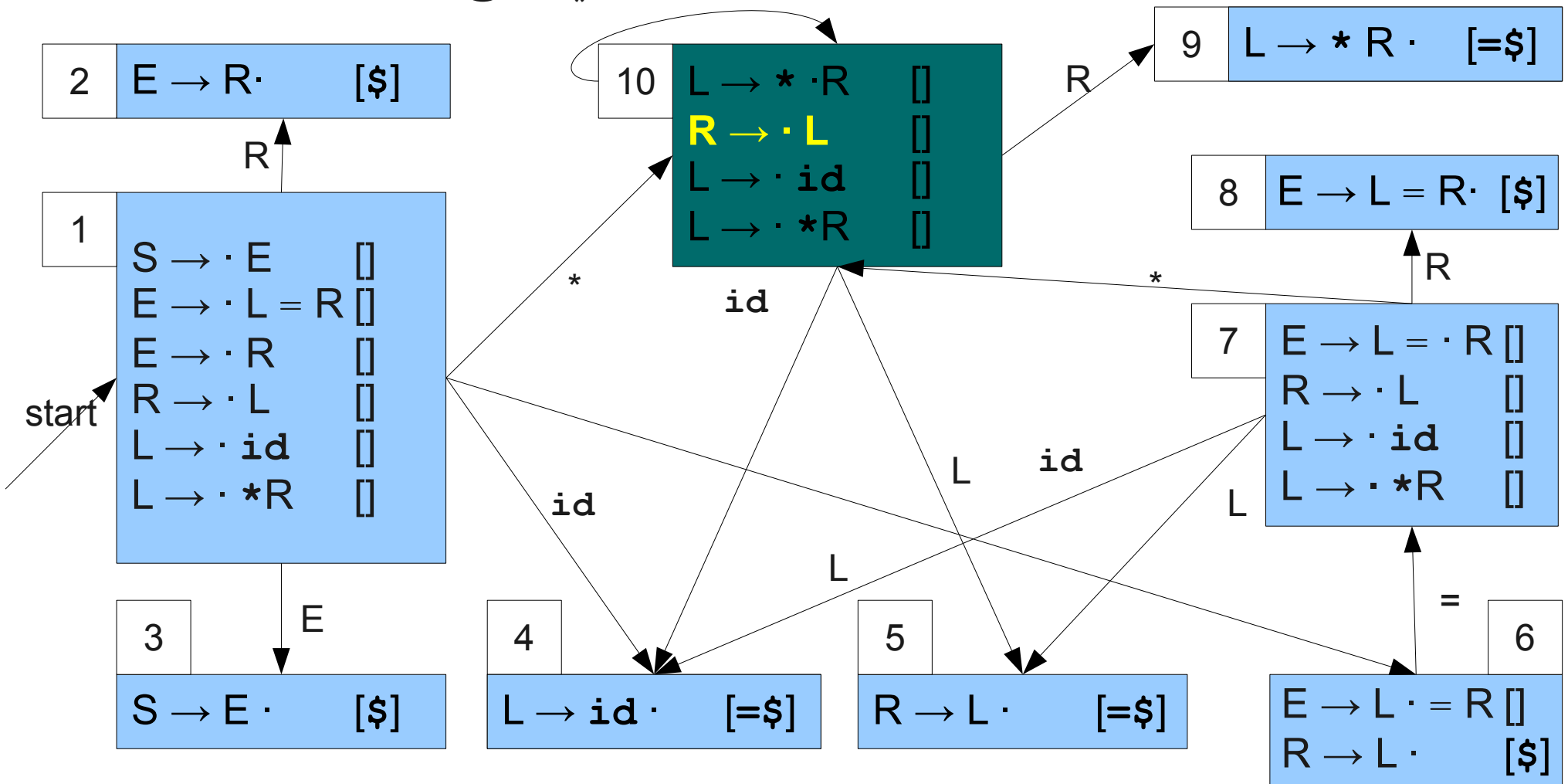
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



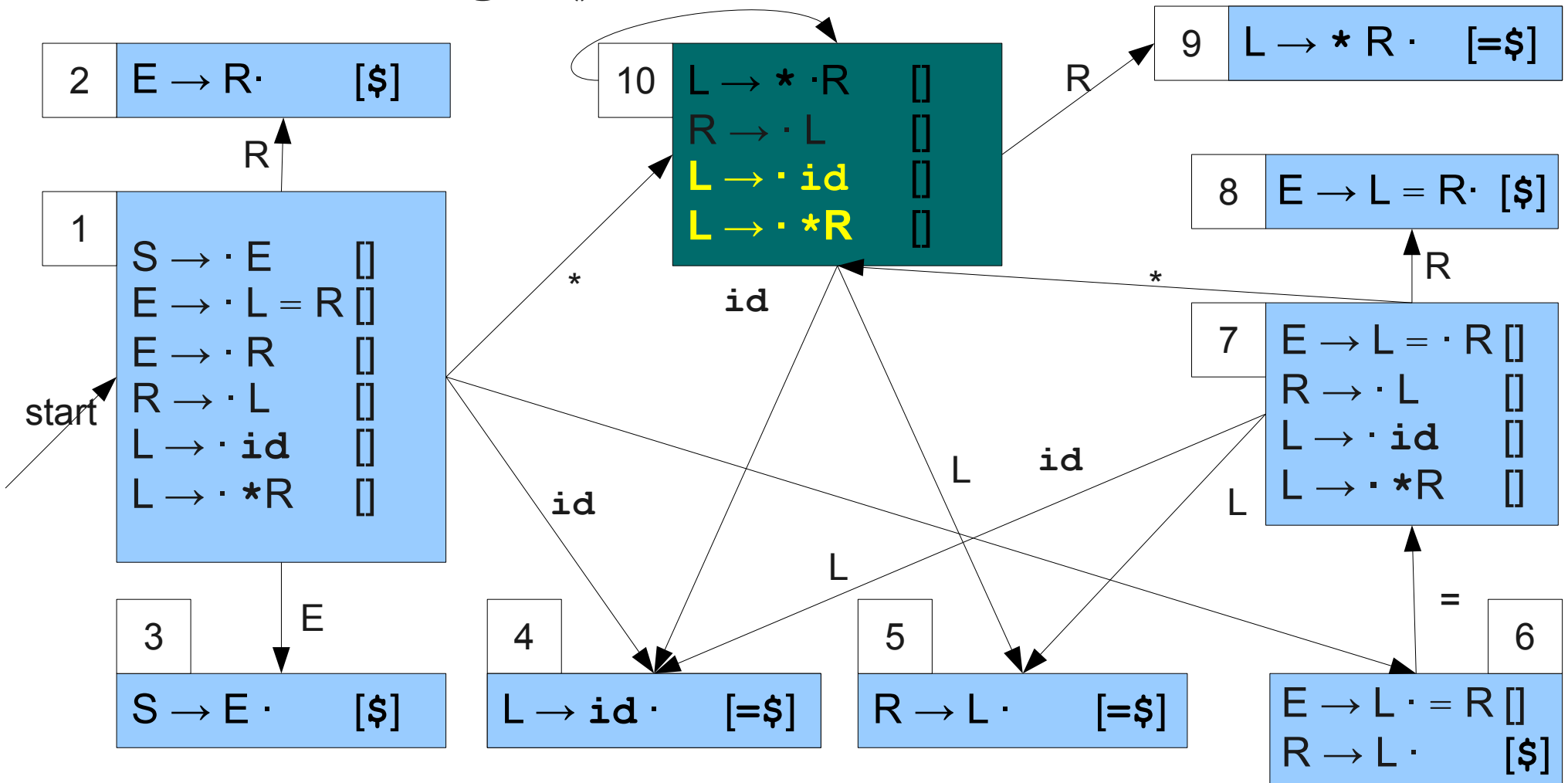
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



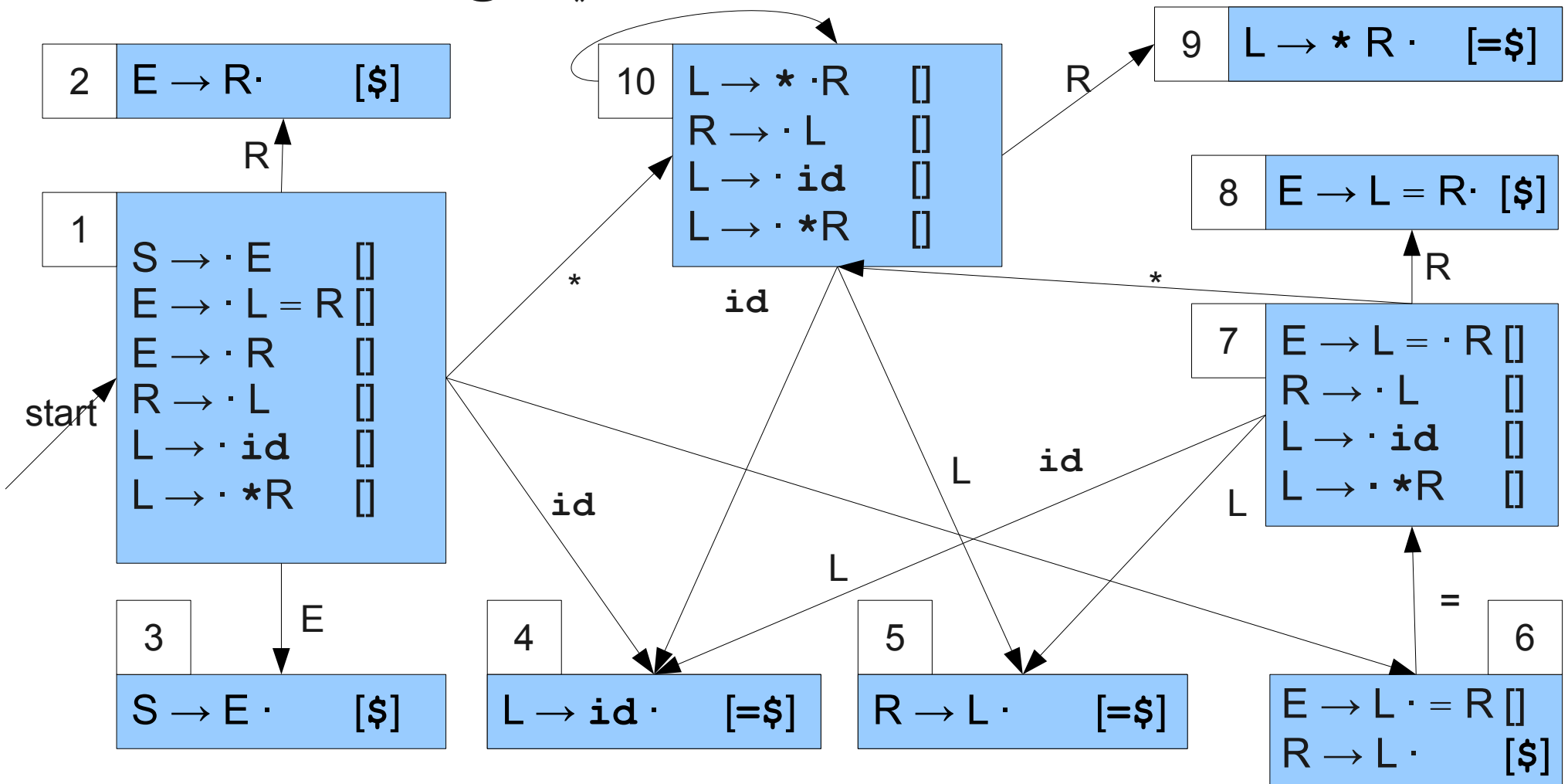
$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

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$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=

# Using Our FOLLOW Sets



$S_1$	$E_{1-3}$	$L_{1-6}$	$L_{7-5}$	$L_{10-5}$	$R_{1-2}$	$R_{7-8}$	$R_{10-9}$
\$	\$	=	\$	=	\$	\$	=



# Propagating Changes

- For each item  $A \rightarrow \cdot v$  in a state  $q$ :
  - Let  $A_{q-r}$  be the nonterminal corresponding to  $A$  following the transition out of  $q$  into some state  $r$ .
  - Trace through the automaton along the path labeled by  $v$ . This will lead to a state containing an item  $A \rightarrow v \cdot$ .
  - Add to the lookahead of  $A \rightarrow v \cdot$  the contents of  $\text{FOLLOW}(A_{q-r})$

# LALR(1)-by-SLR(1)

- Fast and simple construction of LALR(1) lookaheads.
- Four steps:
  - Construct the LR(0) automaton for the grammar.
  - Construct the augmented grammar by replacing nonterminals with new nonterminals based on the LR(0) transitions.
  - Compute the FOLLOW sets for these nonterminals.
  - Propagate changes through the LR(0) automaton.
- **Theorem** (Bermudez and Logothetis): This correctly computes LALR(1) lookaheads.

# Summary of LALR(1)

- Along with  $LL(k)$ , one of the most popular parsing algorithms in use today.
- Produced by the **bison** parser generator; rarely generated by hand.
- Can handle most, but not all, LR(1) languages.

# Practical Bottom-Up Parsing

# Where Theory Meets Practice

- We've just covered six powerful parsing algorithms:
  - Leftmost DFS
  - LL(1)
  - LR(0)
  - SLR(1)
  - LALR(1)
  - LR(1)
- How do we make them work in practice?

# Two Practical Concerns

- **Ambiguity**
  - Real grammars are often ambiguous.
  - Programmers are **terrible** at eliminating it.
  - How do you build a parser to try to combat it?
- **Error-handling**
  - How do you report errors intelligently?
  - How do you continue parsing after an error?

# Parsing an Ambiguous Grammar

- Consider this simple grammar for arithmetic expressions:

$$S \rightarrow E$$

$$E \rightarrow E + E$$

$$E \rightarrow E * E$$

$$E \rightarrow \text{int}$$

$$E \rightarrow (E)$$

- This grammar is ambiguous.
  - Try parsing  $\text{int} + \text{int} * \text{int}$
- What happens if we try parsing it?

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

$\text{FOLLOW}(E) = \{ +, *, ), \$ \}$

2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

4
$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

5
$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$

10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							



# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
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$E \rightarrow E + \cdot E$
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$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

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$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
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$E \rightarrow \cdot \text{int}$
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$E \rightarrow E + E \cdot$
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$E \rightarrow E * E \cdot$
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7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$

10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1							
2							
3							
4							
5							
6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
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$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

4
$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

5
$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$

10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10						
2							
3							
4							
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6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

$\text{FOLLOW}(E) = \{ +, *, ), \$ \}$

2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

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$E \rightarrow E * \cdot E$
$E \rightarrow \cdot E + E$
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9
$E \rightarrow (E) \cdot$

10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			
2							
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10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
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	int	+	*	(	)	\$	E
1	s10			s7			s2
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3					
3							
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4				
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$S \rightarrow \cdot E$
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10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
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# SLR(1) Parsing with Ambiguity

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$S \rightarrow \cdot E$
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$E \rightarrow E \cdot * E$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
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$E \rightarrow E * E \cdot$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10						
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$E \rightarrow E * E \cdot$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			
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$E \rightarrow E * E \cdot$
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1	s10			s7			s2
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3	s10			s7			s5
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2		s3	s4			acc	
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$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

8
$E \rightarrow (E \cdot)$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

9
$E \rightarrow (E) \cdot$

10
$E \rightarrow \text{int} \cdot$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			
5							
6							
7							
8							
9							
10							

# SLR(1) Parsing with Ambiguity

1
$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

1.  $S \rightarrow E$
2.  $E \rightarrow E + E$
3.  $E \rightarrow E * E$
4.  $E \rightarrow (E)$
5.  $E \rightarrow \text{int}$

$\text{FOLLOW}(S) = \{ \$ \}$

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2
$S \rightarrow E \cdot$
$E \rightarrow E \cdot + E$
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3
$E \rightarrow E + \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
$E \rightarrow \cdot \text{int}$
$E \rightarrow \cdot (E)$

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$E \rightarrow E * \cdot E$
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$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5							
6							
7							
8							
9							
10							

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$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
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1	s10			s7			s2
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2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3					
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3	s10			s7			s5
4	s10			s7			s6
5		s3	s4				
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1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4				
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1	s10			s7			s2
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3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2				
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1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2		
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
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3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3					
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5		s3 r2	s4 r2		r2	r2	
6		s3	s4				
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4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4				
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$E \rightarrow E + E \cdot$
$E \rightarrow E \cdot + E$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3				
7							
8							
9							
10							

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
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$E \rightarrow (\cdot E)$
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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4					
10							



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# Resolving Ambiguity

- Our CFG is ambiguous because our concept of **precedence** is missing from the grammar.
- How can we use this precedence information to avoid LR conflicts?

# Precedence Declarations

- Tell the parser generator about the **associativity** and **precedence** of certain rules.
- Productions can be left-associative, right-associative, or nonassociative.
- Productions can have their priorities ranked against one another.

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	int	+	*	(	)	\$	E
1	s10			s7			s2
2		s3	s4			acc	
3	s10			s7			s5
4	s10			s7			s6
5		s3 r2	s4 r2		r2	r2	
6		s3 r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4	r4		r4	r4	
10		r5	r5		r5	r5	

6
$E \rightarrow E * E \cdot$
$E \rightarrow E \cdot + E$
$E \rightarrow E \cdot * E$

7
$E \rightarrow (\cdot E)$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
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5		s3 r2	s4		r2	r2	
6		r3	s4 r3		r3	r3	
7	s10			s7			s8
8		s3	s4		s9		
9		r4	r4		r4	r4	
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$S \rightarrow \cdot E$
$E \rightarrow \cdot E + E$
$E \rightarrow \cdot E * E$
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$E \rightarrow \cdot (E)$

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# Resolving Conflicts with Precedence

- When choosing whether to reduce A or shift B:
  - If A has higher priority, **reduce**.
  - If B has higher priority, **shift**.
  - If A and B have the same priority:
    - If A is left-associative, **reduce**.
    - If A is right-associative, **shift**.
    - If A is non-associative, **error**.

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# Error Handling

- What should the parser do when it encounters an error?
- Could just say “syntax error,” but we'd like more detailed messages.
- How do we resume parsing after an error?

# Error Productions

- One idea: add productions to the grammar that identify common mistakes.
- For example:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow \text{int}$

$E \rightarrow (E)$

$E \rightarrow E E$  (*error production*)

$E \rightarrow E +$  (*error production*)

$E \rightarrow E *$  (*error production*)



# Analysis of Error Productions

- Useful for diagnosing common programmer mistakes.
  - For example, using **implements** instead of **extends** in Java.
- Increases risk of parsing problems.
  - More likelihood for ambiguity.
  - More likelihood grammar won't be accepted by parser generator (i.e. not LALR(1))
- Forces parser generator to anticipate errors.

# Panic Mode

- Idea: Augment grammar by adding rules for resuming parsing when Bad Things happen.

- Example:

$E \rightarrow E + E$

$E \rightarrow E * E$

$E \rightarrow (E)$

$E \rightarrow \text{int}$

$E \rightarrow \text{error int}$

$E \rightarrow (\text{error})$

- Tokens after errors are called **synchronizing tokens**.
- Technique employed by **bison** and many other parser generators.

# Using Panic Mode

- When parser encounters an error in a configuring set, search for a production containing an error term.
  - Repeatedly pop tokens from the stack until one can be found.
- Shift the error token.
- Resume parsing as normal.

# Next Time

- The Limits of Parsing:
  - Parsing ambiguous grammars, take II.
  - Parsing arbitrary CFGs: The Earley Parser
  - Parsing with missing tokens: Intersection Parsing
  - A Most Excellent Parser: The Earley-on-Intersection Algorithm